

Journal Pre-proofs

Average molecular weight, degree of hydrolysis and dry-film FTIR fingerprint of milk protein hydrolysates: Intercorrelation and application in process monitoring

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PII: S0308-8146(19)31933-8
DOI: <https://doi.org/10.1016/j.foodchem.2019.125800>
Reference: FOCH 125800

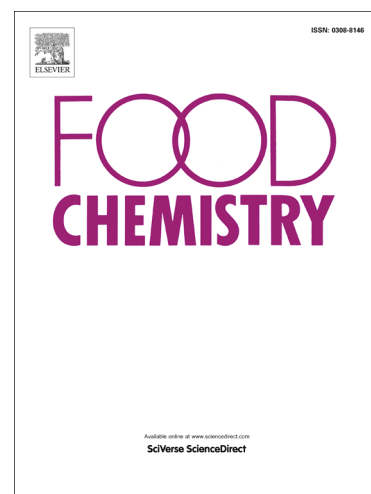
To appear in: *Food Chemistry*

Received Date: 30 May 2019
Revised Date: 23 October 2019
Accepted Date: 24 October 2019

Please cite this article as: Aase Kristoffersen, K., Kristian Afseth, N., Böcker, U., Lindberg, D., de Vogel-van den Bosch, H., Linnéa Ruud, M., Gizachew Wubshet, S., Average molecular weight, degree of hydrolysis and dry-film FTIR fingerprint of milk protein hydrolysates: Intercorrelation and application in process monitoring, *Food Chemistry* (2019), doi: <https://doi.org/10.1016/j.foodchem.2019.125800>

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1 **Average molecular weight, degree of hydrolysis and dry-film FTIR**
2 **fingerprint of milk protein hydrolysates: Intercorrelation and**
3 **application in process monitoring**

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14

15 **Graphical Abstract**

16

17

18 **Highlights**

19 DH% and M_w were monitored during enzymatic protein hydrolysis of dairy proteins.

20 Intercorrelation of DH% vs M_w were studied for 60 milk protein hydrolysates.

21 A PLSR model was developed for prediction of DH% based on molecular weight distribution
22 profiles.

23 A multivariate model based on dry-film FTIR was developed for dual prediction of DH% and
24 M_w .

25

26

27

28 **Abstract**

29 Fourier-transform infrared (FTIR) spectroscopy was applied to predict the degree of hydrolysis
30 (DH%) and weight-average molecular weight (M_w) in milk protein hydrolysates. Both DH%
31 and M_w are important quality parameters of protein hydrolysates. Measuring these parameters
32 and following their development during proteolytic reactions is therefore essential for process
33 control and optimization in industry. In the present study the intercorrelation and the
34 complimentary nature of these parameters were investigated and a partial least square
35 regression (PLSR) model was developed for the prediction of DH% from molecular weight
36 distributions. Finally, we developed PLSR models based on dry-film FTIR spectroscopy for the
37 prediction of both DH% and M_w . Here spectral changes in the amide region were found to be
38 important for the two calibration models, underlining the advantage of dry-film FTIR
39 measurement. This shows that dry-film infrared spectroscopy is a promising tool for dual
40 prediction of DH% and M_w .

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44

45 **1 Introduction**

46 Enzymatic protein hydrolysis (EPH) has become an attractive biotechnological process for the
47 recovery of value-added peptides and amino acids from a range of food processing by-products.
48 Bioactive peptides, infant formulas and nutritional supplements are among the most common
49 products based on protein hydrolysates from by-products from fish, meat and dairy processing
50 (Aspevik, Oterhals, Rønning, Altintzoglou, Wubshet, Gildberg, et al., 2017; Lordan, Ross, &
51 Stanton, 2011; Martinez-Maqueda, Miralles, Recio, & Hernandez-Ledesma, 2012). The key
52 advantage of the biotechnological process EPH is the possibility of adapting the process
53 parameters to meet a specific product quality. A typical EPH process consists of protease-
54 catalyzed degradation of dietary proteins, i.e., proteolysis, in batch or continuous reactors. One
55 of the major processing parameters used as a variable to tailor-make specific products is the
56 extent of proteolysis as a function of hydrolysis time. Consequently, monitoring of proteolysis
57 during the EPH process is an essential element for successful production.

