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Antifungal effect of chito-oligosaccharides with different degrees of polymerization

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Abstract:	<p>Chitosan, obtained from chitin by partial N-deacetylation, shows little or no toxicity towards mammalian cells, is biodegradable, and non-allergenic. It is known that chitosan may have antifungal properties, but the effect of defined chitosan or chito-oligosaccharides (CHOS) with different degree of polymerization is not well known. The objective of this study was to produce CHOS with different DPn (average degree of polymerization) and determine the most effective DPn of chitosan and CHOS against <i>Botrytis cinerea</i> Pers. Ex Fr. and <i>Mucor piriformis</i> Fischer. In vitro testing showed that CHOS of DPn 23 and 40 had the highest germination inhibition against the tested pathogens. The original chitosan (DPn 206) and a collection of short CHOS (degree of polymerization of 3-10) were significantly ($P < 0.01$) less effective than CHOS of DPn 23 and 40. <i>M. piriformis</i> M119J showed the most abnormal swelling in presence of CHOS DPn 40, but all abnormally swollen conidia showed further germ tube elongation. In vivo testing showed that CHOS DPn 23 was the most effective in reducing flower infection by two isolates of <i>B. cinerea</i>. Our results show that CHOS inhibit fungal germination and growth and that the effect depends highly on the level of polymerization of the oligomers.</p>
Response to Reviewers:	<p>Dear Editor,</p> <p>We are very grateful for the positive and helpful review of our paper entitled "Antifungal effect of chito-oligosaccharides with different degrees of polymerization". We have addressed all of your suggested changes for revision as outline below and hope that our manuscript is acceptable for publication in European Journal of Plant Pathology.</p> <p>Sincerely,</p> <p>Morten Sørli</p>

Dear Professor Morten Sørli,

I have evaluated the revised version of your manuscript which is very much improved compared to the previous version. However, the manuscript will need another round of revision and editing before it will be acceptable for publication.

The first part of the introduction (line 4 to 37) is not well structured and some of it is too general. I suggest that you remove the sentence: "B. cinerea is also the main production constraint..." (line 15-20) as well as "Chemical fungicides are the primary means to control fungal diseases⁶, and" (line 22 to 24).

Response: We appreciate this input and have removed the sentences as suggested.

In addition, the manuscript has to be prepared according to the Instructions for Authors. Cite references in the text by name and year in parentheses and, reference list entries should be alphabetized by the last names of the first author of each work.

Response: The references are now cited using the standards of "European Journal of Plant Pathology".

With kind regards,
Christine Struck
Associate Editor

Antifungal effect of chito-oligosaccharides with different degrees of polymerization

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Abstract

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4 towards mammalian cells, is biodegradable, and non-allergenic. It is known that chitosan
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6 may have antifungal properties, but the effect of defined chitosan or chito-
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8 oligosaccharides (CHOS) with different degree of polymerization is not well known. The
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10 objective of this study was to produce CHOS with different DP_n (average degree of
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12 polymerization) and determine the most effective DP_n of chitosan and CHOS against
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14 *Botrytis cinerea* Pers. Ex Fr. and *Mucor piriformis* Fischer. *In vitro* testing showed that
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16 CHOS of DP_n 23 and 40 had the highest germination inhibition against the tested
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18 pathogens. The original chitosan (DP_n 206) and a collection of short CHOS (degree of
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20 polymerization of 3-10) were significantly (P<0.01) less effective than CHOS of DP_n 23
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22 and 40. *M. piriformis* M119J showed the most abnormal swelling in presence of CHOS
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24 DP_n 40, but all abnormally swollen conidia showed further germ tube elongation. *In vivo*
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26 testing showed that CHOS DP_n 23 was the most effective in reducing flower infection by
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28 two isolates of *B. cinerea*. Our results show that CHOS inhibit fungal germination and
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30 growth and that the effect depends highly on the level of polymerization of the
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32 oligomers.
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44 Keywords: *Botrytis cinerea*; *Mucor piriformis*; chitosan; chito-oligosaccharides (CHOS);
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46 antifungal; plant protection
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2 **Introduction**
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7 The pathogenic fungus *Botrytis cinerea* Pers.ex Fr. (anamorph of *Botryotinia fuckeliana*)
8 causes gray mold in over 200 plant species, mainly dicotyledonous plants, resulting in
9 severe pre- and postharvest losses in agricultural crops like berries, fruits and vegetables
10 (Williamson et al. 2007; Rosslenbroich and Stuebler 2000; Tronsmo 1991). The
11 pathogenic fungus *Mucor piriformis* Fischer also causes postharvest rots on strawberries
12 as well as on several other fruit crops (Sholberg 1990). Pathogens may develop
13 resistance towards chemical fungicides, creating a need for even more chemicals
14 (Holmes & Eckert 1999). However, as existing chemical fungicides may be harmful for
15 mammals and the environment, there is a need to reduce their use. Attempts have been
16 made to reduce gray mold using biological or cultural control methods (Card et al. 2009),
17 but these often give less consistent results than chemical control. There is a need for
18 antifungal products that cause no harm to the environment and are non-toxic to mammals
19 (Parvu et al. 2010). Chitosan (deacetylated chitin) has long been known to have such
20 properties (Trotel-Aziz et al. 2006).
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41 Chitin is a linear biopolymer consisting of β 1,4-linked *N*-acetyl-D-glucosamine
42 (GlcNAc) residues and it is one of the most abundant biopolymers in nature. The fraction
43 of acetylation (*FA*) of chitin is usually above 0.90, meaning there are few D-glucosamine
44 (GlcN) units present. Chitin is found as a structural polymer in fungi, crustaceans,
45 arthropods, insects, and nematodes (Goody 1990). Chitosan, a linear polymer made by
46 partial deacetylation of chitin, is a heteropolymer consisting of *N*-acetyl-D-glucosamine
47 (GlcNAc) and D-glucosamine (GlcN) residues (Goody 1990). Chitosan can be
48 hydrolysed into shorter chains to produce chito-oligosaccharides (CHOS). Both chitosan
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1 and CHOS show little or no toxicity towards mammalian cells (Jung et al. 1999; Singla
2 and Chawla 2001), are biodegradable, and may protect plants by direct antimicrobial
3 activity or by eliciting plant defence mechanisms (Hadwiger 1979; Hadwiger and
4 Beckman 1980). The antifungal activity of chitosan is influenced by many factors, such
5 as its concentration (Palma-Guerrero et al. 2008), degree of polymerization (DP) or
6 molecular weight (Kendra and Hadwiger 1984), fraction of acetylation (F_A) (Stössel and
7 Leuba 1984), pH, and ionic strength of the media (Jung et al. 1999; Wang 1992).
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19 Previous studies on the effect of molecular weight on the antimicrobial activity of
20 chitosan have given diverging results. While some studies found that polymeric chitosan
21 (high molecular weight) had higher antifungal activity than low molecular weight
22 chitosan (Eikenes et al. 2005; Meng et al. 2010), other studies reported the opposite
23 result (Kim et al. 2005; Xu et al. 2007). However, as most studies involved chitosan with
24 only one or very few different molecular weights that were not obtained from the same
25 source or by the same method, these data may not give comparable results. The aim of
26 this study is therefore to use well-defined chitosan with respect to molecular weight and
27 determine which show the greatest inhibitory effect against the two common plant
28 pathogens *B. cinerea* and *M. piriformis*. Two types of experiments were carried out: an
29 *in vitro* microtiter plate assay on conidial germination and hyphal growth of *B. cinerea*
30 and *M. piriformis*, and an *in vivo* assay on strawberry flower infection by *B. cinerea*.
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51 **Materials and Methods**

