

1 **Impact of projected climate change on workability, attainable yield, profitability and**
2 **farm mechanization in Norwegian spring cereals**

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16 **Highlights**

- 17 • Workability thresholds, based on soil water content, determined sowing dates.
- 18 • Workability was explored as number, cohesion and earliness of workable days.
- 19 • Workability can restrict early sowing of spring cereals in Norway in the future.
- 20 • In the worst-case, attainable yield will be reduced in C Norway.

21

22 **Abstract**

23 In cold-temperate climate with high soil water content in spring, the farmer often faces the
24 choice between topsoil compaction during seedbed preparation and delayed sowing, both of
25 which may reduce attainable cereal yield. The objective of this study was to explore whether
26 future climate change with increasing precipitation would aggravate this dilemma. We
27 generated weather based on historical and projected future climate in South-eastern and
28 Central Norway. Using this weather data as input, we simulated spring workability, attainable
29 yield, timeliness costs, and mechanization management with a workability model and a
30 mechanization model. The projected climate changes resulted in improved workability for
31 spring fieldwork and higher attainable yield in South-eastern Norway, and either positive or
32 negative changes in Central Norway compared to historical conditions. We observed a general
33 increase in variability of workability and attainable yield, and a larger risk of extremely
34 unfavourable years in the most unfavourable scenarios in Central Norway. Changes in
35 profitability and mechanization management were small, but followed the same pattern. The
36 negative effects in the most unfavourable climate scenarios in Central Norway were in
37 contrast to positive effects in earlier studies. We explained discrepancies by differences in
38 research methods and purpose. However, simulated sowing dates of annual crops should
39 consider workability of the soil, in terms of water content. Under worst-case conditions, in
40 need of a certain time window to complete their spring fieldwork, farmers might adapt to
41 impaired spring workability by working the soil at higher water content than simulated in our
42 study. The consequence would be a larger loss of attainable yield and less profitability in the
43 future. We anticipate that negative effects may also be expected in other northern cold-
44 temperate regions with high soil water content in spring.

45

46 **Keywords:** Seedbed preparation; Topsoil compaction; Delayed sowing

47

48 **1 Introduction**

49 The timing of seedbed preparation and cereal sowing in spring is crucial for realizing yield
50 potential, especially in northern regions with cold-temperate climate. If the cereal seedbed
51 preparation and sowing, in this paper collectively termed spring fieldwork, is done too early,
52 in unfavourably wet soil, the farmer risks loss of attainable yield due to topsoil compaction
53 (Bakken et al., 1987; Hofstra et al., 1986; Håkansson, 2005; Marti, 1983; Njøs, 1978) and
54 oxygen deficiency during germination (Wesseling and VanWijk, 1957). If it is delayed, on the
55 other hand, the farmer risks loss of attainable yield due to a shorter crop growing season
56 (Riley, 2016). Consequently, there is only a limited number of available days for spring
57 fieldwork, referred to as the window of opportunity (Edwards et al., 2016; Singh et al., 2011).

58 Within this time window, the soil is considered workable, i.e. it can carry machinery
59 and be tilled without any significant topsoil compaction that could hamper germination and
60 root growth (Rounsevell, 1993). In addition to soil water content, the degree of compaction
61 depends on machinery related factors, like number of passes, wheel track area, wheel load,
62 wheel equipment, inflation pressure, operating speed, traction and wheel slip (Etana and
63 Håkansson, 1996; Ljungars, 1977), all of which are assumed to be constant or negligible in
64 this paper. According to discussions in Rounsevell (1993) and Edwards et al. (2016), with
65 small to moderate ground contact stress, we can assume that the soil is trafficable when it is
66 workable. Therefore, in this paper we use the term workable to represent both. Rounsevell and
67 Jones (1993) showed sensitivity of workability to historical climate variability in the UK.
68 Similarly, Maton et al. (2007) simulated number of available sowing days, based on frost,
69 temperature and soil water content in France. Accordingly, the window of opportunity for
70 spring fieldwork is especially narrow in northern regions (Edwards et al., 2016; Reeve and
71 Fausey, 1974).

72 Due to feasibility, northern farmers rarely restrict their spring fieldwork to the ideal
73 conditions of the window of opportunity. The daily decision on whether to do fieldwork or
74 not is based on the farmer's individual and rather subjective perception of urgency, which is
75 depending on soil type, current soil water content, weather forecast, and number of working
76 days required to complete spring work. The latter is commonly about 10 days in Norway and
77 largely depending on farm size, and working capacity of machinery and men, here
78 collectively termed working capacity. This individual perception of urgency leads the farmer
79 to decide for fieldwork at a certain soil water content, here referred to as the workability
80 threshold. Thus, each farmer may have an individual workability threshold, and the daily
81 decision may have individual economic consequences.

82 Whether the fieldwork is done too early or too late, the farmer experiences loss of
83 attainable yield, in economic terms here called timeliness costs. By balancing the farm
84 specific risk of the two different types of timeliness costs, farmers have long been adapting to
85 year-to-year climate variability to maximize short-term profit (Bryant et al., 2000; Cerf et al.,
86 1998; Choi et al., 2016; Maton et al., 2007; Maxwell et al., 1997; Peltonen-Sainio et al.,
87 2009b; Riley, 2016; Smit et al., 1996; Urban et al., 2015; Witney and Oskoui, 1982; Reeve
88 and Fausey, 1974). In order to maximize long-term profitability, farm management balances
89 those potential timeliness costs with machinery costs. A large working capacity increases the
90 chance to complete spring work within the window of opportunity, but is also associated with
91 high machinery costs (de Toro, 2005; Elliot et al., 1977; Søggaard and Sørensen, 2004; Witney
92 and Oskoui, 1982). Similar to the balance between the two different timeliness costs, the
93 balance between timeliness costs and machinery costs is depending on year-to-year climate
94 variability. Hence, long-term machinery management and profitability may be influenced by
95 future climate change, due to potential changes to the window of opportunity.

96 Climate change may aggravate the already difficult timing of spring work. Many
97 climate impact studies predict a longer thermal growing season in Northern Europe (Bindi and
98 Olesen, 2011; Carter, 1998; Carter et al., 1991; Harding et al., 2015; Olesen and Bindi, 2002;
99 Parry et al., 2007; Peltonen-Sainio et al., 2009b; Persson and Kværnø, 2017). However, a
100 longer thermal growing season does not necessarily facilitate earlier sowing of spring cereals
101 (Maton et al., 2007; Menzel et al., 2006; van Oort et al., 2012a, b). During coming decades,
102 more precipitation during winter and spring, and increased precipitation variability are
103 expected in northern regions like Scandinavia, Canada, northern Europe and Midwestern US
104 (Bedard-Haughn, 2009; Coumou and Rahmstorf, 2012; Urban et al., 2015; Groisman et al.,
105 2005; Hov et al., 2013; Trnka et al., 2011). This could mean a higher soil water content in
106 spring, and a narrower and more variable window of opportunity for spring fieldwork. Thus,
107 as discussed by van Oort et al. (2012a, b), the earlier sowing projected by climate impact
108 studies may not be realizable.

109 Projected future yield increases may be too optimistic, if they are based on preponed
110 sowing dates that do not consider soil water content in spring (Choi et al., 2016; van Oort et
111 al., 2012). Many studies of climate change impact on crop production have used dynamic crop
112 simulation models. In general, these models consider soil water content. However, the
113 potential impact of soil water content on the window of opportunity for spring fieldwork, and
114 on soil structure and timeliness costs have often not been fully considered, sometimes even
115 neglected (Bergez et al., 2006). Consequently, simulated yield potentials do neither capture
116 loss of attainable yield due to delayed sowing, awaiting optimal soil water content, nor loss
117 due to topsoil compaction, if the crop is sown under unfavourably wet soil conditions.
118 Furthermore, the formation of crop yield is strongly dependent on the weather conditions
119 during different growth stages, and the timing of the phenological development depends on
120 the interaction of preponed sowing date and weather (Dobor et al., 2016; Kirby, 1969;

121 Peltonen-Sainio and Jauhiainen, 2014; White et al., 2011). In order to adapt to future climate
122 change and to avoid additional loss of attainable yield, simulations should resemble realistic
123 management practices (Bergez et al., 2006) and consider soil workability in spring and
124 potential timeliness costs.

125 Some studies on climate change impact in crop production considered workability
126 thresholds. Rounsevell and Brignall (1994) found that overall soil workability in autumn
127 might not be improved by future climate change in the UK, because the positive effect of an
128 increase in temperature may be offset by the negative effect of an increase in precipitation.
129 Cooper et al. (1997) simulated unchanged or increased number of workable days in early
130 spring in Scotland. Eitzinger et al. (2013) simulated future increases in spring precipitation
131 and reductions in number of workable days in spring in some regions in Central/South-eastern
132 Europe. Tomasek et al. (2017) simulated earlier but fewer workable days in future
133 Midwestern US. Regions like Scandinavia, which under current climate conditions normally
134 has a narrower window of opportunity for spring fieldwork than the regions in the studies
135 above, could expect even greater future challenges in spring, which may alter attainable yield,
136 farmers' machinery management and profitability.

137 The few available studies concerning future workability in Scandinavia are in contrast
138 to these expectations. In simulations by Rötter et al. (2011), soil water content did not affect
139 future spring sowing dates in Finland considerably, and Trnka et al. (2011) and Rötter et al.
140 (2013, 2012) simulated increase in number of workable days in spring in the future, in
141 Scandinavia and Finland, respectively. However, one of these studies did not include the
142 projected increase in winter and spring precipitation (Rötter et al., 2011), two considered early
143 spring fieldwork to be limited by temperature only (Rötter et al., 2013, 2012), and three of
144 them used a workability threshold of relatively high soil water content for late spring
145 fieldwork (Rötter et al., 2013, 2012; Trnka et al., 2011). A further problem of many studies is

146 that workability thresholds often are not specified detailed enough to allow straightforward
147 comparison. In addition, the process-based modelling approach, used in most studies, does not
148 capture within-farm variation in workability, sowing dates, and its consequences on attainable
149 yield. Lastly, no attempt has been made to simulate possible impact of climate change on
150 timeliness costs and farm mechanization management.

151 The objective of this study was to explore how projected future climate change affects
152 workability, fieldwork throughout the spring period, and farm profitability under Norwegian
153 conditions. We simulated historical and future climate, workability, attainable yield and
154 timeliness costs for spring work on autumn-ploughed soils in two important cereal-growing
155 regions with contrasting climate in Norway. We based sowing dates on a representative
156 workability threshold (0-20 cm) and calculated the loss of attainable yield by combining
157 effects of topsoil compaction (due to soil-specific high soil water content) and delayed sowing
158 (if later than predefined optimum sowing day). Thus, in this paper, we use the term
159 “attainable yield” to express timeliness-limited yield potential for a given soil, where crop
160 growth is only limited by spring fieldwork timeliness, i.e. topsoil compaction or delayed
161 sowing or both. Finally, we exemplify the use of timeliness costs in the adaptation of long-
162 term farm mechanization management to climate change.

163

164 **2 Material and methods**

165 In order to determine spring workability, attainable yield and timeliness costs for spring
166 cereals under historical and projected future climate conditions for South-eastern (SE)
167 Norway and Central (C) Norway, two important cereal-growing regions in the country, the
168 following steps were taken.

