Detection of runs of homozygosity in Norwegian Red: Density, criteria and genotyping quality control

Borghild Hillestad[1], John Arthur Woolliams[2,3], Solomon Antwi Boison[4], Harald Grove[5],

Theo Meuwissen[2], Dag Inge Våge[2], Gunnar Klemetsdal[2]

[1]SalmoBreed AS, Sandviksboder 3A, N-5035 Bergen, Norway

[2]Department of Animal and Aquacultural Sciences (IHA), Norwegian University of Life

Sciences (NMBU), PO Box 5003, N-1432 Ås, Norway

[3]The Roslin Institute and Royal (Dick) School of Veterinary Studies, University of Edinburgh,

Easter Bush, Midlothian, EH25 9RG, Scotland, UK

[4]Nofima AS, Osloveien 1, N-1430 Ås, Norway

[5]Department of Research and Development, Mahidol University, 999 Phuttamonthon 4 Road,

Salaya, Nakhon Pathom 73170 Thailand

Borghild Hillestad borghildhillestad@gmail.com

John Arthur Woolliams john.woolliams@roslin.ed.ac.uk

Solomon Antwi Boison soloboan@yahoo.com

Harald Grove harald.gro@mahidol.ac.th

Theo Meuwissen theo.meuwissen@nmbu.no

Dag Inge Våge daginge.vage@nmbu.no

Gunnar Klemetsdal gunnar.klemetsdal@nmbu.no

Corresponding author: Borghild Hillestad

Abstract

24

25 **Background.** Runs of homozygosity (**ROH**) are long, homozygote segments of an individual's

26 genome, traceable to the parents and might be identical by descent (**IBD**). Due to the lack of

27 standards for quality control of genotyping and criteria to define ROH, Norwegian Red was used

28 to find the effects of SNP density, genotyping quality control and ROH-criteria on the detection

29 of ROH.

30 **Materials and Methods.** A total of 384 bulls were genotyped with the Illumina HD-chip

31 containing 777,962 SNP-markers. A total of 22 data subsets were derived to examine effects of

32 SNP density, quality control of genotyping and ROH-criteria. ROH was detected by PLINK.

33 **Results and Conclusions.** High SNP density led to increased resolution, fewer false positive

34 ROH segment, and made it possible to detect shorter ROH. Considering the ROH criteria, we

35 demonstrated that allowing for heterozygote SNP could generate false positives. Further,

36 genotyping quality control should be tuned towards keeping as many SNP as possible, also low

37 MAF SNP, as otherwise many ROH segments will be lost.

38

39 *Keywords:* Runs of homozygosity, SNP density, ROH standards, MAF

40

Introduction

41

42 Runs of homozygosity (**ROH**) are stretches of homozygous segments present in the genome

43 caused by parents transmitting identical haplotypes to their offspring. If two copies of the same

44 ancestral haplotype are passed on to an offspring, homozygosity occurs (Broman & Weber,

45 1999). Over its length, the frequency of homozygosity depends on the history and the

46 management of the population. The use of molecular markers in human data, allowed Broman

47   and Weber to demonstrate the relationship between the length of the homozygous segment and

48   the length of time from the common ancestor. Although the proportion of the genome that is

49   homozygous, irrespective of length, can be used as a measure of observed inbreeding, a

50   distinctive feature of ROH is that, it has the possibility to distinguish between recent and ancient

51   inbreeding (Hayes et al., 2003). A homozygous segment originating from a more recent ancestor

52   is expected to be longer as there have been fewer opportunities for recombination to reduce its

53   length. By looking at the ratio between the total length of ROH in an individual and the length of

54   the genome, an observed inbreeding coefficient (**F_{ROH}**) is created (McQuillan et al., 2008).

55

56   However, these simple ideas have debatable issues, primarily around the idea of a haplotype.

57   F_{ROH} is not defined absolutely in the absence of sequence, and typically relies on SNP marker

58   data. Therefore, a ROH depends *a priori* on parameters used to define the length of the ROH

59   when it is inferred from markers. These parameters are often associated with the quality control

60   applied to the marker genotypes, and this differs from study to study. A common procedure has

61   been the removal of SNP with minor allele frequency (**MAF**) below a certain threshold. As this

62   has been common in genome-wide association studies (**GWAS**), it has also become accepted as

63   a genotyping quality control in ROH analysis (Bolormaa et al., 2010, Nishimura et al., 2012,

64   Kim et al., 2013, Ferenčaković et al., 2013a). A justification of this procedure in GWAS has

65   been to avoid SNP whose effect may be sensitive to rogue phenotypes or sub-structures, but an

66   additional purpose is to remove SNP that have been incorrectly genotyped. Whilst the latter is

67   relevant to ROH, the former is not, and hence it remains a question whether removal of low

68   MAF SNP is necessary for ROH estimation, and if such control measures improve the detection

69   and value of F_{ROH}.

70

71 This question becomes more relevant if the primary processing of genotype data is for use in

72 genomic selection (**GS**) or genetic relationship matrix (**G**) (Meuwissen et al., 2001). In the

73 context of GS, it is common to delete SNP with MAF as high as 0.05 (Cole et al., 2009). Other

74 studies like Keller et al. (2011) have pruned MAF > 0.05, when using different F coefficients

75 based on SNP to investigate the power for detecting inbreeding depression. Studies such as these

76 highlight the importance of quality controls on the SNP data designed for different purposes.