58 Recently, FTIR spectroscopy has been proposed as an industrially relevant rapid tool for
59 monitoring protein degradation during EPH. Amide I and II bands originating from the protein
60 backbone, together with vibrational bands from terminal COO^- and NH_3^+ have been identified
61 as diagnostic features in the infrared (IR) spectra related to protein breakdown during EPH
62 (Böcker, Wubshet, Lindberg, & Afseth, 2017; Guler, Dzafic, Vorob'ev, Vogel, & Mantele,
63 2011; Guler, Vorob'ev, Vogel, & Mantele, 2016; Ruckebusch, Duponchel, & Huvenne, 2001;
64 Ruckebusch, Duponchel, Huvenne, Legrand, Nedjar-Arroume, Lignot, et al., 1999;
65 Ruckebusch, Sombret, Froidevaux, & Huvenne, 2001). Poulsen et al. proposed liquid IR
66 measurement for monitoring DH% of the hydrolysis of milk proteins (Poulsen, Eskildsen,
67 Akkerman, Johansen, Hansen, Hansen, et al., 2016). However, due to the absorption of water,
68 important IR features such as the amide I band were lost. Later, Wubshet et al. introduced the

69 first example of dry-film FTIR for prediction of M_w of peptides (Wubshet, Mage, Böcker,
70 Lindberg, Knutsen, Rieder, et al., 2017).

71 A major challenge associated with the measurements of both DH% and M_w is the extensive
72 sample preparation and long analysis times. Nevertheless, the two parameters are commonly
73 used to characterize protein degradation in EPH, and they have been used interchangeably for
74 process understanding and product characterization (Chi, Cao, Wang, Hu, Li, & Zhang, 2014;
75 Li, Wang, Chi, Gong, Luo, & Ding, 2013; Sbroggio, Montilha, de Figueiredo, Georgetti, &
76 Kurozawa, 2016; Slizyte, Mozuraityte, Martinez-Alvarez, Falch, Fouchereau-Peron, & Rustad,
77 2009). The measurement of DH% is most often accomplished using 2,4,6-
78 trinitrobenzenesulfonic acid (TNBS), o-phthaldialdehyde (OPA) or the pH-stat method
79 (Spellman, McEvoy, O'Cuinn, & FitzGerald, 2003). While these methods provide the means to
80 monitor a given EPH process, they have notable shortcomings related to the instability of
81 reagents, non-specific derivatizations and lack of standardization (Rutherford, 2010; Spellman,
82 McEvoy, O'Cuinn, & FitzGerald, 2003). M_w on the other hand is derived from molecular
83 distribution profile, typically obtained from size exclusion chromatography (SEC). DH% is a
84 parameter used as a process monitoring variable reflecting the relative extent of reaction
85 progress, while M_w is a direct measure of peptide composition reflecting product quality at a
86 specific time during the course of the reaction. In some cases, it is therefore important to
87 measure both DH% and M_w and explore their complimentary nature.

88 Beaubier et al. demonstrated the use of molecular weight distribution (MWD) profiles for
89 predicting both M_w and DH%. This was achieved only for pure protein hydrolysates (Beaubier,
90 Framboisier, Ioannou, Galet, & Kapel, 2019). This allowed for integration of clearly resolved
91 peptide and undigested protein regions of the MWD profile. The ratio of these areas was used
92 in a derived equation for the prediction of DH%. However, such an approach is arguably limited

93 to pure protein hydrolysates, since chromatographic resolution and selective integration of
94 digested and undigested protein will not be feasible for complex hydrolysates.

95 In the present work, we demonstrate the first application of dry-film FTIR for dual prediction
96 of DH% and M_w as key process monitoring parameters. In addition, a multivariate calibration
97 model was developed for prediction of DH% from MWD profiles of milk protein hydrolysates.