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56 Enzymatic production and analysis of chito-oligosaccharides (CHOS)
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1 Chitosan (KitoNor; fraction of acetylation (F_A) 0.15; viscosity average molecular weight
2 (MW_v) 33.4 kDa and DP_n 206, was obtained from Norwegian Chitosan (Gardermoen,
3 Norway). CHOS with varying level of polymerization were produced from chitosan (DP_n
4 206) by enzymatic hydrolysis for different lengths of time, using chitosanase ScCsn46A
5 as described by Heggset and coworkers (Heggset et al. 2010). Briefly, chitosanase
6 ScCsn46A, originally from *Streptomyces coelicolor* q9rj88, was purified from the culture
7 supernatant of a recombinant *Escherichia coli* BL21Star (DE3) strain, following the
8 published protocol, without removal of the (His)₆-tag after purification. The enzyme was
9 dialyzed against Tris-HCl (20 mM) at pH 8 and stored at 4°C.
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24 Hydrolysis of chitosan to produce CHOS

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29 Chitosan (DP_n 206, 10 mg mL⁻¹) in buffer (0.04M NaAc, 0.1M NaCl, pH 5.5) was
30 incubated at 37°C and shaken at 225 rpm for about 10 min until the chitosan was
31 dissolved. The pH was further adjusted to 5.5 with NaOH (0.5M). Chitosanase
32 ScCsn46A²⁶ (0.5 µg mg⁻¹ chitosan) was added to the chitosan solution and the mixture
33 was incubated for 10-50 minutes at 37°C and 225 rpm. The enzymatic reaction was
34 stopped by decreasing the pH to 2.5 with HCl (0.5M), followed by immersing the tube in
35 boiling water for at least 10 minutes to inactivate the enzymes permanently. The
36 resulting CHOS samples were dialyzed against dH₂O for 48 hours (water was changed
37 every 12 hours) using a cellulose membrane (Float-A-Lyzer[®] MWCO 500 Da, from
38 Spectrum Labs, Texas, USA) to remove buffer salts from the sample. Dialyzed samples
39 were sterile filtrated through Filtropur S 0.2 µm sterile filters (Sarstedt, Germany),
40 lyophilized and stored at 4°C (Aam et al. 2010).
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¹H-NMR analysis of CHOS

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5 Lyophilized CHOS (10 mg) were dissolved in deuterium oxide (D₂O, 0.5 ml) and the pH
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7 was adjusted to 4.2 with sodium deuterioxide (NaOD) and deuterium chloride (DCl) prior
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9 to lyophilization. This process was repeated once. Finally the lyophilized CHOS sample
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11 was dissolved in D₂O (700 μL) and ¹H-NMR analysis was performed on a 300 MHz
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13 Varian Gemini instrument (Varian, USA) at 85°C (¹H-NMR spectra is shown in Fig. 1).
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15 The DP_n was calculated with the equation $(D\alpha+D\beta+D+A\alpha+A\beta+A)/(D\alpha+D\beta+ A\alpha+A\beta)$,
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17 where D α , D β , A α and A β are the integral of the reducing end signals of the α and β
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19 anomers of the deacetylated (D) and acetylated (A) units respectively, D is the integral of
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21 the signals from deacetylated units (GlcN) in internal and non-reducing end positions, and
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23 A is the integral of the signals from acetylated units (GlcNAc) in internal and non-
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25 reducing end positions (Sørbotten et al. 2005).
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Separation of CHOS DP 3-10 by size exclusion chromatography (SEC)