77

78 Another important factor is the density of the SNP chip used in ROH detection (Howrigan et al.,

79 2011; Purfield et al., 2012; Ferenčaković et al., 2013b). Ferenčaković et al. (2013b)

80 demonstrated that, when detecting ROH segments that are < 4 Mb, the use of the Illumina

81 Bovine 50K SNP chip (the SNP chip commonly used in genomic evaluation in cattle

82 populations) is not appropriate. They observed that, with the 50K SNP chip, the detected ROHs

83 with length < 4 Mb were mostly artefact which led to an overestimation of F_{ROH} compared to the

84 Illumina HD Bovine SNP chip, that keeps a SNP density of 777K. Although HD SNP chips have

85 not been widely used as the default genotyping array due to it cost, there is currently an

86 increasing tendency to use a slightly denser SNP array for genomic evaluation in cattle. The

87 reasons for using a denser SNP array varies from the possibility of including causal variants

88 detected with the BovineHD or sequence information, and availability of relatively cheaper and

89 more informative SNP chips (GeneSeek [Neogen Corp., Lexington, KY] vs. Illumina [Illumina

90 Inc., San Diego, CA]), among others. For example, there is a gradual shift from the 50K SNP

91 chip to the 77K/84K SNP array by the Council on Dairy Cattle Breeding (Bowie, MD) in the

92 United States (Wiggans et al., 2016). There is therefore the potential of using different SNP

93   densities (not only the Bovine 50K and HD) in the detection of ROHs, and these need to be

94   studied.

95

96   In addition to the impact of SNP density on detecting ROHs, there is lack of uniformity in

97   criteria used for the detection of a ROH segment. This lack of uniformity is due to the

98   complexities in defining: i) the size (the number of markers or length of segment) of the sliding

99   window; ii) the minimum ROH length (either in number of markers or segment length); iii) the

100   number of markers allowed to be missing within a sliding window and iv) the number of

101   heterozygotes allowed (Purfield et al., 2012; Ferenčaković et al., 2013b; Sölkner et al., 2014;

102   Marras et al., 2015; Mészáros et al., 2015). The lack of standards in the criteria used for ROH

103   detection could be attributed to: a) difficulties in applying ROH detection standards across

104   species (e.g. standards from human genetic studies cannot directly be applied to cattle or chicken

105   populations due to difference in effective population size), or b) differences in pattern of

106   genotyping errors, quality of genotypes, or allele frequency distribution for different SNP panels.

107   This therefore restricts the direct adoption of ROH detection criteria from different authors. For

108   example, after a careful study of different ROH criteria for detection, Ferenčaković et al. (2013b)

109   concluded that, the number of heterozygous SNPs allowed within a ROH segment, should be

110   determined separately for each ROH length of interest and for each SNP density. Since the

111   criteria to define ROH for each SNP density will affect what and how much we detect of

112   clustered homozygosity, it is of interest to find the optimum criteria and to know what gives the

113   most accurate and informative detections in ROH to define inbreeding. Herein, the aims were to

114   examine the effects of SNP density, genotyping quality control (preferably removal of low MAF

115   SNP) as well as various ROH criteria on ROH detection.

116

Materials and Methods

118 **Detection of ROH in data subsets with different SNP densities for predefined ROH criteria**

119 The impact of SNP density on the detection of ROH was examined in 384 Norwegian Red bulls

120 genotyped with the Illumina HD panel. The panel contains 777,962 SNP-markers, covering 2.51

121 Gb of the 3 Gb large genome, although not all these SNP-markers will be polymorphic in the

122 Norwegian Red. After genotyping, the marker data passed through several stages of quality

123 controls, or genotype editing, to exclude markers on sex-linked chromosomes, call rate per SNP

124 < 90 % (individual SNP score missing if GenCall score < 0.7) and deviation from Hardy-

125 Weinberg ($P < 10^{-6}$) (Table 1). Three animals were deleted for having genotypes for fewer than

126 95 % of loci. This resulted in the retention of 707,609 SNP, which will be denoted the 708K set.

127

128 The 708K set was sequentially pruned to give further nine subsets of data. The pruning was done

129 to test the effect of SNP density on the size of detectable ROHs. Recommendation from the

130 results of testing different SNP densities is especially useful in the cattle breeding industry where

131 different SNP arrays are used for genomic evaluation and invariably ROH detection (Neves et

132 al., 2014; Haile-Mariam et al., 2015; Wiggans et al., 2016). The first pruning removed every

133 fourth SNP, by physical order, from the 708K set to obtain a subset of 530,706 SNP (denoted

134 531K set). This procedure was repeated by removing every fourth SNP from the 531K set, to

135 obtain a 398K set, and a further seven times to give the smallest subset (53K set). All densities

136 achieved are shown in Table 2.

137

138   For each of these sets, ROH were identified with PLINK 1.07 (Purcell et al., 2007). PLINK takes

139   a window of 5,000 Kb and slides it across the genome, determining homozygosity at each

140   window. The identifications of ROH in PLINK requires specifications of criteria concerned with:

141   (i) the minimum number of adjacent homozygous SNP loci to define a run; (ii) the number of

142   heterozygous SNP allowed within a window, which is permitted as they are presumed to be

143   genotyping errors; (iii) the number of missing SNP allowed within a window; (iv) the maximum

144   physical distance between adjacent SNP within a run (maximum gap length); and (v) the

145   minimum density of SNP within a run (average Kb per SNP). These ROH criteria differed

146   according to the SNP density of the subset used, and a broad specter of criterion parameters were

147   tested in advance. Since the number of SNPs analyzed per sliding window increased with SNP

148   density, the parameter settings chosen were changed accordingly, and the settings are shown in

149   Table 3.

150

151   **Detection of ROH when altering ROH criteria**

152   When searching for ROHs, it has been common to allow one heterozygote SNP per window,

153   because they are assumed to be genotyping errors. Normally, you would not expect to find

154   heterozygote SNP in a window that only contains homozygote SNPs, but this step may provide

155   false ROHs as the density on arrays over time are increasing and the genotyping technology is

156   improving. Therefore, to test the effect of allowing one heterozygote SNP per window another

157   subset ($708K_{Alt1}$) was generated that did not allow for any heterozygote SNP per window (Table

158   3). Further, the effect of applying ROH criteria used for lower SNP density sets was examined by

159   generating three datasets; $708K_{Alt2}$, $708K_{Alt3}$ and $708K_{Alt4}$, that used the same criteria applied to

160   the 53-94K, 126K and 168-299K SNP densities, respectively. In addition to not allowing a

161   heterozygous SNP within a ROH for the 708K SNP density ($708K_{Alt1}$), the number of SNPs

162   allowed to be missing in a ROH was reduced from 3 to 1 SNP ($708K_{Alt5}$).