169 First, generated daily historical and future weather data were used as input to the
170 workability model described by Riley (2016), for a test case of representative Norwegian

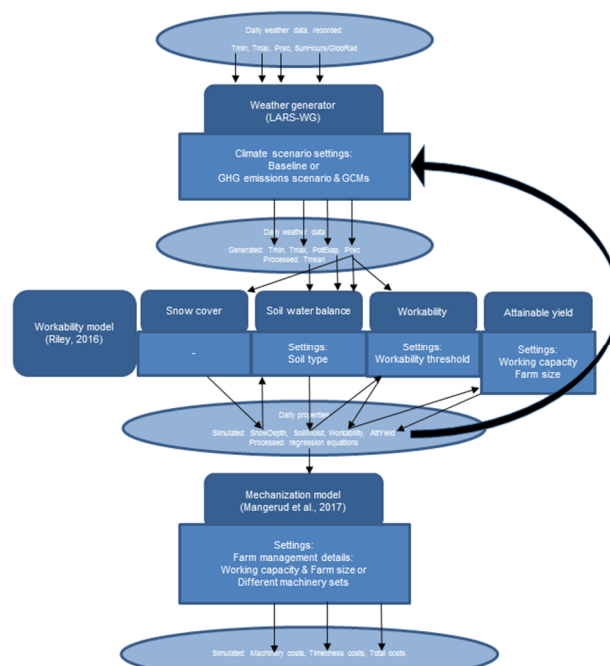
171 farming conditions in a range of future greenhouse gas (GHG) emissions scenarios and global
 172 climate models (GCMs) in each region (Figure 1). Based on the simulated future spring
 173 workability and attainable yield, we calculated indices of workability and of attainable yield
 174 for the different GCMs, and selected two of them for further analyses (iteration in Figure 1).

175 Next, the selected combinations of GCMs and GHG emissions scenarios, here
 176 collectively called climate scenarios, were used to determine workability and attainable yield
 177 for a wider range of farming conditions. In addition, workability and attainable yield were
 178 determined for historical climate conditions.

179 Finally, the workability model output for the different climate scenarios and baseline
 180 climate was expressed in regression equations, which were used to determine timeliness costs
 181 and total costs with the mechanization model described by Mangerud et al. (2017), together
 182 with farm management input (Figure 1).

183 Details about the workability and mechanization models, their input data and simulation
 184 settings are presented below.

185



186
 187 Figure 1: Overview over working steps (Rounded rectangles) and their associated data in- and
 188 output (Ellipses), and settings (Rectangles), for simulations of attainable yield, timeliness

189 costs and total costs under Baseline and future (2046-2065) climate scenarios in South-eastern
190 and Central Norway.
191

192 **2.1 Cereal-growing regions**

193 South-eastern (SE) Norway is characterized as nemoral (NEM3)/ boreal (BOR8) by Metzger
194 et al. (2005), and covers Østfold, Akershus, Oslo, Vestfold, Telemark and parts of Buskerud
195 counties. This region includes 53 % of the total cereal area in Norway (Statistics Norway,
196 2018).

197 Central (C) Norway is classified as alpine north (ALN3/ ALN2) by Metzger et al.
198 (2005) and covers Trøndelag and Møre/Romsdal counties. This region includes 17 % of the
199 total cereal area in the country and is the northern-most important cereal region in Norway
200 (Statistics Norway, 2018).

201 Even though Norwegian cereal production may seem negligible in a global context,
202 e.g. considering winter wheat production (Trnka et al., 2014), it constitutes an important
203 contribution to agricultural production on a national scale (Forbord and Vik, 2017). The
204 majority of cereals in Norway are spring-sown, oats, barley and wheat in SE Norway and
205 barley in C Norway (Statistics Norway, 2018).

206 In our study, climate conditions in SE Norway and C Norway are represented by data
207 from weather stations at Ås (59° 40' N, 10° 46' E; 94 m above sea level) and Værnes (63° 27'
208 N, 10° 56' E; 12 m above sea level), respectively.

209

210 **2.2 Description of the workability model**

211 The empirical workability model presented by Riley (2016) combines four modules (Figure
212 1), one for snow cover (Riley and Bonesmo, 2005), one for soil water balance (Kristensen and
213 Jensen, 1975), one for workability and one for attainable yield. Based on weather data input,
214 the module for snow cover calculates snow depth. Based on snow depth, weather data and

215 selected soil type, the module for soil water balance calculates soil water content in a depth of
216 0-20 cm. Soil type is selected from four groupings (Table 1) which are representative for
217 Norwegian cereal land. The module for workability assumes drained soil (Riley, 2016), and
218 defines a given day as workable if (1) the amount of precipitation during the day in question
219 does not exceed a maximum, which is depending on the soil type and the number of previous
220 rainy days (Table A1), (2) the number of previous rainy days (precipitation > 1.5 mm) does
221 not exceed three, and (3) the soil water content is below the selected workability threshold
222 expressed in volume % of field capacity (FC, pF2, -10 kPa), independent from soil type. In
223 this approach, the workability threshold expresses the farmer's individual willingness to
224 incur topsoil compaction in favour of earlier sowing. Norwegian farmers' individual
225 workability threshold commonly lies between 85 and 95% FC (Riley, 2016).

226 Based on the calculated soil water content at sowing time, the module for attainable
227 yield simulates loss of attainable yield in spring cereals (average of barley, oats and wheat) as
228 combined effects of (1) topsoil compaction and (2) delayed sowing. These effects on
229 attainable cereal yield are based on functions derived from a range of field trials on topsoil
230 compaction and sowing dates in Norway. The function for topsoil compaction (Figure A1a)
231 calculates loss of attainable yield as $y = 43.85 - 1.495x + 0.0126x^2$, where x is soil water
232 content in % FC (Riley, 2016). This function assumes zero topsoil compaction at water
233 content below 66% FC. Related to common workability thresholds mentioned above, this
234 means that farmers commonly experience some reduction in attainable yield due to soil
235 compaction. The function for delayed sowing (Figure A1b) calculates loss of attainable yield
236 as $y = -0.025x + 0.025x^2$, where x is the number of days after optimum sowing date (Ekeberg,
237 1987). This function assumes April 20 and June 21 to be optimum and latest sowing dates for
238 spring cereals, respectively. For each spring season, the module for attainable yield simulates
239 fieldwork on each workable day until the entire farm is sown. Based on working capacity, for

240 seedbed preparation, sowing and rolling, and farm size, defined by the operator, it simulates
 241 sown area up to that day and mean attainable yield for the area worked up to that day. The
 242 attainable yield is solely based on spring work timeliness and assumes optimum growing
 243 conditions throughout the rest of the crop growing season.

244

245 Table 1: Soil type grouping in Riley (2016) and approximate corresponding classification

Soil type	FC ^a	FC - 85% FC ^b	Clay	Silt	USDA texture class ^c
	(mm)	(mm)	(%)	(%)	
1: coarse sand	30	4.5	<10	<50	Medium and coarse sand
2: loamy sand *	50	7.5	<10	>50	Silt loam, sandy loam
3: loam	70	10.5	10-25	-	Silt loam, sandy loam, loamy sand, loam
4: clay/ silt *	90	13.5	>25	-	Clay loam, silty clay loam, sandy clay loam, silt

246 ^aFC = water held at field capacity (pF2, -10 kPa).

247 ^bFC - 85% FC = water held between FC and 85% of FC, the latter used as workability threshold in this study.

248 ^c Corresponding USDA texture class (Brady and Weil, 2010; USDA, n.d.).

249 * Soil types selected for simulation of timeliness costs and total costs in this study.

250

251 2.2.1 Weather input data

252 As input for the workability model and the weather generator described later (Figure 1), we

253 obtained historical weather data from the Norwegian Meteorological Institute

254 (<http://www.met.no>). The data for SE Norway (Ås, Skogsdammen) contained daily minimum

255 temperature, maximum temperature, precipitation and sun hours for the years 1957-1988,

256 while the data for C Norway (Værnes airport) comprised the years 1961-1990, with global

257 radiation replacing sun hours. For further use of the data, daily mean temperature was

258 calculated as mean of daily minimum and maximum temperature.

259 Based on the historical weather data, baseline and future weather data for the period

260 2046-2065, were generated and downscaled using the Long Ashton Research Station Weather

261 Generator (LARS-WG), version 5 (Semenov and Stratonovitch, 2010). In LARS-WG, the

262 future weather represents socio-economic scenarios with high (SRA2), medium (SRA1B) and

263 low (SRB1) greenhouse gas emissions, based on projected development of population,

264 economy and technology as described in the Intergovernmental Panel on Climate Change

265 (IPCC) 4th Assessment Report (Nakicenovic and Swart, 2000). We generated 300 years each
 266 of Baseline climate and combinations of GHG emissions scenarios and GCMs, which were
 267 available in all three GHG emissions scenarios, namely IPCM4, MPEH5, INCM3, HADCM3,
 268 GFCM21, NCCCSM (Semenov and Stratonovitch, 2010; Solomon et al., 2007). The
 269 generated output comprised minimum temperature, maximum temperature, precipitation,
 270 global radiation and potential evaporation. Mean temperature was calculated as above.

271

272 Table 2: Settings, tools and farming contexts used in simulations of workability, yield
 273 potential, timeliness costs and total costs under Baseline and future (2046-2065) climate
 274 conditions in South-eastern (SE) and Central (C) Norway.

Response	Workability & loss of yield potential		Loss of yield potential		Timeliness & total costs
Farming context	Test case	Wider range	Examples	Examples	Example (worst case)
Tool	Workability model	Workability model	Regression equations	Regression equations	Mechanization model
GHG emissions scenario ^a	SRA2, SRA1B, SRB1	(Baseline), SRA2, SRB1	(Baseline), SRA2, SRB1	(Baseline), SRA2	(Baseline), SRA2
GCM ^b	IPCM4, MPEH5, INCM3, HADCM3, GFCM21, NCCCSM	(Baseline), NCCCSM, IPCM4	(Baseline), NCCCSM, IPCM4	(Baseline), NCCCSM	(Baseline), NCCCSM
Soil type ^c	4	1, 2, 3, 4	2, 4	2,4	2, 4
Working capacity (ha day ⁻¹)	4.5	2.5, 5, 7.5, 10, 12.5, 15	5, 10, 20	5, 10, 20	Calculated by the model
Farm size (ha)	45	15,30,45,60,75,90,105,120,135,150,165,180	60, 120, 180	40-180	40-180
Results	Table 5	Figures 2 and 3	Figure 4	Figure 5	Figures 6 and 7 (SE Norway not shown)

275 ^a GHG emissions scenario = greenhouse gas emissions scenario.

276 ^b GCM = global climate model.

277 ^c Description of soil type grouping in Table 1.

278

279 2.2.2 Simulation settings - test case future

280 As a foundation for selecting two contrasting GCMs, we simulated future workability and
 281 attainable yield in a test case in SE and C Norway in all three GHG emissions scenarios
 282 combined with the available six GCMs. For this test case, we selected a workability threshold
 283 of 85 vol % FC, described as realistic by Riley (2016). Furthermore, we selected the most
 284 widespread soil group in the regions in question (Greve et al., 2000), which was also the least

285 workable soil group (Riley, 2016), a common farm size (NIBIO, 2018) and working capacity
 286 common for a farm of this size (Table 2).

287

288 2.2.3 Selection of GHG emissions scenarios and GCMs

289 In order to find two GCMs with contrasting impact on future spring workability (March –
 290 June), we defined and calculated several indices for workability and attainable yield (Table 3)
 291 for each of the 18 climate scenarios (Table 2) and compared them, as averages of each 300
 292 years simulation. Because workability of a given soil is largely depending on soil water
 293 content and changing day-to day weather conditions (Earl, 1997), our indices not only
 294 describe the number of workable days in spring, but also their earliness and cohesion, and
 295 multiple combinations of these. As indices for attainable yield in our test case, we obtained
 296 number of years with incomplete spring work and average attainable yield per simulation. The
 297 latter includes relative attainable yield of the completed part of the farm in years with
 298 incomplete spring work.

299

300 Table 3: Definition of indices for workability and attainable yield used for selection of global
 301 climate models.