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39 CHOS DP_n 5, made from enzymatic hydrolysis of chitosan (DP_n 206) with ScCsn46A,
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41 was separated on three SuperdexTM 30 columns (XK columns from GE Healthcare) with
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43 an overall dimension of 2.6 × 180 cm. The flow rate of the mobile phase (0.15 M
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45 NH₄Ac, pH 4.5) was maintained at 0.8 ml min⁻¹ (Sørbotten et al. 2005). A refractive
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47 index detector (Gilson model 133, UK) was used to monitor the relative amounts of the
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49 CHOS fractions. CHOS (100 mg) sample was applied in each run. CHOS DP 3-10 was
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51 collected from several separate runs and pooled followed by dialysis to remove salts
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53 from the buffer. The sample was then sterile filtrated through Filtropur S 0.2 μm sterile
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55 filters, and lyophilized.
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5 Matrix assisted laser desorption/ ionization time of flight mass spectrometry
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10 MS spectra were acquired using an UltraflexTM TOF/ TOF mass spectrometer (Bruker
11 Daltonik GmbH, Bremen, Germany) with gridless ion optics under control of
12 Flexcontrol 4.1. For sample preparation, 1 μL of isolated CHOS and 2 μL of matrix
13 solution (15 mg mL^{-1} 2,5-dihydroxybenzoic acid) were mixed and 1 μL of the mixed
14 solution was spotted on a target plate (Cederkvist et al. 2008). The spotted samples were
15 dried at room-temperature. The MS experiments were conducted using an accelerating
16 potential of 20 kV in the reflector mode.
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29 Fungal pathogens
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34 The fungal pathogens used in this experiment were *Botrytis cinerea* isolate BC 101,
35 isolated from infected strawberry fruit in Grimstad, Norway; *B. cinerea* isolate BCBD,
36 from a chickpea leaf in Gazipur, Bangladesh and *M. piriformis* isolate M199J, from an
37 infected strawberry fruit at Hobøl, Norway. For the *in vitro* and *in vivo* bioassays,
38 conidia were collected from cultures grown on potato dextrose agar (PDA) (Difco
39 Laboratories, Detroit, MI) under regular laboratory light for two weeks at $23 \pm 1^\circ\text{C}$. The
40 conidia were suspended in sterile water and were filtered through sterile cotton to
41 remove fragments of mycelia. Concentrations of conidia in aqueous suspensions were
42 determined by hemocytometer counter at $400 \times$ magnification (Leica, DM RBE,
43 Germany) and adjusted to the required concentrations.
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5 *In vitro* bioassay; inhibition of conidia germination and hyphal growth
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10 The effect of chitosan and CHOS on germination of *B. cinerea* (isolates BC 101 and
11 BCBD) and *M. piriformis* M199J was tested in a modified synthetic medium (MSM)
12 with pH 5.3 and the following final concentrations: 2.5 mM NH₄NO₃; 0.28 mM
13 CaCl₂·2H₂O; 0.16 mM MgSO₄·7H₂O; 0.002 mM MnSO₄·4H₂O; 0.002 mM
14 ZnSO₄·7H₂O; 1 mM KH₂PO₄; 0.06 mM FeC₆H₅O₇·5H₂O and 55.5 mM glucose.
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16 Experiments were set up by adding 100 µl of chitosan or CHOS in 2X MSM to 100µl
17 conidial suspension (4×10^4 conidia mL⁻¹ in water) in wells in a flat-bottom 96-well
18 microtiter plate (NuncTM, Roskilde, Denmark). There were three replicate wells of each
19 treatment. The microtiter plates were incubated at 23 ± 1°C for 24 hours. The
20 germination percentage 12 and 24 hours after inoculation (HAI) was visually estimated
21 at 400× magnification using an invert microscope (Fluovert FU, Ernst Leitz Wetzlar
22 GmbH, Wetzlar, Germany). The conidia were counted as germinated when the germ tube
23 length was at least as long as the diameter of the conidium. The germination of *M.*
24 *piriformis* M199J conidia was measured differently since all germinated conidia exposed
25 to chitin or CHOS showed abnormal swelling with amoeba-like structure and one or
26 more protruded portions. When the length of the protruded part was at least as long as
27 the diameter of the swelled conidia the conidia was considered germinated. The
28 germination inhibition percentage was calculated by the following equation:
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54 Germination inhibition (%) = $\{(a-b)/a\} \times 100$
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56 Where, a = germinated conidia in the control (conidia in MSM)
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58 b = germinated conidia in the presence of chitosan or CHOS in MSM
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1 The pH of the conidia suspension in the microtiter wells was between 5.2 and 5.3 at the
2 start of the experiment, and remained about the same 24 hours after inoculation.
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4 Germination and further germ tube elongation were also documented by photographs
5 (10-15, from each treatment with Canon D-400 camera, Japan) taken through the invert
6 microscope at 400× magnification (Fluovort FU, Ernst Leitz Wetzlar GmbH, Wetzlar,
7 Germany) 12 and 44 hours after inoculation.
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17 **In vivo bioassay: Inhibition of infection of strawberry flowers by *Botrytis cinerea***
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21 Chitosan (DP_n 206) and CHOS (DP_n 9, 23, 40 and 48) were tested for their ability to
22 reduce infection by *B. cinerea* BC 101 and *B. cinerea* BCBD of newly opened
23 strawberry (*Fragaria × ananassa* cv. Corona) flowers. The strawberry plants were
24 grown in the greenhouse under controlled temperature (18°C at day; 12°C at night), light
25 (16 hours, light intensity: 150 μmol m⁻² sec⁻¹) and relative humidity (65%) conditions.
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27 Eighteen flowers per treatment (six replications of three flowers) were cut off with a 1½-
28 2 cm stem and placed in empty pipette tip racks set in plastic containers filled with 1-2
29 cm water. Conidia suspension (final concentration: 1 × 10⁶ conidia/ml) was mixed with
30 different test ingredients in sterile water, or with sterile water (control reaction). Flowers
31 were infected by applying 10 μL of the sample at the base of three petals on each flower
32 with a pipette. The treatments were randomized. The plastic containers were covered
33 with aluminium foil and incubated at 23 ± 1°C. The relative humidity was 90-95%,
34 measured by a thermo-hygrometer (Lambrecht, Germany). The experiment was repeated
35 twice. The infection was recorded as visible necrotic regions on the abaxial surface of the
36 flowers under the inoculation point daily for eight days, and the area under the disease
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1 progress curve (AUDPC) was calculated on the basis of the cumulative daily infection by
2 the following equation:
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$$4 \text{ AUDPC} = \sum (D_i - D_{i-1}) \times \{S_{i-1} + 0.5 (S_i - S_{i-1})\}$$

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8 Where, D_i = Days of the i^{th} assessment and S_i = Proportion of the i^{th} infected inoculation
9 point.
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13 The protection index (in %) was calculated by using the AUDPC values in the following
14 equation (Bardin et al. 2008):
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$$16 \text{ } 100 \times (\text{AUDPC}_{\text{control}} - \text{AUDPC}_{\text{treatment}}) / \text{AUDPC}_{\text{control}}$$

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18 Where $\text{AUDPC}_{\text{control}}$ represent flowers inoculated with *B. cinerea* BC 101 or BCBD
19 conidia alone and $\text{AUDPC}_{\text{treatment}}$ represents flowers inoculated with *B. cinerea* BC 101
20 or BCBD conidia premixed with chitosan or CHOS .
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30 Data analysis

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35 In the microtiter plate assay, the percentage of germination inhibition of pathogens by
36 chitosan and CHOS were transformed by arcsine transformation and tested by one way
37 ANOVA (only non transformed data are presented). In the strawberry flower assay, the
38 AUDPC was calculated based on the cumulative daily infections from one to eight days,
39 and tested by one way ANOVA. When appropriate, means were separated by Tukey's
40 Honestly Significant Difference method. All statistical analysis were done by Microsoft
41 Office Excel 2007 and Minitab 16 (MINITAB, USA).
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54 Results