163

Detection of ROH with varying MAF thresholds

165   To find what effect removal of low MAF SNP has on ROH detection, two additional subsets

166   were defined based on the 708K set. These were obtained by pruning SNP with MAF < 0.01,

167   resulting in a loss of approximately 14 % SNP and a total of 610,885 SNP ($611K_{MAF}$). A further

168   subset was obtained by removing SNP with MAF < 0.02; resulting in a loss of an additional 2 %

169   of SNP and a total number of 597,454 SNP ($597K_{MAF}$) (Table 2). In both these datasets,

170   identification of ROH was done as earlier described with criteria given in Table 3. Differences

171   between ROH identified with 708K, $611K_{MAF}$ and $597K_{MAF}$ were investigated and classified

172   according to chromosomes.

173

Heterozygosity on a chromosomal level

175   To search for signs of selection, heterozygosity was estimated at a chromosomal level. For the

176   708K set, average rate of heterozygosity (**Het**) was estimated based on the following equation:

177

178   $$\text{Het} = O\left(\text{Het}\right) / N\left(\text{NM}\right) \tag{1}$$

179

180   where O(Het) is observed heterozygosity and N(NM) is defined as the number of non-missing

181   genotypes.

182

183 ## Results

184 **Variation in SNP densities and ROH criteria**

185 *Minimum number of homozygous SNP/Kb.* With a minimum threshold set both in Kb and in

186 number of SNP, this is reflected in the missing pattern of Table 4, e.g. ROH segments shorter

187 than 2 Mb could not be detected when the criterion set the threshold for minimum length to

188 2,000 Kb, as for 53K – 94K (Table 3).

189

190 *SNP density.* Across the 10 sets with differing SNP densities, the average number of ROH in an

191 individual differed from 23.2 (53K) to 209 (398K) (Table 4). The maximum number of observed

192 ROH was therefore not found in the densest SNP set, but in the 398K set. The effect of SNP

193 density could be seen within groups: 53K, 71K, 94K and 708K$_{Alt2}$ sets; 126K and 708K$_{Alt3}$ sets;

194 224K, 299K and 708K$_{Alt4}$ sets and the 398K, 531K and 708K sets, where in each of these groups

195 all criteria was the same except for the density that was altered (Table 3). In principle, with

196 constant additional criteria, using more SNP to detect ROH would be expected to reduce the

197 observed numbers of long ROH and total length of ROH as the additional SNP will help to

198 remove false positives ROH segments that may have been identified with the lower SNP density

199 (Figure 1a). This is because an increasing density of markers within a ROH will allow for

200 detection of heterozygote markers not present on the lower density marker panel. For the first

201 group (53K, 71K, 94K and 708K$_{Alt2}$ sets) the lengths of ROH seemed to be redistributed when

202 density was changed (Table 4), because as SNP density increased, longer ROH were split into

203 shorter segments, which reduced the total length of ROH.

204

205 The 53K set contained on average only 88.5 SNP in a 5 Mb window and as much as 15 SNP

206 were required to establish a ROH of length 2 Mb, fewer ROH of lengths between 2Mb and 4Mb

207 were detected with the 53K set than the 94K set. The 94K set had an average of 157.4 SNP in a 5

208 Mb window, and detected 13.1 ROH between 2 and 4 Mb (cf. 9.8 in the 53K set). Similarly, the

209 708K$_{Alt2}$, with a coverage of 1,179.3 SNP per window detected 14.4 ROH in the 2-4 Mb

210 category.

211

212 The mentioned redistribution of ROH was also seen for the three other groups, but now ROH < 2

213 Mb decreased in number as the chip became denser and false positives were removed; therefore,

214 the high density sets provide better estimation possibilities of shorter ROH than low density sets.

215 Actually, of the 184.1 ROH detected in 708K data, 71 % were found in the shortest category (0.5

216 – 1 Mb) considered here.

217

218 *Heterozygous SNP*. Another contrast in the SNP density sets (126K cf. 168K of Table 3) was the

219 allowance of heterozygote SNP within a ROH. When SNP density increased it was expected that

220 the number of detected ROH of the different ROH groups increased more for short ROH than for

221 long ROH. In the 1-2 Mb category, the number of ROH detected increased by 63.8 % and in the

222 next category (2-4 Mb) the detected ROH increased by 6.9 % (Table 4). However, the other

223 densities suggest that the gain in the number of ROH was primarily in false positives (Figure 1b).

224 For the 1-2 Mb category the 708K set detected ROH intermediate between the 126K set and the

225 168K set, but closer to the 126K set. Almost all the additional ROH in the 2-4 Mb category were

226 removed subsequently as being false positives.

227

228   Comparison of results for 708K with those for 708K$_{Alt1}$ (Table 4) indicates that allowing

229   heterozygotes (in 708K) also added false positives to defined short ROH: by allowing one

230   heterozygote SNP per window, the amount of short ROH (0.5-1 Mb) increased with 46.8 %,

231   while long ROH (8-16 Mb) increased with only 8.3 % (Table 4). This suggests that allowance of

232   heterozygote SNP in a sliding window will increase the number of false positive ROHs, and is

233   therefore not recommended.

234

235   The average heterozygosity frequency within all ROHs at the 708K set was 1.1%. In this density

236   the minimum length of ROH was set to 0.5 Mb, and the frequency was higher in the 0.5-1 Mb

237   group (1.4%). In addition, the total number or called ROH in this group was 49,965 compared to

238   70,148 overall. Given that it for this density is estimated to be on average 1,179.3 SNPs on

239   average per 5 Mb sliding window (Table 3) and the we have allowed one heterozygote SNP per

240   sliding window, the frequency of heterozygosity within a run should be closer to $8x10^{-4}$. When

241   considering the 4-8 Mb ROH group in this dataset, the frequency of heterozygosity was in total

242   accordance with this estimate, and had a heterozygosity frequency of $8x10^{-4}$.

