

1 **Comparison of flock characteristics, journey duration and pathology between**
2 **flocks with a normal and a high percentage of broilers ‘dead-on-arrival’ at**
3 **abattoirs**

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14 Short title: High mortality broiler transportation

15

16 **Abstract**

17 This study investigated high mortality in broilers transported to slaughter in Norway
18 by comparing data from flocks with normal- and high-mortality during transportation.

19 The data sources consisted of necropsy findings in 535 broilers dead on arrival

20 (DOA), production data and slaughterhouse data, along with average journey

21 duration for the 61 associated flocks. The mean Norwegian DOA % for 2015 was

22 0.10. In this study, normal-mortality flocks were defined as flocks with a mean DOA

23 % up to 0.30 and high-mortality as flocks with a mean DOA % above 0.30. DOA %

24 was calculated per flock. The most frequent pathological finding was lung congestion

25 which was observed in 75.5 % of the DOA broilers. This post-mortem finding was

26 significantly more common in broilers from high mortality flocks (89.3 %) than in DOA
27 broilers from normal-mortality flocks (58 %). The following variables had a
28 significantly ($P < 0.05$) higher median in the high-mortality flocks: flock size, first
29 week mortality, foot pad lesion score, carcass rejection numbers and journey
30 duration. The results indicate that high broiler mortality during transportation to the
31 abattoir may be linked to several steps in the broiler production chain. The results
32 suggest that preventive measures are to be considered in improvement of health and
33 environmental factors during the production period and throughout the journey
34 duration.

35

36 **Keywords:** dead on arrival, broiler, high mortality flocks, post-mortem findings,
37 animal welfare

38

39 **Implications**

40 This paper compared flocks with normal and high dead on arrival numbers (DOA).
41 The results showed that there are differences in the post mortem findings in DOA
42 broilers from flocks with normal and high DOA %. In addition, the following variables
43 had a significantly higher frequency in the high-mortality flocks: flock size, first week
44 mortality, foot pad lesion score, carcass rejection numbers and journey duration. It is
45 important to reduce high mortality during transportation for both animal welfare and
46 economic reasons. Aspects to consider for future improvements are health and
47 environmental factors during the production period and journey duration.

48

49 **Introduction**

50 The broiler meat industry is one of the largest livestock sectors worldwide; the
51 annual production is estimated to comprise approximately 60 billion slaughtered
52 broiler chickens (The Poultry Site, 2014). The majority of these broilers are
53 transported from farm to the abattoir prior to slaughter. Mortality during the journey is
54 a recognized problem, both due to animal welfare issues, but also due to the
55 considerable economic losses resulting from the large number of animals involved
56 (Ritz *et al.*, 2005). Although the term welfare is relevant only when an animal is alive,
57 mortality during the journey is likely preceded by a period of poor welfare and the
58 percentage of broilers dead on arrival (DOA) can possibly be used as a quick
59 indication of pre-slaughter welfare (Jacobs *et al.*, 2016).

60

61 Reports of broiler mortality during transportation vary greatly between countries and
62 studies; from 0.12 % to 0.46 % (Haslam *et al.*, 2008 , Lund *et al.*, 2013, Jacobs *et al.*,
63 2016). A wide range of risk factors associated with DOA have been identified. These

64 include catching-methods, the duration and length of the journey, lairage duration,
65 thermal stress and density of birds in transport containers (Warriss *et al.*, 2005,
66 Vecerek *et al.*, 2006, Mitchell and Kettlewell, 2009, Watts *et al.*, 2011). The welfare
67 implication of these stressors and their combinations may range from mild discomfort
68 to death (Mitchell and Kettlewell, 1998). DOA may also be linked to factors that are
69 not directly related to the transportation process per se; e.g. farm characteristics,
70 such as flock size, mortality rates during the production period and body weight
71 (Nijdam *et al.*, 2004, Drain *et al.*, 2007, Whiting *et al.*, 2007, Chauvin *et al.*, 2011,
72 Jacobs *et al.*, 2016). The most common post-mortem findings in DOA broilers are
73 signs of cardiac arrest and circulatory disorder, infections, ascites and traumas like
74 liver ruptures and fractures (Ritz *et al.*, 2005, Nijdam *et al.*, 2006, Lund *et al.*, 2013).

