

1 **Improved metagenome assemblies and taxonomic binning using long-read circular**
2 **consensus sequence data**

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1 **SUMMARY**

2 DNA assembly is a core methodological step in metagenomic pipelines used to study the
3 structure and function within microbial communities. Here we investigate the utility of Pacific
4 Biosciences long and high accuracy circular consensus sequencing (CCS) reads for
5 metagenomics projects. We compared the application and performance of both PacBio CCS
6 and Illumina HiSeq data with assembly and taxonomic binning algorithms using metagenomic
7 samples representing a complex microbial community. Eight SMRT cells produced
8 approximately 94 Mb of CCS reads from a biogas reactor microbiome sample, which averaged
9 1319 nt in length and 99.7 % accuracy. CCS data assembly generated a comparative number of
10 large contigs greater than 1 kb, to those assembled from a ~190x larger HiSeq dataset (~18 Gb)
11 produced from the same sample (i.e approximately 62 % of total contigs). Hybrid assemblies
12 using PacBio CCS and HiSeq contigs produced improvements in assembly statistics, including
13 an increase in the average contig length and number of large contigs. The incorporation of CCS
14 data produced significant enhancements in taxonomic binning and genome reconstruction of
15 two dominant phylotypes, which assembled and binned poorly using HiSeq data alone.
16 Collectively these results illustrate the value of PacBio CCS reads in certain metagenomics
17 applications.

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19 **KEYWORDS**

20 PacBio / circular consensus sequencing / metagenomics / assembly / binning

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1 INTRODUCTION

2 Metagenome assembly is a key methodological stage in all environmental sequencing projects,
3 which has significant repercussions on all down-stream analyses such as taxonomic
4 classification, genome reconstruction, and functional gene annotation. It is commonly a very
5 complex process, with many sequencing platform-specific issues such as read length and
6 number. Similarly, there are also many sample-specific issues such as the numbers, frequencies,
7 types and sizes of microbial genomes present in highly diverse communities. The goal of
8 metagenomic assemblies is relatively straightforward: obtain large contig sizes coupled with
9 the fewest possible misassemblies. However, metagenomic assemblies often consist of a
10 fragmented collection of short contigs, which are difficult to taxonomically and functionally
11 assign accurately. There are at least two current approaches to metagenomic assembly: (i)
12 assembly of all data¹, which is typically computationally demanding, or (ii) using binning or
13 normalization methods to select subsets of reads that are then assembled separately^{2,3}. Methods
14 that use data from multiple sequencing platforms are still infrequent, despite indications that
15 combined approaches yield improvements in contig length and integrity⁴.

16
17 Current sequencing technologies offer a range of read lengths. Methods that produce short reads
18 (<250 nucleotides (nt)) such as Illumina can generate high sequencing depth with minimal
19 costs, however when used for analyzing complex communities data assembly typically requires
20 massive computational resources and the resulting contigs remain relatively short¹. In theory,
21 longer read sequencing technologies can overcome many of the known assembly problems
22 associated with short reads, however these technologies have traditionally been accompanied
23 with one or more inherent shortcomings, such as lower sequencing depth, higher costs and
24 higher error rates. Several technologies exist that can produce longer reads. For example, Ion
25 Torrent and Roche 454 offer read lengths of up to 400 nt and 1000 nt, respectively, but these

1 technologies are more costly per base pair and are vulnerable to generating homopolymer
2 (single-nucleotide repeats) sequencing errors. Pacific Biosciences (PacBio) has designed a
3 sequencing technology based on single-molecule, real-time (SMRT) detection that can provide
4 much greater read lengths, with ~50% of reads in a single run exceeding 14 kb and 5%
5 exceeding 30 kb⁵. High error rates, reported as high as 15% in individual reads, have previously
6 prevented the use of raw PacBio reads in metagenomics^{6,7}. Interestingly, the error rates may be
7 reduced by using circular consensus sequencing (CCS) that entails the repeated sequencing of
8 a circular template, and subsequent generation of a consensus of individual DNA inserts.
9 Consensus quality increases with each sequencing pass, and this approach can ultimately result
10 in high-quality sequences of about 500 to ~2,500 nt in length with greater than 99% accuracy
11 (Q20 or better)^{8,9}.