243

244   Also, in the 708K$_{Alt1}$ set, the frequency of short ROH were higher compared to longer ROH

245   (Table 4); the occurrence of ROH in the 0.5-1 Mb category was close to four folds the 1-2 Mb

246   category, clearly illustrated by the cumulative distribution of number of detected ROH by ROH-

247   lengths (Figure 2).

248

249   *Missing SNP.* The effect of allowing three missing SNP per window vs only one missing SNP

250   was examined (Table 4: 708K$_{Alt1}$ vs 708K$_{Alt5}$). The effect was only minor; the number of long

251 ROH had a small tendency to increase with increased number of missing SNP allowed, but did

252 not affect the results much.

253

254 *MAF*. By removing low MAF SNP from the data, the amount of long ROH increased and the

255 amount of short ROHs decreased (Figure 1c). The two MAF sets 597K$_{MAF}$ and 611K$_{MAF}$ had

256 ROH criteria identical to the 398K, 531K and 708K SNP sets (Table 3). Both these MAF sets

257 detected fewer ROHs than both the 531K and the 708K set, where the major differences

258 appeared at the 0.5-1 Mb category (Table 4). By mapping the loss of short ROH from 708K to

259 597K$_{MAF}$ by chromosome (Table 5), it appeared that the low MAF SNP removed were unevenly

260 distributed: BTA 8, 13 and 14, respectively, lost 30.8, 27.0 and 28.3 % of the total amount of

261 SNP in the chromosome when SNPs with MAF < 0.02 were removed compared to the average

262 loss of 15.7 % over the whole genome. When limiting results to short ROH (0.5-1 Mb), the

263 number was unevenly affected by removal of low MAF SNPs: BTA 13 and 14 lost 18.6 and 19.7

264 % of short ROH by pruning for MAF < 0.02, compared to the total average of 8.3 %, suggesting

265 that low MAF SNP are associated with the ROH and/or criteria used. This could be a sign of

266 selection signatures. Further support for selection signatures came from the lowered average rate

267 of heterozygosity on BTA 13 and 14 of 0.343 and 0.341, respectively, relative to a total average

268 of 0.355 (Table 5).

269

270 All ROH results presented in this study was found using PLINK 1.07, but as an extra control, we

271 also ran the dataset by SNP & Variation Suite 8.8.1 (Golden Helix, Inc., Bozeman, MT,

272 www.goldenhelix.com). The outcome from SVS analysis was highly similar to the outcome from

273 PLINK 1.07, and was therefore not further looked into (results not presented).

274

Discussion

276 There is a need to set standards of the constraints when ROH is used to estimate inbreeding.

277 Because both genotyping quality control and constraints to detect ROH are different from study

278 to study, it is difficult, if not impossible to compare results (Ferenčaković et al., 2013b). In this

279 study we altered on common variables and constraints within SNP density, genotyping quality

280 controls and criteria to detect ROH when using PLINK 1.07, where several factors rather gained

281 than removed error.

282

283 As the results showed, a redistribution of ROH occurred as the SNP density increased. Naturally

284 as the SNP density increases, both homozygote and heterozygote SNPs will occur in the newly

285 added SNPs, also in stretches of ROHs. This will cause a breakdown of ROHs and an increase of

286 short ROHs will arise together with a decrease of long ROHs. Therefore, a higher SNP density

287 improved the resolution, reduced errors by rescaling long ROH to shorter ROH, refusing falsely

288 detected ROH from low densities and by allowing shorter ROH to be detected. When ROH is

289 wanted, it is of great importance to keep as many SNP as possible in order to achieve a picture of

290 how homozygosity is distributed. And by using a high SNP density, more details contribute to a

291 more accurate estimate. There is no doubt that a high SNP density contribute to a more precise

292 estimate of ROH than a low density.

293

294 By using a high threshold for minimum length when detecting ROH, massive information on

295 homozygosity were rejected. Short ROH, that are likely to have been exposed to recombination

296 over a long time, relates to a more ancient base than that of the long ROH. Minimum length of

297 ROH of 0.5 Mb was defined in accordance with Purfield et al. (2012) and their study of multiple

298 cattle breeds (Angus, Belgian Blue, Charolais, Friesian, Hereford, Holstein, Holstein-Friesian

299 crosses, Limousin and Simmental), although there are several strategies for the minimum length

300 threshold. Ferenčaković et al. (2013a) chose 1 Mb as the minimum length when studying Brown

301 Swiss, Pinzgauer, Tyrol Grey cattle to avoid ROHs that were more likely to arise due to

302 population linkage disequilibrium (LD) rather than due to inheritance. Sodeland et al. (2011)

303 showed low LD levels at 0.5 Mb ($r^2 < 0.1$) in a historical analysis of Norwegian Red, which

304 strengthens our confidence in not calling ROHs aroused due to LD by setting the minimum

305 length of 0.5 Mb. There have been speculations whether or not it would be appropriate to raise

306 the minimum length of ROH in order to capture recent inbreeding and avoid ancient inbreeding

307 that no longer concerns the population, which is why the minimum length has been raised in

308 some studies (Rodriguez-Ramilo et al., 2015, Gómez-Romano et al., 2014). When inbreeding

309 was measured by ROH, all homozygosity that where not defined to be within a ROH was

310 rejected and assumed not to be IBD. Because we do not know if this assumption is correct, and

311 because some of the approved ROH also may not be IBD, we should be careful about removing

312 even more homozygosity by raising the threshold of minimum length. Precision is increased by

313 keeping as much information on homozygote SNP as possible.