75

76 The DOA % in Norway is continuously monitored by the industry and by the official
77 veterinarians at the abattoirs. The DOA % have shown a decreasing trend over the
78 last five years and in 2015, the mean DOA % was 0.10 for all flocks transported to
79 Norwegian abattoirs (Animalia, 2015). In the same year, 4.9 % of the broiler flocks
80 transported in Norway were defined as high-mortality (i.e. DOA above 0.30 %, range
81 0.32 to 5.60 %) by the Norwegian poultry industry. Few scientific studies have
82 compared broiler flocks with normal and high mortality during transportation. The
83 overall aim of this study was to gain more knowledge of factors contributing to high-
84 mortality during transportation by comparing post-mortem findings in DOA broilers
85 from normal-mortality and high-mortality flocks (DOA numbers above 0.30 %) and to
86 compare production data and journey characteristics from the associated flocks. This
87 study may aid in designing future epidemiological studies on risk factors and causal
88 relationships associated with high and normal mortality transportations.

89

90 **Material and methods**

91 A retrospective cohort study was established to study normal- and high-mortality
92 broiler transportations by comparing post-mortem findings in DOA broilers from
93 these transportations, along with farm characteristics, production data and journey
94 data from the associated flocks. The statistical unit for calculation of DOA % was the
95 mortality for all vehicles from the same flock and the flocks were assigned to two
96 groups according to the mortality during transit. These two groups were treated as
97 exposed (high mortality) and unexposed (normal mortality), since the two exposure
98 groups displayed clearly distinct journey characteristics. A flock was comprised of
99 broilers from the same barn, of the same age and hybrid and slaughtered at the
100 same day. All flocks were of the hybrid Ross 308, mixed gender and fed ad libitum.
101 Descriptive statistics for the two groups are listed in Table 1.

102

103 For flocks with normal DOA %, the current study sampled post-mortem findings,
104 production data and journey characteristics from a database collected in a previous
105 study (Kittelsen *et al.*, 2015). These data were collected from February 2012 to
106 February 2013, according to a predesigned scheme (236 broilers from 32 different
107 flocks, median DOA 0.08 %, range 0.01-0.30 %). High mortality was defined by the
108 Norwegian broiler industry as flocks with mean DOA % above 0.30 (personal
109 communication, Atle Løvland). For the high-mortality group, data were collected from
110 January 2013 to September 2014. Abattoir personnel collected DOA broilers when
111 the DOA % exceeded 0.30. Accordingly, a total of 299 broilers from 29 high mortality
112 flocks were sampled (median DOA 0.67 %, range 0.32- 2.26 %), representing 9.5 %
113 ($n=304$) of all high mortality transportations in Norway during that specific period.

114

115 Catching were performed manually in 57 flocks and four flocks were caught by
116 machine ("Chicken Cat", JTT Conveying A/S). All four machine caught flocks had
117 high transportation mortality. All transport containers had a firm metal frame
118 containing eight drawers (The modular Marel Poultry GP Live Bird Handling System)
119 with room for approximately 40 broilers at the median Norwegian broiler slaughter
120 age of 31 days for both groups; i.e. one container held approximately 320 broilers.

121

122 From all 61 journeys (32 normal- and 29 high-mortality) a maximum of 10 DOA
123 broilers were collected at random by the slaughterhouse personnel and sent fresh by
124 express mail service to the Norwegian Veterinary Institute, Pathology Section, Oslo
125 for post-mortem examination. For some of the normal-mortality flocks, a number of
126 10 DOA broilers were not reached, due to low DOA % for the flock.