98

99 **2 Materials and methods**

100 **2.1 Materials**

101 The two enzymes used, protease from *Bacillus licheniformis* (Alcalase, 2.4 U/g) and
102 *Aspergillus oryzae* (Flavourzyme) by Novozymes (Bagsværd, Denmark), and the chemicals
103 used for the DH% measurements and SEC analysis were all purchased from Sigma-Aldrich (St.
104 Louis, MO, USA). This includes the TNBS, tris hydrochloride (Tris-HCl), analytical grade
105 acetonitrile, trifluoroacetic acid and monosodium phosphate and disodium phosphate. The
106 molecular weight standards used for the SEC analysis, (Albumin from chicken egg white,
107 carbonic anhydrase from bovine erythrocytes, lysozyme, aprotinin from bovine lung, insulin
108 chain B oxidized from bovine pancreas, renin substrate tetradecapeptide porcine, angiotensin II
109 human, bradykinin fragment 1-7, [D-Ala²]-leucine enkephalin, Val-Tyr-Val and tryptophan)
110 were also purchased from Sigma-Aldrich. The water used for the HPLC mobile phase was
111 purified by deionization and 0.22 µm membrane filtration (MilliporeSigma, Burlington, MA,
112 USA).

113

114 **2.2 Substrate raw materials**

115 Three protein-rich materials derived from dairy sources were used to produce the hydrolysate
116 samples. This includes milk whey protein concentrate powder (WPC80), whole milk powder
117 (WMP) and milk whey powder (WPO), containing 77%, 28% and 12% protein respectively.
118 The materials were provided by TINE (Oslo, Norway).

119

120 **2.3 Enzymatic hydrolysis and sampling**

121 The enzymatic hydrolysis reactions were performed in 250 mL bottles. Substrate raw materials
122 and water were mixed in 3% w/v protein to liquid (i.e., WPC80 (8 g), WMP (22 g) and WPO
123 (52 g) to 200 mL water) to allow stirring in all solutions. All reaction mixtures were placed in
124 a GFL[®] water bath (Thermo Fisher Scientific, Waltham, MA, USA) and mixed using a
125 Variomag[®] magnetic stirrer (Thermo Fisher Scientific) until the suspension reached 50±1 °C.
126 The reaction mixtures were then kept at the set temperature followed by addition of 2% enzyme
127 w/w to protein content in the substrate. The reaction times for enzymatic hydrolysis for all
128 combinations of enzyme and substrate were set to 2, 5, 10, 15, 30, 45, 60, 90, 120 and 180
129 minutes. When the desired reaction time was reached, the enzyme was thermally inactivated by
130 fast microwave heating to minimum 90 °C followed by treatment for 15 minutes in a water bath
131 which was kept at 90 °C. The reaction mixtures were then allowed to cool to room temperature
132 followed by centrifugation using an Avanti[®] J-26 XP, Beckman Coulter[™] (Beckman Coulter
133 Life Sciences, Indianapolis, IN, USA) at 5000 RPM. The supernatant was collected and stored
134 at -20 °C. The frozen samples were then freeze-dried and kept at -20 °C until analysis.

135

136 **2.4 DH%-assay (TNBS)**

137 The DH% was measured using a TNBS method based on descriptions by Satake et al. and
138 Adler-Nissen (Adler-Nissen, 1979; Satake, Okuyama, Ohashi, & Shinoda, 1960). The buffer
139 (0.21 M sodium phosphate buffer; pH 8.2) was prepared and stirred for 60 minutes at room
140 temperature. Calibration solutions were prepared by a dilution series containing 0, 0.075, 0.15,
141 0.3, 0.6, 0.9, 1.2 and 1.5 mM Leucine in 1% SDS solution. The samples were prepared by
142 dissolving 10 mg/mL hydrolysate powder in 0.1 M Tris-HCl pH 8.0 buffer followed by a
143 dilution in 1% SDS-solution to 0.5 mg/ml. All samples and calibration solutions were measured
144 in triplicate in Pierce™ 96-Well Polystyrene Plates, Corner Notch (Thermo Fisher Scientific).
145 15 µL of sample (reference or calibration solution) was added per well followed by the addition
146 of 45 µL 0.21 M sodium phosphate buffer (pH 8.2) and 45 µL of a TNBS solution (0.05% w/v
147 in water). The plate was sealed with a sticker and wrapped in aluminum foil to avoid UV
148 degradation during the one hour incubation time at 50 °C. After incubation, 90 µL 0.1 M HCl
149 was added to all wells before absorbance was measured at 340 nm using a BioTek Synergy™
150 H1 spectrophotometer (BioTek Instruments, Winooski, VT, USA). All measurements were
151 performed in triplicates. The DH% values were then calculated according to Equation 1, using
152 h_{tot} estimated from literature values and protein content measurements from Dumas combustion
153 analysis (Church, Swaisgood, Porter, & Catignani, 1983; Simonne, Simonne, Eitenmiller,
154 Mills, & Cresman, 1997; Spellman, McEvoy, O'Cuinn, & FitzGerald, 2003). The measured
155 DH% values and the protein content analysis data is presented in supporting information (SI)
156 Table S-2 and S-4.