314

315 Although changing the threshold in certain criteria set to define ROH did not influence on the

316 detection of ROH in most cases, two main criteria need to be commented: (i) First, to account for

317 genotyping errors, the ROH criterion allowed for one heterozygous SNP in a homozygous

318 segment within a window. This criterion created many short false positive ROH and should be

319 avoided. (ii) Second, by allowing for missing SNP within a window, the detection of ROH was

320   not affected much. Actually, as a SNP dataset became denser, more SNP will be missing because

321   information on some SNP also will be missing. By removing individuals with a call rate less than

322   95 %, it was expected that a maximum of 5 % of the SNP in an individual were missing. Because

323   the amount of ROH on the genome is restricted and proportional to the inbreeding coefficient,

324   the proportion of missing SNP being within a ROH were further reduced. With a limited number

325   of missing SNP per window, it is likely that the number of missing SNP does not affect results

326   much. Two additional criteria that were tested (result not shown) and which did not have a strong

327   effect on the number and size of ROHs detected were (iii) the average Kb per SNP and iv)

328   maximum gaps between markers in a ROH. This was because, the average distance between

329   markers on the HD panel is < 5 Kb, thus imposing a restriction of 50 Kb does not affect ROH

330   detection. Furthermore, very few gaps between SNP will be long, especially when low MAF

331   SNP were included and not pruned away, giving small differences in results when different gap

332   lengths were studied. Overall, while the need for applying restrictions on the maximum average

333   density per SNP, maximum gap length and number of missing SNP on HD-panel seem

334   redundant, it appears important to keep only homozygous SNP within a window to avoid false

335   positive ROH.

336

337   Given that genotyping error could be controlled by both a GC score threshold (Illumina, 2005)

338   and call rate, the remaining low MAF SNP will eventually contribute information to similarity of

339   chromosomal segments passed on from the sire and the dam, i.e. to homozygosity; in support of

340   including this information when determining ROH. Using markers with MAF > 0.01 and > 0.02

341   reduced the number of SNP by 14 % and 16 %, respectively, which might have led to the

342   reduction in the number of ROH detected, mainly short ROH. The data had to pass a genotype

343   quality control, for which the effect of MAF on ROH was examined. Because ROH are

344   continuous homozygote segments dependent on all information available, the method stands out

345   compared to the practice established in GWAS and GS that rely on contrasting effects of

346   genotypes linked up against traits. By removing low MAF SNP in GWAS and GS estimation,

347   incorrectly defined polymorphic SNP that contributed inaccurately and little to genomic

348   evaluation estimation have been removed (Edriss et al., 2013, Wiggans et al., 2009). Removal of

349   low MAF SNP was also custom in earlier studies within ROH (Ferenčaković et al, 2013a,

350   Howrigan et al., 2011, Edriss et al., 2013, Kirin et al., 2010, Silió et al., 2013), however, recent

351   literature has been in support of including information on low MAF SNP when searching for

352   ROH (Ferenčaković et al, 2013b). Thus, because ROH is arranged in continuous segments, it is

353   important to keep as much genomic information as possible, including low MAF SNP, so that

354   ROH will not get split or lost. The latter is affected by the criteria used for identifying ROHs,

355   which generally include a minimum number of SNPs within a run, a maximum gap length

356   between adjacent SNPs, and a minimum SNP density within a run.

357

358   By keeping low MAF SNP, an increased amount of short ROH were kept, tails on some stretches

359   were added and gaps were sealed detecting one long ROH instead of two shorter. Because low

360   MAF SNP often were clustered in long stretches and overrepresented on specific chromosomes,

361   it could indicate either segments of selection signatures or just the fact that some SNP chosen for

362   this chip were not optimal for Norwegian Red. Low MAF SNP have been used to identify

363   selection sweep in cattle (Ramey et al., 2013). Note that although these SNP are fixed in the

364   population under study, the fact that they are on the HD-panel imply that they still segregate in

365   other populations. By keeping the low MAF SNP, these SNP will be allowed to be captured in a

366   ROH, mostly by the shortest; that have been exposed to recombination for a long time. Contrary,

367   for more recent selection history, one should look for footprints set out by the longer ROH. For

368   instance, BTA 14, that showed a large amount of ROH and a low Het-value, has earlier proven to

369   contain several gene variants that influences economical important traits for both milk and beef

370   cattle breeds (Wibowo et al., 2008). Hence, low MAF ROH can signalize selection signatures

371   and trace selection gaining important information on inbreeding.

372

373   **Conclusions**

374   The detection of ROH was highly influenced by genotyping quality controls, criteria made for

375   identification of ROH and SNP density. A high SNP density improved the estimates of ROH and

376   gained more details. By moving from a low to a high SNP density, several criteria used to define

377   ROH became redundant. We recommend to keep only strictly homozygous segments within a

378   ROH to avoid false positives. Pruning of low MAF SNP are not recommended, as these

379   contributed to loss of information. There is a major need of standards both regarding to

380   genotyping quality controls and to definition criteria when ROH are studied in order to compare

381   results between different studies.

382

383   **Competing interests**

384

385   The authors declare that they have no competing interests.

386

387   **Author's contributions**

388

389   All authors designed the study, interpreted the findings and revised the manuscript. BH, SAB,

390   and HG prepared the genotype data. BH ran the analysis. BH, JAW, DIV, TM and GK analyzed

391   the results. BH drafted the manuscript. JAW, TM, DIV and GK co-wrote the manuscript.

392

401

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493   **Table 1: Genotyping quality controls**

494   Genotyping quality controls done on the Illumina HD-panel for 384 bulls in Norwegian Red.

Genotyping quality control	Remaining SNP	Lost # SNP	Lost in percent
Initial dataset	777,962	0	0
Autosomal SNP only	735,293	42,669	5.48
Animals with > 95% call rate	735,293	0	0
SNP with > 90% call rate	708,620	26,673	3.63
Hardy Weinberg Equilibrium (p <1e-06)	707,609	1,011	0.14
SNP with MAF< 0.01	610,885	96,724	13.67
SNP with MAF< 0.02	597,454	13,431	2.20

495 **Table 2: SNP densities used to detect ROH in Norwegian Red**

496 An overview over different SNP-datasets used to find ROH in 381 Norwegian Red bulls.

Density	Exact # of SNP	SNP pr Kb
Main density sets		
53K	53,129	0.0177
71K	70,839	0.0236
94K	94,452	0.0315
126K	125,937	0.0420
168K	167,917	0.0560
224K	223,890	0.0746
299K	298,521	0.0995
398K	398,029	0.1327
531K	530,706	0.1769
708K	707,609	0.2359
MAF sets		
597K$_{MAF}$	597,454	0.1992
611K$_{MAF}$	610,885	0.2036

497 **Table 3: Constraints set to detect ROH in Norwegian Red**

498 This table shows the constraints that were set to detect ROH in Norwegian Red for datasets

499 based on the following: i) Different SNP densities ranging from 53-708K after genotyping

500 quality controls; ii) HD panels ($708K_{Alt1-5}$) where different constraints have been explored at the

501 PLINK settings of ROH constraints and iii) HD panels with two different thresholds for MAF:

502 One set where SNP with $MAF < 0.01$ were pruned ($611K_{MAF}$) and another at $MAF < 0.02$

503 ($597K_{MAF}$).