127

128 *Source of data*

129 1. Post-Mortem Examinations: A total of 236 DOA broilers from normal-mortality
130 flocks and 299 DOA broilers from high-mortality flocks were subjected to
131 gross post-mortem examination by five trained veterinary pathologists
132 according to a standard procedure at the Norwegian Veterinary Institute,
133 Pathology Section, Oslo. Inter-observer reliability was not tested between the
134 pathologists. All gross post-mortem findings and diagnosis were considered
135 and reported. Virology, bacteriology, and histology were not performed.
136 Broilers were allocated to pathological categories according to the post-
137 mortem findings. The diagnoses with the criteria were: lung congestion
138 (congested and edematous lungs, with or without congestion of the liver and

139 spleen, with or without mottled red and white pectoral muscles), trauma
140 (fractures, liver rupture), ascites (accumulation of serous fluid in the
141 abdominal cavity), tibial dyschondroplasia (TD) (a large mass of cartilage
142 originating from the growth plate, primarily in the proximal tibiotarsus)
143 endocarditis (irregular vegetation on the heart valves/ walls of the cardiac
144 chambers) and hepatitis (enlarged liver with grey and yellow foci). All
145 pathological findings were registered and therefore, some of the birds
146 received more than one diagnosis.

147 2. Production data: Farm and slaughterhouse data were collected for the
148 respective 61 flocks. These included flock size, first week mortality, total
149 mortality on farm, foot pad lesion score (FPL), daily weight gain and slaughter
150 weight. Production and slaughterhouse data were obtained from the abattoirs
151 which collected it from the producers. FPL were scored on 100 feet from each
152 flock. The feet were scored from 0-2; 0 = no lesions, 1 =small, superficial
153 lesions, 2 = deep lesions, then the scores were added to a total FPL score for
154 the flock in the range of 0 to 200.

155 3. Journey data: For all the 61 flocks in the study, information regarding the
156 journey duration and distance were collected from the abattoirs. One flock
157 consisted of two to four separate transported loads, three loads being the
158 most common. All loads from the same flock were transported on the same
159 day. All journeys took place during night and early mornings to avoid rising
160 temperatures and traffic. Journey duration was registered per load and a
161 median duration was calculated for the entire flock.

162

163 *Statistical analysis of results*

164 All data were continuously collected in a database (Microsoft Excel 2010) and
165 reviewed for errors. The database was transferred to the statistical package Stata
166 version 14 SE (StataCorp LP, TX, USA). DOA was categorized as either normal or
167 high. Summary statistics included the calculation of, means, median, ranges and 95
168 % CI for the diagnoses obtained from pathological examinations. All continuous
169 variables were checked for missing data, outliers, normality, linearity and co-linearity
170 by graphical methods (quantile-quantile plot, scatter diagrams, histogram and
171 residual plots) as well as correlation analyses. Normally distributed variables were
172 directly analyzed by simple linear regression (parametric) or after logarithmic
173 transformation, with DOA % (mortality group) being the independent predictor
174 variable (<0.3% or >0.3%). Mean and median values were displayed as percentages
175 and the ranges were displayed as either percentages or natural numbers. Since the
176 two datasets technically represent two different populations (normal and high DOA
177 %), sampled at different time periods, they were considered strictly as statistically
178 unrelated. The design of the study was equal for both samples, but adjustment for
179 time of sampling was not possible, as time was considered to confound the
180 classification of flocks with normal and high transportation mortality. The displayed
181 results of the statistical analyses are mainly descriptive. However, 95% confidence
182 limits and *P*-values are generally provided in tables to aid the comparison of flock
183 characteristics and diagnoses between normal- and high mortality flocks. The
184 distribution DOA % was positively skewed with a high density around zero for normal
185 mortality, while the distribution of DOA % in high mortality flocks were more widely
186 distributed, with the high density of observations in the interval of 0.5 to 1.0%. The
187 median DOA was placed to the left of the mean for both mortality groups, thus the
188 median was regarded as a better measure of the central tendency for such

189 distributions. This approach also applied to first week mortality, slaughter age,
190 journey duration, total rejection and foot pad lesion scores, for which non-parametric
191 quantile regression (median regression) was used to identify differences in
192 descriptive characteristics between the normal and high mortality groups. The
193 statistical tests and transformation of variables are indicated as footnote to Table 1.
194 Binary variables (diagnoses) were analyzed by univariable logistic regression,
195 mortality groups being independent and estimates are displayed as proportions and
196 95 % CI (Table 2). *P*-values < 0.05 were considered statistically significant. A
197 fraction of diagnoses, contributing to the DOA % in each group was calculated by
198 multiplying the prevalence of diagnoses with the DOA prevalence for each mortality
199 group separately (Table 3). The excess of incidents that can be attributed to the
200 exposure (high or normal mortality) in the high mortality flocks and in the population,
201 the risk of disease in either mortality group and the total risk were calculated for each
202 diagnosis. The fractions are given as attributable or preventive according to which
203 diagnosis is predominant in either high- or normal mortality flocks.