55 Production and characterization of CHOS

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2 CHOS fractions were produced by degrading chitosan (DP_n 206 and F_A of 0.15) with
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4 ScCsn46A, as described in the materials and methods section. By varying the incubation
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6 time, CHOS fractions with DP_n values between 75 and 5 were produced. DP_n was used
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8 as an indicator of molecular weights for all CHOS samples since this is straightforwardly
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10 determined by 1H NMR analysis.²⁷ The 1H -NMR spectra of the CHOS fractions showed
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12 that all reducing ends were completely deacetylated (signals at 5.43 ppm for $-D\alpha$ and
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14 4.92 ppm for $-D\beta$) (Fig. 1). There were no signals for new acetylated reducing ends
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16 (signal for $-A \alpha$ at 5.19 ppm and for $DA \beta$ at 4.74). A CHOS fraction of DP_n of 5 was
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18 further purified by size exclusion chromatography (SEC). CHOS of DP 3-10 were
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20 collected and pooled together to serve as the fraction with lowest molecular weights.
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29 Effects of chitosan and CHOS on the ability to inhibit germination and germ tube
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31 elongation *in vitro*
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37 The inhibitory effects on conidial germination of chitosan and CHOS with different DP_n
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39 ($80 \mu g mL^{-1}$) against *B. cinerea* and *M. piriformis* are shown in Table 1. All three
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41 isolates experienced a higher degree of inhibition at 12 vs. 24 hours after inoculation
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43 (HAI). *M. piriformis* was sensitive (in terms of germination inhibition) to a wider range
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45 of CHOS (DP_n 9-75) while *B. cinerea* BC 101 was only markedly inhibited by CHOS
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47 DP_n 23 and 40. The results clearly show a size dependency for the antifungal effect of
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49 CHOS.
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55 The antifungal activity was also tested for the original chitosan (F_A 0.15, DP_n 206) and
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57 CHOS DP_3-10 as controls for high and low molecular weight fractions. At $80 \mu g mL^{-1}$,
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59 there was no significant difference between DP 3-10 and chitosan in terms of
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1 germination inhibition, but at a high concentration (1300 $\mu\text{g mL}^{-1}$) DP 3-10 showed
2 significantly higher germination inhibition than chitosan of all tested pathogens 24 hours
3 after inoculation (Table 2).
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8 The effects of DP_n on the ability of chitosan and CHOS to inhibit conidial germination
9 and further germ tube elongation were observed visually (Figs. 2 and 3). Chitosan (DP_n
10 206) and CHOS (DP_n 40) caused abnormal swelling of *M. piriformis* (Fig. 2), but no
11 such effect was observed on the two *B. cinerea* isolates. However, CHOS DP_n 40 caused
12 granular inclusions in the cytoplasm of all tested pathogens. In the presence of CHOS
13 DP_n 40, germ tubes from both *B. cinerea* isolates ceased to grow after germination and
14 no further growth was observed 44 hours after inoculation (Fig. 3). The *M. piriformis*
15 M199J conidia treated with CHOS DP_n 40 were abnormally swollen 12 hours after
16 inoculation (Fig. 2) but continued to grow; however, many non-germinated and non-
17 swollen conidia were also present. Chitosan (DP_n 206) and CHOS DP_n 9 did not affect
18 germ tube elongation of the tested pathogens (Fig. 3).
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39 Strawberry flower bioassay 40 41 42 43 44

45 CHOS DP_n 23 followed by CHOS DP_n 40 were the most effective chitoooligosaccharides
46 in reducing flower infection by *B. cinerea* (BC 101 and BCBD) (Table 3 and Fig. 4). *In*
47 *vivo*, both *B. cinerea* isolates caused 100% infection of strawberry flowers six days after
48 inoculation in the control and when treated with chitosan DP_n 206 and CHOS DP_n 9 (500
49 $\mu\text{g mL}^{-1}$) (Fig. 4). CHOS DP_n 23 and CHOS DP_n 40 reduced the *B. cinerea* BC 101
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1 infection to 60% and 30% respectively, and the *B. cinerea* BCBD infection to 43% and
2 20% respectively at six days after inoculation (data not shown).
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8 **Discussion**

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12 Molecular weight, and consequently the degree of polymerization, as well as the degree
13 of acetylation, are important factors affecting the antifungal activity of chitosan (Aam et
14 al. 2010; Kendra and Hadwiger 1984; Oliveira Junior et al. 2012; Rahman 2013). Our
15 previous *in vitro* and *in vivo* studies on chitosan with different F_A showed that chitosan
16 with low F_A (0.11 and 0.18) was more inhibitory than chitosan with higher F_A (0.39)
17 (Rahman 2013). Chitosan with F_A 0.15 was therefore selected for the present study, in
18 which well-defined chitosan and CHOS fractions of different DP/DP_n obtained from the
19 same chitosan were used to test for antifungal activity against *Botrytis cinerea* and
20 *Mucor piriformis* *in vitro* and *in vivo*. The antifungal activity of chitosan and CHOS
21 varied with the DP_n in both bioassays, and CHOS 23 and 40 were the most effective of
22 the tested CHOS in inhibiting germination and further germ tube elongation of the tested
23 pathogens *in vitro*. Antifungal activity of chitosan has been previously reported to
24 depend on DP, where 6 kDa (DP_n around 40) was the most effective on a range from 5 to
25 27 kDa in inhibiting *Candida krusei* (Gerasimenko et al. 2004). In our study, chitosan
26 with high polymerization (DP_n 206, MW_v 34.4 kDa) and low polymerization (CHOS DP
27 3-10) were only effective in inhibiting germination and further germ tube elongation of
28 *B. cinerea* and *M. piriformis* at a high concentration (1300 µg mL⁻¹) and CHOS DP 3-10
29 was more effective than chitosan (DP_n 206). This corresponds to a previous study stating
30 that CHOS with low DP (DP 3-9) were more effective in inhibiting *B. cinerea* than
31 chitosan with high DP (molecular weight 300-500 kDa) (Xu et al. 2007).
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1 The effectiveness of chitosan and CHOS also depends on the cell wall composition of
2 the tested pathogen (Allan and Hadwiger 1979). The cell walls of the ascomycetous
3 fungi (*B. cinerea*) contain chitin, whereas the zygomycetous fungi (*M. piriformis*)
4 contain both chitin and chitosan (Ruiz-Herrera 1992). Our study shows that *M. piriformis*
5 is more sensitive to the tested chitosans than *B. cinerea*. However, previous research
6 showed contradictory results regarding the correlation between chitosan's antifungal
7 activity and fungal cell wall composition. Allan and Hadwiger documented that fungi
8 with cell walls containing chitosan (*Mucor* spp.) were not sensitive to chitosan (1000 μg
9 mL^{-1}) (Allan and Hadwiger 1979). However, using the same chitosan concentration, El-
10 Ghaouth showed germination and growth inhibition of other zygomycetous fungi
11 (*Rhizopus stolonifer* and *M. racemosus*) with chitosan in their cell wall (El-Ghaouth et al.
12 1992). Our results showed that the sensitivity of fungal pathogens to chitosan varied with
13 the DP. *M. piriformis* M199J was sensitive (more than 50% inhibition of germination 24
14 hours after inoculation) to CHOS with a wide range of DP (DP_n 15-48), *B. cinerea*
15 BCBD was sensitive to CHOS with a narrower range of DP (DP_n 15 - 40) and *B. cinerea*
16 BC 101 was only sensitive to DP_n 23 and 40.

