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1 Multi-trait genomic prediction in pigs using single and multistep methods based on
2 the absorption of ungenotyped animals

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17 Abstract

18 Many quantitative traits measured in breeding programs are genetically correlated.

19 The genetic correlations between the traits indicate that the measurement of one trait

20 ~~carry~~ carries information on others. To benefit from this information, multi-trait

21 genomic prediction (MTGP) is preferable to use. However, MTGP is more difficult to
22 implement compared to single-trait genomic prediction (STGP), and even more

23 challenging for the goal to exploit not only the information on other traits but also the

24 information on ungenotyped animals. This could be accomplished by using both

25 single and multistep methods. The single-step method was achieved by implementing

26 a single-step genomic [best linear unbiased prediction](#) BLUP (ssGBLUP) approach

27 using a multi-trait model. Here, we examined a multistep analysis based on an

28 approach called “Absorption” to achieve this goal. The Absorption approach absorbed

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29 all available information including the phenotypic information on ungenotyped
30 animals as well as the information on other traits if applicable, into mixed model
31 equations of genotyped animals. The multistep analysis included (1) to apply the
32 Absorption approach that exploits all available information, and (2) to implement
33 genomic BLUP (GBLUP) prediction on the absorbed dataset. In this study, the
34 ssGBLUP and multistep analysis were applied to 5 traits in Duroc pigs, which were
35 slaughter percentage (~~SP~~), feed consumption from 40_kg to 120_kg (FC40_120), days
36 of growth from 40_kg to 120_kg (D40_120), age at 40_kg (A40) and lean meat
37 percentage (~~LMP~~). The results showed that MTGP yielded a higher accuracy than
38 STGP, which on average was 0.057 higher for the multistep method and 0.045 higher
39 for ssGBLUP. The mMultistep method achieved similar prediction accuracy as
40 ssGBLUP. However, the prediction bias of the multistep method was in general lower
41 than that of ssGBLUP.

42 Keywords

43 absorption of phenotype

44 genomic selection

45 mMulti-trait genomic prediction

46 pig

47 1. INTRODUCTION

48 With the availability of high-density panels of DNA markers covering the whole
49 genome, genomic selection (GS) (Meuwissen et al., 2001) has become feasible as an
50 effective tool for animal and plant breeding. This method has been successfully
51 implemented in livestock breeding programs, and most extensively in dairy cattle
52 (Wiggans & Carrillo, 2022). Selection of elite bulls and cows based on the genomic
53 estimated breeding value (GEBV) doubles genetic gains mainly due to a reduction of
54 the generation intervals (Garcia-Ruiz et al., 2016). This also reduced the cost of
55 proving bulls by more than 90% (Schaeffer, 2006). GS is also a promising procedure
56 to increase genetic gain in ~~the~~ pig breeding, especially for the traits that are not easy
57 to measure on selection candidates and/or have low heritability, such as meat quality
58 (Lopez et al., 2020), and also on traits obtained late in pig's life (Mote et al., 2019).

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59 Actual breeding often targets multiple traits that are genetically correlated, and the
60 practical routine genetic evaluation of the breeding value is usually calculated using
61 multi-trait models. Multi-trait models for GEBV prediction have been reported
62 including Bayesian approaches (Villar-Hernandez et al., 2021) and the genomic best
63 linear unbiased prediction (GBLUP) method (Karaman et al., 2020). Studies have
64 shown that multi-trait genomic prediction (MTGP), which accounts for the
65 relationships between the traits, may result in more accurate GEBV than single-trait
66 genomic prediction (STGP) (Semagn et al., 2022; Song et al., 2020).

67 MTGP could be implemented by a multiple-step procedure. This includes to run
68 traditional multi-trait genetic evaluation for each individual; to create pseudo-records
69 by adjusting the phenotypes; multi-trait estimation of allelic effects for each SNP; and
70 to combine genomic predictions and traditional evaluations in a selection index
71 (VanRaden, 2008). Although genomic evaluations are more accurate than the parent
72 average, using approximations for adjusting phenotype can inflate GEBV and hence
73 cause bias (VanRaden et al., 2009).

74 The approach referred to as single-step GBLUP (ssGBLUP) combines the pedigree
75 relationship matrix (**A** matrix) and genomic relationship matrix (**G** matrix) into a
76 single relationship matrix called **H** matrix (Legarra et al., 2009; Misztal et al., 2009).
77 The inverse of the **H** matrix has a simple form and can substitute for the inverse of the
78 traditional relationship matrix. Compared to the multistep method, this approach
79 makes use of all data (pedigree, genotypes and phenotypes) simultaneously to
80 ~~maximize~~ ~~maximise~~ the accuracy of the GEBV.

81 With ~~the~~ extensive research and the development of efficient computing algorithms to
82 solve the challenges that limit the practical implementation of ssGBLUP, for instance
83 metafounder approach (Kudinov et al., 2020; Legarra et al., 2015) and the use of ~~the~~ **J**
84 factor (Belay et al., 2022; Strandén et al., 2022) to improve the compatibility between
85 genomic and pedigree information, ssGBLUP has become the most popular
86 methodology for genetic evaluations including genotyped and ungenotyped animals
87 and has been successfully implemented in almost all livestock populations (Bermann
88 et al., 2022). However, even though ssGBLUP took over ~~the~~ multistep method as the

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89 most chosen genetic evaluation methodology, the multistep method may show merits
90 for instance the more straightforward extension of the method to variable selection
91 models.