204

205 **Results**

206 A total of 535 DOA broilers from 61 flocks were included in this study. The normal-
207 mortality group was represented by 236 DOA broilers from 32 flocks, whereas the
208 high-mortality group represented by 299 DOA broilers from 29 flocks. The DOA %
209 ranged from 0.01 to 2.26 among the 61 journeys. Descriptive statistics of the
210 transport mortality groups are provided as mean, median, range and 95%CI in Table
211 1.

212

213 *Post mortem findings*

214 Descriptive statistics on the frequency of diagnoses in the flocks with normal and
215 high mortality are presented with 95% CI in Table 2. Fractions and regression
216 outputs for post mortem findings are given in Table 3. Significant differences in the
217 occurrence of diagnoses between the normal and high mortality flocks are indicated
218 by $P < 0.05$. Lung congestion was the most prevalent diagnosis in the sample. There
219 was a significant difference in the prevalence of lung congestion between the two
220 groups ($P < 0.01$). The risk of lung congestion was 0.89 and 0.58 in the flocks with
221 high and normal mortality, respectively. The total risk of lung congestion was 0.76.
222 The attributable risk (AR) in the high mortality group was 0.35 and AR in the
223 population was 0.35. Trauma was significantly ($P < 0.01$) more common in normal-
224 mortality flocks than in high mortality flocks. The risk of trauma was 0.07 in the high
225 mortality group and 0.22 in the normal mortality group. The total risk was 0.14. The
226 preventable fraction (PF) of trauma in the high mortality group was 0.66 and in the
227 population, 0.36. There was no significant difference in the prevalence of ascites
228 between normal and high flocks ($P = 0.25$). The risk of ascites was 0.07 in the high
229 mortality group and 0.10 in the normal mortality group. The total risk of ascites was
230 0.08. The PF was 0.28 in the high mortality group and 0.15 in the population. Tibial
231 dyschondroplasia (TD) was relatively uncommon in both mortality groups, however
232 more frequently observed in the high mortality DOA broilers. The difference between
233 the two groups was not significant ($P = 0.26$). The risk of TD was 0.08 and 0.06 in the
234 high and normal mortality group, respectively. The total risk of TD was 0.07, the AF
235 was 0.34 in the high mortality broilers and 0.22 in the population. Endocarditis was
236 more common in the normal-mortality DOA broilers than in the high-mortality group.
237 The prevalence was low in both groups and the difference in frequency was barely
238 significant ($P = 0.048$). The risk of endocarditis was 0.01 and 0.04 in the high and

239 normal mortality DOA broilers, respectively. The PF was 0.68 for the high mortality
240 group and 0.38 for the population. Hepatitis was rarely found in both groups and the
241 difference in frequency between the two groups was not significant ($P=0.21$). The
242 risk of hepatitis was 0.02 and 0.004 in the high and normal mortality flocks,
243 respectively. The AF of hepatitis was 0.75 in the high mortality group and 0.62 in the
244 population. The causal relationship between DOA and recorded diagnoses were not
245 established due to diagnoses being determined when the broilers were already dead
246 and transported. Hence, the diseases or traumas may have occurred before or after
247 the transportation commenced.