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39 Microscopic observations confirmed that the DP of CHOS was important for its
40 ability to inhibit germination and growth (further germ tube elongation) of the tested
41 pathogens, since CHOS DP_n 40 showed more cytoplasmic disorder and abnormal
42 swelling of conidia than other tested DP_n. *B. cinerea* conidia developed granular
43 substances in the cytoplasm in the presence of CHOS DP_n 40 at low concentration (80 μg
44 mL^{-1}) while higher concentrations of CHOS DP 3-10 (1300 $\mu\text{g mL}^{-1}$) and chitosan DP_n
45 206 ($\geq 2500 \mu\text{g mL}^{-1}$) were required for the same effect. Similar morphological changes
46 have previously been found in *B. cinerea* treated with chitosan (Ait et al. 2004). Still, *B.*
47 *cinerea* conidia, even at a high chitosan concentration (5000 $\mu\text{g mL}^{-1}$), did not show

1 abnormal swelling, which has also been observed in a previous study (El-Ghaouth et al.
2 1992). In contrast, all our tested DP of chitosan and CHOS, except the lowest DP (CHOS
3 DP_n 9 and DP 3-10), caused abnormal swelling of *M. piriformis* conidia. The swelling
4 varied with the concentration and DP_n of chitosan and CHOS where the most abnormal
5 swelling (amoeba-like structure) occurred in *M. piriformis* treated with CHOS DP_n 40.
6 Also, other studies have confirmed that the DP of chitosan influenced the extent of
7 abnormal swelling of fungal conidia. Chitosan with high DP (molecular weight 30.7
8 kDa, DP \approx 150) caused more abnormal swelling of *Rhizopus stolonifer* conidia than
9 chitosan with low DP (molecular weight 17.4 kDa , DP \approx 90) (Hernández-Lauzardo
10 2008) and low DP (exact molecular weight not mentioned) at a high concentration
11 (15000 $\mu\text{g mL}^{-1}$) changed the shape of *Rhizoctonia solani* conidia (Bautista-Baños et al.
12 2004).

13 No lyses of abnormally swollen *M. piriformis* conidia were observed and all
14 swollen conidia continued to grow (further elongation of germ tube after germination).
15 Also, El-Ghaouth et al. reported excessive branching and abnormal swelling of *R.*
16 *stolonifer* treated with chitosan (1500 $\mu\text{g mL}^{-1}$, molecular weight not mentioned), and no
17 alternation nor lysis of the cells (El-Ghaouth et al. 1992). Other reasons for further
18 growth of the abnormally swelled *M. piriformis* conidia could be that the cells with
19 abnormal swelling belonged to a more resistant subpopulation or survived as the chitosan
20 concentration was reduced through binding to other cells (Rhoades and Roller2000). The
21 reason why conidia of *M. piriformis*, but not *B. cinerea*, showed abnormal swelling
22 could be due to the inherent different cell wall compositions. It could be that the
23 application of chitosan affected new cell wall synthesis of *M. piriformis* and resulted in
24 abnormal swelling of conidia.

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In the strawberry flower assay, CHOS DP_n 23 was the most effective in reducing flower infection by *B. cinerea* in line with what was observed *in vitro*. In addition to the direct antifungal effects of chitosan seen in the *in vitro* bioassay, chitosan may protect flowers indirectly by eliciting plant defense mechanisms against pathogens (Palma-Guerrero et al. 2008). Previous studies showed that chitosan acts as an elicitor of multiple defense responses of higher plants (Aziz et al. 2006; Vander et al. 1998), and that the eliciting effect depends on the DP of chitosan (Lin et al. 2005). Thus, in our study CHOS DP_n 23 may have been more effective in inducing defense responses in strawberry than chitosan (DP_n 206).

In conclusion, the objective of our study was to find the most effective DP of chitosan and CHOS against *B. cinerea* and *M. piriformis*. Our study demonstrated that the DP_n of chito-oligosaccharides (CHOS) affected their antifungal activity both *in vitro* and *in vivo*. CHOS were more effective in inhibiting plant pathogens than chitosan (DP_n 206), and the most effective DP of CHOS was in the range of DP_n 15-40. CHOS in this range may be a potential environmentally friendly product that can be used in biodynamic and organic agri- and horticulture.

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Table 1. Effect of chitosan (DP_n 206; F_A 0.15) and CHOS (both 80 µg mL⁻¹) on germination inhibition (GI)^a (expressed as percent of control in MSM) of *Botrytis cinerea* (BC 101 and BCBD) and *Mucor piriformis* M199J 12 and 24 hours (h) after inoculation.

Chitosan/ CHOS	DP _n ^b	<i>B. cinerea</i> BC 101		<i>B. cinerea</i> BCBD		<i>M. piriformis</i> M199J	
		GI % 12 h	GI % 24 h	GI % 12 h	GI % 24 h	GI % 12 h	GI % 24 h
Chitosan	206	13 b ^a	0 b ^a	5 c ^a	0 c ^a	44 c ^a	0 ^a e
	75 ± 7	12 b	3 b	7 c	0 c	99 a	30 d
CHOS	58 ± 3	10 b	2 b	7 c	1 c	99 a	51 c
	48 ± 3	13 b	4 b	6 c	5 c	99 a	50 c
	40 ± 1	90 a	77 a	98 a	99 a	99 a	95 a
	23 ± 3	82 a	80 a	98 a	99 a	99 a	89 a
	15 ± 1	15 b	4 b	100 a	99 a	99 a	66 b
	11 ± 1	7 b	4 b	94 b	45 b	70 b	7 e
	9 ± 1	1 b	1 b	0 c	0 c	21 d	0 e
	DP3-10	3 e	3 c	1 c	0 c	32c	1 c

^a Means in columns without common letters are significantly different according to Tukey's method at P < 0.01.