92 Here, we examine a multistep method to achieve MTGP based on an approach called
93 “Absorption” that approximately absorbs all available information on ungenotyped
94 animals as well as the information on other traits, into mixed model equations of
95 genotyped animals. This Absorption approach creates pseudo-records referred to as
96 absorbed records. MTGP was achieved by performing genomic prediction with
97 absorbed records. The procedure involves (1) regular genetic evaluation to predict
98 breeding value (EBV) for each individual and the reliability of EBV prediction is
99 calculated; (2) creation of pseudo-observations and weights by absorbing the
100 phenotypic information of ungenotyped animals into mixed model equations for
101 genotyped animals and; (3) GEBV prediction using pseudo-records and variable or
102 non-variable selection methods.

103 In this study, the accuracy and bias of MTGP ~~was~~ were investigated for this multistep
104 approach using absorbed records and compared with ssGBLUP. MTGP were
105 conducted on 5 traits of Duroc boars. STGP were also performed to compare with
106 MTGP. The accuracy of GEBV prediction was assessed by 1-028 validation boars.

107 2. MATERIALS AND METHODS

108 2.1. Genotypic and phenotypic data

109 The phenotypic data of 9-641 Duroc pigs were provided by Norsvin SA
110 (www.norsvin.no). There were 5 traits used in the study: slaughter percentage (SP),
111 feed consumption from 40_~~kg~~ to 120_~~kg~~ (FC40_120), days of growth from 40_~~kg~~ to
112 120_~~kg~~ (D40_120), age at 40_~~kg~~ (A40) and lean meat percentage (LMP). A
113 description of the phenotype for these 5 traits ~~are~~ is shown in Table 1. All data were
114 obtained through operational breeding procedures in Norsvin and all animals in the
115 study were reared according to the laws and regulations for keeping pigs in Norway
116 (Animal Welfare Act 2009-06-19-97, Regulation for the keeping of pigs in Norway
117 2003-02-18-175).

118 Within the Duroc pigs in the dataset, 5-045 boars born between 2010 and 2015 were

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119 genotyped at Cigene (<http://www.cigene.no/>), using the iScan (Illumina, San Diego,
120 CA, USA) platform with the PorcineSNP60 array according to manufacturer's
121 instructions. Image intensity data processing, clustering and genotype calling ~~was~~
122 were performed using the genotyping module in the Genome Studio software
123 (Illumina, San Diego, CA, USA). A total of 36,551 single nucleotide polymorphisms
124 (SNPs) remained after removing SNP with minor allele frequency (MAF) below 0.01.

125

126 2.2. Genotyped animals, their ancestors and 127 ungenotyped animals

128 The animals used in the study are defined as two types: Genotyped animals and their
129 ancestors (GA-set) and ungenotyped animals (D-set) that are generally descendants of
130 the GA-set. GA-set comprised of 9,750 animals, of which 9 generations of ancestors
131 preceded 5,045 genotyped animals (G-set). Included in the GA-set are 195 founders
132 and 9,555 non-founders. For 4,705 ungenotyped ancestors in GA-set, their genotype
133 probabilities were calculated using the LDMIP program (Meuwissen & Goddard,
134 2010). Ungenotyped D-set animals are generally descendants of GA-set animals.
135 There are in total 127,825 ungenotyped D-set animals whose information will be
136 absorbed into the genotyped animals.

137 2.3. Absorption of phenotypic information of ungenotyped 138 descendants to GA-set animals

139 To absorb information ~~of~~ on ungenotyped animals (D-set) into the genotyped animals
140 (G-set) in GA-set, the EBV of the animals and their reliabilities were required to be
141 known. These can be obtained from, for example, a large-scale (national) pedigree-
142 based genetic evaluation. In the presented study, this pedigree-based genetic
143 evaluation was implemented using the DMU package (Madsen & Jensen, 2013;
144 Madsen et al., 2014). The (co)variance matrix was from Norsvin's routine genetic
145 evaluation of EBV.

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146 The mixed model equation (MME) for the GA-set animals absorbing D-set
147 information, based on [the](#) numerator relationship matrix (A -matrix), may be expressed
148 as:

$$149 \quad (\mathbf{M} + \mathbf{A}^{-1}\lambda)\mathbf{EBV}_{GA} = \mathbf{d}$$

150 where \mathbf{M} is an information matrix resulting from the absorption; \mathbf{EBV}_{GA} is the vector
151 of EBV of the GA-set animals; λ is the variance ratio as σ_e^2/σ_a^2 , where σ_a^2 and σ_e^2 are
152 additive genetic variance and error variance, respectively; and \mathbf{d} is the right-hand-side
153 resulting from the absorption process. Here the exact form of \mathbf{M} and \mathbf{d} is not defined,
154 since they depend on fixed and random effects in the model. However, we can assume
155 the information matrix \mathbf{M} to be approximated by a diagonal weight matrix \mathbf{W} to
156 achieve the same \mathbf{EBV}_{GA} and reliabilities result as for the complete data set (GA+D
157 set). In addition, the right-hand-side \mathbf{d} of MME can be approximated as $\mathbf{d} = \mathbf{W}\mathbf{y}_a$,
158 where \mathbf{y}_a is the vector of absorbed records yielding the complete set \mathbf{EBV}_{GA} . Thus, the
159 MME for the absorbed records \mathbf{y}_a with weights $\text{diag}(\mathbf{W})$ may be written as:

$$160 \quad (\mathbf{W} + \mathbf{A}^{-1}\lambda)\mathbf{EBV}_{GA} = \mathbf{W}\mathbf{y}_a$$

161 The weights \mathbf{W} that approximately give the same reliabilities as for the complete data
162 (GA + D set), from (national) genetic evaluations, are calculated following the
163 approach of [Ricard et al.](#), (Ricard et al., [\(2012\)](#)). The absorbed records \mathbf{y}_a are
164 calculated by multiplying $(\mathbf{I} + \mathbf{W}^{-1}\mathbf{A}^{-1}\lambda)$ with the known \mathbf{EBV}_{GA} , where \mathbf{I} is [the](#)
165 identity matrix and \mathbf{EBV}_{GA} is [the](#) vector of EBVs of genotyped animals from large-
166 scale genetic evaluation.