Index	Definition	Impact on window of opportunity	n
Length	Mean duration of workable spells per growing season = mean number of successive workable days	Smaller = less cohesive	300
Within10	Number of workable days within 10 days after 1 st workable day	Smaller = later and less cohesive	300
FirstDay	Julian day of 1 st workable day	Larger = later	300
First3Days	Mean Julian day of 1 st three successive workable days	Smaller = later and less cohesive	300 – years with <3 days
ΔFirst-10thDay	Julian day difference between 10th and 1st workable day	Larger = less cohesive	300 - NoDay10
NoDay10	Number of years with less than 10 workable days by the end of June	Larger = higher risk of few days	-
NoDays	Number of years with no workable days within March to June	Larger = higher risk of no days	-
Incomplete	Number of years with incomplete spring work in the selected test case *	Larger = higher risk of too few days	-
AttYield	Relative attainable yield in the selected test case *	-	300

302 * Selected test case: farm size of 45 ha, working capacity of 4.5 ha d⁻¹

303

304 Based on the described indices (Table 3), we ranked the GCMs in each GHG emissions
305 scenario according to their impact on the number, earliness and cohesion of workable days.
306 The larger the number of indices with most favourable impact, compared to other GCMs in
307 the same GHG emissions scenario, the higher the rank of a given GCM. The larger the
308 number of indices with least favourable impact, the lower the rank. In order to represent a
309 wide range of uncertainty within available climate projections, as recommended by Knutti
310 (2010), we selected the GCMs most frequently ranked as the GCMs with best or worst impact
311 on workability within the 3 GHG emissions scenarios and 2 regions. For further simulations
312 of workability, attainable yield, timeliness costs and total costs, under a wider range of
313 farming conditions, these GCMs (IPCM4 best and NCCCSM worst) were combined with
314 GHG emissions scenarios SRA2 and SRB1 as two extremes in ICCP4, with contrasting global
315 GHG emissions (Nakicenovic and Swart, 2000).

316

317 *2.2.4 Simulation settings - wider range historical & future*

318 For simulation of workability and attainable yield under a wider range of farming conditions,
319 we extended the number of simulations, including all soil groups, and a range of combinations
320 of selected farm sizes with their integer multiples of working capacities, as listed in Table 2.

321

322 **2.3 Description of the mechanization model**

323 We simulated timeliness costs, machinery costs and total costs, in Norwegian kroner per
324 hectare (NOK ha⁻¹), with the mechanization model described by Mangerud et al. (2017). The
325 model calculates total costs as the sum of timeliness costs and machinery costs, based on farm
326 management details and loss of attainable yield obtained from the output of the workability
327 model (Figure 1). By comparing total costs of different mechanization, the model can be used
328 as a decision tool to select least-cost mechanization and optimize profitability. In the

329 mechanization model, working capacity (ha d^{-1}) is calculated, depending on daily available
330 working hours for operation, implement width, operation speed, suitable tractor size and field
331 shape. Working capacity, the net working capacity of machinery in the field, is based on the
332 Danish model Drift 2004 (DJF, 2004) with an adjustment for less favourable Norwegian
333 conditions in terms of topography, i.e. field shapes and sizes (Mangerud et al., 2017).
334 Calculation of timeliness costs is based on farm size, soil type and the calculated working
335 capacity. Total costs are calculated depending on depreciation, interest, fuel costs, manpower
336 costs, cereal price, farm size and timeliness costs. The mechanization model, which is
337 available at [https://www.nibio.no/tjenester/maskinkostnader-og-laglighetskostnader-i-](https://www.nibio.no/tjenester/maskinkostnader-og-laglighetskostnader-i-varonna)
338 [varonna](https://www.nibio.no/tjenester/maskinkostnader-og-laglighetskostnader-i-varonna), can also be used for simulations with farm-specific settings.

339

340 *2.3.1 Regression equation input*

341 For use in the mechanization model, we conducted region-wise regression analyses of
342 attainable yield output from the workability model. We obtained one regression equation for
343 each region and climate scenario, equivalent to regression equations in Riley (2016, table 4.9,
344 page 44), each based on 137-197 simulations (Table A2). For each regression analysis, we
345 included simulation combinations of working capacity and farm size with up to 10 % years
346 with incomplete spring work, due to low working capacity at a given farm size. In cases of
347 incomplete spring work, the attainable yield of the completed part of the farm was used. The
348 predefined maximum limit of 10 % of years with incomplete spring fieldwork led to
349 differences in numbers of simulations included per region and climate scenario (Table A2).

350

351 *2.3.2 Simulation settings - farm management*

352 In order to assess the economic consequences of loss of attainable yield, we simulated
353 timeliness costs for three different combinations of working capacity and farm size on the two

354 Table 4: Description of machinery sets and purchase prices used in simulations of machinery
 355 costs and total costs.

	Operating speed (m s ⁻¹)	Size ^a			Price (NOK)		
		Small	Medium	Large	Small	Medium	Large
Tractor	-	60	119	179	457 297	934 273	1 411 249
Seedbed harrow	2.4	4.5	7	9	117 876	214 426	291 666
Seed drill	1.7	3	6	9	382 465	887 845	1 393 225
Roller	1.7	5	9	10.5	89 652	189 860	227 438
Machinery set – 1 tractor	-	3.4-3.5	5.1-5.3	5.5-5.8	1 047 290	2 226 404	3 323 578
Machinery set – 2 tractors	-	6.8-7.0	10.2-10.6	11.0-11.6	1 504 587	3 160 377	4 734 827

356 ^a Size in terms of tractor effect in kW (Tractor), implement width in m (Seedbed harrow, seed drill, roller) or
 357 working capacity of machinery set in ha d⁻¹ (Machinery sets), the latter is increasing with increasing farm size
 358 (40-180 ha), due to adjustment for increasing effectiveness in the calculation by the mechanization model.
 359

360 most abundant soil types (Table 1) in these regions, for Baseline climate and four climate
 361 scenarios in SE and C Norway (Table 2). The choice of farm sizes combined with working
 362 capacities was based on the maximum farm size simulated on clay/silt in C Norway resulting
 363 from the predefined limit of maximum 10% of years with incomplete spring fieldwork.
 364 Furthermore, as an example of how simulated attainable yield may influence long-term farm
 365 mechanization management in the future, we simulated machinery costs and total costs for
 366 Baseline and worst-case future climate scenario, both regions, the same soil types, a similar
 367 range of working capacities (Table 2) and the following farm management assumptions.

- 368 • Maximum attainable yield: 7000 kg ha⁻¹ (SE Norway), 5950 kg ha⁻¹ (C Norway)
 369 (Riley, 2016)
- 370 • Cereal price: 2.54 NOK (Mangerud et al., 2017)
- 371 • Working hours per day: 8 (Mangerud et al., 2017)
- 372 • Interest rate: 4 % (Mangerud et al., 2017)
- 373 • Fuel price: 10 NOK l⁻¹ (Mangerud et al., 2017)
- 374 • Opportunity costs of labour: 260 NOK h⁻¹ (Mangerud et al., 2017)
- 375 • Use of tractor beyond cereal production: 50 h year⁻¹ (Mangerud et al., 2017)

- 376 • Six different machinery sets: 1 or 2 of either small, medium or large tractors with
377 corresponding implement (Table 4) (Mangerud et al., 2017)

378 Based on parameters and prices of the different machinery sets, the mechanization model also
379 calculates machinery costs (Figure A2). The machinery costs are increasing with machinery
380 size (small-medium-large, one-two tractors) and decreasing with farm size.

381

382 **2.4 Statistical analyses of model outputs, and graphics**

383 Statistical analyses were conducted with linear models in stats package in R (R Core Team,
384 2015), unless otherwise specified.

385 In order to express the output from the workability model, loss of attainable yield, in
386 regression equations and use them as input to the mechanization model, we built mixed
387 models with the following model terms. Separately for each region and climate scenario, loss
388 of attainable yield was explained by soil type (as integer, because required by mechanization
389 model), farm size, working capacity, their interactions and their second order terms. Stepwise
390 model selection (forward, backward, both) based on Akaike's information criterion (AIC)
391 (Akaike, 1973) resulted in the same best model structure as in Riley (2016) (Table A2).

392 In order to assess the relative importance of region, GCM and GHG emissions scenario, we
393 also conducted an ANOVA analysis for the collective future attainable yield (transformed to
394 \sqrt{y}^{-1}) and its inter-annual standard deviation (SD) (transformed to $\ln(y)$). Stepwise model
395 selection (forward, backward, both) based on AIC resulted in almost the same model structure
396 as in Riley (2016), minus interaction soiltype:capacity:farmsize in loss of attainable yield,
397 plus region, GHG emissions scenario and GCM and their interactions in both responses. Post
398 hoc tests (Tukey's HSD) were conducted with lsmeans package (Lenth, 2016). Afterwards,
399 lsmeans values were back-transformed for graphical presentation.

400 In order to compare future attainable yield to Baseline attainable yield, we conducted
401 ANOVA analysis on Baseline loss of attainable yield (transformed to \sqrt{y}^{-1}) and its inter-
402 annual SD with soil type as factor, followed by stepwise model selection and post hoc test as
403 previously described.

404 Plots were created in ggplot2 (Wickham, 2009), grid and gridExtra (Auguie and Antonov,
405 2016) packages.

406

407 **3 Results**

408

409 **3.1 Climate change**

410 In general, with the selected climate scenarios, we project a higher temperature, more
411 precipitation and a larger variability in temperature and precipitation in early spring compared
412 to Baseline climate (Table A3). A higher temperature is projected in all future climate
413 scenarios and both regions. Temperature variability is projected to increase in March in SE
414 Norway, whilst it is consistent in C Norway. The output from the weather generator also
415 shows more precipitation in March in the future, except in climate scenario IPCM4/SRA2 in
416 SE Norway. We found larger future variability in precipitation in C Norway, but inconsistent
417 changes in SE Norway (4 larger and 4 smaller out of 8 climate scenarios). In all future climate
418 scenarios and both regions, we found less snow in early spring and less global radiation in
419 March. Potential evaporation in March was smaller in NCCCSM compared to Baseline in
420 both regions.

421

422 **3.2 Workability**

423 Based on the projected climate changes, we simulated improved workability for spring
424 fieldwork in early spring in SE Norway and either positive or negative changes in C Norway

425

426 Table 5: Indices for soil workability and yield potential based on historical climate (Baseline), and selected combinations of future (2046-2065)
 427 greenhouse gas emissions scenarios (SRB1, SRA2) and global climate models (IPCM4, NCCCSM) on clay/silt in South-eastern (SE) and Central
 428 (C) Norway, with workability threshold of 85% field capacity (pF2, -10 kPa), mean and standard deviation (SD) of 300 years. Fonts indicate
 429 workability change compared to baseline (at level of presented digits): *italic* = positive, **bold** = negative.

	SE Norway					C Norway				
	Baseline	SRB1		SRA2		Baseline	SRB1		SRA2	
	Mean	IPCM4 Mean	NCCCSM Mean	IPCM4 Mean	NCCCSM Mean	Mean	IPCM4 Mean	NCCCSM Mean	IPCM4 Mean	NCCCSM Mean
Number of workable days per year										
March - June	43 (12)	<i>51</i> (12)	43 (14)	<i>51</i> (13)	<i>45</i> (13)	35 (13)	<i>43</i> (<i>12</i>)	30 (14)	<i>40</i> (<i>12</i>)	34 (13)
March	0.0 (0.0)	<i>0.1</i> (0.6)	0.0 (0.0)	<i>0.1</i> (0.6)	0.0 (0.2)	0.0 (0.0)	0.0 (0.2)	0.0 (0.1)	0.0 (0.3)	0.0 (0.1)
April	5 (5)	<i>10</i> (7)	8 (7)	<i>11</i> (8)	7 (7)	2 (4)	<i>4</i> (6)	2 (4)	<i>4</i> (5)	2 (4)
May	18 (8)	<i>20</i> (7)	17 (8)	<i>19</i> (7)	17 (7)	15 (8)	<i>19</i> (7)	13 (8)	<i>17</i> (7)	14 (8)
June	21 (5)	21 (5)	18 (6)	20 (5)	21 (5)	18 (7)	<i>20</i> (6)	14 (8)	<i>20</i> (6)	18 (7)
Length *	6 (3)	7 (3)	6 (3)	6 (3)	6 (3)	6 (3)	6 (2)	5 (3)	6 (2)	5 (2)
Within10 *	7 (3)	8 (3)	7 (3)	7 (3)	7 (3)	7 (3)	7 (3)	6 (3)	7 (3)	6 (3)
Julian day number										
FirstDay *	117 (9)	<i>106</i> (11)	<i>110</i> (10)	<i>104</i> (11)	<i>112</i> (12)	123 (13)	<i>117</i> (<i>12</i>)	123 (15)	<i>118</i> (13)	124 (14)
First3Days *	122 (13)	<i>110</i> (<i>12</i>)	<i>116</i> (13)	<i>109</i> (<i>12</i>)	<i>118</i> (15)	130 (16)	<i>122</i> (<i>14</i>)	131 (21)	<i>124</i> (<i>15</i>)	131 (17)
ΔFirst-10 th Day	17 (10)	<i>16</i> (9)	17 (11)	<i>16</i> (9)	17 (11)	19 (13)	<i>16</i> (9)	20 (14)	<i>18</i> (<i>11</i>)	19 (<i>11</i>)
Number of years out of 300 simulated years										
NoDay10 *	1 (-)	0 (-)	1 (-)	0 (-)	0 (-)	7 (-)	1 (-)	21 (-)	1 (-)	10 (-)
NoDays *	0 (-)	0 (-)	0 (-)	0 (-)	0 (-)	0 (-)	0 (-)	2 (-)	0 (-)	0 (-)
Incomplete *	4 (-)	0 (-)	1 (-)	0 (-)	2 (-)	6 (-)	0 (-)	16 (-)	1 (-)	7 (-)
Relative attainable yield (%)										
AttYield *	84 (12)	<i>91</i> (6)	89 (8)	<i>91</i> (6)	<i>86</i> (<i>11</i>)	81 (13)	<i>86</i> (9)	78 (19)	<i>84</i> (<i>11</i>)	78 (16)

430 * Explanations in Table 3.