248

249 Several diagnoses were given to 62 birds (11.6 %). In the normal-mortality group, 22
250 individuals (9.3 %) received either two ($n=20$) or three ($n=2$) diagnoses. In this group,
251 the multiple diagnoses originated from nine flocks out of which two flocks contributed
252 with two and five cases respectively (two and four cases were circulatory disorders
253 and trauma respectively). The combinations of dual diagnoses from the normal
254 mortality flocks were; lung congestion and trauma ($n=6$, 31.6 %), lung congestion
255 and tibial dyschondroplasia ($n=6$, 31.6 %), tibial dyschondroplasia and ascites ($n=2$;
256 10.5 %), tibial dyschondroplasia and trauma ($n=2$, 10.5 %), lung congestion and
257 ascites ($n=2$, 10.5 %) and lung congestion and endocarditis ($n=2$, 10.5 %). The
258 combination of diagnoses in the individuals with three diagnoses were; tibial
259 dyschondroplasia, lung congestion and trauma ($n=1$), and ascites, lung congestion
260 and trauma ($n=1$). In the high-mortality group, 40 individuals (13.4 %) received
261 multiple diagnoses out of which eight broilers received a combination of three
262 diagnoses (20.0 %). The multiple diagnoses originated from 11 flocks which
263 contributed with one to nine cases of multiple diagnoses each. The combinations of

264 dual diagnoses were; lung congestion and tibial dyschondroplasia ($n=16$, 40.0 %),
265 lung congestion and ascites ($n=5$, 12.5 %), tibial dyschondroplasia and trauma ($n=3$,
266 7.5 %), lung congestion and trauma ($n=3$, 7.5 %), ascites and trauma ($n=3$, 7.5 %),
267 tibial dyschondroplasia and ascites ($n=1$; 2.5%), lung congestion and endocarditis
268 ($n=1$, 2.5 %) and lung congestion and hepatitis ($n=1$, 2.5 %). Of the triple diagnoses,
269 three individuals were diagnosed with ascites, lung congestion and hepatitis, while
270 one broiler was diagnosed with lung congestion, endocarditis, and hepatitis.

271

272 The difference in the DOA % between normal and high mortality transportations was
273 substantial ($P<0.01$) with a median difference of 0.59 and a mean difference of 0.76.
274 Although being the selection criterion for grouping, the difference in DOA between
275 the two groups made it necessary to adjust the frequencies of diagnoses to the
276 magnitude of DOA in each group for relative comparison. This fraction is calculated
277 from the median values (0.08 and 0.67, respectively) (Table 3).

278

279 *Production and journey data*

280 The flock size was significantly larger in the normal mortality flocks ($P<0.01$). The
281 first week mortality was higher in flocks classified as high mortality during
282 transportation versus normal mortality flocks ($P<0.01$). There was however, no
283 significant difference in total mortality ($P=0.51$) and slaughter age ($P=1.00$) between
284 the two groups. The journey duration and the journey distance showed nearly perfect
285 linear correlation (Pearson correlation coefficient = 0.97), therefore only duration
286 (minutes) will be discussed. The average journey duration was approximately 1.5
287 hours longer in the high-mortality group than in the normal-mortality group, a
288 significant difference ($P<0.001$). The rejection number at the slaughter house was

289 significantly higher in high-mortality flocks ($P<0.001$) and high-mortality flocks had a
290 significantly higher footpad lesion score than normal-mortality flocks ($P<0.01$).

291

292 **Discussion**

293 This study aimed at investigating how broiler flocks with high-mortality during
294 transportation differ from flocks with normal-mortality with regards to post-mortem
295 findings in DOA broilers, production data and journey characteristics. Briefly,
296 significant differences in several post-mortem findings, production data and journey
297 characteristics between the two groups were identified.

298

299 The most common necropsy finding among all examined broilers was lung
300 congestion, but the diagnosis was significantly more frequent in DOA broilers from
301 high-mortality flocks than from normal-mortality flocks. Lung congestion is
302 characterized by massive congestion of the veins and arterioles in the lungs
303 (Aengwanich and Simaraks, 2004), an indication of a circulatory collapse and
304 circulatory disturbance. Lung congestion, circulation disorders and other signs of
305 acute heart failure have frequently been observed in previous studies of DOA
306 broilers (Nijdam *et al.*, 2006, Petracci *et al.*, 2006, Lund *et al.*, 2013). Sudden Death
307 Syndrome (SDS) can give post-mortem findings equivalent to the congested lungs,
308 observed in both normal- and high-mortality DOA broilers, with congested lungs and
309 mottled red and white pectoral muscles (Siddiqui *et al.*, 2009). A known trigger for
310 SDS is stress; modern broilers are highly susceptible to stress-induced cardiac
311 arrhythmia and mortality may occur after sudden stress (Jones and Hughes, 1981,
312 Olkowski *et al.*, 2008). Previous studies have shown that the pre-slaughter chain,
313 including the transportation, can cause severe stress that ranges from discomfort to