SNP density	SNP pr window (5,000 Kb)	Min. # homozygous SNP	Min. # homozygous Kb	# heterozygote SNP allowed per window	# missing SNP allowed per window	Max. gap length (Kb)	Max. avg. Kb pr SNP
Main density sets							
53K	88.5	15	2,000	0	1	1,000	150
71K	118.1	15	2,000	0	1	1,000	150
94K	157.4	15	2,000	0	1	1,000	150
126K	209.9	25	1,000	0	2	500	150
168K	279.9	25	1,000	1	2	500	150
224K	373.2	25	1,000	1	2	250	50
299K	497.5	25	1,000	1	2	250	50
398K	663.4	50	500	1	3	250	50
531K	884.5	50	500	1	3	250	50
708K	1,179.3	50	500	1	3	250	50
Variants of HD-panel							
$708K Alt_1$	1,179.3	50	500	0	3	250	50
$708K Alt_2$	1,179.3	15	2,000	0	1	1,000	150
$708K Alt_3$	1,179.3	25	1,000	0	2	500	150
$708K Alt_4$	1,179.3	25	1,000	1	2	250	50
$708K Alt_5$	1,179.3	50	500	0	1	250	50
MAF sets							
$597K_{MAF}$	995.8	50	500	1	3	250	50
$611K_{MAF}$	1,018.1	50	500	1	3	250	50

504

Table 4: Average number of detected ROH per animal

Average number of ROH detected per individual, grouped into lengths of the segment in 381

Norwegian Red. Standard errors (SE) are listed in parentheses.

SNP density	0.5-1Mb	1-2Mb	2-4Mb	4-8Mb	8-16Mb	>16Mb	Total	Total >2Mb
Main density sets								
53K	-	-	9.8 (0.21)	8.0 (0.18)	4.0 (0.12)	1.4 (0.09)	23.2 (0.42)	23.2 (0.42)
71K	-	-	12.9 (0.24)	8.0 (0.18)	3.9 (0.12)	1.4 (0.09)	26.2 (0.45)	26.2 (0.45)
94K	-	-	13.1 (0.25)	8.0 (0.18)	3.9 (0.12)	1.4 (0.09)	26.4 (0.46)	26.4 (0.46)
126K	-	22.1 (0.26)	13.1 (0.25)	8.0 (0.18)	3.9 (0.12)	1.3 (0.09)	48.4 (0.57)	26.7 (0.46)
168K	-	36.2 (0.31)	14.0 (0.25)	8.0 (0.17)	3.9 (0.12)	1.5 (0.09)	63.6 (0.58)	27.4 (0.45)
224K	-	33.1 (0.31)	13.5 (0.25)	8.2 (0.18)	3.9 (0.12)	1.4 (0.09)	60.1 (0.59)	27.0 (0.46)
299K	-	30.4 (0.30)	13.6 (0.25)	8.2 (0.19)	3.9 (0.12)	1.3 (0.09)	57.4 (0.59)	27.0 (0.46)
398K	153.8 (0.67)	28.6 (0.28)	13.4 (0.25)	8.1 (0.18)	3.9 (0.12)	1.3 (0.09)	209.1 (0.80)	26.7 (0.46)
531K	142.4 (0.62)	27.4 (0.28)	13.4 (0.25)	8.0 (0.18)	3.9 (0.12)	1.3 (0.09)	196.4 (0.78)	26.6 (0.46)
708K	131.1 (0.61)	26.3 (0.29)	13.4 (0.25)	8.1 (0.18)	3.9 (0.12)	1.3 (0.09)	184.1 (0.79)	26.7 (0.46)
Variants of the HD-panel								
708K$_{Alt1}$	89.3 (0.51)	23.0 (0.31)	14.1 (0.27)	8.4 (0.20)	3.6 (0.12)	1.0 (0.08)	139.4 (0.83)	27.1 (0.50)
708K$_{Alt2}$	-	-	14.4 (0.29)	8.2 (0.20)	3.5 (0.12)	0.9 (0.08)	27.0 (0.51)	27.0 (0.51)
708K$_{Alt3}$	-	23.2 (0.31)	14.0 (0.28)	8.3 (0.19)	3.7 (0.12)	1.0 (0.09)	50.2 (0.66)	27.0 (0.50)
708K$_{Alt4}$	-	26.5 (0.30)	13.5 (0.26)	8.1 (0.19)	3.8 (0.12)	1.3 (0.09)	53.2 (0.61)	26.7 (0.47)
708K$_{Alt5}$	90.0 (0.58)	24.0 (0.39)	14.6 (0.29)	8.3 (0.20)	3.4 (0.12)	0.9 (0.08)	141.2 (1.00)	27.2 (0.52)
MAF sets								
597K$_{MAF}$	120.3 (0.59)	25.3 (0.28)	13.0 (0.25)	8.0 (0.18)	3.8 (0.12)	1.3 (0.09)	171.7 (0.79)	26.1 (0.46)
611K$_{MAF}$	121.9 (0.59)	25.5 (0.28)	13.0 (0.25)	8.0 (0.18)	3.8 (0.12)	1.3 (0.09)	173.5 (0.79)	26.1 (0.46)

509 **Table 5: Chromosome wise loss of SNP by removing Low MAF SNP**

510 Total loss of SNP per chromosome and short ROH (0.5-1Mb) by pruning for low MAF SNP and

511 average heterozygosity (Het) in 381 Norwegian Red genotyped with the 708K set.