157
$$\text{DH\%} = \frac{h}{h_{tot}} \times 100\% \quad (1)$$

158 2.5 Size exclusion chromatography

159 SEC was performed according to Wubshet et al. using 2 mg/mL solutions of standards and
160 rehydrated hydrolysate samples (1% w/v, filtrated using Millex-HV PVDF 0.45 μ m 33 mm
161 filters (MilliporeSigma, Burlington, MA, USA)) as injection solutions (Wubshet, et al., 2017).
162 Chromatographic separation of standards and samples was performed with a Thermo Scientific
163 Dionex UltiMate 3000 Standard System (Thermo Fisher Scientific). The injection volume was
164 10 μ L for the standards and 15 μ L for samples. Separation was performed at 25 $^{\circ}$ C using a
165 BioSep-SEC-s2000 column (300 \times 7.8 mm, Phenomenex, Torrence, CA, USA). The mobile
166 phase consisted of a mixture of acetonitrile and ultrapure water in a proportion of 30:70 (v/v),
167 containing 0.05 % trifluoroacetic acid. Isocratic elution was carried out using a flow rate of 0.9
168 mL/min for 20.0 minutes. Between 20.0 and 20.1 minutes the mobile phase was changed to
169 NaH_2PO_4 (0.10 M) and maintained until 23.0 minutes for column cleaning. Elution conditions
170 were restored between minute 23.0 and 23.1 and the column was equilibrated for an additional
171 27 minutes. Chromatographic runs were controlled from ChromeleonTM Chromatography Data
172 System (CDS) software (Thermo Fisher Scientific). From chromatographic runs of both the
173 standards and hydrolysates, a UV trace of 214 nm was used. The retention times of analytical
174 standards were obtained by manual peak-picking. The retention times of the standards were
175 used to construct a third polynomial ($r^2=0.97$) fitted calibration curve (Vander Heyden,
176 Popovici, & Schoenmakers, 2002). The retention times for the standards are presented in SI
177 Table S-1. Finally, M_w were calculated using PSS winGPC UniChrom V 8.00 (Polymer
178 Standards Service, Mainz, Germany) for each chromatogram. The calculation from the software
179 was based on a slicing method, similar to those previously used for analysis of protein
180 hydrolysates (Hsieh, Lin, Lang, Catsimpoolas, & Rha, 1979). The calculated M_w values are
181 presented in SI Table S-3.

182

183 **2.6 Reproducibility study**

184 Reproducibility studies were performed on both the DH% and the SEC methods by re-analyzing
185 a selection of samples (5, 30, and 120 min samples for all the six hydrolysis time-series)
186 intraday (n=6) and interday (n=6). All measurements were performed according to the
187 description provided in chapter 2.3 and 2.4. The average, standard, and relative standard
188 deviations are presented in SI Table S-5 and S-6.

189

190 **2.7 FTIR spectroscopy**

191 The samples for FTIR measurements were prepared by rehydration and filtration of the
192 supernatant (5% w/v, filtrated using Millex-HV PVDF 0.45 μm 33 mm filters (MilliporeSigma,
193 Burlington, MA, USA)). For all of the hydrolysates aliquots of 5 μL were deposited on 96-well
194 IR-transparent Si-plates (Bruker, Billerica, MA, USA) and dried at room temperature for at
195 least 30 minutes to form dry-films as described by Böcker et al. (Böcker, Wubshet, Lindberg,
196 & Afseth, 2017). From each hydrolysate sample, five aliquots were deposited to allow for
197 replicate measurements. FTIR measurements were performed using a High Throughput
198 Screening eXTension (HTS-XT) unit coupled to a Tensor 27 spectrometer (Bruker, Billerica,
199 MA, USA). The spectra were recorded in the region between 4000 and 400 cm^{-1} with a spectral
200 resolution of 4 cm^{-1} and an aperture of 5.0 mm. For each spectrum, 40 interferograms were
201 collected and averaged. Data acquisition was controlled using Opus v6.5 (Bruker, Billerica,
202 MA, USA).