431

432 compared to historical conditions (Table 5). The number of workable days in the entire spring
433 fieldwork period (March-June) was larger and more variable in the future scenarios in SE
434 Norway. In C Norway, the number of workable days was larger and less variable in IPCM4,
435 but smaller and more variable in NCCCSM, compared to Baseline. In the same manner, the
436 variability in number of workable days in March and for IPCM4 in April was larger in C
437 Norway.

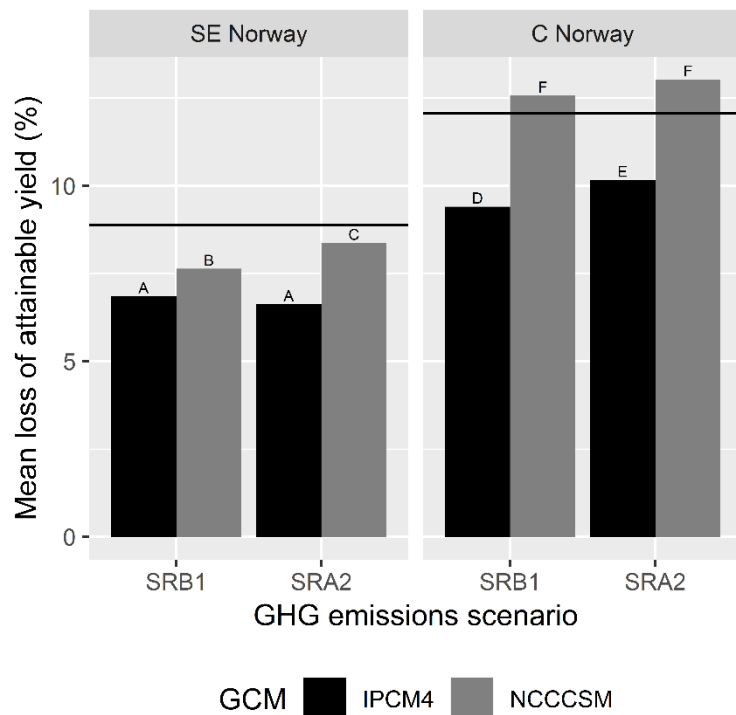
438 The duration of workable spells was shorter in all future climate scenarios compared to
439 Baseline, except in the SRB1/IPCM4 climate scenario. On average, the first workable day
440 was earlier and more variable in the future in SE Norway. In C Norway, it was earlier
441 (IPCM4) or later (NCCCSM) and more variable, except in the SRB1/IPCM4 climate scenario.
442 Combined measures of earliness and cohesion (Within10, First3Days, Δ First-10thDay)
443 improved in SE Norway, except more variability in SRA2. In C Norway, they improved in
444 IPCM4, but worsened in NCCCSM. Fewer years were extremely negative for workability
445 (NoDay10, NoDays, Incomplete) in all climate scenarios in SE Norway and in IPCM4 in C
446 Norway, whilst there was an increase in extremely negative years in NCCCSM in C Norway.

447

448 **3.3 Attainable yield**

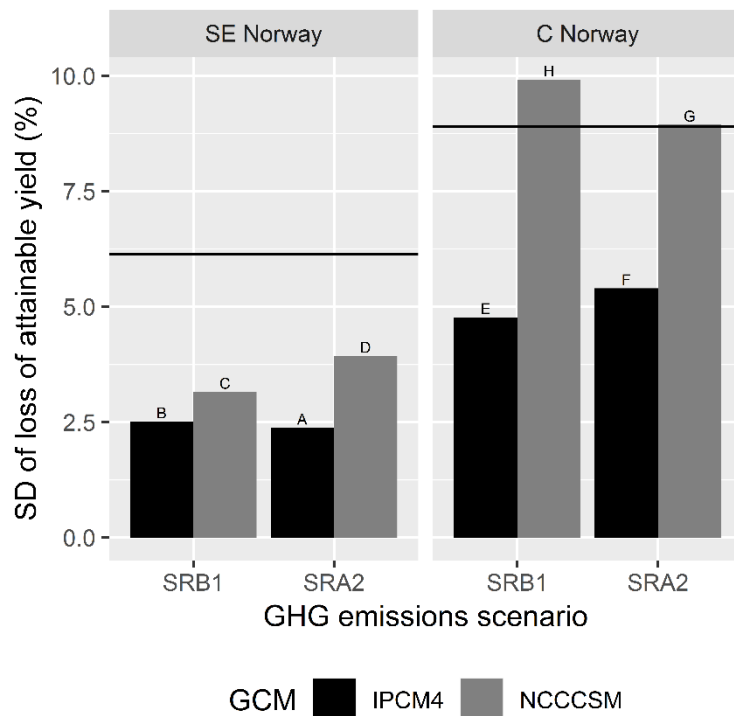
449 In general, the analysis of the combined data of all future loss of attainable yield revealed
450 importance of factors in increasing order: GHG emissions scenario, GCM, region (Figure 2).
451 This ranking was based on back transformed lsmeans-values and contrast p-values. There was
452 no significant difference between losses of attainable yield in different GHG emissions
453 scenarios in IPCM4 in SE Norway, neither in NCCCSM in C Norway. Furthermore, there
454 was a larger difference between losses in different GCMs in SRA2 than in SRB1 in SE
455 Norway, and a larger difference between losses in different GCMs in SRB1 than in SRA2 in

456 C Norway. For all interactions, losses were smaller in SE than in C Norway, smaller in SRB1
 457 than in SRA2 (except in IPCM4 in SE Norway), and smaller in IPCM4 than in NCCCSM.
 458



459 Figure 2: Interaction effect of region (SE, C Norway), greenhouse gas emissions scenario
 460 (SRB1, SRA2) and global climate model (IPCM4, NCCCSM) on loss of attainable yield (%)
 461 in South-eastern (SE) and Central (C) Norway; represents mean of 300 simulated years for the
 462 period of 2046-2065, averaged over soil types, farm sizes and working capacities (Table 2);
 463 back-transformed lsmeans values; horizontal lines indicating Baseline loss of attainable yield;
 464 different letters indicating significant difference in Tukey comparison.
 465
 466

467 As for loss of attainable yield, analysis of its inter-annual variability (SD) led to a ranking of
 468 factors with importance increasing with order: GHG emissions scenarios, GCMs, regions
 469 (Figure 3). Under the assumed conditions, we found a larger difference between SD of losses
 470 in different GCMs in C than in SE Norway, and a larger difference between SD of losses in
 471 different GCMs in SRA2 than in SRB1 in SE Norway, whilst we found a smaller difference in
 472 C Norway. For all interactions, SD was smaller in SE than in C Norway, there was no
 473 difference in SD between SRB1 and SRA2 in C Norway, and there was a smaller SD in
 474 IPCM4 than in NCCCSM.

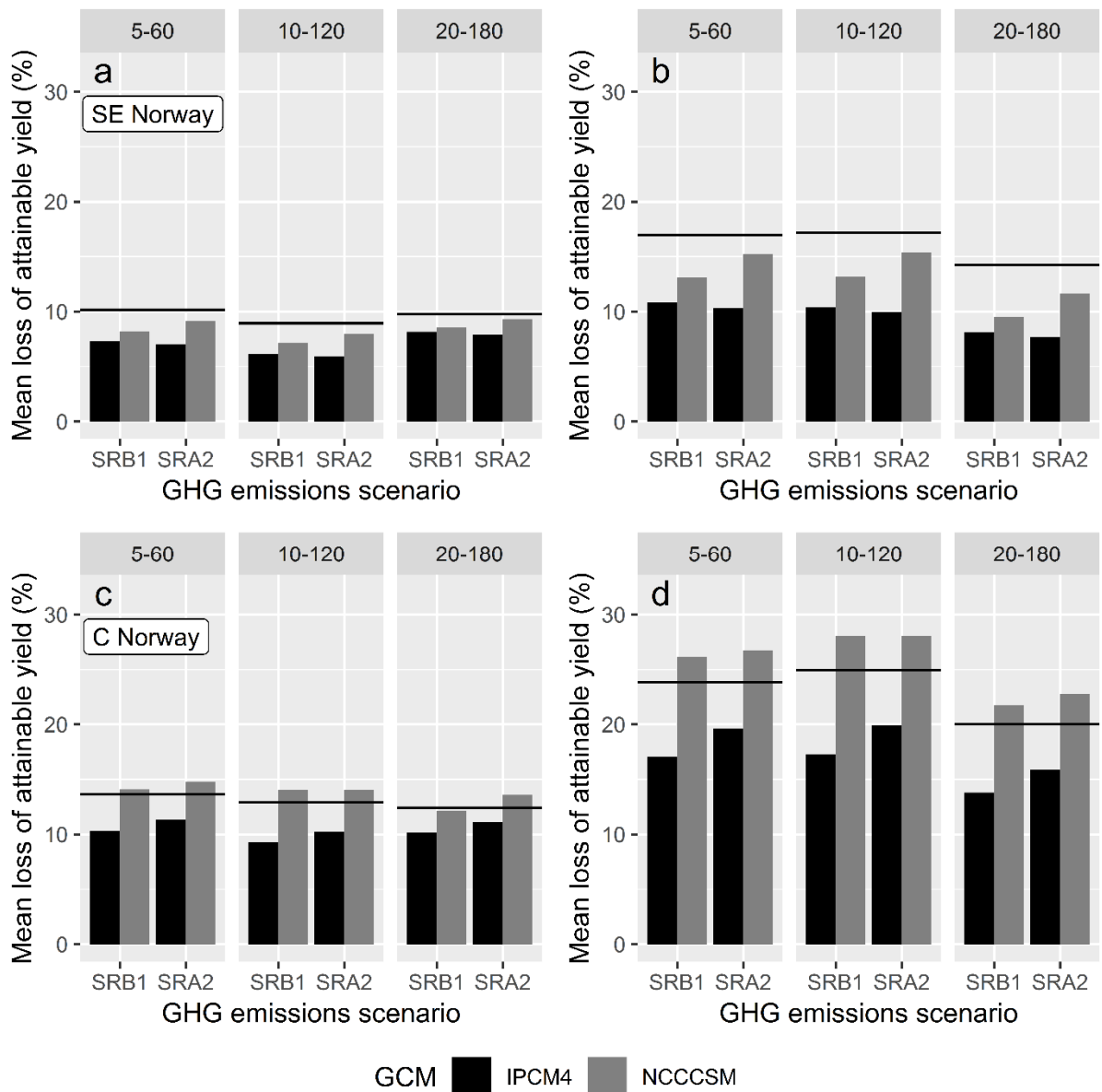


476
 477 Figure 3: Interaction effect of region (SE, C Norway), greenhouse gas emissions scenario
 478 (SRB1, SRA2) and global climate model (IPCM4, NCCCSM) on standard deviation (SD) of
 479 loss of attainable yield (%) in South-eastern (SE) and Central (C) Norway; represents
 480 variability within 300 simulated years for the period of 2046-2065, averaged over soil types,
 481 farm sizes and working capacities (Table 2); back-transformed lsmeans values; horizontal
 482 lines indicating Baseline SD of loss of attainable yield; different letters indicating significant
 483 difference in Tukey comparison.
 484

485 When balanced combinations of working capacity and farm size were selected, there were
 486 relatively small differences in loss of attainable yield between those combinations of working
 487 capacity and farm size than between GCMs, regions or soil types, except on clay/silt in C
 488 Norway (Figure 4).