BTA	Size of BTA in Mb *	Total SNP	Avg. # ROH (0.5-1 Mb)	MAF<0.01		MAF<0.02		
				% SNP	% ROH	% SNP	% ROH	Het
1	158	45,007	10.9	13.9	5.6	16.2	5.9	0.351
2	137	38,738	9.0	14.6	4.2	16.5	5.4	0.358
3	121	34,229	7.7	12.7	5.7	15.5	6.9	0.355
4	121	33,749	5.7	13.1	4.2	15.2	4.3	0.354
5	121	33,394	7.3	15.2	6.8	17.7	7.8	0.346
6	119	34,441	5.5	11.9	4.3	13.9	4.6	0.353
7	113	31,831	6.1	14.8	10.8	16.9	13.3	0.365
8	113	32,423	7.0	28.7	9.2	30.8	11.4	0.349
9	106	29,999	5.9	14.0	5.4	16.3	5.4	0.353
10	104	29,350	4.9	11.0	8.4	13.0	8.9	0.357
11	107	30,949	5.9	10.5	3.1	12.9	3.9	0.358
12	91	25,011	4.0	12.7	5.3	15.1	5.9	0.360
13	84	22,704	5.2	23.9	16.8	27.0	18.6	0.343
14	85	23,972	5.4	25.4	16.9	28.3	19.7	0.341
15	85	23,509	4.7	11.1	5.2	13.6	6.8	0.352
16	82	23,222	5.0	12.5	8.1	14.6	8.7	0.360
17	75	21,417	3.2	9.8	7.1	12.4	7.8	0.354
18	66	18,443	3.0	8.2	12.6	10.2	13.6	0.360
19	64	18,047	2.9	8.5	5.1	11.4	12.7	0.355
20	72	20,801	3.4	8.5	9.3	10.6	10.4	0.359
21	72	20,296	4.1	12.9	6.6	14.9	9.3	0.352
22	61	17,356	2.7	7.4	1.3	9.9	1.5	0.357
23	53	14,499	1.1	9.8	1.7	11.8	0.7	0.358
24	63	18,030	3.1	13.0	7.8	14.8	10.5	0.362
25	43	12,358	1.0	7.2	0.5	9.3	1.1	0.364
26	52	14,707	1.8	8.0	9.6	10.6	9.9	0.348
27	45	12,690	1.3	7.8	1.8	10.3	2.3	0.351
28	46	12,456	1.5	7.7	1.9	9.2	2.6	0.366
29	52	13,981	1.9	9.1	3.7	11.1	4.5	0.351
Total	2,511	707,609	131.1	13.4	7.0	15.7	8.3	0.355

512 * (http://www.ncbi.nlm.nih.gov/genome?term=bos%20taurus

513   **Figure 1: Visualization of ROH segments identified for chromosome 5 using animals (n = 65)**

514   **with the highest proportion of ROH. Each line represents one animal.**

515   **a)** ROH identified with datasets of different densities; 53K and 708K: common to both (black),

516   only in 53K (green) and only in 708K (red). Constraints are given in Table 3.

517   **b)** ROH identified with $708K_{Alt1}$ and 708K: common to both (black), only in $708K_{Alt1}$ (blue) and

518   only in 708K (red). Both datasets with the same constraints (Table 3) with, respectively, one and

519   no heterozygote allowed in a window.

520   **c)** ROH identified with $597K_{MAF}$ and 708K: common to both (black), only in $597K_{MAF}$ (blue) and

521   only in 708K (red). Both datasets with the same constraints (Table 3) except for minor allele

522   frequency (MAF) > 0.02 in $597K_{MAF}$.

523

524   **Figure 2: Cumulative frequency of ROH detected in Norwegian Red**

525   Cumulative frequency of the number of detected ROH by length of ROH ranging between

526   minimum 0.5 to maximum 58.7 Mb in 381 Norwegian Red genotyped with an Illumina HD-