203

204 **2.8 Data analysis**

205 Pre-processing of FTIR spectra was performed using Savitzky-Golay algorithm with a
206 polynomial degree of two and a window size of 13 points. The second-derivative spectra were

207 then normalized by applying extended multiplicative signal correction (EMSC) (Afseth &
208 Kohler, 2012). The pre-treated data sets from the different samples were subjected to partial
209 least squares regression (PLSR) modeling to predict DH% and M_w . For the PLSR, the spectral
210 region from 1800-700 cm^{-1} was used. The regions from 5-20 min of the SEC chromatogram
211 were also subjected to pre-processing using area normalization. The pre-treated datasets from
212 5-16 min of the SEC chromatograms were then subjected to PLSR modeling to predict DH%.
213 Data processing and analysis were carried out using The Unscrambler® X v10.3 (CAMO
214 Software AS, Oslo, Norway).

215

216 **3 Results and discussion**

217 Three dairy protein sources (WPC80, WMP and MPO) were hydrolyzed for up to three hours
218 using two commercially available enzyme products (Alcalase (A) and Flavourzyme (F)). The
219 reactions were stopped by thermal inactivation of the enzymes before samples were prepared
220 for FTIR, SEC, nitrogen content and DH% analysis. The raw materials used are known to
221 consist of a mixture of many different proteins. Whole milk protein, for example, consists of
222 approximately 20% whey proteins with major components α -lactalbumin and β -lactoglobulin.
223 The rest, 80% casein, constitutes major subclasses α - (α_{S1} - and α_{S2} -), β -, and κ -casein (Gellrich,
224 Meyer, & Wiedemann, 2014).

225

226 **3.1 Degree of hydrolysis (DH%) and weight average molecular weight (M_w)**

227 In this study, two very different protease products were chosen based on their differences in the
228 main mode of action during hydrolysis. Alcalase consists of mainly endopeptidases while
229 Flavourzyme mainly contains exopeptidases (Merz, Claaßen, Appel, Berends, Rabe, Blank, et

230 al., 2016). The endopeptidase mode of action is to cut within the peptide chains, whereas
231 exopeptidases cut at the very ends of peptide chains. As such, these are representative of the
232 two main groups of proteases when investigating the development in DH% and M_w during the
233 course of the hydrolysis reaction. DH% was measured using a TNBS method developed for 96
234 well plates. This type of method is well established and is commonly used to follow EPH
235 reactions of food proteins (Rutherford, 2010; Spellman, McEvoy, O'Cuinn, & FitzGerald,
236 2003). The results displayed in Fig. 1A and 1B, show the development of DH% as a function
237 of the hydrolysis time. One important observation from this data is the similarities of trajectories
238 for all three raw materials hydrolyzed with Alcalase (Fig. 1A), despite an expected difference
239 in the composition of the hydrolysates from the three different raw materials. This is related to
240 the fact that DH%, while showing the overall reaction progress, does not reflect the actual
241 composition of the hydrolysates at a given time. A direct comparison of two different
242 hydrolysates from different batches of raw materials based on DH% alone is therefore
243 inadequate. The addition of a complementary parameter reflecting the actual composition of
244 the hydrolysates in terms of MWD could provide more comprehensive information of the EPH
245 process.

246 In order to obtain this complementary process monitoring parameter to the DH%, all the
247 hydrolysates were subjected to SEC analysis. Here, M_w derived from the SEC profiles was
248 monitored as a function of hydrolysis time (Fig. 1C and 1D). M_w has previously been used as
249 both a process monitoring and product quality parameter in EPH (Li, Wang, Chi, Gong, Luo,
250 & Ding, 2013; Wubshet, et al., 2017). In contrast to the DH%, a clear distinction could be
251 observed for M_w trajectories for hydrolysates of the different raw materials (see Fig. 1C and
252 1D). This shows that the combined use of DH% and M_w as process monitoring parameters not
253 only reflects the hydrolysis progression, but also gives additional information of MWD during
254 the course of hydrolysis.