^b DP_n data are mean ± standard deviation of three experiments

All data are means of three experiments.

Table 2. Effect of different concentration of chitosan DP_n 206 and CHOS DP 3-10 on germination inhibition (GI) (expressed as percent of control in MSM) of *Botrytis cinerea* (BC 101 and BCBD) and *Mucor piriformis* M199J 12 and 24 hours (h) after inoculation.

Chito san/C HOS	Conce ntrati on (µg mL ⁻¹)	<i>B. cinerea</i> BC 101		<i>B. cinerea</i> BCBD		<i>M. piriformis</i> M199J	
		GI % 12 h	GI % 24 h	GI % 12 h	GI % 24 h	GI % 12 h	GI % 24 h
DP _n 206	1300	54 b ^a	36 b ^a	42 b ^a	30 b ^a	10a ^a	3 c ^a
	600	31 cd	26 b	30 b	18 bc	10a	2 c
	80	19 d	4 c	4 c	3 c	50b	1 c
DP 3-10	1300	85 a	88 a	77 a	67 a	100a	98 a
	600	41 bc	43 b	75 a	26 b	10a	83 b
	80	3 e	3 c	1 c	0 c	32c	1 c

^a Means in columns without common letters are significantly different according to Tukey's method at

P<0.01

All data are means of two experiments

Table 3. Inhibition of disease development on strawberry flowers inoculated with a mixture of *Botrytis cinerea* (BC 101 or BCBD) conidia and chitosan (DP_n 206) or CHOS with different DP_n (9, 23, 40 and 48) (all 500 µg mL⁻¹). Control indicates conidia in sterile water.

Chitosan/CHOS	<i>B. cinerea</i> BC 101		<i>B. cinerea</i> BCBD	
	AUDPC ^a	Protection index (%)	AUDPC ^a	Protection index (%)
Control	4.4 a ^b	-	4.4 a ^b	-
DP _n 206	4.1 a	6 d ^b	3.6 b	18 d ^b
DP _n 48	3.3 b	25 c	2.8 c	36 c
DP _n 40	1.8 c	59 b	1.5 d	66 b
DP _n 23	0.7 d	85 a	0.7 e	84 a
DP _n 9	4.0 a	8 c	3.5 b	21 d

^a The AUDPC was used to calculate the protection index. The AUDPC values were calculated from cumulative disease incidences recorded up to eight days after inoculation (DAI) with incubation at 23 ± 1°C

^b Means in columns without common letters are significantly different according to Tukey's method at P< 0.01.

All data are means of three experiments

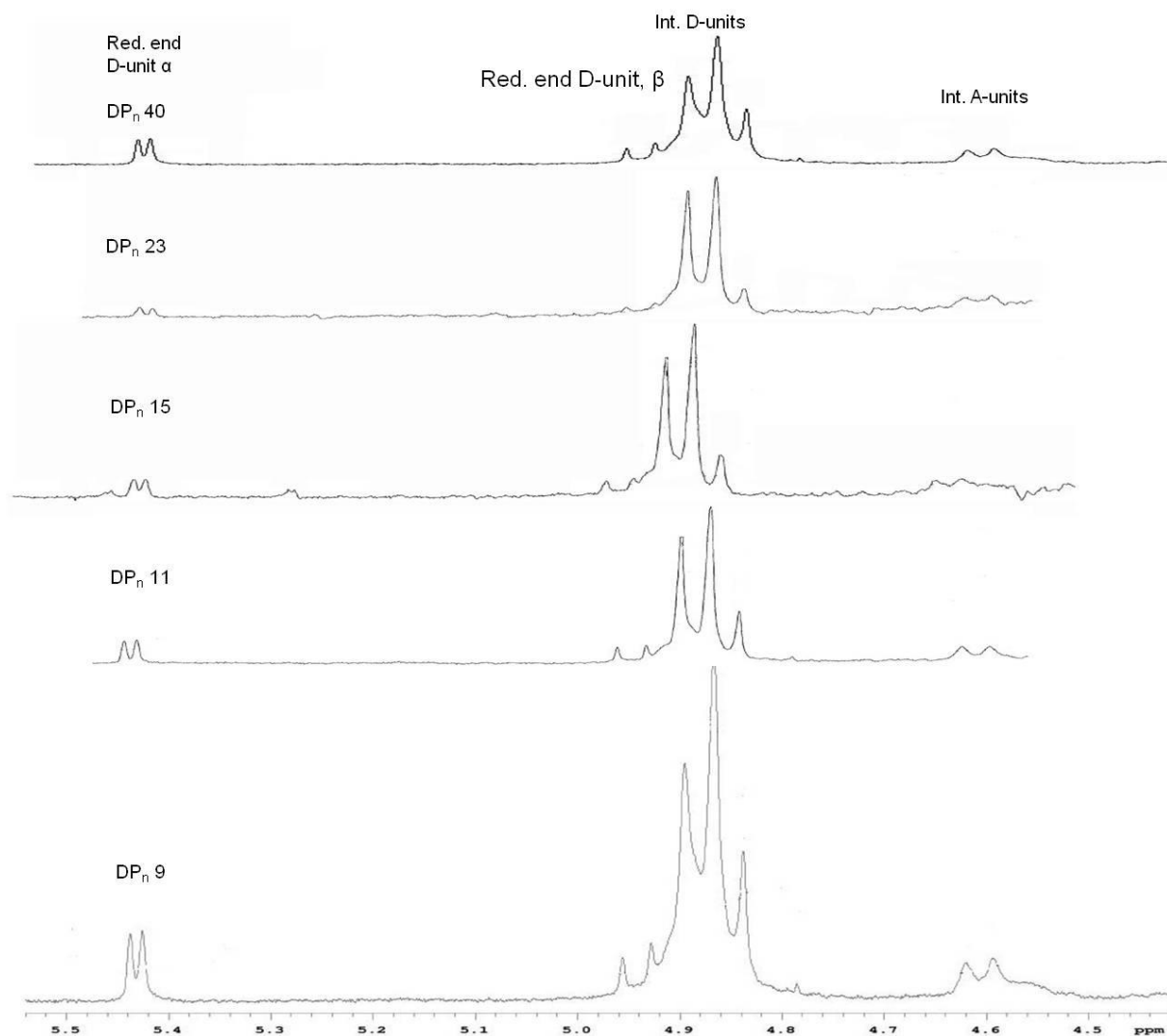


Figure 1. $^1\text{H-NMR}$ spectra of CHOS after hydrolysis of KitoNor chitosan (F_A 0.15) with ScCsn46A for DP_n of 40, 23, 15, 11, and 9, respectively (same spectra for DP_n of 75, 58, and 48 are omitted for clarity).