167 When considering [genomic](#) relationships for the GA-set animals, the absorbed
168 genomic ~~mixed model equation~~ (MME) may be expressed as:

$$169 \quad (\mathbf{W} + \mathbf{G}^{-1}\lambda)\mathbf{GEBV}_{GA} = \mathbf{W}\mathbf{y}_a$$

170 where \mathbf{W} and \mathbf{y}_a are the same as for the A matrix-based equations; \mathbf{G} is the genomic
171 relationship matrix; and \mathbf{GEBV}_{GA} is the vector of GEBV of the genotyped animals.
172 The absorption of D-set animals is not affected by the known marker genotypes since
173 the D-set animals have no marker information, nor have their descendants
174 ([Meuwissen & Goddard, 1999](#)). The absorbed MME model was implemented ~~by~~
175 using the package ASReml ([Gilmour et al., 2006](#)).

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176 The absorption relied on the EBV and reliability obtained by a large-scale genetic
177 evaluation based on \mathbf{A} matrix relationships. If there were multiple traits that were
178 genetically correlated, the genetic evaluation could be implemented either one by one
179 on each trait through a single-trait model, or simultaneously on all traits through a
180 multi-trait model. For the absorption based on the EBV and reliability from single-
181 trait genetic evaluation, it is referred to as single-trait absorption, and single-trait
182 absorbed records are obtained. For the absorption based on multi-trait EBV and
183 reliability, it is referred to as multi-trait absorption and multi-trait absorbed records
184 are obtained.

185 2.4. Single-trait multistep genomic prediction

186 Single-trait multistep (ST-multistep) genomic prediction with absorbed records could
187 be implemented using [the](#) GBLUP model expressed as:

$$188 \mathbf{y}_a = \mathbf{1}\mu + \mathbf{Z}\mathbf{a} + \mathbf{e}$$

189 where \mathbf{y}_a is a vector of absorbed pseudo-phenotypes for a trait; μ is the overall mean;
190 \mathbf{Z} is a design matrix linking the animals to the absorbed records; \mathbf{a} is a vector of
191 additive genetic effects of the animals and \mathbf{e} is the vector of random residuals. It is
192 assumed that $\mathbf{a} \sim N(\mathbf{0}, \mathbf{G}\sigma_g^2)$ where \mathbf{G} is the genomic relationship matrix and σ_g^2 is
193 the genetic variance associated with \mathbf{G} , and $\mathbf{e} \sim N(\mathbf{0}, \mathbf{W}^{-1}\sigma_e^2)$ where \mathbf{W} is the
194 diagonal weight matrix obtained from the absorption.

195 There are various methods for calculating the \mathbf{G} matrix. Here, we used the \mathbf{G} matrix
196 referred to as the \mathbf{G}_{LDLA} matrix constructed by the method of [Meuwissen et al.](#)
197 Meuwissen et al. (2015). \mathbf{G}_{LDLA} matrix was a relationship matrix that combined
198 linkage disequilibrium (LD) and linkage analysis (LA) relationship information as:
199 $\mathbf{G}_{\text{LDLA}} = \mathbf{\Lambda}^* \hat{\mathbf{G}}^* \mathbf{\Lambda} + \mathbf{D}^* \hat{\mathbf{A}}^* \mathbf{D}$, where $\hat{\mathbf{G}} = \mathbf{X}\mathbf{X}'/N_m$, as N_m is the number of markers
200 and \mathbf{X} is a matrix of the standardized marker genotypes, $X_{ij} =$
201 $(g_{ij} - 2p_j)/\sqrt{2p_j(1 - p_j)}$, where g_{ij} is the genotype of animal i for SNP j , with
202 $g_{ij} = 0, 1$ or 2 for genotypes “0 0”, “1 0” or “1 1”, respectively, and p_j is the
203 frequency of allele 1 of SNP j . Standardization is such that the mean and the variance
204 of X_{ij} are 0 and 1, respectively (Iversen et al., 2017); $\mathbf{\Lambda}$ is a diagonal matrix as $\Delta_{ii} =$
205 $1/\sqrt{G_{ii}}$ if $G_{ii} \geq 1$ or $\Delta_{ii} = -1$ if $G_{ii} < 1$; $\hat{\mathbf{A}}$ is a pedigree-based gametic

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206 relationship matrix [and](#); \mathbf{D} is a diagonal matrix as $D_{ii} = \sqrt{1 - G_{ii}}$ if $G_{ii} \leq 1$ or
 207 $D_{ii} = 0$ if $G_{ii} > 1$.

208 2.5. Multi-trait multistep genomic prediction

209 Multi-trait multistep (MT-multistep) genomic prediction must be implemented using
 210 multi-trait absorbed records. To perform [the](#) absorption of information of
 211 ungenotyped animals into genotyped animals for multiple traits, [the](#) first multi-trait
 212 pedigree-based genetic evaluation on a complete data set was executed using [the](#)
 213 MiX99 package (Stranden & Lidauer, 1999; Vuori et al., 2006) to predict EBVs of the
 214 traits, *EBV*.

215 For trait i in the multi-trait genetic model, the phenotype y_i can be expressed as
 216 $y_i = a_i + e_i$, where a_i and e_i are additive effect and residual for trait i , and
 217 $\text{Var}(a_i) = \mathbf{G}$ and $\text{Var}(e_i) = \mathbf{R}$. Canonical transformation can be applied to trait i so
 218 that the transformed trait $\mathbf{X}'y_i$ can be independently evaluated with a single trait
 219 model, and $\text{Var}(\mathbf{X}'a_i) = \mathbf{L}$ and $\text{Var}(\mathbf{X}'e_i) = \mathbf{I}$. Genetic variance matrix \mathbf{L} is diagonal
 220 and [the](#) residual variance matrix is an identity matrix \mathbf{I} , as $\mathbf{L} = \mathbf{X}'\mathbf{G}\mathbf{X}$ and
 221 $\mathbf{I} = \mathbf{X}'\mathbf{R}\mathbf{X}$.