314 death for the birds (Delezie *et al.*, 2006, Schwartzkopf-Genswein *et al.*, 2012).
315 Therefore, it may be possible that the stress generated by catching, crating, and
316 transportation resulted in a cardiac arrest and SDS/DOA. Since significantly more
317 birds with lung congestions were found in the high-mortality group, it may be
318 suggested that factors associated with these transportations caused more stress
319 resulting in more SDS and more mortality as compared to the normal-mortality
320 transportations. Such stress factors may for instance be the individual catcher's
321 handling of the birds, thermal stress during transportation and the duration of the
322 journey. The potential effect of stress in regards to DOA % needs further
323 investigation.

324

325 The relationship between post mortem findings in DOA broilers and thermal stress
326 has not been determined in this study, due to lack of temperature records for the
327 vehicles in transit. This was unfortunate, since it has been claimed that elevations in
328 DOA values above the mean is almost solely due to thermal stress (Mitchell and
329 Kettlewell, 2009). Heat stress on the vehicle has long been recognized as a major
330 risk factor for DOA (Warriss *et al.*, 2005, Whiting *et al.*, 2007, Mitchell and Kettlewell,
331 2009) and high temperatures may lead to heart failure (Elrom, 2001). It could
332 therefore be hypothesized that thermal stress is an important factor contributing to
333 the elevated mortality observed in the high-mortality flocks. In addition, it has been
334 presumed that thermal stress on long distance journeys may have a great impact on
335 DOA (Ritz *et al.*, 2005), an important aspect, considering that high-mortality flocks
336 had a significantly longer journey duration. This is in accordance with previous
337 studies that found a positive relationship between duration/distance and DOA %
338 (Warriss *et al.*, 1992, Nijdam *et al.*, 2004, Vecerek *et al.*, 2006). Presumably,

339 exposure to various physical stressors during journey, including thermal conditions,
340 are magnified by the time spent in transit and thus, more broilers succumb due to
341 SDS, congestive heart failure or generalized circulatory collapse, leading to the post-
342 mortem findings of lung congestion, more common in the group with long journey
343 duration. However, the duration of the journey varied substantially within the two
344 groups. Further studies are therefore needed to investigate DOA broilers with the
345 most common post-mortem finding, lung congestion, in relationship to transit
346 temperature and journey duration.

347

348 Traumas, and especially fractures, represent conditions of compromised welfare
349 since they usually are associated with pain (Nasr *et al.*, 2012). The occurrence of
350 injuries in DOA broilers from flocks with normal-mortality (22.0 %) is in accordance
351 with the traumas reported in other studies, ranging from 22 % to 35 % (Elrom, 2001,
352 Nijdam *et al.*, 2006, Lund *et al.*, 2013). The high-mortality broiler group had a lower
353 percentage of traumas (7.4 %). However, since the median DOA % was 8.37 times
354 higher in the high-mortality group, the fraction and contribution of trauma to mortality
355 is higher in the high-mortality group compared to the normal-mortality group, even
356 though the percentage of trauma was three times higher in the normal
357 transportations than in the high mortality transportations. A well-known cause of
358 trauma is the catching process (Knierim and Gocke, 2003), that can cause stress for
359 the birds (Elrom, 2000, Delezie *et al.*, 2006). Data on catching method was collected,
360 however only four flocks were caught by machine; they were all high-mortality and
361 from the same abattoir. The low number of flocks caught by machine therefore
362 makes catching method not applicable as a predictor for high mortality in this study.
363 Catching method and differences in catching teams in regard to high-mortality

364 transportations should be explored in more detail in further studies. In addition, the
365 reason for a higher DOA % related to trauma in the normal-mortality group needs
366 further investigation in the future. It has been reported that birds with heavy tibial
367 dyschondroplasia (TD) are predisposed to fractures during catching and
368 transportation (Dinev, 2012). However, none of the broilers in our study exhibited TD
369 along with fractures or hemorrhages. In addition, there was no significant difference
370 in TD-prevalence between normal- and high mortality flocks in this study.