12

13 Here, we present various applications of PacBio CCS data in a metagenomic analysis of the
14 complex microbial community in a commercial biogas reactor. We compare individual
15 assemblies of short read HiSeq2000 and PacBio CCS data as well as hybrid assemblies of
16 subsets from both platforms. PacBio CCS data provides a dramatic improvement in the
17 assembly of universal marker genes in comparison to HiSeq2000 data, allowing for custom
18 training data for phylogenomic binning algorithms and accurate taxonomic binning of
19 assembled contigs from both data types. Subsequently this enabled enhancements in genome
20 reconstructions of uncultured microorganisms that inhabit complex communities.

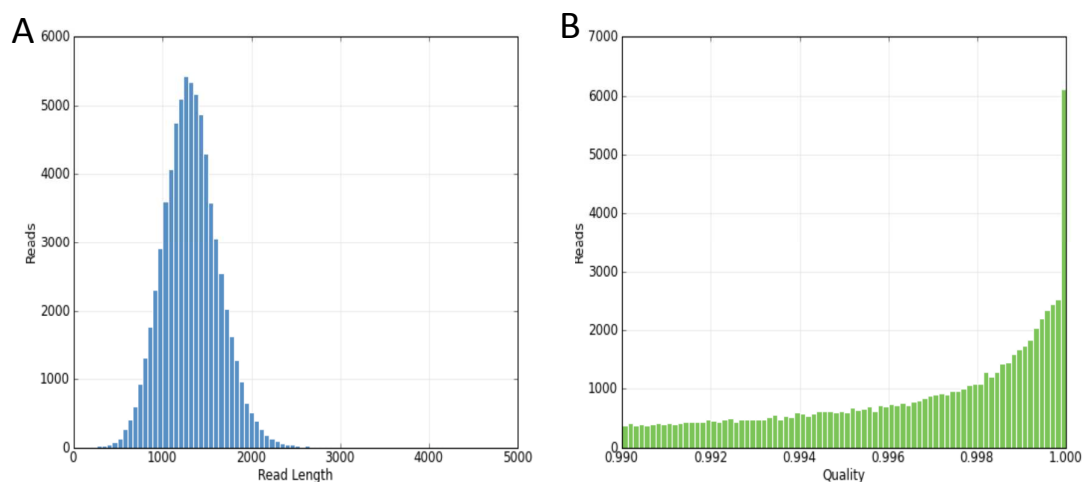
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22 **RESULTS**

23 *PacBio CCS reads improve assembly statistics*

24 For the purpose of this study we analyzed and compared two sequence datasets generated from
25 the same biological sample, a methanogenic biogas reactor microbiome containing an estimated

1 480 individual phylotypes, hereafter referred to as Link_ADI (**Table S1**). These datasets
2 comprised approximately one lane of HiSeq sequence data and data from eight PacBio SMRT
3 cells, respectively. HiSeq sequencing entailed 175 nt library construction and generation of 2 x
4 100 nt paired end sequence data, totaling approximately 149 million read pairs. For PacBio, a
5 library was constructed with inserts of approximately 1.5 kb, which were sequenced using a RS
6 II instrument and P4-C2 chemistry. A total of 522,695 PacBio reads were generated with a
7 mean accuracy of 86 %, totaling approximately 3.3 Gb. Of these reads, 71,254 were CCS that
8 averaged 99.7% accuracy and 1,319 nt in length (totaling 95.4 Mb)(**Fig. 1**). Given the two
9 different sequencing platforms, multiple assembly algorithms were used. MIRA 4.0¹⁰ was used
10 to assemble the PacBio CCS reads, which resulted in approximately 46% of the CCS reads
11 assembling into 2,181 contigs averaging 4,459 nt with the max contig length of 65,165 nt
12 (**Table S2**). SOAPdenovo2¹¹ was used to assemble 18.5 Gb of HiSeq data generated for
13 Link_ADI, which produced 3,035,577 contigs (average length 189 nt; 55,633 > 1 kb) with a
14 maximum length of 148,797 nt.



16 **Figure 1.** Read length and quality distribution of PacBio “Circular Consensus Sequence” (CCS) reads produced
17 from a Link_ADI-derived shotgun library (~1.5 kb inserts) sequenced on a PacBio RS II instrument using P4-C2
18 chemistry. In total, eight SMRT cell were used for sequencing. (a) Read length distribution of PacBio CCS reads

1 that passed a 0.99 quality score for which an average of 10 insert passes was required (**b**) Quality distribution of
2 the 71,254 PacBio CCS reads that passed the 0.99 cutoff using the SMRT portal (average 99.7%).