222 Canonical transformed EBVs, *EBV**, are given by $\mathbf{EBV}^* = \mathbf{X}'\mathbf{EBV}$. The reliabilities
 223 of predicting transformed EBVs were calculated using ApaX in [the](#) MiX99 package.
 224 With transformed EBVs and reliabilities, ~~the~~ single-trait absorption was implemented
 225 to obtain absorbed records \mathbf{y}_a^* and weight \mathbf{W}^* . Then the absorbed MME model was
 226 executed ~~by~~ using the package ASReml to predict transformed GEBV, *GEBV**, as
 227 $(\mathbf{W}^* + \mathbf{G}^{-1}\lambda)\mathbf{GEBV}^* = \mathbf{W}^*\mathbf{y}_a^*$. The GEBV predicted using multi-trait absorbed
 228 records is obtained as $\mathbf{GEBV} = (\mathbf{X}'^2)^{-1}\mathbf{GEBV}^*$.

229 2.6. Single-trait and multi-trait ssGBLUP

230 The single-trait ssGBLUP (ST-ssGBLUP) is defined as:

$$231 \mathbf{y} = \mathbf{X}\mathbf{b} + \mathbf{Z}\mathbf{a} + \mathbf{e}$$

232 where \mathbf{y} is a vector of phenotypes for the traits; \mathbf{X} and \mathbf{Z} are the design matrices; \mathbf{b} and
 233 \mathbf{a} denote the fixed effects and the additive genetic effects, respectively; and \mathbf{e} is the
 234 random residual. It is assumed that $\mathbf{a} \sim N(\mathbf{0}, \mathbf{H}\sigma_a^2)$ where σ_a^2 is additive genetic

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235 variance, \mathbf{H} is the pedigree-genomic relationship matrix which combines SNP marker
236 and pedigree information. A detailed description of how \mathbf{H} is computed can be found
237 in Aguilar et al. (2010).

238 The mixed model equations are:

$$239 \begin{pmatrix} \mathbf{X}'\mathbf{X} & \mathbf{X}'\mathbf{Z} \\ \mathbf{Z}'\mathbf{X} & \mathbf{Z}'\mathbf{Z} + \mathbf{H}^{-1}\lambda \end{pmatrix} \begin{pmatrix} \hat{\mathbf{b}} \\ \hat{\mathbf{a}} \end{pmatrix} = \begin{pmatrix} \mathbf{X}'\mathbf{y} \\ \mathbf{Z}'\mathbf{y} \end{pmatrix}$$

240 where $\lambda = \sigma_e^2/\sigma_a^2$. The pedigree and genomic relationship matrices (VanRaden,
241 2008) were used to build the combined relationship matrices (Aguilar et al., 2010;
242 Christensen & Lund, 2010; Legarra et al., 2009). The ST-ssGBLUP was implemented
243 using the DMU package (Madsen & Jensen, 2013) with the G-ADJUST option to
244 adjust elements in the genomic relationship so that the average of diagonal elements
245 and the average of off-diagonal elements equal the same average in the additive
246 relationship for the genotyped animals (Christensen et al., 2012).

247 For the multi-trait ssGBLUP (MT-ssGBLUP), the solution to mix model equations
248 can be expressed as:

$$249 \begin{pmatrix} \mathbf{X}'\mathbf{R}^{-1}\mathbf{X} & \mathbf{X}'\mathbf{R}^{-1}\mathbf{Z} \\ \mathbf{Z}'\mathbf{R}^{-1}\mathbf{X} & \mathbf{Z}'\mathbf{R}^{-1}\mathbf{Z} + \mathbf{H}^{-1}\otimes\mathbf{G}_0 \end{pmatrix} \begin{pmatrix} \hat{\mathbf{b}} \\ \hat{\mathbf{a}} \end{pmatrix} = \begin{pmatrix} \mathbf{X}'\mathbf{R}^{-1}\mathbf{y} \\ \mathbf{Z}'\mathbf{R}^{-1}\mathbf{y} \end{pmatrix}$$

250 where $\mathbf{R} = \mathbf{I}\otimes\mathbf{R}_0$, \mathbf{R}_0 is the residual covariance matrix across traits and \mathbf{G}_0 is the
251 genetic covariance matrix across traits (Legarra et al., 2014). The implementation of
252 MT-ssGBLUP was also achieved using the DMU package with the G-ADJUST
253 option.

254 2.7. Validation procedure

255 A validation dataset was constructed comprising 1-028 boars born after 1 February
256 1st, 2014. For ssGBLUP analysis, the reference data set consisted of available records
257 in the period from January of 2008 to January of 2014. All of the 4-017 genotyped
258 animals in the reference data had their own records. For the multistep method using
259 absorbed GA-set, the reference data set consisted of 8-722 absorbed records. For the
260 multistep method using absorbed G-set, the reference data set consisted of 4-017
261 absorbed records.

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262 The accuracy of GEBV prediction was calculated as the correlation between the
263 predicted GEBVs and the adjusted phenotypes, divided by the square root of the
264 heritability of the trait (Estaghirou et al., 2013). The adjusted phenotypes were
265 calculated as the sum of EBVs and residuals from the traditional genetic evaluation
266 (Wang et al., 2022).

267 The bias was measured as the coefficients of regression of the adjusted phenotypes on
268 GEBV. For an unbiased result, the regression coefficient equals to 1. A regression
269 coefficient ≤ 1 implies that extremely high (low) values of the GEBV over-
270 (under)predict the adjusted phenotypes, and vice versa for a regression
271 coefficient ≥ 1 . The degree of bias is hence judged by comparing the regression
272 coefficients of the adjusted phenotypes on GEBV with the value 1.