371

372 Only two conditions with gross post-mortem signs of infection were reported in this
373 study: endocarditis and hepatitis. Endocarditis in broilers can be caused by the
374 attachment of bacteria to the heart valves (Chadfield *et al.*, 2005). Endocarditis was
375 significantly more common among normal-mortality DOA broilers than in high-
376 mortality DOA broilers. However, the total number of DOA broilers with endocarditis
377 in the source population may be higher in the high-mortality group due to the higher
378 DOA % in this group. The number of endocarditis diagnoses was overall low (a total
379 of 14 cases), therefore, the result should be evaluated with caution. Hepatitis was
380 reported in six DOA broilers. Totally, only 20 reported cases (3.7 %) of infectious
381 post-mortem findings indicate that infections are not the major contributor to DOA %
382 in this study. This is in contrast to the findings of Nijdam *et al.*, (2006), who found
383 infectious diseases in 64.9 % of the investigated DOA broilers. However, only gross
384 pathological examinations were performed in this study and microbial infectious
385 factors of DOA could perhaps have been revealed, if microbiological culturing was
386 attempted. Generally, the proportion of cases (diagnoses) in the entire study
387 population that can be attributed to the exposure (high mortality), reflected the
388 observed frequencies between the two groups. The attributable fraction among the

389 exposed (high mortality), reflected the univariable regression analyses. The factors
390 that are directly linked to different diagnoses in transport mortality settings, are not
391 clearly defined. Further research is required to point out what factors should be
392 eliminated to prevent the respective diagnoses and their relative importance in
393 mortality during transportation of broilers.

394

395 Mortality during the first production week on farm was significantly higher in the
396 flocks with high DOA %. However, there was no difference in the total mortality rate
397 during the production period on farm. This contrasts with a previous study (Chauvin
398 *et al.*, 2010), where an increasing on-farm mortality has been associated with an
399 increasing DOA %. Mortality during the first week of production is a measure of chick
400 quality and health (Chou *et al.*, 2004), and even though the total mortality rate during
401 production not affected DOA % it can be hypothesized that a poor chick quality may
402 persist thorough out the production period and give increased mortality in transit.

403

404 FPL is a common and important welfare issue in broiler flocks (Haslam *et al.*, 2007),
405 caused by necrotic dermatitis on the plantar surface of the foot. In this study, the FPL
406 scores were relatively low and heavily right skewed. However, the median FPL score
407 was significantly higher in high-mortality flocks, compared to normal-mortality flocks.
408 As mentioned, litter quality, wet litter in particular, is a major risk factor for developing
409 FPL (De Jong *et al.*, 2014). FPL and litter quality is affected by e.g. management of
410 the microclimate in the broiler house, the ventilation system, stocking density, feed
411 composition, drinkers design and digestive disorders (Bruce *et al.*, 1990, Haslam *et*
412 *al.*, 2007, Allain *et al.*, 2009). It may be speculated that broilers from farms with poor
413 ventilation system are wet prior to transport and therefore are less fit for transport

414 and may succumb during the journey. Likewise, it could also be speculated that
415 digestive disorders affect fitness for transport and make the broilers less robust to
416 cope with the catching and transportation process. Further studies are needed to
417 investigate why flocks with high mortality have higher FPL scores than normal-
418 mortality flocks.

419

420 There was a significant difference in the total carcass rejection numbers at the
421 abattoirs between high- and normal-mortality flocks in this study. The high-mortality
422 flocks had a median rejection percentage of 2.21 % versus 1.47 % in the normal-
423 mortality flocks. There are several reasons for carcass rejection, e.g. disease, fecal
424 contamination, small and emaciated individuals. An association between DOA and
425 carcass rejection numbers have previous been stated by Haslam *et al.* (2008), who
426 e.g. found increasing numbers of small and emaciated broilers to be associated with
427 increasing DOA %. The higher rejection numbers in the high-mortality group may for
428 instance indicate the importance of the animal's condition prior to the journey and
429 that fitness for transport may affect DOA %.