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4 Comparing the statistics from the two assemblies showed that, despite the much smaller size of
5 the raw PacBio CCS dataset (around 190-fold less sequence), the total length of large contigs
6 produced from the MIRA assembly was in the range of those produced from the HiSeq
7 assembly (**Fig. 2** and **Table S2**). The MIRA assembly produced 34,513 contigs and
8 unassembled reads that were greater than 1 kb in length, which totaled approximately 54.9 Mb
9 (**Table S2**). In contrast, the HiSeq assembly generated 55,633 contigs greater than 1 kb (134.2
10 Mb). The total size of the 100 biggest MIRA contigs totaled 52% of the equivalent HiSeq
11 subset. Attempts to perform hybrid assemblies using raw HiSeq and PacBio CCS reads were
12 ultimately unsuccessful, presumably due to the large number of sequencing reads and a paucity
13 of algorithms customized for this particular hybrid input (to our knowledge). Therefore, as an
14 alternative we used a downstream approach that was more amenable to our datasets and
15 available assemblers. Both subsets of assembled HiSeq and CCS contigs greater than 1 kb
16 (including unassembled CCS reads > 1 kb) were further assembled using the “Sanger”-era
17 program CAP3¹², which was designed for use with long sequencing reads. The resulting hybrid
18 assemblies (**Fig. 2** and **Table S2**), which include unassembled contigs from both platforms,
19 provided an increase in mean contig length (PacBio: 1475 nt, HiSeq: 189 nt, Hybrid: 2056 nt)
20 as well as an increase in cumulative nucleotides from contigs larger than 10 kb (PacBio +
21 HiSeq: 21.01 Mb, Hybrid: 26.8 Mb) and 25 kb (PacBio + HiSeq: 6.5 Mb, Hybrid: 9.3 Mb).

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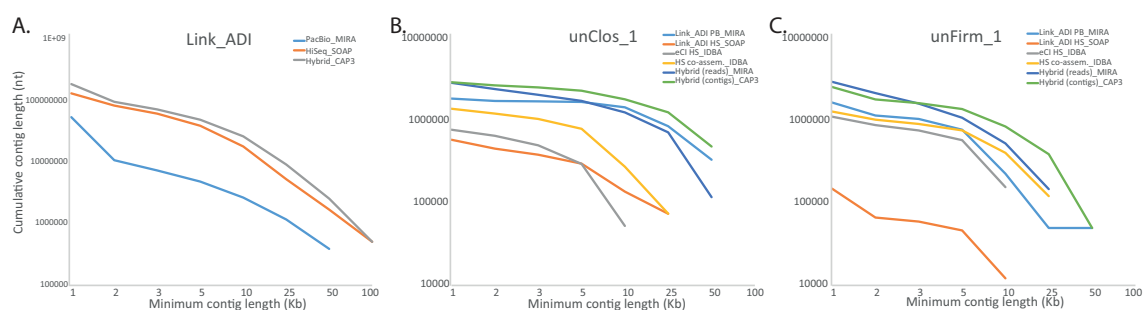