527   panel ($708K_{Alt1}$).
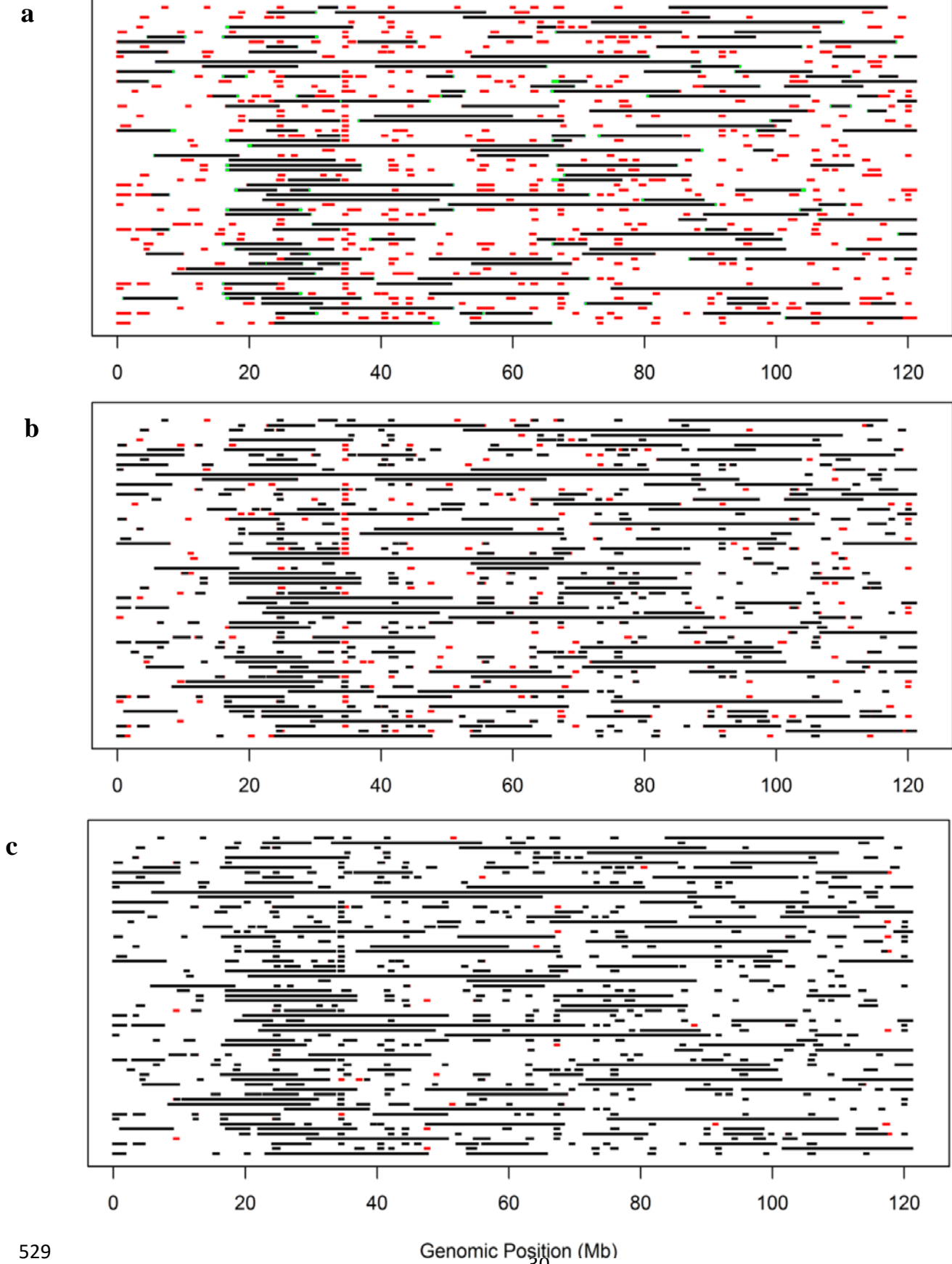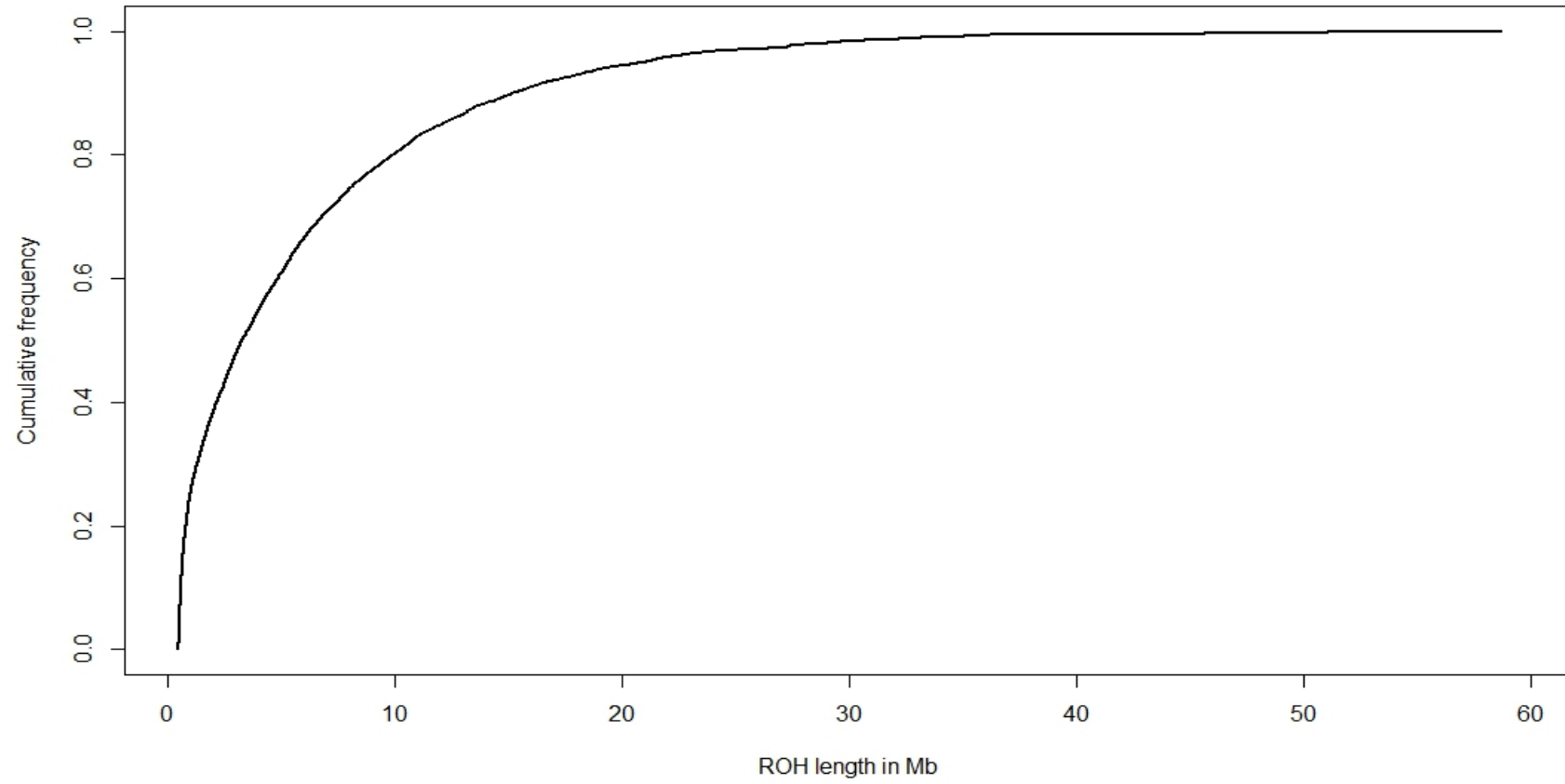
528

a

b

c

Figure 1

Genomic Position (Mb)
30

Detecting runs of homozygosity in Norwegian Red

530

531 **Figure 2**