430

431 In conclusion, the aim of this study was to gain more knowledge of flocks with high-
432 mortality during transportation by comparing normal- and high-mortality flocks in
433 Norway. An improved understanding and identification of characteristics
434 representative for high-mortality flocks may aid in targeted improvement of animal
435 welfare and increase profits in broiler production. The results indicate that high
436 mortality during transportation may be linked to several steps in the broiler
437 production chain.

438

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531

532 **Table 1** *Descriptive statistics for the normal- (n=32 flocks) and high-mortality (n=29*
 533 *flocks) group*

	Mean	Median	Min/max	Mean	Median	Min/max	Coeff.	Std.	P-
	numbers			numbers			high	Error	value
	from the			from the			mortality		
	normal			high-			transports		
	mortality			mortality					
	group			group					
Flock size	18 621	18800	11 250/25 500	17 858	18000	11 250/25 800	-763.6 ^a	300.3	0.01
First week mortality, %	1.1	0.93	0.33/3.02	1.25	1.21	0.45/3.2	0.28 ^b	0.08	0.00
Total mortality on farm, %	3.0	3.04	1.26/4.96	3.1	3	0.92/6.4	0.07 ^a	0.10	0.51
Slaughter age, days	31.3	31	30/34	31.4	31	27/34	0 ^b	0.14	1.00
Journey duration, min	99.8	53	5/480	190.1	210	35/370	157 ^b	7.22	0.00
Dead on arrival	0.09	0.08	0.01/0.3	0.85	0.67	0.32/2.26	0.59 ^b	0.01	0.00
Carcass weight, g ³	1 238.2	1230	1 080/1 347	1 244.4	1242	1 025/1 605	1.00 ^{a*}	0.01	0.83
Total rejection, %	1.4	1.47	0.59/3.48	2.5	2.21	0.67/7.83	0.74 ^b	0.12	0.00

Foot pad	9.49	4	1/40	21.4	10	0/85	6 ^b	1.55	0.00
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lesion
score

534 ¹Weight, without head, feet, feathers and internal organs

535 ²Carcass rejection by the official veterinarians at the abattoirs

536 ³Scored on 100 feet in each flock, score from 0-2.

537 ^aLinear regression

538 ^bQuantile (median) regression

539 *Log transformed variable; $e^{(0.002)} = 1.00$

540

541 **Table 2** *Proportion of birds with the diagnoses in the normal- (n=236 broilers) and*
 542 *high-mortality (n=299 broilers) flocks*

Diagnosis	No. of individuals in normal mortality, (n=236)	Proportion in normal mortality	Normal mortality, 95% CI	No. of individuals in High mortality	High mortality, Proportion in high mortality (n=299)	High mortality, 95% CI
Lung congestion	137	0.58	0.52, 0.64	267	0.89	0.86, 0.93
Trauma	51	0.22	0.16, 0.27	22	0.07	0.04, 0.10
Ascites	24	0.10	0.06, 0.14	22	0.07	0.04, 0.10
Tibial dyschondroplasia	13	0.06	0.03, 0.08	24	0.08	0.05, 0.01
Endocarditis	10	0.04	0.02, 0.07	4	0.01	0.00, 0.03
Hepatitis	1	0.004	-0.004, 0.01	5	0.02	0.002, 0.03

543 ¹ Broilers may have been given more than one diagnosis

544

545 **Table 3** *The fraction of diagnoses contributing to mortality in the study populations*

Diagnosis	Fraction in the normal- mortality group ¹	Fraction in the high- mortality group ²	Coefficients in high mortality transports	Std.Error	P-value
Lung congestion	0.05	0.60	1.80	0.23	0.000
Trauma	0.02	0.05	-1.24	0.27	0.000
Ascites	0.008	0.05	-0.35	0.25	0.251
Tibial dyschondroplasia	0.004	0.06	0.40	0.36	0.257
Endocarditis	0.003	0.009	-1.18	0.60	0.048
Hepatitis	0.0003	0.01	1.39	1.10	0.207

546 ¹DOA % 0.08 (median)

547 ²DOA % 0.67 (median)

548

549