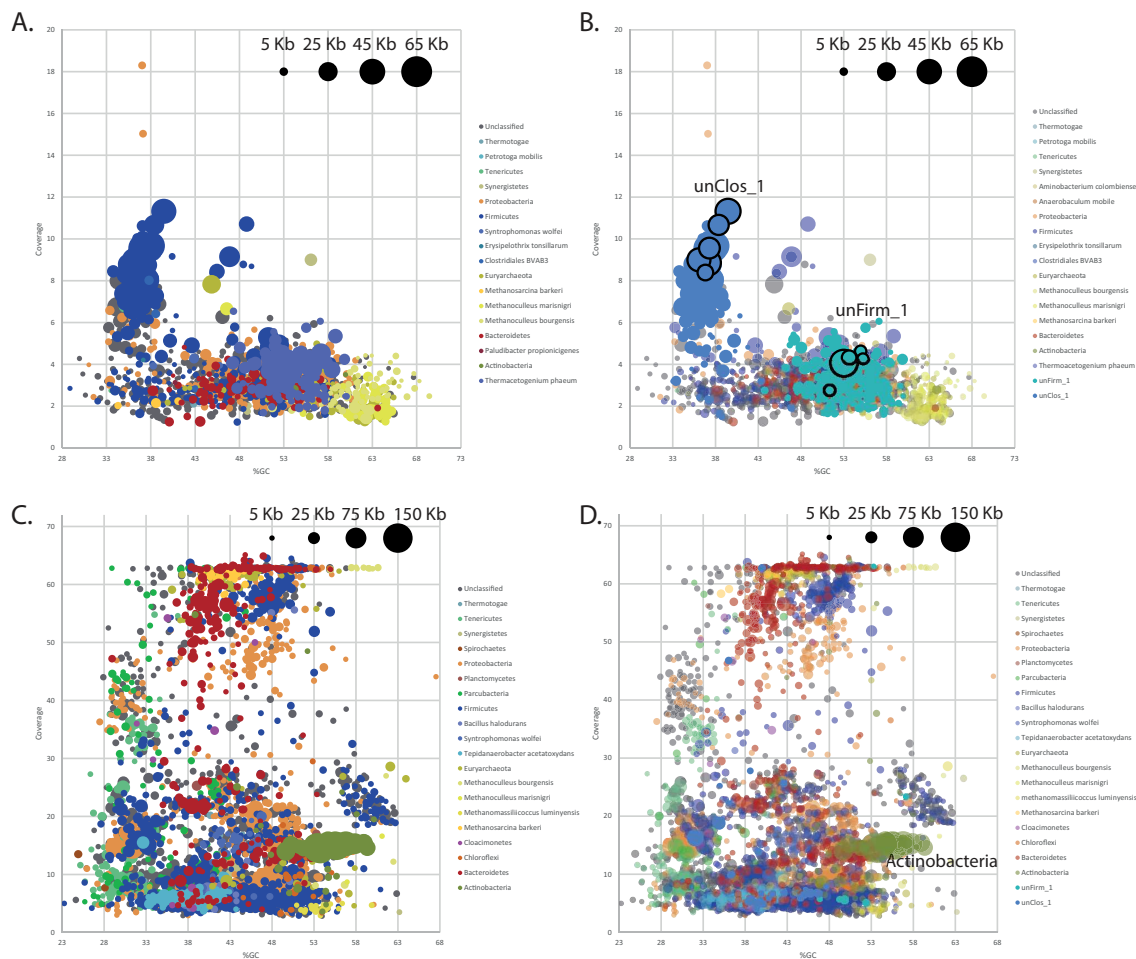
Figure 2. Cumulative number of assembled nucleotides in contigs of different minimum lengths for (a) Link_ADI, (b) unClos_1, and (c) unFirm_1. Each line corresponds to a different sample (Link_ADI or eCI, where noted), sequencing method (HiSeq [HS] or PacBio [PB]), different assembly method (co-assembly across samples Link_ADI and eCI, hybrid using mapped reads from HiSeq and PacBio, or hybrid using contigs from HiSeq and PacBio), or assembly program (CAP3, IDBA_UD, MIRA, or SOAPdenovo).

PacBio CCS reads improve genome binning of difficult to assemble phylotypes. Community

characterization of Link_ADI using short subunit (SSU) rRNA gene amplicon analysis identified approximately 480 individual phylotypes, of which two exhibited high relative abundance and no close taxonomic relationship to cultivated bacterial species (Table S1). Phylotype unClos_1 is an as-yet uncultured bacterium affiliated to the Clostridiales family and was estimated to represent ~36 % of the total microbiome, whereas unFirm_1 is a deeply-branched uncultured representative affiliated to the Firmicutes, accounting for ~5 %. In order to functionally characterize both phylotypes and determine their contribution to the microbiomes metabolic network, we sought to reconstruct and annotate their genomes. Given the high levels of relative abundance, both organisms were anticipated to be represented by high DNA levels within the metagenomic datasets, and thus conducive to greater assembly in terms of coverage and contig length. First pass comparisons of the assembled HiSeq contigs focusing on contig coverage, size and GC %, gave no clear patterns that are indicative of several numerically dominating organisms (i.e. a cluster of large high-coverage contigs within a narrow GC % range, Fig. 3c). In contrast, coverage vs GC % comparisons of assembled PacBio CCS

1 contigs revealed one clear cluster of higher coverage contigs that were large and within a narrow
2 GC % range (**Fig. 3a**).