273 3. RESULTS

274 3.1. Accuracy of MTGP and STGP by the multistep 275 method using absorbed GA-set records and by ssGBLUP

276 Table 2 presents the accuracies of ST-multistep and MT-multistep using single-trait
277 and multi-trait absorbed records, and the accuracies of ST-ssGBLUP and MT-
278 ssGBLUP analyses for comparison. The absorbed records used by multistep method
279 were obtained by absorbing information into GA-set animals. The GEBVs were
280 obtained for the 1-028 validation animals when 8-722 animals were in the training set.

281 The results in Table 2 show that the multistep method performed similarly to
282 ssGBLUP. Over the 5 traits, neither method yielded a more accurate prediction than
283 the other. MTGP achieved higher accuracy than STGP except for trait SP where
284 accuracies were similar. There is on average a larger difference in accuracy between
285 MTGP and STGP for the multistep method (0.057) than for ssGBLUP (0.045). It is
286 observed a larger difference in accuracy between MTGP and STGP for the GEBV
287 prediction with lower accuracy. The largest difference between MTGP and STGP was
288 observed for trait A40, which were was 0.111 for the multistep method and 0.077 for
289 ssGBLUP analysis. The trait was also observed with the lowest GEBV accuracy. For
290 traits SP and FC40_120 with relatively low heritability, the genomic predictions were

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291 similarly accurate to trait LMP whose heritability was the highest among the 5 traits
292 studied (Table 1).

293 3.2. Bias of MTGP and STGP by the multistep method 294 using absorbed GA-set records and by ssGBLUP

295 Table 3 summarizes the bias as the coefficients of regression of the adjusted
296 phenotypes on GEBV for 5 traits by ST-multistep and MT-multistep using single-trait
297 and multi-trait absorbed GA-set records, and by ST-ssGBLUP and MT-ssGBLUP
298 analyses. The table showed the regression coefficients were mostly lower than 1,
299 which suggests that the variance of the GEBV was slightly too high, relative to the
300 variance of the adjusted phenotype. However, a regression coefficient ≥ 1 was
301 observed for MT-multistep for traits SP and FC40_120, indicating the variance of the
302 GEBV was slightly too low relative to the variance of the adjusted phenotype.

303 Table 3 shows that MT-multistep prediction for trait LMP achieved the lowest bias.
304 The trait A40 with the lowest GEBV accuracy in Table 2 is the most biased. Results
305 demonstrate the multistep method is less biased than ssGBLUP. Furthermore, there is
306 on average a bigger difference in regression coefficient between MT-multistep and
307 ST-multistep (0.12) than between MT-ssGBLUP and ST-ssGBLUP (0.04), indicating
308 a bigger variance in bias for multistep than for ssGBLUP.

309 3.3. Accuracy and bias of MT-multistep and ST-multistep 310 using absorbed G-set records

311 Accuracies and biases of MT-multistep and ST-multistep prediction using 5-045
312 absorbed G-set records are in Table 4. The accuracies were calculated as the
313 correlation between the predicted GEBV for the 1-028 validation animals, when the 4
314 017 animals were in the training set, and the adjusted phenotypes, divided by the
315 square root of the heritability of the trait. As previously observed in the accuracy of
316 multistep using absorbed GA-set records (Table 2), MT-multistep using absorbed G-
317 set records in general achieved higher accuracy than ST-multistep. For ST-multistep,
318 the prediction using absorbed G-set records achieved very similar accuracy to using
319 absorbed GA-set records. However, for MT-multistep, the accuracy for the prediction

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320 using absorbed G-set records over the 5 traits decreased ~~by~~ from 2.2% to 9.9%
321 compared to using absorbed GA-set records.

322 For the bias results in Table 4, it is observed that generally there is less bias for the
323 prediction that is more accurate. Among the 5 traits, GEBV prediction for trait A40
324 has the lowest accuracy, and is most biased.

325 3.4. Accuracy and bias of ST-multistep method using 326 multi-trait absorbed records

327 The absorption relied on the EBV and reliability obtained by a conventional pedigree-
328 based genetic evaluation. For the situation of implementing traditional genetic
329 evaluation on more than one trait, the evaluation can be implemented either one by
330 one on each trait through a single-trait model, or simultaneously on all traits through a
331 multi-trait model, resulting in either single-trait or multi-trait absorbed records. In the
332 study, we have implemented ST-multistep based on both single-trait and multi-trait
333 absorbed records.

334 Table 5 presents the accuracy and bias of ST-multistep using multi-trait absorbed GA-
335 set and G-set records that were obtained from the absorption based on multi-trait EBV
336 and reliability. Compared to the accuracy results for ST-multistep using single-trait
337 absorbed GA-set records (Table 2) and G-set records (Table 4), it is observed that ST-
338 multistep using multi-trait absorbed records in general achieved higher accuracy of
339 the prediction. Over the 5 traits studied, when using multi-trait absorbed records,
340 accuracy for ST-multistep increased by 2.2% ~~to~~ 17% for using absorbed GA-set
341 records and by 1.3% ~~to~~ 13.1% for using absorbed G-set records. Trait D40_120
342 achieved the highest increase in ~~the~~ accuracy. However, the bias was not found
343 ~~improved~~ to improve for the ST-multistep using multi-trait absorbed records.