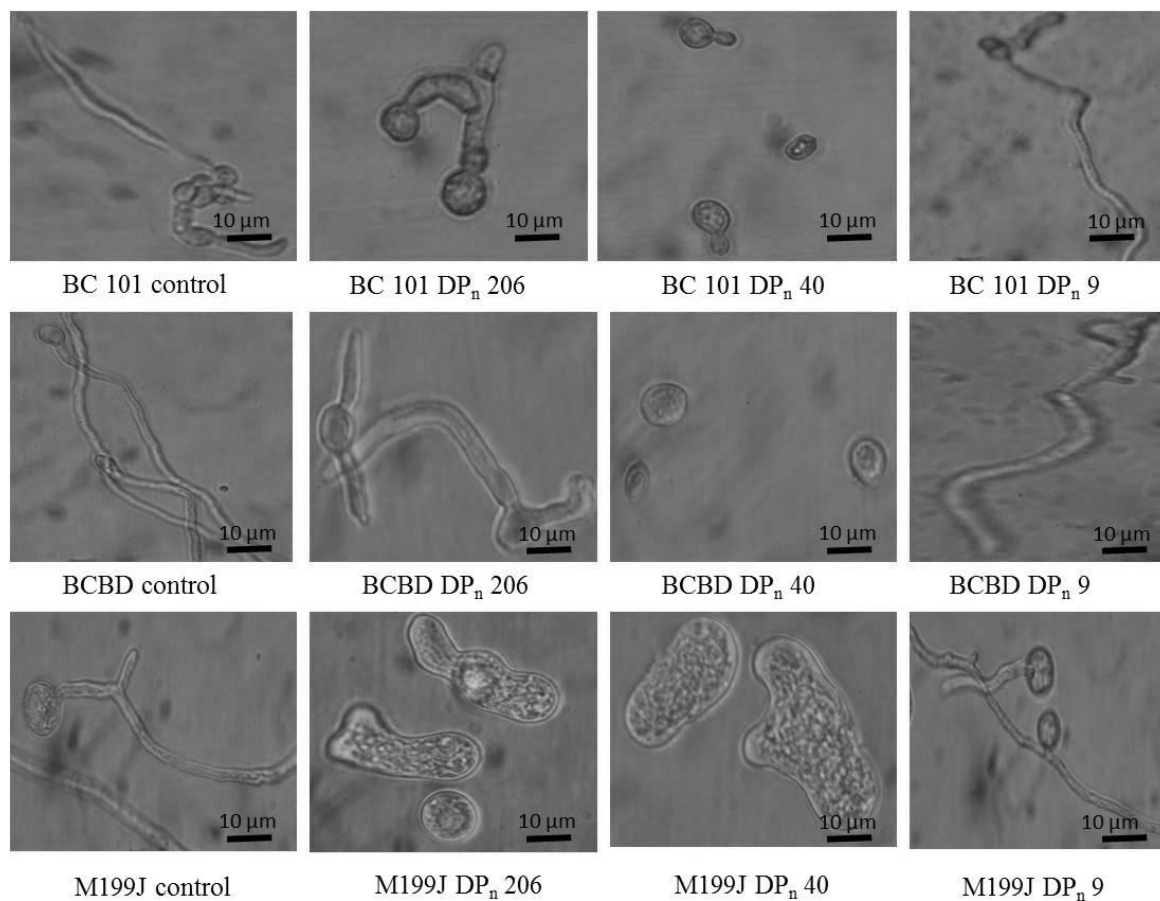
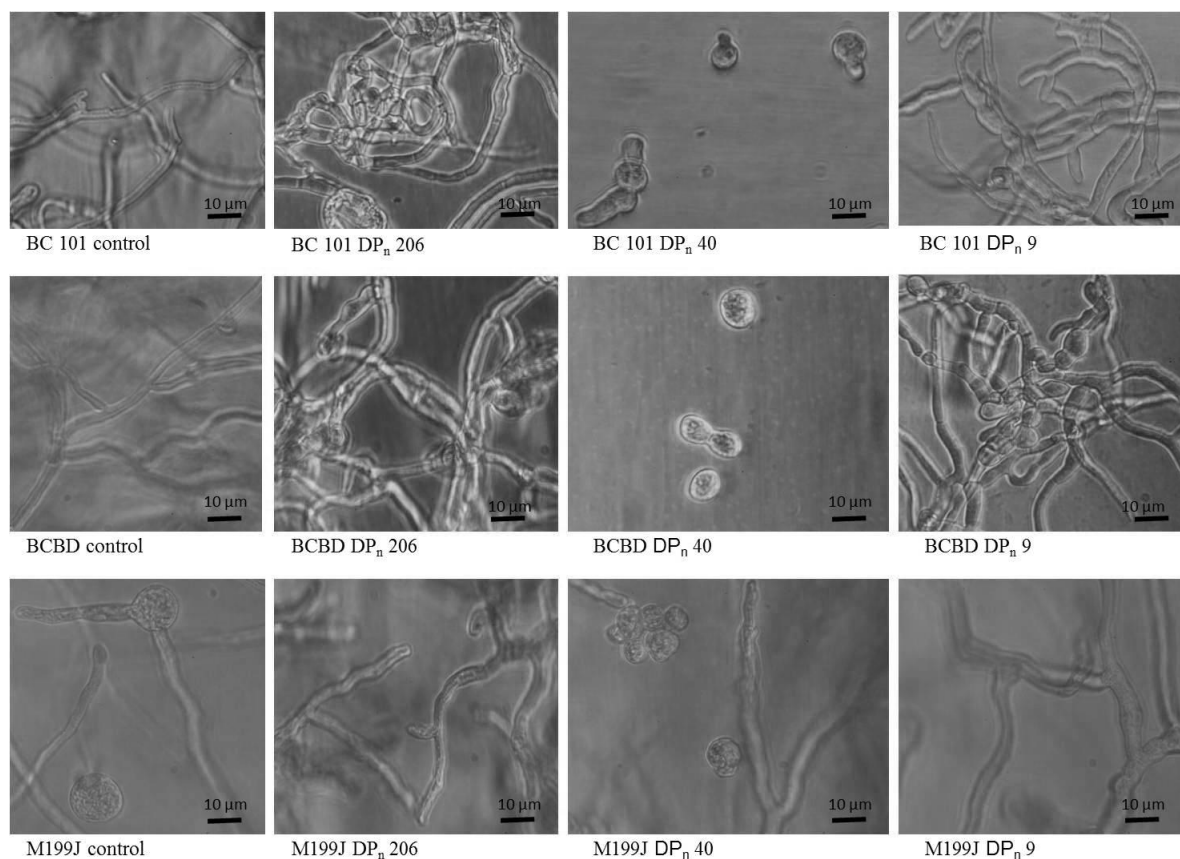