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5 **Figure 3.** Visualization of GC %, coverage and size of assembled contigs generated from PacBio CCS (**a, b**) and
6 HiSeq data (**c, d**) from a biogas reactor microbiome (Link_ADI). Contigs are coloured based on taxonomic binning
7 that was performed using PhyloPythiaS+ under default settings (**a, c**) and after including custom phylotype-
8 specific training data (**b, d**). Contig lengths are indicated by circle sizes. PacBio CCS contigs that contain marker
9 genes and were used as training data for phylotype unClos_1 and unFirm_1 are outlined in black. For the purposes
10 of clarity, only HiSeq contigs greater than 5 kb are represented (**c, d**).

11

12 Phylogenomic binning methods were subsequently used in attempts to recover genome
13 sequence information for unClos_1 and unFirm_1 and for as many other phylotypes as possible.

1 The presence of only one biological sample and DNA extraction, pre-determined the use of
2 sequence compositional binning algorithms and prevented the use of temporal and/or multi-
3 sample binning methods that have been recently shown to produce accurate genomes from
4 metagenomic datasets^{13,14}. PhylopythiaS+¹⁵ was initially used to assign taxonomy to PacBio
5 CCS and HiSeq contigs (greater than 1 kb), which produced very few taxonomic assignments
6 to a strain or species level (**Table S3**). Instead, the vast majority of contigs were binned to
7 higher- ranking taxa at a phylum or order level, implying that the data provides limited
8 functional and structural insights into the individual organisms making up the microbial
9 community. This result was not unexpected as the SSU rRNA gene analyses indicated that the
10 Link_ADI microbiome is composed of uncharacterized species (**Table S1**) that are distantly
11 related to the available prokaryotic genomes in NCBI used to train PhylopythiaS+.

12

13 In cases where PhyloPythiaS and its predecessors have had phylotype-specific training data (at
14 least 100 kb) from a given metagenome, the binning and genome reconstruction of the target
15 phylotype has proven to be highly accurate^{16,17}. Therefore, to improve the resolution of
16 PhyloPythiaS+ we compiled as much phylotype-specific training data as possible. All contigs
17 were evaluated for coverage vs. GC% metrics and the presence of taxonomically informative
18 marker genes¹⁸, with the aim of identifying contigs that correspond to the abundant phylotypes
19 identified in our samples and can therefore be used as training data. The complexity and
20 fragmented nature of the HiSeq assembly (**Fig. 3c**) made identification of species-specific
21 genome information problematic. This had direct implications on the ability to obtain the ~100
22 kb high-confidence assemblages of training data that are required for accurate species level
23 binning¹⁷. However, the increased length and improved clustering of the assembled PacBio
24 CCS contigs provided large and accurate training data collections for unClos_1 and unFirm_1
25 in particular. We pooled together six contigs totaling 200 kb for unClos_1 and seven contigs

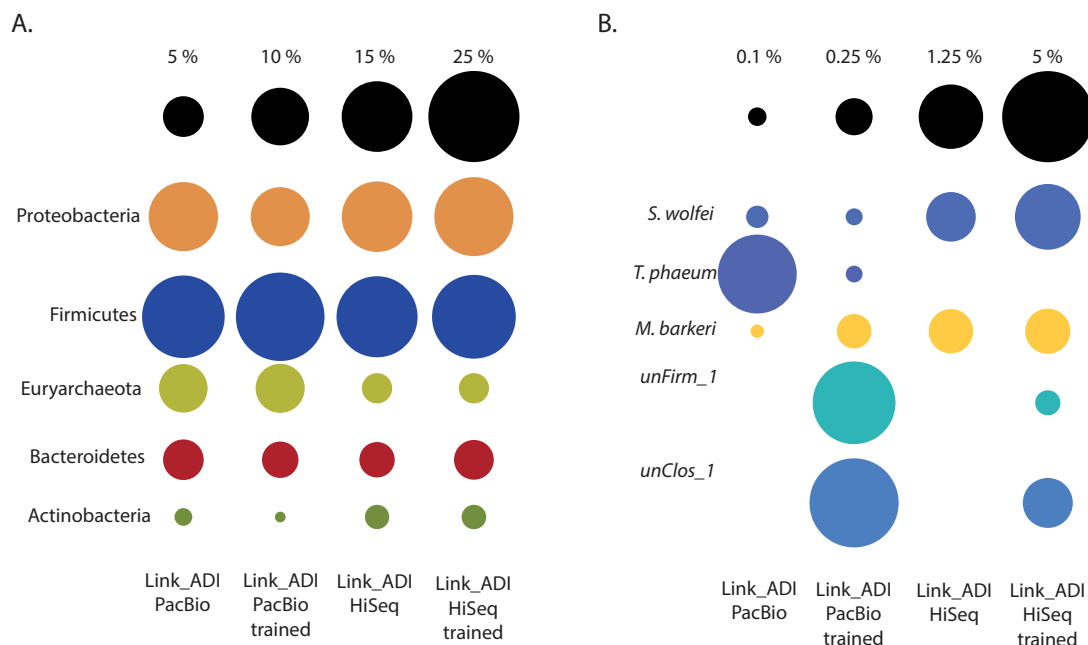
1 totaling 107 kb for unFirm_1 (Highlighted in **Fig. 3b**). Interestingly this included large contigs
2 that encoded complete SSU rRNA operons, which are notoriously difficult to assemble using
3 short-read NGS data, such as reads obtained using HiSeq. In total, we identified 17 SSU rRNA
4 gene fragments in the PacBio CCS contigs and 86 when including unassembled reads
5 (compared to six in the HiSeq contigs greater than 1 kb) with three matching unClos_1 (from
6 contigs totaling 96 kb in length).