344 3.5. Correlations of GEBV by the multistep method using 345 absorbed GA-set records and by ssGBLUP

346 The GEBV correlations of 1-028 validation animals were compared between MTGP
347 and STGP, and between the multistep method and ssGBLUP. Figure 1 shows the
348 GEBV correlations between MTGP and STGP by the multistep method using multi-

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349 trait and single-trait absorbed GA-set records (MTGP-STGP_multistep), and the
350 GEBV correlations between MT-ssGBLUP and ST-ssGBLUP (MTGP-
351 STGP_ssGBLUP). In the figure, traits SP, FC40_120 and LMP show similar
352 correlations between MTGP and STGP, and the difference between the multistep
353 method and ssGBLUP are small. GEBV of MTGP and STGP are less correlated for
354 trait D40_120. For trait A40 both the multistep method and ssGBLUP results in the
355 lowest GEBV correlations. The difference in GEBV correlations between the
356 multistep method and ssGBLUP are larger for trait D40_120 and A40.

357 Figure 2 shows the GEBV correlations between MT-multistep using multi-trait GA-
358 set records and MT-ssGBLUP (multistep-ssGBLUP_MTGP), and the GEBV
359 correlations between ST-multistep using single-trait GA-set records and ST-ssGBLUP
360 (multistep-ssGBLUP_STGP). One can see in the figure the similarly high GEBV
361 correlations between the multistep method and ssGBLUP, varying from 0.807 for trait
362 D40_120 to 0.874 for trait SP with an average of 0.848 in MTGP, and from 0.790 for
363 trait D40_120 to 0.858 for trait SP with an average of 0.829 in STGP, which shows
364 that the multistep method performed similarly to ssGBLUP.

365 3.6. Genetic trends in genotyped animals

366 Figure 3 shows the genetic trends in 5 traits as the average GEBV in genetic standard
367 deviations for 5-045 genotyped animals born between 2010 and 2015. There were
368 only 20 genotyped animals born in 2015 in Norsvin data. We plotted the genetic
369 trends from 2014 to 2015 in dashed lines to indicate ~~the~~that genetic trends may be
370 strongly affected by the too-small data set. Figure 3 illustrates that for trait SP,
371 FC40_120 and LMP, MTGP and STGP achieved similar genetic trends from 2010 to
372 2014. ~~Multistep~~The multistep method may yield a slightly larger improvement in
373 genetic trends for trait SP and FC40_120 than ssGBLUP. For traits s D40_120 and
374 A40, there is a difference of approximately $0.6\sigma_g$ in the average GEBV between
375 MTGP and STGP.

376

377 4. DISCUSSION

378 4.1. Calculation of accuracy of GEBV

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379 In this study, we examined a multistep method based on the Absorption approach and
380 evaluated the accuracy and bias of the multistep method using both single-trait and
381 multi-trait absorbed GA-set and G-set records for 5 traits in pig breeding, by
382 comparison with ssGBLUP analyses. The accuracy of EBV is commonly defined as
383 the correlation between animal's EBV and its true BV (TBV). In practice, usually the
384 correlation between GEBV and (adjusted) phenotypes as an indicator of prediction
385 accuracy since the TBV are unknown. Here, we used the correlation between GEBV
386 and the adjusted phenotypes, divided by the square root of the heritability of the trait.
387 The latter accounts for the imperfection of phenotypes as measures for TBV.

388 ~~Heritability~~ The heritability of a trait measures the squared correlation between the
389 TBV and the phenotypes. If the actual TBV of an animal can be completely predicted,
390 ~~i.e. that is~~, $EBV = TBV$, the correlation between these perfect EBV and the
391 phenotypes equals the square root of the heritability. The square root of the
392 heritability hence imposes an upper limit on how accurate the TBV of an animal can
393 be predicted. In the presented study, the heritabilities ranged from 0.27 to 0.68 across
394 the 5 traits used (Table 1), indicating the different levels of the predictability of TBV
395 for the evaluation methods.

396 4.2. **ssGBLUP** and multistep method based on Absorption 397 approach

398 In the study, the accuracy and bias results of ssGBLUP were used to compare with
399 those of multistep methods using absorbed records. Both methods were able to exploit
400 all available information. For ssGBLUP, an H-matrix was used to combine pedigree
401 and genomic information, which enabled ssGBLUP to accommodate ungenotyped
402 animals so that all available phenotypic information was used in the prediction. The
403 multistep method relied on the absorption of phenotypic information of ungenotyped
404 animals into the mixed model equations of genotyped ones to achieve the same goal
405 of utilizing all available information. We have applied ssGBLUP and multistep
406 methods both in STGP to explore the efficacy of the methods using only the
407 phenotypic information of ungenotyped animals, and in MTGP to examine the
408 methods utilizing not only the information from ungenotyped animals but also the

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409 information from other traits. Table 2 hardly showed that one method achieved more
410 accurate prediction than the other, suggesting that both methods may possess similar
411 efficacy of exploiting all available information. However, the bias results in Table 3
412 showed that the multistep method achieved less bias compared to ssGBLUP. This
413 agrees with the findings of Iheshiulor (Iheshiulor, 2016) that genomic predictions
414 based on the absorbed dataset were generally less biased.

415 4.3. Single-Trait and Multi-Trait Genomic Prediction

416 When comparing the accuracy and bias between STGP and MTGP, it was observed in
417 Table 2 that MTGP could generally lead to more accurate and less biased predictions.
418 For the trait SP, MTGP achieved similar accuracy as STGP. This may indicate that
419 records on other traits carry little information for the prediction of SP. Generally, the
420 accuracy was a little improved by using a multi-trait instead of a single trait models.
421 However, for the traits D40_120 and A40, we found that the use of multi-trait models
422 yielded more accurate predictions compared to using single-trait models. D40_120
423 and A40 had generally lower prediction accuracies and the multi-trait predictions
424 helped to bring their prediction accuracies more in line with those of the other traits,
425 and a multi-trait model is more recommended to use.