255

256 **3.2 DH% vs. molecular weight distribution**

257 While DH% and M_w have been independently used to monitor proteolysis, the direct
258 relationship between these two parameters has not been studied previously. In the present study,
259 a general trend of an inverse correlation was observed when plotting M_w against DH% (Fig. 2),
260 and each of the six hydrolysis reactions follows a different exponential decay trend. As
261 previously described, this can also be explained by the fact that Alcalase mainly consists of
262 endopeptidases which results in a relatively fast drop in M_w with increasing DH% in the start
263 of the reaction. Flavourzyme on the other hand, consists mostly of exopeptidases which digest
264 terminal peptide bonds, results in a slower M_w reduction. This difference can easily be observed
265 at any specific DH% value (Fig. 2). Here, Flavourzyme samples always have higher M_w
266 compared to the corresponding sample hydrolyzed with Alcalase e.g. WMP samples at DH%
267 10. At this DH% value WMPF has a M_w of about 5500 g/mol while WMPA has a M_w of
268 approximately 2700 g/mol. This and the development of the parameters with time shows that
269 relationships between DH% and M_w could provide important insight to the enzymatic mode of
270 action (endopeptidase vs. exopeptidase activity) in a given reaction system. The vital
271 observation in the data presented in Fig. 2 is the non-linear relationship between DH% and M_w .
272 This is an important aspect to consider when using the two parameters interchangeably for
273 monitoring an enzymatic protein hydrolysis. A video showing the data in 3D plot with time as
274 a third dimension is presented in SI.

275 After observing the non-linear univariate correlation between M_w and DH% (Fig. 2), a
276 multivariate correlation was assumed between the MWD profile and DH%. A PLSR model
277 where the entire chromatographic profile was used as a predictor of DH%, was created. The
278 results displayed in Fig. 3A and 3B show that it is possible to predict DH% from a size
279 distribution profile with high accuracy (root mean square error of the cross-validated

280 (RMSECV) of 0.86 % and a coefficient of determination of the cross-validated (R^2) of 0.97).
281 The general negative correlation with higher molecular weights and positive with the lower in
282 the regression coefficient shows a close link between MWD derived from SEC chromatography
283 and DH% (Fig. 3B). There are, however, some limitations related to the underestimation of the
284 higher DH% values and the overestimation of the lower DH% values. This is most likely linked
285 to the limitation of the column and instrumental setup for the SEC measurements. SEC columns
286 in general have a limited exclusion range dependent on the mobile and stationary phase (Hong,
287 Koza, & Bouvier, 2012). The BioSep-SEC-s2000 column has an exclusion range between 200-
288 300000 Da (Ahmed & Modrek, 1992). This can result in errors in the measured MWD of
289 samples containing larger amounts of molecules outside the exclusion range. Another major
290 factor responsible for the limitation of the SEC measurements is the detection method used. UV
291 detection at 214 nm will result in a systematic underestimation of free amino acids and
292 overestimation of proteins and peptides (Kuipers & Gruppen, 2007). The limitation in exclusion
293 range and the detection can therefore explain why the PLSR model curves at the lowest and
294 highest DH% values.

295

296 3.3 DH% and M_w reproducibility studies

297 A validation study for the two methods used to measure DH% and M_w , i.e., TNBS and SEC,
298 was performed to evaluate intra- and interday ($n=6$) reproducibility. A set of samples were
299 evaluated from each of the six hydrolysis time series. The results presented in Table 1 show
300 that the intra- and interday percentage relative standard deviation (%RSD) for DH%
301 measurement ranges from 0.89 to 12.18, and 2.27 to 11.36, respectively. Likewise, the intra-
302 and interday %RSD for the M_w measurements varies between 0.17 to 2.03, and 0.46 to 3.62,
303 respectively. Hence, the DH% measured resulted in generally higher %RSD as compared to
304 M_w . Technical errors and small changes in the reaction conditions are likely to be the major

305 reasons for the higher %RSD in DH% measurements. The non-systematic variation of the
306 %RSD for the DH% in terms of enzyme type, raw material and hydrolysis time supports this.
307 For M_w on the other hand, the %RSD shows a clear pattern in the intra- and interday
308 measurements. The intraday variation in %RSD is generally lower as compared to the interday
309 variation, explained by small changes in the SEC system over time (e.g. reduction in plate
310 number).