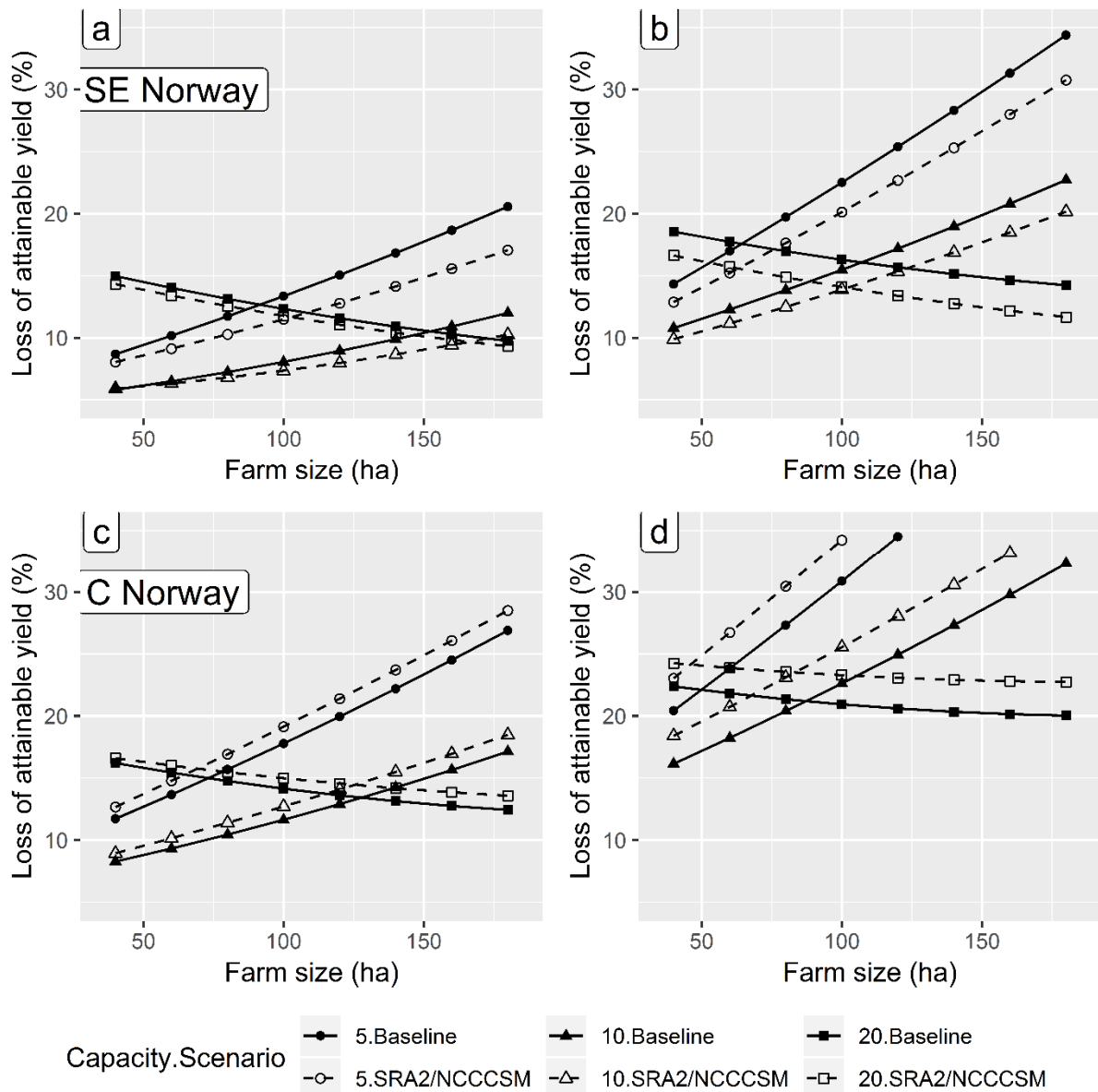
489
 490 In SE Norway, loss of attainable yield in worst-case future climate scenario was smaller than
 491 in Baseline climate conditions, whilst the opposite was the case for C Norway (Figure 5).

492 Loss of attainable yield is increasing with increasing farm size for capacities of 5 and 10 ha
 493 per day, whilst they are decreasing for a working capacity of 20 ha.



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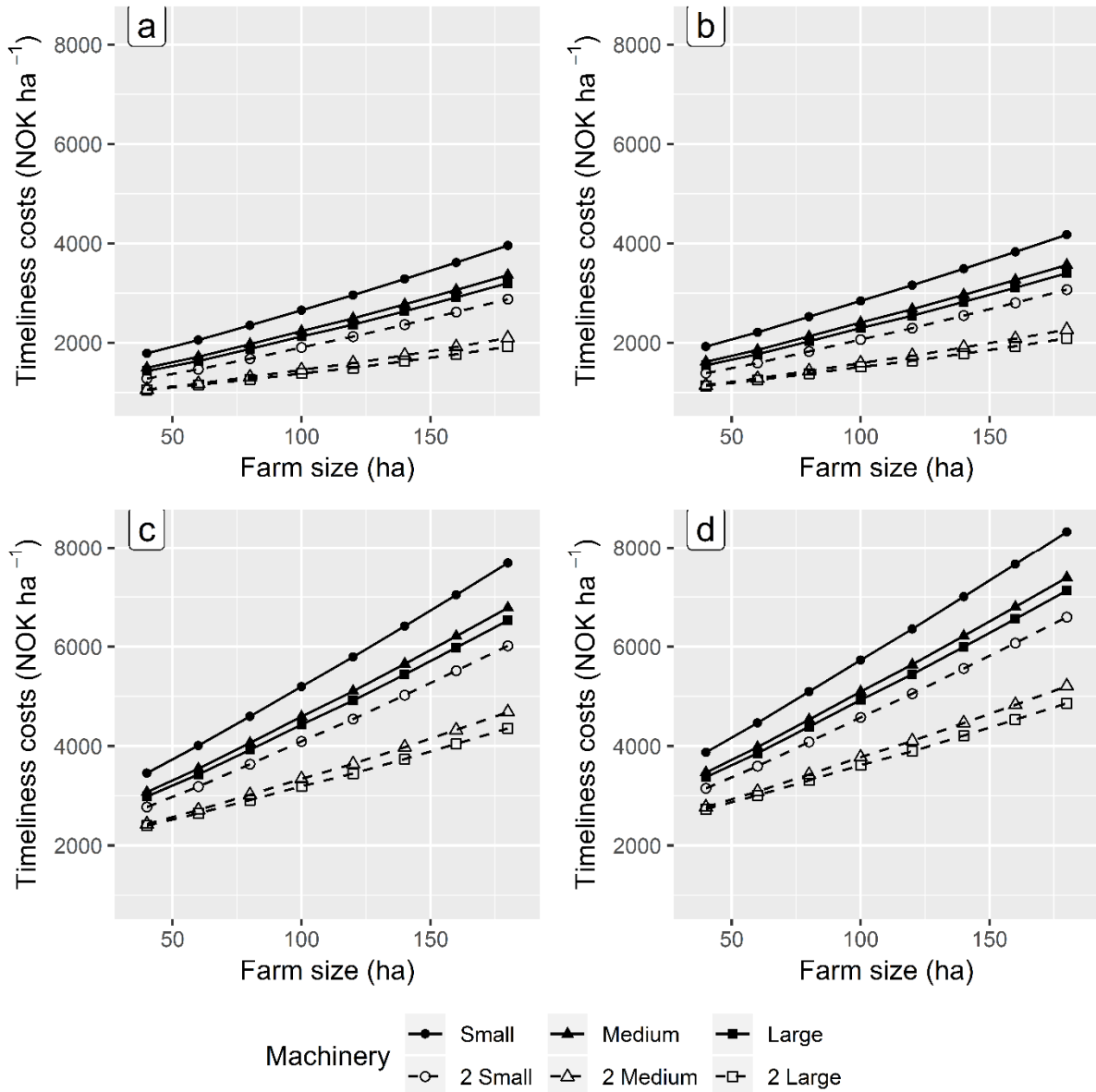
Figure 4: Predicted loss of attainable yield for three different examples of working capacity & farm size (5 ha d⁻¹ & 60 ha, 10 ha d⁻¹ & 120 ha, 20 ha d⁻¹ & 180 ha) on loamy sand (a, c) and clay/silt (b, d) in different greenhouse gas emissions scenarios (SRB1, SRA2) and global climate models (IPCM4, NCCCSM) for the period of 2046-2065 in South-eastern (SE) and Central (C) Norway, horizontal lines indicating Baseline predictions.



502
 503 Figure 5: Predicted loss of attainable yield for different working capacities (5, 10, and 20 ha d⁻¹) with increasing farm size for historical (Baseline) and worst-case future (2046-2065)
 504 climate (greenhouse gas emissions scenario SRA2/ global climate model NCCCSM) on
 505 loamy sand (a, c) and clay/silt (b, d) in South-eastern (SE) and Central (C) Norway.
 506
 507

508 3.4 Farm mechanization management

509 With the predefined maximum limit of 10 % years with incomplete spring fieldwork in
 510 simulations of attainable yield, we observed varying maximum farm size that could be
 511 included in simulations of a given working capacity. In SE Norway, the maximum simulated
 512 farm size increased under future climate scenarios compared to Baseline for all soil types and



513
 514 Figure 6: Simulated timeliness costs depending on farm size and machinery sets of 1 or 2
 515 small, medium or large tractors and corresponding implement for Baseline (a, c) and worst-
 516 case future (2046-2065) climate SRA2/NCCCSM (b, d) on loamy sand (a, b) and clay/silt (c,
 517 d) in Central Norway.
 518

519 all working capacities. In C Norway, it increased under IPCM, but decreased under
 520 NCCCSM, the latter more strongly and up to larger capacities under SRB1 GHG emissions
 521 scenario than under SRB2 GHG emissions scenario (data not shown). The varying maximum
 522 simulated farm size caused a varying number of simulations included (Table A2).