426 Table 2 showed on average a bigger difference in accuracy between MTGP and STGP
427 for multistep methods than for ssGBLUP, indicating a greater improvement in the
428 accuracy of MTGP using absorbed records. This suggests that the Absorption
429 approach may benefit more from accounting for the information of other traits.
430 Furthermore, the Absorption approach may possess the following merits: (1) the
431 absorbed dataset may also be analysed by variable selection methods such as BayesA,
432 B, C or R (Iheshiulor, 2016), whereas the extension of ssGBLUP to variable selection
433 models is not straightforward, although the single-step Bayesian Regression approach
434 (Fernando et al., 2014) could achieve this and; (2) genomic prediction with absorbed
435 data may avoid inversion of the G-matrix, for example by implementing SNP-BLUP,
436 which would be computationally advantageous if the number of genotyped animals is
437 high and thus the G matrix becomes very large.

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438 4.4. Pseudo-phenotypic data set from Absorption

439 approach

440 The multistep method we examined here was able to exploit all available information
441 ~~by~~ using absorbed records of the GA or G animals. These absorbed records were
442 pseudo-phenotypes of the GA or G animals corrected for information of all related
443 ungenotyped animals in the complete data (GA₊-D set) as well as the information
444 on other traits if applicable, and weight-adjusted to achieve the same EBVs and
445 reliabilities of the GA or G animals. Pseudo-phenotypes have been used as response
446 variables in genetic evaluation. A typical example is deregressed proofs (DRP) in
447 dairy cattle breeding. Calus et al. (2016) have compared the performance of different
448 methods to compute DRP and weights for simultaneous deregression of cow and bull
449 EBV.

450 In this study, two absorbed records were produced, ~~i.e.~~ that is, absorbed G-set records
451 and absorbed GA-set records, by applying the Absorption approach to G-set and GA-
452 set. The G-set was a subset of GA-set. Comparison of the accuracy of multistep
453 prediction using absorbed GA-set records (Table 2) and using absorbed G-set records
454 (Table 4) showed that for MT-multistep, the prediction using multi-trait absorbed GA-
455 set records achieved a higher accuracy than using multi-trait absorbed G-set. For ST-
456 multistep the employment of single-trait absorbed GA-set records did not improve
457 accuracy using a larger reference data set. A possible explanation is that for ST-
458 multistep, the single-trait absorbed records used by the method only absorbed
459 information on ungenotyped animals into genotyped animals. Although the size of the
460 reference data set was different in predictions using absorbed GA-set and G-set
461 records, the amount of available information on ungenotyped animals to absorb was
462 about the same, ~~i.e.~~ that is, the 5-045 genotyped animals in G-set have already obtained
463 all available information from all ungenotyped animals, hence including 4-705
464 ancestral animals in GA-set did not improve accuracies. It was about the same
465 reference information used to predict validation animals between ST-multistep using
466 absorbed G-set records and that using absorbed GA-set records, which resulted in
467 very similar accuracy of the prediction. However, for MT-multistep, the ancestors in

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468 GA-set may get better imputed by the absorption of information from other traits.
469 This may lead to the reference dataset in MT-multistep using absorbed GA-set records
470 more informative than using absorbed G-set records, and hence an increase in [the](#)
471 accuracy of the prediction. Furthermore, less bias was observed in MT-multistep
472 using absorbed GA-set records (Table 3) than using absorbed G-set records (Table 4)
473 which may also support a higher information content from the reference dataset in
474 MT-multistep prediction using absorbed GA-set records.

475 A strategy to enable ST-multistep to accommodate the information from not only
476 ungenotyped animals but also ~~the~~ other traits is to implement ST-multistep using
477 multi-trait absorbed records. The accuracy results in Table 5 demonstrated the
478 advantage of this method by an increase in accuracy on average by 7.2% (GA-set) and
479 by 5% (G-set) compared to using single-trait absorbed records. Furthermore, ST-
480 multistep using multi-trait absorbed records, which is more flexible than MT-
481 multistep, allows to focus on only the traits of interest rather than predicting all the
482 involved traits, and would effectively reduce the computational cost.

483 4.5. Practical implementation of [the](#) multistep method 484 based on Absorption approach

485 For conventional multistep genetic evaluations, the drawbacks for instance of biased
486 or inaccurate predictions for genotyped animals, [the](#) absence of gain in accuracy for
487 ungenotyped animals, and incompatibility between EBVs for genotyped and
488 ungenotyped animals (Bermann et al., 2022), undermine the prediction performance
489 of [the](#) multiple-step method and may yield lower accuracy compared with ssGBLUP
490 that includes both genotyped and ungenotyped animals simultaneously in a single
491 genetic evaluation. In this study, we improved the prediction performance of [the](#)
492 multistep method with Absorption approach, which achieved similar accuracy as
493 ssGBLUP and in general lower bias.

494 Compared to raw phenotypes, EBVs may form a response variable data set of a higher
495 quality to the prediction. This is because, for example in an animal model, all records
496 that are available on an animal and its relatives are optimally used, while
497 simultaneously adjusting for systematic environmental effects. For the breeders

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498 involved in a long-term breeding program, they may have collected many
499 conventional EBV and reliability data through traditional genetic evaluation. The
500 implementation of multistep methods using absorbed records may be especially
501 beneficial for these breeders since the absorption of information ~~of~~on ancestral
502 animals predicted in historical breeding practice may enable the breeders to
503 rediscover the value of their previous traditional EBV assets in the genomic era.

504 With more and more genotyped animals, datasets used for genomic prediction might
505 become huge. For example, the US dairy industry has now genotyped more than 3
506 million animals and the American Angus Association has more than 750,000 animals
507 genotyped (Garcia et al., 2020). This requires a genomic prediction method that can
508 handle huge datasets, with G-matrices that are computationally impossible to invert.
509 The ssSNP-BLUP was developed to avoid the inversion of the G matrix. For the
510 Absorption approach, one can simply calculate marker effects with absorbed records
511 and predict GEBV with marker effects. In this way, the Absorption approach is able
512 to handle huge datasets with millions of animals.