311

312 **3.4 Multivariate calibration of FTIR for prediction of DH% and M_w**

313 Multivariate calibration models for predicting both DH% and M_w from FTIR spectra were
314 developed. For the prediction of M_w , three outlying samples from EPH reactions using
315 Flavourzyme were removed from the model. These three samples, all collected after two
316 minutes of hydrolysis, contained a large proportion of proteins and peptides with high molecular
317 weight. These molecules are outside the exclusion range of the column, as observed in a relative
318 sharp increase in absorption at the void volume (approximately 6 min, see Fig. S-1 in SI). The
319 calculated M_w value for these samples are therefore underestimated and not a good
320 representation of the actual MWD.

321 The FTIR-based PLSR prediction models displayed in Fig. 4 provided prediction models with
322 an RMSECV of 1.3 % for DH% and of 373 g/mol for M_w . These errors are low compared to
323 the total variation range for both parameters (i.e., 6.1% for DH% and 7.7% for M_w). The R^2
324 obtained was 0.93 for DH% and 0.91 for M_w , which is also comparable to those previously
325 reported using FTIR to predict both parameters in EPH samples (Poulsen, et al., 2016; Wubshet,
326 et al., 2017). The prediction errors in the PLSR model for M_w are higher for the lower M_w values
327 (Fig. 4B). This can be explained by the same factors discussed in section 3.2, where similar
328 effects were observed in the PLSR prediction model of DH% when the whole SEC

329 chromatograms were used. This effect was not observed in the PLSR model where DH% was
330 predicted from FTIR spectra, indicating that the limitations of predicting M_w are mostly related
331 to the reference method.

332 The regression coefficients of the two PLSR models are provided in Fig. 5. From a comparison
333 of the regression coefficients, both distinct differences and similarities are revealed. Firstly, the
334 spectral region around the amide I ($\sim 1650\text{ cm}^{-1}$) and amide II band ($\sim 1550\text{ cm}^{-1}$) is the
335 dominating feature in both PLSR models. In addition, relative to the M_w model, the regression
336 coefficients of the DH% model show higher contributions of the NH_3^+ ($\sim 1516\text{ cm}^{-1}$) and COO^-
337 ($\sim 1400\text{ cm}^{-1}$) bands. This is very interesting, and in accordance with the fact that the amount of
338 N-terminals and C-terminals are directly proportional to the total cleaved peptide bonds (a
339 principal phenomenon measured as DH%). The spectral region around the amide I and II bands
340 contains information related to peptide backbone, and it has been shown that changes in this
341 region during proteolysis can be used to predict M_w (Wubshet, et al., 2017). There are, however,
342 some limitations as this region also contains more complex features related to protein and
343 peptides secondary structures (Yang, Yang, Kong, Dong, & Yu, 2015). Different raw materials
344 and the use of different enzymes in EPH processes will therefore result a high degree of
345 variation in the amide region, which in turn will affect the robustness of a regression model. A
346 way to overcome this challenge is to use a two-level PLSR model, where FTIR spectra are
347 classified according to raw material and the enzyme used prior to regression tuned to specific
348 raw materials and enzymes (Kristoffersen, Liland, Böcker, Wubshet, Lindberg, Horn, et al.,
349 2019).

350 From the previous discussion, it is clear that DH% and M_w provide complementary information
351 on EPH processes. Therefore, simultaneous measurement of the two provides a powerful
352 analytical platform in process monitoring and product characterization. In the present work, in
353 addition to studying the general correlation of DH% and M_w , multivariate models were

354 developed for prediction of DH% values directly from the MWD profiles. Such prediction
355 models can provide an alternative means of obtaining DH% thereby avoiding the need to
356 perform the lengthy derivatization experiment. The intercorrelation of DH% and M_w was
357 studied and we attempted to predict the two parameters from dry-film FTIR fingerprints. Due
358 to the inherent correlation of the two parameters, the PLSR models obtained from FTIR spectra
359 are generally similar, with slight differences in the regression coefficients.