523

524

525 *3.4.1 Timeliness costs*

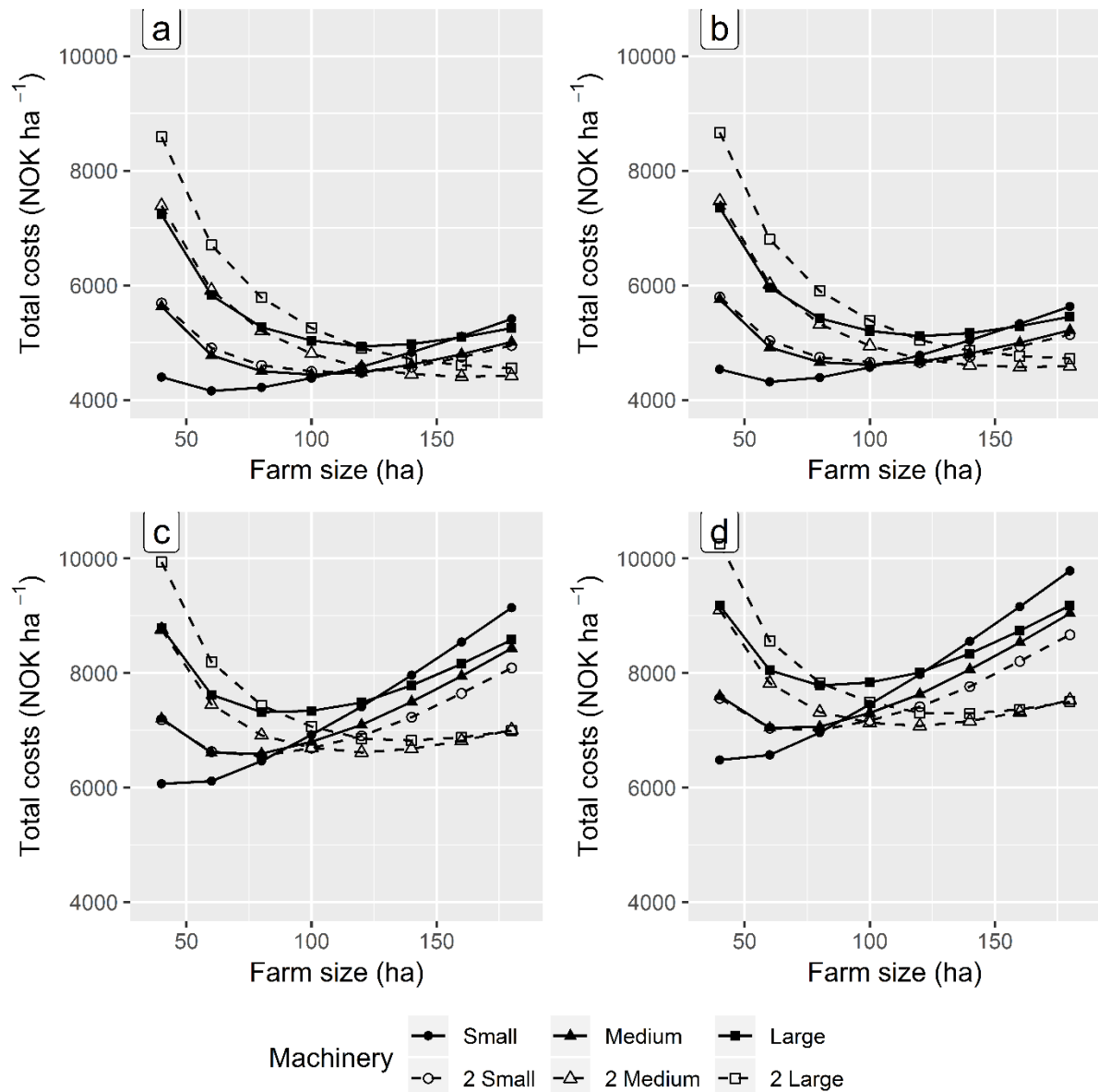
526 In addition to region, timeliness costs were strongly influenced by climate scenario, soil type,
527 farm size and working capacities (Figure 6). They increased with increasing farm size and
528 decreased with increasing machinery size. On lighter soils, timeliness costs were smaller than
529 on heavier soils. In C Norway, they were larger than in SE Norway. In SE Norway, timeliness
530 costs were smaller for worst-case future climate scenario (SRA2/NCCCSM) than for Baseline
531 (data not shown). In C Norway, they were larger for the worst-case scenario than for Baseline
532 (Figure 6).

533

534 *3.4.2 Total costs*

535 Generally, total costs increased with increasing farm size for smaller machinery sets, whilst
536 the opposite was the case for larger machinery sets (Figure 7).
537 Furthermore, total costs were smaller for lighter soil than for heavier soil, and smaller for SE
538 than for C Norway. In SE Norway, total costs were slightly smaller for worst-case future
539 climate scenario (SRA2/NCCCSM) than for Baseline (data not shown). Machinery set
540 “Small” was the optimum machinery set (least total costs) from 40 ha up to slightly larger
541 farm size in worst-case future climate than for Baseline. Machinery set “2 Medium” was
542 optimum for larger farm size up to 180 ha. In C Norway, total costs were larger in worst-case
543 future climate scenario than in Baseline (Figure 7). Machinery set “Small” was optimum from
544 40 ha up to slightly smaller farm size in worst-case future climate than for Baseline.
545 Machinery set “2 Medium” was optimum for larger farm size up to 180 ha.

546



547
 548 Figure 7: Simulated total costs depending on farm size and machinery sets of 1 or 2 small,
 549 medium or large tractors and corresponding implement for Baseline (a, c) and worst-case
 550 future (2046-2065) climate SRA2/NCCCSM (b, d) on loamy sand (a, b) and clay/silt (c, d) in
 551 C Norway.
 552

553 **4 Discussion**

554 **4.1. Climate change**

555 Our simulated climate change in the near future in Norway (Table A3) fits in very well with
 556 what has been used in previous studies of climate change impact on cereal production. The
 557 increase in temperature and precipitation is in line with Trnka et al. (2011), Persson and

558 Kværnø (2017), Persson et al. (2015), Persson and Höglind (2014), and Finnish studies
559 (Rötter et al., 2013, 2012, 2011).

560 Warmer conditions in spring would mean an earlier onset of the thermal growing
561 season (Peltonen-Sainio et al., 2009b), but an increase in precipitation in early spring, or
562 interaction between precipitation and other climate factors, may prohibit earlier spring
563 fieldwork and sowing, due to workability restrictions (van Oort et al., 2012a, b). Therefore,
564 we need to distinguish between the thermal growing season, as the growing period for wild
565 and perennial plants, only limited by temperature (Carter, 1998; Walther and Linderholm,
566 2006), and the crop growing season, during which annual crops can be cultivated. That means
567 also to differentiate between the phenological adaptation of wild plants to climate change, in
568 terms of earlier onset of spring growth, and changes in management practices for annual crops
569 by farmers (Menzel et al., 2006). Feasibility of management practices may vary strongly
570 between and within regions due to variability in present and future climate and soil type.

571

572 **4.2. Workability**

573 The improved future workability in SE Norway, and in some climate scenarios also for C
574 Norway, is in line with Trnka et al. (2011), who simulated an increase in number of suitable
575 days for sowing in March and April for the same climatic region (NEM was represented by
576 Ås/ Norway, and Ultuna/ Sweden).

577 Our impaired workability in the worst-case climate scenarios in C Norway is in line
578 with the discussion by Falloon and Betts (2010) and with a simulated decrease in workable
579 days in Eitzinger et al. (2013) in some parts of C/ SE Europe. The decrease in the number of
580 workable days in scenario SRB1/NCCCSM is similar to what was found by Tomasek et al.
581 (2017) for Illinois, USA, under A2 GHG emissions scenario.

582 A possible explanation for the discrepancy between fewer workable days found in our
583 study and the increase in number of workable days found by Trnka et al. (2011) for C Norway
584 may have been the workability threshold of 70 % available water capacity (AWC) or the
585 depth of 0-10 cm in the latter study. A given percentage of AWC corresponds to a higher
586 volumetric water content than the same percentage of FC, but the difference is highly
587 dependent on soil type, i.e. the amount of non-available water in the soil, making a direct
588 comparison of the workability thresholds in the two studies difficult. In the same manner,
589 applying the workability threshold to a smaller depth corresponds to a higher water content,
590 but cannot be compared directly to our workability threshold at a depth of 0-20 cm.

591 Even though the most common reference to express workability is FC (Rounsevell,
592 1993), the matric potential for laboratory measurements that is associated with FC differs
593 between countries (Nemes et al., 2011), and is often not specified. The lacking specifications
594 of FC further complicate comparisons between studies, like comparisons with Rounsevell
595 (1993) and Cooper et al. (1997) in Trnka et al. (2011) and Eitzinger et al. (2013).

596 In addition, Trnka et al. (2011) selected GCMs to represent the full range of a larger
597 ensemble of GCMs based on their projected temperature and precipitation. Nevertheless, a
598 selection of GCMs to represent the full range of projected temperature, precipitation or yield
599 potential does not necessarily represent the full range of workability, or any given (agro-
600 climatic) index. In our study, we recognized that GCMs with low precipitation and high
601 temperature not necessarily were those that were most favourable in terms of workability
602 (Data not shown) out of the climate scenarios explored in our test case (Table 2). In general,
603 we observed a tendency of more precipitation to be unfavourable for workability and vice
604 versa, in line with Eitzinger et al. (2013) in C/SE Europe. However, this tendency was not
605 consistent, probably because temperature, global radiation and potential evaporation do
606 interfere with precipitation. In C Norway, the most favourable conditions for future

607 workability was represented by climate scenario SRB1/IPCM4, which gave neither the lowest
608 precipitation in March nor the highest temperature compared to other combinations of GCMs
609 within the same GHG emissions scenario. Thus, one needs to consider that a given index may
610 be influenced by interactions between different weather variables or between weather and
611 agricultural management. Thus, ideally, individual selections should be made for individual
612 indices.

613 The larger inter-annual variability in number and earliness of workable days in early
614 spring in most of our future climate scenarios, and the large increase in frequency of
615 extremely unfavourable years, in terms of workability, in the worst-case climate scenarios are
616 in contrast to Trnka et al. (2011), who reported no future change in inter-annual variability in
617 number of sowing days in spring in Norway. However, our results are in line with the
618 generally reported increase in future climate variability (Field et al., 2012).

619

620 **4.3. Attainable yield**

621 **4.3.1. Mean attainable yield**

622 Our attainable yield results should not be directly compared with results from process-based
623 models, which include a wide range of factors contributing to yield formation throughout the
624 crop growing season, but often simplify or neglect impact of spring fieldwork conditions.
625 Riley's (2016) empirical-statistical model, used here, considers loss of (timeliness-limited)
626 attainable yield, whilst other potential yield loss factors are ignored (additional factors we did
627 not consider are listed in Table A4).

628 It has been discussed that empirical-statistical models cannot reliably predict future
629 conditions outside their calibration range (Rötter et al., 2011). However, Riley's (2016)
630 approach is based on controlled field experiments on different soil types, including those

631 considered in this study, under a wide range of soil water conditions during seedbed
632 preparation in spring.

633 Nonetheless, presented loss of attainable yield cannot be used to predict future loss of
634 attainable yield in Norwegian cereal production. The results presented here are averages of
635 equal distributions of different farm sizes and mechanization for the two most relevant soil
636 types and regions, and, thus, do not represent regional or national distribution of these factors
637 on Norwegian cereal land.

638 Our decreasing loss of attainable yield with increasing working capacity is in line with
639 Smith (1972). In addition, our results show that with very large working capacity, in relation
640 to farm size, spring fieldwork will be completed before optimum sowing date, and a large
641 percentage of the land will be worked before the soil water content reaches optimum (66 vol
642 % FC in Riley, 2016). With increasing farm size, a larger percentage of the land will be closer
643 to optimum during spring fieldwork.

644 Presented loss of attainable yield is based on a balanced relationship between working
645 capacity and farm size. This balance is also revealed by relatively small differences in loss of
646 attainable yield between the selected combinations of working capacity and farm size, in
647 contrast to large differences between GCMs, regions and soil types in Figure 4. It can be
648 discussed whether maximum 10 % years with incomplete spring fieldwork is a good balance,
649 but the important point is that this balance is equal in historical and future simulations. If we
650 used the same number of combinations of farm size and working capacity, for all climate
651 scenarios, simulated future loss of attainable yield would have been even larger than
652 presented, in unfavourable scenarios in C Norway; and SRB1/NCCCSM would probably have
653 generated a larger loss of attainable yield than SRA2/NCCCSM in C Norway.

654 Similarly, a different choice of workability threshold would have generated higher loss
655 of attainable yield in our study. Our choice of workability threshold of 85 vol % FC is in the

656 conservative end of the realistic range (Riley, 2016). Workability threshold at higher soil
657 water content, would lead to earlier sowing and a larger negative effect on loss of attainable
658 yield (Riley, 2016), depending on working capacity.