Figure 2. Effect of chitosan DP_n 206 and CHOS DP_n 9 and 40 (all 80 µg mL⁻¹ in MSM) on conidia germination and further germ tube elongation of *Botrytis cinerea* (BC 101 and BCBD), and *Mucor piriformis* M199J (12 HAI, 23 ± 1°C). BC 101: *B. cinerea* BC 101; BCBD: *B. cinerea* BCBD, M199J: *M. piriformis* M199J. Control is conidia in MSM.



32 Figure 3. Effect of chitosan (DP_n 206) and CHOS (DP_n 9 and 40) (80 µg mL⁻¹ in MSM)
33 on germ tube elongation of *Botrytis cinerea* (BC 101 and BCBD), and *Mucor piriformis*
34 M199J (44 hours after inoculation at 23 ± 1°C). BC 101: *B. cinerea* BC 101; BCBD: *B.*
35 *cinerea* BCBD, M199J: *M. piriformis* M199J. Control is conidia in MSM.
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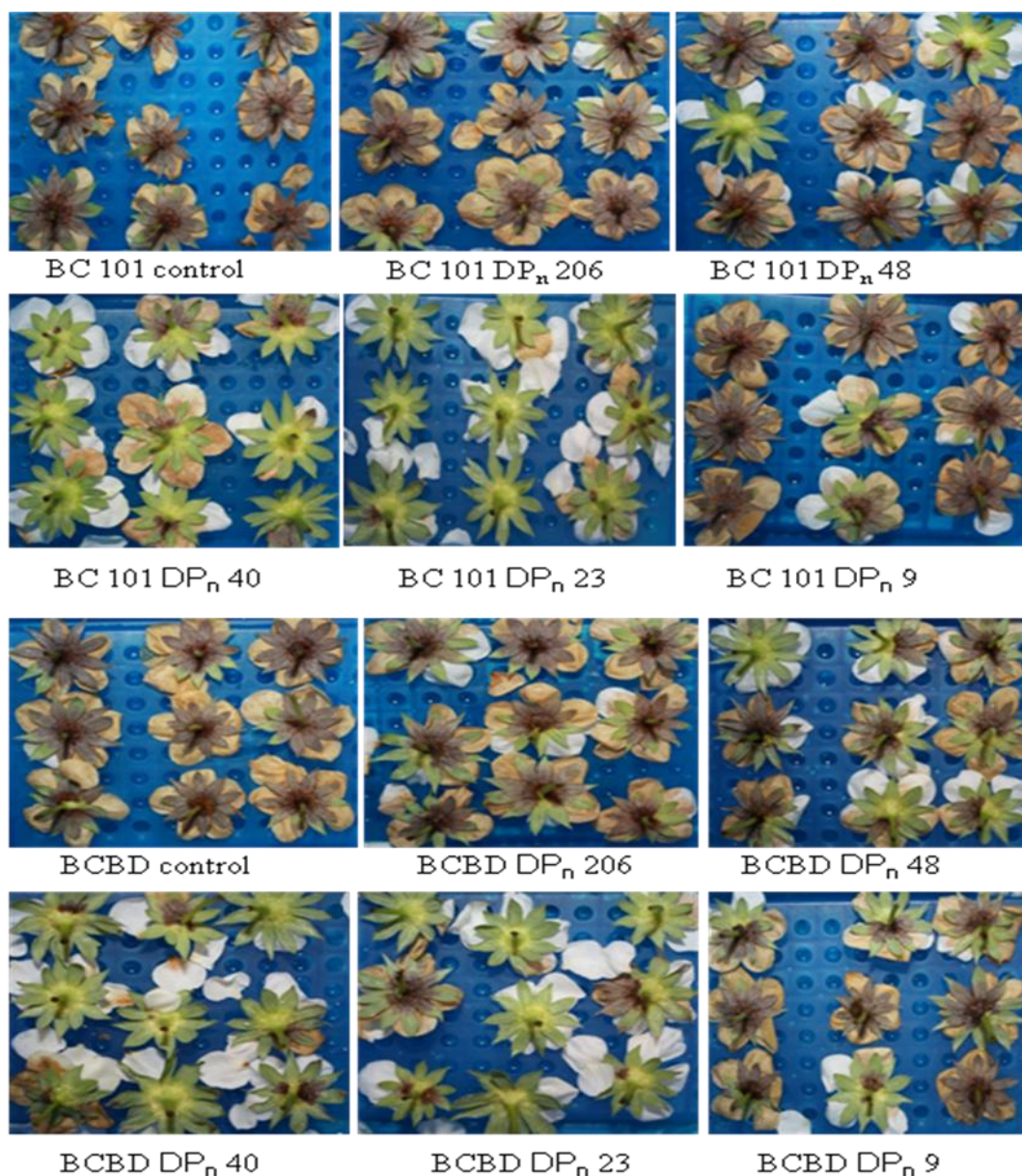


Figure 4. Antifungal effect of chitosan and CHOS. The photos illustrate the inhibitory effect of chitosan (DP_n 206) and CHOS DP_n 9, 23, 40 and 48 ($500 \mu\text{g mL}^{-1}$) on disease caused by *Botrytis cinerea* (BC 101 and BCBD) applied to detached strawberry flowers six days after inoculation. Control was conidia in sterile water. The flowers were considered 100% infected when all three inoculation points displayed necrotic signs. All treatments included 18 flowers, but only nine flowers are shown here.