360

361 **4 Conclusion**

362 The aim of the study was to explore the relationship between two vital parameters of an EPH
363 reaction (i.e., M_w and DH%) and develop dry-film FTIR based models for their rapid and
364 simultaneous prediction. M_w and DH% are important quality parameters containing
365 complimentary information and can therefore be used to adequately characterize the state of the
366 protein hydrolysis reaction, both during the course of the process and in the final product. The
367 results show that the spectral changes found in the FTIR region between ($1800-700\text{ cm}^{-1}$) during
368 EPH reactions of milk proteins can be used to predict both parameters with a relatively high
369 accuracy (RMSECV of 373 g/mol and 1.3 % for M_w and DH%, respectively). As the
370 correlations are satisfactory, we conclude that dry-film FTIR is a promising tool for the
371 prediction of both M_w and DH% in milk protein hydrolysates. The current study represents a
372 first application of dry-film FTIR for the dual prediction of M_w and DH% of dairy protein
373 hydrolysates. With growing interest in production of protein hydrolysates with high
374 reproducibility and quality, for example hydrolysates intended for infant formulas, industrially
375 relevant process monitoring tools are indispensable. Therefore, the demonstrated dry-film FTIR
376 based process monitoring can further be developed to serve as on- or at-line process monitoring
377 tool.

378 **5 Acknowledgments**

379 Anne-Grethe Johansen and TINE provided the dairy raw materials and Carl Emil Aae Eskildsen
380 assisted in making the graphics. They are all greatly acknowledged for their contributions.
381 Financial support from the Norwegian Fund for Research Fees for Agricultural Products
382 through the projects “FoodSMaCk” (no. 262308) and “SunnMat” (no. 262300), the Norwegian
383 Research Council through the project “Notably” (no. 280709) and “PepFishing” (no. 261849),
384 and internal financing from Nofima through the project “PepTek” is also greatly acknowledged.

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503 **Fig. 1.** DH% development (over time) for hydrolysis reactions of three different substrates
504 using A) Alcalase and B) Flavourzyme. M_w development (over time) for hydrolysis reactions
505 of three different substrates using C) Alcalase and D) Flavourzyme.

506 **Fig. 2.** M_w vs DH% for six hydrolysis reactions produced using two different enzymes.

507 **Fig. 3.** A) PLSR correlation plot of DH% for six hydrolysis reactions. Predicted from area
508 normalized and mid-centered chromatograms. B) The normalized regression coefficients of the
509 chromatograms for the PLSR model.

510 **Fig. 4.** A) PLSR correlation plot DH%, 60 samples B) PLSR correlation plot of M_w , 57 samples.

511 **Fig. 5.** Normalized regression coefficients for PLSR model of DH% (Fig. 5A) and M_w (Fig.
512 5B).

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519 **Table 1.** An overview of %RSD for the intra- and interday validation study of the methods used
520 to measure DH% and M_w

%RSD	Hydrolysis time (min)	Intraday (n=6)		Interday (n=6)	
		DH%	M_w	DH%	M_w
WPC80 5 A	5	6.31	0.75	4.70	2.48
WPC80 30 A	30	3.80	1.24	6.08	1.53
WPC80 120 A	120	2.74	0.76 ^a	3.54	1.53
WPC80 5 F	5	6.01	0.17	3.94	0.46
WPC80 30 F	30	3.68	0.39	3.67	2.29
WPC80 120 F	120	0.89	0.21	2.79	3.14
WMP 5 A	5	12.18	0.67	11.36	1.21
WMP 30 A	30	4.77	0.49	7.90	1.20
WMP 120 A	120	3.00	0.37	6.25	0.91
WMP 5 F	5	6.79	0.43	5.98	3.62
WMP 30 F	30	3.83	0.66	5.15	1.44
WMP 120 F	120	2.13	1.43	4.20	1.34
MPO 5 A	5	9.21	0.75	8.68	1.74
MPO 30 A	30	5.86	0.76	6.14	1.29
MPO 120 A	120	6.13	0.96	7.94	2.55
MPO 5 F	5	6.53	2.03	8.69	3.28
MPO 30 F	30	2.19	1.13	9.08	1.76
MPO 120 F	120	2.34	1.16	2.27	1.96

521 ^aOne of the measurements were removed due to injection error (n=5).

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