659

660 **4.3.2. Variability in attainable yield**

661 Earlier papers have discussed that climate variability is closely related to variability in yield
662 potential (Brown and Castellazzi, 2015; Katz and Brown, 1992; Peltonen-Sainio et al., 2010;
663 Porter and Semenov 2005; Semenov and Porter, 1995; Sexton and Harris 2015) and may be
664 even more important in assessments of future yield potential than averages. However, our
665 larger inter-annual variability in loss of attainable yield in SRB1/NCCCSM than in
666 SRA2/NCCCSM in C Norway is unexpected. As SRA2 represents the upper extreme of
667 global GHG emissions in ICCP4 (Nakicenovic and Swart, 2000) and thus the largest climate
668 change, we expected also more variation in attainable yield from SRA2 than from SRB1, in
669 line with the increase in loss of attainable yield. However, the reported changes in variability
670 in loss of attainable yield resembled the pattern of the maximum farm size included in
671 simulations, which resulted from the predefined limit of 10 % of years with incomplete spring
672 fieldwork.

673

674 **4.4. Farm mechanization management**

675 Timeliness costs are decreasing with increasing mechanization, in line with de Toro and
676 Hansson (2004), van Wijk and Buitendijk (1988), and Witney (1983). De Toro and Hansson
677 (2004) also found that total costs are increasing with increasing mechanization, in contrast to
678 our results, which reveal a more complex interaction with farm size.

679 Our results indicate that in SE Norway and under favourable scenarios in C Norway,
680 the farmer could do with slightly smaller working capacity, while slightly larger working

681 capacity would be needed under unfavourable scenarios in C Norway. In the same way,
682 changed maximum farm size simulated can also be interpreted as a change in maximum
683 manageable farm size with a given working capacity and a given attitude towards risk.

684 Only based on attainable yield, the impact of climate change on farm mechanization
685 management would be small, in the studied conditions and regions. However, there are
686 several reasons why this effect should be recognized. With slightly lower total costs in SE
687 Norway and potentially slightly larger total costs in C Norway, the relationship between total
688 costs in SE and C Norway will change. If the difference is large enough or if it continues to
689 develop, one may expect changes in land use, i.e. regional distribution of spring cereal
690 production, in Norway in the future. Agricultural land in C Norway may be regarded as
691 unsuitable for spring cereals in the future.

692 Furthermore, as discussed for workability, the negative effect of climate change on
693 farm management in the worst-case scenarios in C Norway would be more distinct with a less
694 strict workability threshold, which probably is common among farmers and will be even more
695 so in the future.

696

697 **4.5. Uncertainties**

698 Many authors have discussed different sources of uncertainty in climate impact studies
699 (Asseng et al., 2013; Olesen et al., 2007). In our study, uncertainty originates from GHG
700 emissions scenarios, GCMs and different factors in workability and mechanization models.
701 The observed uncertainty in workability is in line with descriptions in Nakicenovic and Swart
702 (2000). Uncertainty in attainable yield in different regions and GCMs is in line with our
703 selection of GCMs based on our test case. These uncertainties are due to different locations'
704 different sensitivity to precipitation and temperature changes, as described in Asseng et al.

705 (2013) and Olesen et al. (2007). In addition, uncertainty varies with soil and management
706 (Asseng et al., 2013).

707 The relative uncertainty in different variables of our study is mostly in line with earlier
708 literature. The least uncertainty seems to originate from GHG emissions scenarios, more from
709 GCMs, even more from regions and the most from soil types. This is in line with uncertainty
710 in simulated workability in Cooper et al. (1997) and uncertainty in simulated cereal yields in
711 Asseng et al. (2013), Olesen et al. (2007), Hoffmann et al. (2016), and Rötter et al. (2012), but
712 in contrast to Skjelvåg (1998), who concluded that there is larger variation in yield potential
713 between climatic regions than between soil types. However, in all of the mentioned cereal
714 yield studies, yield potential refers to yield formation throughout the whole crop growing
715 season.

716 In any case, the purpose of climate impact studies is not to present accurate predictions
717 of future yield outcome, but show potential influence of climate change on different aspects of
718 crop production, in our case the attainable yield.

719

720 **4.6. Implications and applications**

721 *4.6.1 Workability threshold*

722 Our study shows that workability is a potential future constraint to spring fieldwork, sowing
723 date and attainable yield in regions with high soil water content in spring. Whether and how
724 this constraint should be considered in assessment of climate change impact on annual crops,
725 is depending on the purpose of the research.

726 If the focus is on the spring fieldwork period and the purpose is to represent farmers'
727 behaviour, as well as within-farm variation in workability, sowing dates, and its consequences
728 on attainable yield, the workability threshold should be set at relatively high soil water
729 content. The threshold then represents the start, i.e. the wet end, of a realistic sowing period,

730 because, in practice, the farmer does not manage to complete spring fieldwork within one day.
731 In this approach, if one assumes profitability, one accepts some loss of attainable yield due to
732 topsoil compaction during early fieldwork in order to avoid larger losses due to delayed
733 sowing towards the end of the fieldwork period, as summarized in Riley (2016).

734 If the focus is on growing conditions throughout the season and the purpose is to
735 predict mean yield potential based on simplified assumptions about management practices,
736 the workability threshold should be set at relatively low soil water content, but not as low as
737 would be optimum. The threshold then represents the mean sowing date, or economically
738 optimum sowing day, of a realistic sowing period, as if the farmer completed spring fieldwork
739 within one day. In this approach, one still does not totally avoid topsoil compaction, because
740 that would not be feasible in practice and should not be assumed. The consequential loss in
741 attainable yield must be considered in such calculations.

742

743 *4.6.2 Assessments of climate impact on future attainable yield*

744 The two approaches serve different purposes, but neither of them represent the whole picture,
745 therefore they should complement each other. That is why our results should be related to the
746 optimum sowing day approach. In a combination of the two approaches, the outcome of
747 projected future attainable yield may be different. In regions with high soil water content in
748 spring, due to unfavourable climatic or soil type characteristics, sowing dates may be delayed,
749 in spite of a longer thermal growing season. Delayed sowing leads to higher temperatures
750 during early cereal growth stages and may increase the rate of phenological development
751 (Eitzinger et al., 2013). A cascade of shifts throughout the rest of the crop growing season
752 may increase the risk of extremely high temperatures or drought at more critical growth
753 stages, which have been projected or discussed by many studies (Eitzinger et al., 2013;
754 Hakala et al., 2012; Ludwig and Asseng, 2010; Rötter et al., 2013, 2012, 2011; Semenov and

755 Shewry, 2011). That means that in addition to the loss of (timeliness-limited) attainable yield
756 presented in this study, further losses of yield potential may be expected, due to climatic
757 constraints to yield formation throughout the crop growing season and a potentially shorter
758 crop growing season terminated by drought.

759

760 *4.6.3 Further research*

761 For further research, it would be interesting to explore the relative importance of different
762 climate indices for workability, and the relative importance of different workability indices
763 for attainable yield, equivalent to multiple regression analysis of indices in Rötter et al.
764 (2013).

765 It would also be interesting to relate the window of opportunity, as we define it, to the
766 range of soil water content for tillage (Obour et al., 2018), and explore whether results from a
767 water content window can be directly applied to a time window.

768 In order to cover potential adaptation of mechanization to future climate change and its
769 iterative effect on soil compaction, further research may include subsoil compaction and
770 machinery related factors like traffic intensity, wheel track area, wheel load, wheel
771 equipment, tyre inflation pressure, operating speed, traction, slippage, similar to calculations
772 in Lorenz et al. (2016).

773 Even though a combination of the two approaches of (timeliness-limited) attainable
774 yield and (growing season) yield potential may seem unachievable at this point, it might
775 improve future research. A combined approach should consider climate change impact on
776 spring workability, crop growth during the season, and harvest conditions. Considering all of
777 these may result in different future changes in yield potential and profitability than our
778 approach and allow better assessment of the effect of climate change on profitability and
779 adaptations in farm mechanization management. If a combined approach modifies cereal-

780 growing conditions during the crop growing season differently in C and SE Norway, loss of
781 timeliness costs may be modified and either erase or enlarge the discussed regional
782 differences in future distribution of spring cereal production in Norway.

783

784 **4.7. Conclusions**

785 Climate change may have positive or negative effects on spring workability, fieldwork and
786 profitability of spring cereals in Norway, depending on region and climate scenario. We
787 anticipate that negative effects may also be expected in other northern cold-temperate regions
788 with high soil water content in spring, if (timeliness-limited) attainable yield is studied.
789 Furthermore, the partially negative effects on attainable yield in this study indicate that
790 simulations of phenological development during the whole crop growing season need to
791 consider workability and potential timeliness costs, especially in regions that expect an
792 increase in spring precipitation. This would also allow a more realistic assessment of
793 adaptation possibilities to climate change, in order to avoid further loss of attainable yield.

794 Our results also show that workability comprises the number of workable days within
795 a certain time window, as well as the earliness and cohesion of those workable days. With
796 increasing climate variability in the future, the distribution of the workable days will become
797 more important.

798 In need of a certain time window to complete their spring fieldwork, farmers might
799 adapt to impaired spring workability by relaxing their subjective workability threshold and
800 work the soil at higher water content under worst-case conditions. The consequence would be
801 a larger loss of attainable yield and less profitability in the future.

802

803

804

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809

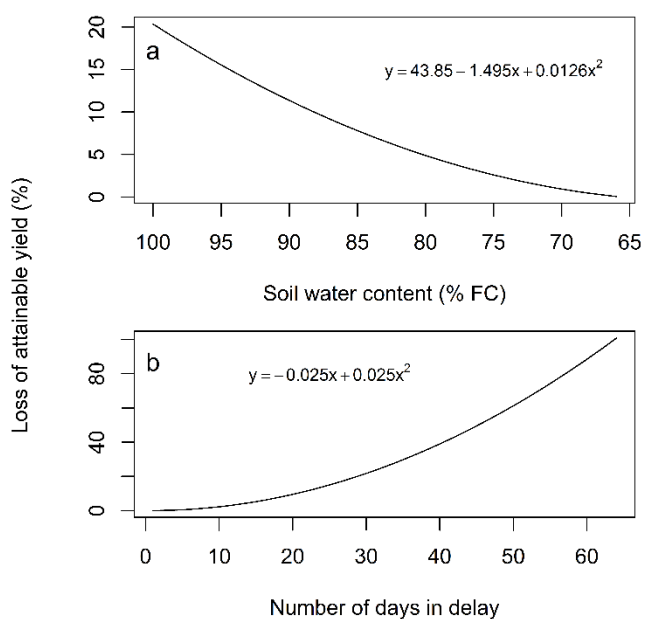
810 **Appendix**

811 Table A1: Maximum amount of precipitation on a given day to be defined workable,
 812 depending on soil type group ^a and number of previous rainy days (precipitation > 1.5 mm).

Soil type ^a	Number of previous rainy days			
	0	1	2	
1	6	5	4	813
2	5	4	3	814
3	4	3	2	815
4	3	2	1	816

820 ^a Description of soil type grouping in Table 1.

821



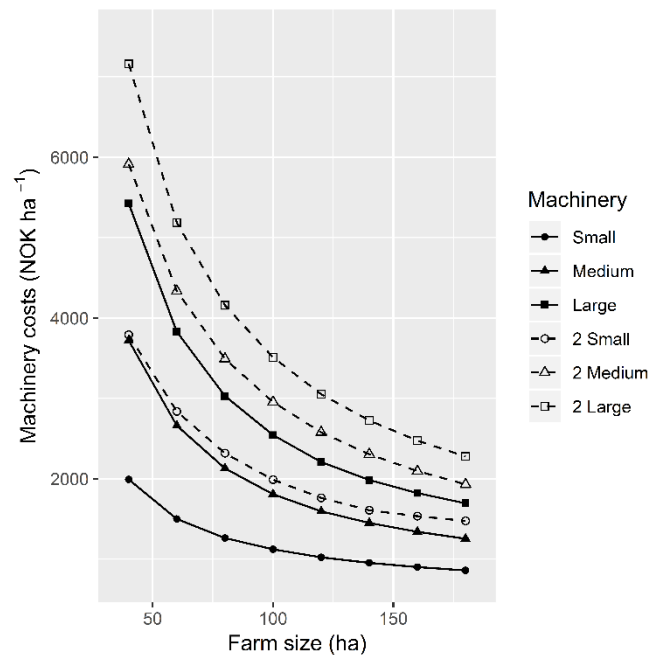
822 Figure A1: Functions for calculation of loss of attainable yield affected by soil water content
 823 in % of field capacity (FC, pF2, -10 kPa) in 0-20 cm soil depth during spring fieldwork (a),
 824 and number of days after optimum sowing date April 20 (b), used in the workability model
 825 (Riley, 2016).
 826
 827

828 Table A2: Regression coefficients of model terms used in the mechanization model to describe attainable yield in Baseline, and selected
829 combinations of future (2046-2065) greenhouse gas emissions scenarios (SRB1, SRA2) and global climate models (IPCM4, NCCCSM), in
830 South-eastern (SE) and Central (C) Norway.