7

8 Both the total collection of HiSeq contigs greater than 1 kb and the PacBio CCS contigs,
9 including unassembled reads, were binned with the custom training model for PhylopythiaS+,
10 that includes all the available prokaryotic genomes in NCBI and the two phylotype-specific
11 contig subsets described above. The output produced a greatly improved recovery of phylotype-
12 level binning for both unClos_1 and unFirm_1 in both HiSeq and PacBio CCS contigs from
13 Link_ADI (**Fig. 4**). For unClos_1, 189 PacBio sequences (PacBio contigs and unassembled
14 CCS reads, totaling 1,913,759 nt) and 182 HiSeq contigs (600,903 nt) were assigned to the
15 phylotype (**Table S2**). 576 PacBio sequences (1,710,231 nt) and 77 HiSeq contigs (151,790 nt)
16 were binned to unFirm_1. The binning of unClos_1 and unFirm_1 contigs also revealed
17 patterns that indicate assembly differences between PacBio CCS and HiSeq. Despite the
18 indications from the SSU rRNA gene amplicon analyses that phylotypes unClos_1 and
19 unFirm_1 were the most abundant in Link_ADI, neither phylotype were attributed to the longest
20 HiSeq contigs (**Fig. 3d**). Nine of the ten largest HiSeq contigs from Link_ADI binned to the
21 Order Actinomycetales (**Fig. 3c**), totaling around 2.2 Mb over 203 contigs (**Table S2**). Only
22 one phylotype affiliated to the Actinomycetales was identified in SSU rRNA gene amplicon
23 analysis, which was ranked 61th most abundant (**Table S1**). In addition, the coverage for each
24 of the Actinomycetales-affiliated HiSeq contigs was on average approximately two-fold higher
25 than the contigs binning as unClos_1 (**Fig. 3d**). In contrast, the Actinomycetales-affiliated