513 The multistep methods using absorbed records also have drawbacks. The absorbed
514 records are pseudo records that may be complicated, and the weighting of the records
515 requires approximations in complex models. Errors in EBVs due to poor conventional
516 genetic evaluation may affect absorbed records and cause biased and inaccurate
517 predictions. Furthermore, variance components cannot be estimated with the multistep
518 approach.

519 5. CONCLUSION

520 The study shows that the multistep method using an absorbed dataset could achieve
521 similarly accurate multi-trait prediction to the ssGBLUP method. But the multistep
522 prediction showed in general less bias. For the genomic prediction where many traits
523 are genetically correlated and may have different heritabilities, multi-trait models
524 could yield higher accuracy than single-trait models, and hence are preferred. The
525 implementation of the Absorption approach in multistep methods may be promising
526 for the breeders to rediscover the value of previous traditional EBV estimation in
527 historical breeding practices.

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536 CONFLICT OF INTEREST STATEMENT~~CONFLICT~~
537 ~~OF INTEREST~~

538 The authors confirm that there is no known conflict of interest associated with this
539 publication.

540

541 DATA AVAILABILITY STATEMENT

542 Restrictions apply to the availability of these data, which were used under license~~d~~ for
543 this study. Data might be available upon reasonable request from the authors with the
544 permission of Norsvin SA.

545

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 705

706
707

708 **TABLE 1.** Heritabilities (h^2), genetic standard deviations (σ_g) and number of
 709 phenotypic records for the traits.

Trait	h^2	σ_g	Total number of records	Number of reference records for ssGBLUP	Comment
SP	0.27	1.00	8-661	7-633	Slaughter percentage
FC40_120	0.32	6.43	9-086	8-058	Feed consumption from 40_kg to 120_kg
D40_120	0.48	4.85	9-248	8-220	Days from 40_kg to 120_kg
A40	0.50	4.40	9-641	8-613	Age at 40_kg (days)
LMP	0.68	2.26	8-661	7-633	Lean meat percentage

710
711

712 **TABLE 2.** Accuracy of GEBV prediction using single-trait (ST-) and multi-trait
 713 (MT-) ssGBLUP and multistep method using absorbed GA-set records.

Trait	ST-ssGBLUP	MT-ssGBLUP	ST-multistep	MT-multistep
SP	0.657	0.667	0.669	0.665
FC40_120	0.637	0.673	0.649	0.698
D40_120	0.503	0.571	0.496	0.559
A40	0.414	0.491	0.391	0.502
LMP	0.627	0.661	0.616	0.675

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715

716 **TABLE 3.** Regression coefficient of GEBV prediction using single-trait (ST-)
717 and multi-trait (MT-) ssGBLUP and multistep method using absorbed GA-set
718 records.

Trait	ST-ssGBLUP	MT-ssGBLUP	ST-multistep	MT-multistep
SP	0.89	0.91	0.96	1.09
FC40_120	0.87	0.88	0.97	1.09
D40_120	0.71	0.79	0.79	0.91
A40	0.59	0.64	0.62	0.80
LMP	0.85	0.90	0.94	1.01

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721 **TABLE 4.** Accuracy and regression coefficient of GEBV prediction for single-
722 trait (ST-) and multi-trait (MT-) multistep method using absorbed G-set
723 records.

Trait	Accuracy		Bias	
	ST-multistep	MT-multistep	ST-multistep	MT-multistep
SP	0.667	0.650	0.95	0.98
FC40_120	0.641	0.657	0.97	0.95
D40_120	0.496	0.530	0.77	0.81
A40	0.394	0.452	0.60	0.67
LMP	0.621	0.640	0.89	0.78

724

725

726 **TABLE 5.** Accuracy and regression coefficient of GEBV prediction for single-
727 trait multistep method using multi-trait absorbed GA-set and G-set records.

Trait	Accuracy		Bias	
	GA-set	G-set	GA-set	G-set
SP	0.684	0.676	1.21	0.91
FC40_120	0.668	0.657	1.12	0.89

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D40_120	0.581	0.561	0.94	0.93
A40	0.413	0.403	0.64	0.72
LMP	0.666	0.655	1.09	0.96

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730 **FIGURE 1.** GEBV correlations between MTGP and STGP by [the](#) multistep
731 method using multi-trait and single-trait absorbed GA-set records (MTGP-
732 STGP_multistep), and the GEBV correlations between MT-ssGBLUP and ST-
733 ssGBLUP (MTGP-STGP_ssGBLUP).

734

735 **FIGURE 2.** GEBV correlations between MT-multistep using multi-trait GA-set
736 records and MT-ssGBLUP (multistep-ssGBLUP_MTGP), and the GEBV
737 correlations between ST-multistep using single-trait GA-set records and ST-
738 ssGBLUP (multistep-ssGBLUP_STGP).

739

740 **FIGURE 3.** Genetic trends of trait SP, FC40_120, D40_120, A40 and LMP in
741 genotyped animals; the y-axis shows average GEBV in genetic standard
742 deviations. ssGBLUP_STGP and ssGBLUP_MTGP represents the average
743 GEBV of ST-ssGBLUP_ and MT-ssGBLUP; multistep_STGP and
744 multistep_MTGP represents the average GEBV of MT-multistep using multi-
745 trait GA-set records and ST-multistep using single-trait GA-set records. The
746 genetic trends from 2014 to 2015 were plotted in dashed lines since there
747 were only 20 genotyped animals born in 2015 in Norsvin data and the genetic
748 trends from 2014 to 2015 may be strongly affected by the too_-small data set
749 for 2015.

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