	SE Norway					C Norway				
	Baseline	SRB1		SRA2		Baseline	SRB1		SRA2	
		IPCM4	NCCCSM	IPCM4	NCCCSM		IPCM4	NCCCSM	IPCM4	NCCCSM
Intercept	9.12E+01	9.13E+01	9.11E+01	9.16E+01	9.12E+01	9.12E+01	9.15E+01	9.39E+01	9.12E+01	9.16E+01
Soiltype	-1.66E+00	-3.94E-01	-6.24E-01	-3.57E-01	-1.15E+00	-2.89E+00	-1.70E+00	-3.77E+00	-2.14E+00	-3.61E+00
Capacity	1.73E+00	1.14E+00	1.23E+00	9.89E-01	1.43E+00	1.81E+00	1.45E+00	1.30E+00	1.71E+00	1.90E+00
Farmsize	-3.11E-02	1.33E-02	2.01E-02	2.03E-02	5.54E-03	-3.91E-02	-1.37E-02	-2.43E-02	-1.85E-02	-4.19E-02
(Capacity) ²	-9.92E-02	-7.27E-02	-7.50E-02	-6.43E-02	-8.47E-02	-9.87E-02	-8.28E-02	-7.04E-02	-9.51E-02	-1.01E-01
(Farmsize) ²	-8.58E-05	-9.21E-05	-1.06E-04	-9.10E-05	-8.63E-05	-9.21E-05	-7.05E-05	-8.68E-05	-6.46E-05	-6.61E-05
Soiltype:Farmsize	-3.78E-02	-3.12E-02	-4.09E-02	-3.00E-02	-4.22E-02	-4.71E-02	-3.74E-02	-4.83E-02	-4.39E-02	-5.08E-02
Capacity:Farmsize	4.69E-03	2.07E-03	1.86E-03	1.53E-03	2.47E-03	4.82E-03	3.03E-03	3.57E-03	3.28E-03	4.44E-03
SoilT:Cap:FarmS	1.73E-03	1.67E-03	2.08E-03	1.63E-03	2.10E-03	2.10E-03	1.84E-03	2.12E-03	2.13E-03	2.27E-03
R ² _{adj} *	0.92	0.81	0.88	0.80	0.91	0.96	0.95	0.96	0.94	0.96
ResStError *	1.33	1.35	1.25	1.27	1.33	1.18	1.09	1.00	1.28	1.20
sd *	4.86	3.08	3.59	2.82	4.30	5.86	4.62	5.26	5.34	6.34
DF *	174	188	179	188	179	159	176	128	173	153
n *	183	197	188	197	188	168	185	137	182	162

831 * R²_{adj} = adjusted R-squared; ResStError = residual standard error; sd = standard deviation; DF = degrees of freedom; n = number of simulations à 300 years

832



833
 834 Figure A2: Simulated machinery costs depending on farm size and machinery sets of 1 or 2
 835 small, medium or large tractors and corresponding implement, independent from climate
 836 scenario and region.
 837

838 Table A3: Climate indices based on historical climate (Baseline), and selected combinations of future (2046-2065) greenhouse gas emissions
839 scenarios (SRB1, SRA2) and global climate models (IPCM4, NCCCSM) on clay/silt in South-eastern (SE) and Central (C) Norway, with
840 workability threshold of 85% FC, mean and standard deviation (SD) of 300 years. Fonts indicate favourability of climate change for workability,
841 compared to baseline (at level of presented digits): *italic* = positive, **bold** = negative.

	SE Norway					C Norway				
	Baseline	SRB1		SRA2		Baseline	SRB1		SRA2	
	Mean (SD)	IPCM4 Mean (SD)	NCCCSM Mean (SD)	IPCM4 Mean (SD)	NCCCSM Mean (SD)	Mean (SD)	IPCM4 Mean (SD)	NCCCSM Mean (SD)	IPCM4 Mean (SD)	NCCCSM Mean (SD)
Mean temperature (°C)										
March	-1.0 (0.7)	2.2 (0.8)	1.2 (0.8)	2.4 (0.8)	1.9 (0.7)	0.7 (0.7)	3.8 (0.7)	3.3 (0.7)	3.9 (0.7)	4.1 (0.7)
April	4.0 (0.5)	7.6 (0.5)	5.9 (0.5)	7.7 (0.5)	6.5 (0.5)	4.3 (0.6)	7.7 (0.6)	6.6 (0.6)	7.9 (0.6)	7.2 (0.6)
May	10.2 (0.5)	13.7 (0.5)	11.8 (0.5)	14.1 (0.5)	12.6 (0.5)	9.4 (0.7)	13.3 (0.7)	11.2 (0.7)	13.7 (0.6)	11.8 (0.7)
June	14.5 (0.6)	17.7 (0.6)	15.9 (0.6)	18.3 (0.6)	17.0 (0.6)	12.8 (0.7)	16.5 (0.7)	14.3 (0.7)	17.2 (0.7)	15.3 (0.7)
Precipitation sum (mm)										
March	43.1 (25.6)	44.4 (25.7)	43.5 (26.3)	40.0 (24.7)	48.0 (29.4)	54.5 (26.6)	59.6 (27.0)	57.3 (27.8)	58.7 (29.0)	60.7 (29.8)
April	37.9 (22.5)	42.4 (23.7)	35.7 (20.2)	37.5 (20.4)	39.1 (21.9)	49.9 (21.8)	50.7 (25.6)	50.9 (22.9)	53.5 (24.2)	54.1 (24.7)
May	56.1 (28.7)	57.7 (29.6)	61.3 (34.2)	61.8 (31.0)	59.3 (28.6)	56.8 (29.1)	51.3 (23.8)	59.8 (29.7)	58.3 (28.2)	58.7 (28.3)
June	67.0 (34.3)	71.4 (36.8)	80.9 (41.6)	77.3 (38.5)	68.4 (34.7)	63.8 (29.0)	66.7 (28.6)	75.4 (31.0)	68.9 (30.5)	64.5 (27.0)
Mean snow depth (cm)										
1 March	40.5 (17.2)	6.0 (9.6)	8.4 (11.2)	3.2 (6.3)	6.6 (10.4)	18.7 (17.1)	0.8 (3.0)	1.4 (5.0)	0.7 (2.3)	1.0 (3.9)
15 March	37.4 (17.9)	0.8 (3.5)	2.8 (7.1)	0.4 (2.3)	1.0 (4.3)	9.5 (13.6)	0.2 (1.0)	0.2 (1.1)	0.1 (0.6)	0.1 (0.7)
1 April	16.4 (15.5)	0.0 (0.0)	0.1 (1.2)	0.0 (0.0)	0.0 (0.0)	1.0 (3.4)	0.0 (0.1)	0.0 (0.2)	0.0 (0.0)	0.0 (0.2)
15 April	0.5 (3.8)	0.0 (0.0)	0.0 (0.0)	0.0 (0.0)	0.0 (0.0)	0.1 (0.3)	0.0 (0.1)	0.0 (0.2)	0.0 (0.0)	0.0 (0.2)
Global radiation sum (MJ m ⁻²)										
March	202 (29)	190 (26)	172 (25)	192 (27)	159 (21)	185 (16)	166 (15)	152 (14)	165 (17)	140 (14)
April	343 (37)	346 (36)	315 (35)	347 (38)	295 (32)	291 (22)	285 (22)	255 (19)	279 (22)	242 (19)
May	494 (47)	536 (53)	477 (47)	525 (49)	479 (43)	441 (33)	480 (34)	402 (30)	459 (31)	420 (29)
June	613 (49)	663 (51)	583 (47)	650 (48)	623 (49)	459 (31)	504 (33)	422 (29)	504 (34)	469 (31)
Potential evaporation sum (mm)										
March	26 (4)	28 (4)	24 (4)	29 (4)	23 (3)	26 (3)	26 (3)	23 (2)	25 (3)	21 (2)
April	56 (6)	63 (7)	54 (6)	63 (7)	51 (6)	47 (4)	52 (4)	44 (4)	51 (4)	43 (4)
May	97 (10)	115 (12)	97 (10)	113 (11)	100 (9)	84 (7)	101 (8)	80 (7)	98 (7)	85 (7)
June	134 (11)	154 (13)	131 (11)	153 (12)	143 (12)	96 (7)	114 (8)	91 (7)	115 (9)	103 (8)

842

843 Table A4: Factors we did not consider

Climate change	The latest (5 th) IPCC assessment contains a wider range of climate projections than the 4 th .
Soil type	Differences in soil type beyond the four groupings (Table 1) or variability in soil types within a farm (Persson and Kværnø, 2017).
Bulk density	Impact of bulk density on workability (Dexter and Bird, 2001; Obour et al., 2018; Rotz and Harrigan, 2005).
Workability thresholds	Other workability thresholds or changing farmers' decisions on workability thresholds during the spring work period (Aurbacher et al., 2013; Leenhardt and Lemaire, 2002; Maton et al., 2007; Tomasek et al., 2017; van Oort et al., 2012).
Soil organic matter content	Variability or future change in SOM/SOC (Falloon and Betts, 2010; Rounsevell, 1993) and soil fertility. Future changes in organic matter content may influence soil water content, aggregate stability, water-holding capacity, permeability, bulk density, friability, compactability (Singh et al., 2011). SOM content influences number of available workdays: more SOM = fewer workable days (Rotz and Harrigan, 2005). Dexter and Bird (2001) and Obour et al. (2018) found a larger moisture range for tillage and at higher moisture content with increasing SOM content. However, the latter study showed an increased gravimetric water content at FC at the same time. They also recommend the use of the consistency approach, related to the soil's lower plastic (Atterberg) limit, instead of a water retention approach, when comparing soils with uniform texture and varying SOM content.
Drainage	Suboptimal, variable or changing drainage: In less than well-drained soil, loss of yield potential would be larger (van Wijk and Buitendijk, 1988).
Impact of soil water content	Impact of soil water content on albedo and by that evapotranspiration (Falloon and Betts, 2010). Impact of changes in soil water content changes on SOM and water retention (Rounsevell and Loveland, 1992 in Rounsevell and Jones 1993). Direct relationship between soil water content/soil strength and demand for energy and traction (van Wijk and Buitendijk, 1988; Witney, 1983).
Mechanization	Impact of machinery size and type on workability (Rounsevell and Jones, 1993) and compaction (Lorenz et al., 2016) Potential changes in sowing techniques in the future. Direct impact of tractor size on timeliness costs: Ploughing timeliness costs for tractors above 65 kW strongly depend on workability threshold (Witney and Oskoui, 1982).
Sub soil compaction	(Birkás et al., 2009; Håkansson, 2005; Jones et al., 2003; Håkansson and Reeder, 1994)
Crop type	Impact of crop type on workability (Rounsevell and Jones, 1993)
Optimum seeding day	Regional differences in optimum seeding day or future change due to climate change.
Yield potential reduction	Other yield potential reducing factors like weeds, pests, diseases, nutritional deficiencies or tillage other than seedbed harrowing, crop rotation or effects of straw or other crops.
Genetic improvements	Future genetic improvements: varieties adapted to longer growing season, larger yield potential.
Climate effects on crop growth	Other effects of climate change on yield potential: effects of rainfall and temperature during the crop growing season, CO ₂ on growth, phenological growth patterns and yield formation.
Working hours	Different number of working hours per day (Mangerud et al., 2017).
Economy	Future changes in relationship between input prices and cereal prices, interest rates of machinery, or labour costs (Mangerud et al., 2017).

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