1 PacBio CCS contigs were much shorter and exhibited lower coverage than unClos_1 (**Fig. 3,**
 2 **Fig. 4).**

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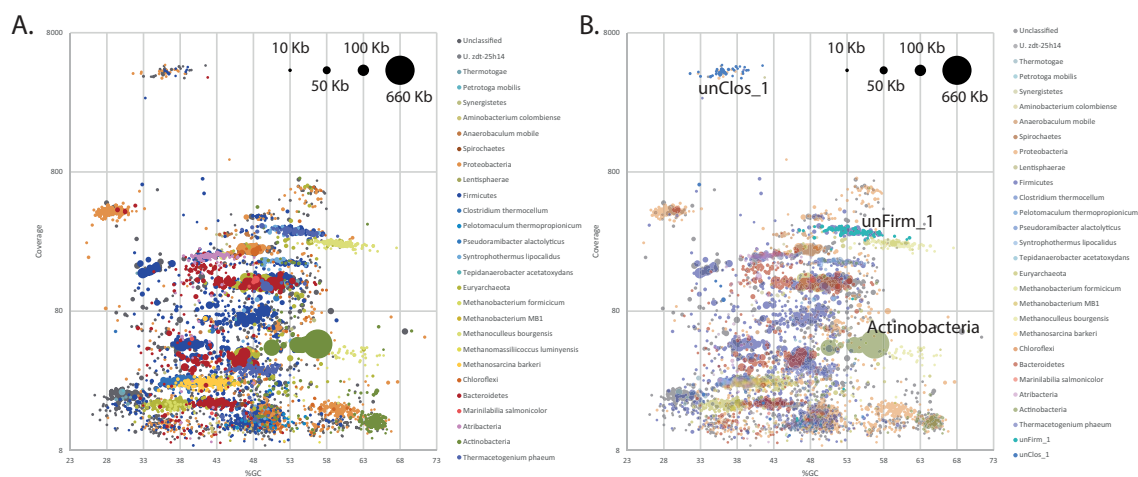
5 **Figure 4.** Selected taxonomic bins generated via PhyloPythiaS+ binning using default settings with and without
 6 use of custom training data. Circle size indicates relative bin size; for complete binning information see **Table S3**.
 7 The proportion of total DNA binned in the major phyla (**a**) represented in the Link_ADI microbiome was similar
 8 for both PacBio CCS and HiSeq contigs regardless of the use of training data. However, use of training data
 9 enhanced the recovery of unClos_1 and unFirm_1 (**b**) in both the PacBio and HiSeq assemblies. Differences
 10 between the sequencing methods were also evident at a species level where some abundant species assembled and
 11 binned better with PacBio (*Thermacetogenium phaeum*, unClos_1, and unFirm_1), whereas others produced better
 12 results with HiSeq data (*Syntrophomonas wolfei* and *Methanosarcina barkeri*).

13

14 The custom trained PhyloPythiaS+ with training data obtained from the PacBio CCS contigs
 15 also showed enhanced binning when used for other biological samples and metagenomics
 16 datasets where unClos_1 and unFirm_1 were found (**Fig. 5**). An independently created cellulose
 17 enrichment (eCI) was inoculated from Link_ADI and exhibited comparable population

1 structure, with both unClos_1 and unFirm_1 demonstrating numerical dominance (**Table S4**).
2 Similar to the Link_ADI HiSeq dataset, assembly of eCI (IBDA_UD¹⁹) did not generate long
3 marker-gene encoding contigs representative of unClos_1 and unFirm_1, and phylotype-
4 specific binning was not possible using this dataset alone (**Fig. 5a**). Therefore, training data
5 generated from the Link_ADI PacBio CCS dataset was used to taxonomically bin the eCI HiSeq
6 dataset (**Fig. 5b**). The binning produced after training improved cluster visualization, and
7 binning assignments were concurrent with coverage vs GC % comparisons, which indicated
8 explicit clusters for each phylotype (**Fig. 5b**). Subsequently, the recovery of genomic
9 information linked to the unClos_1 and unFirm_1 phylotypes was substantially larger (**Table**
10 **S3**). Similar to Link_ADI, assembly discrepancies were also noted in enrichment eCI, where
11 unClos_1 and unFirm_1 were the most abundant organisms (approximately ~48 % and ~7 %
12 relative abundance, respectively), but did not assemble into the largest contigs, which again
13 affiliated with the Actinobacteria (**Fig. 5**).

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16 **Figure 5.** Visualization of GC %, coverage and size of assembled contigs generated from eCI HiSeq data. Sample
17 eCI originated from a lab-scale enrichment grown on cellulose that was inoculated from Link_ADI. Contig lengths
18 are indicated by circle sizes. Contigs are coloured based on phylogenetic binning that was performed using
19 PhyloPythiaS+ under default settings (**a**) and PacBio-derived custom phylotype-specific training data (**b**). For the
20 purposes of clarity, only HiSeq contigs greater than 5 kb are represented.

1

2 *Hybrid assembly of genome bins improves overall genomic reconstruction*

3 In an effort to reconstruct improved genomes for both unClos_1 and unFirm_1, we used a two-
4 step hybrid assembly approach that was refined to include only PacBio and HiSeq data that
5 binned to either phylotype. With the intention of generating as complete as possible genomes,
6 we used all genomic material that was available for both phylotypes from both the Link_ADI
7 and eCI samples. Binned HiSeq contigs from Link_ADI and the cellulose enrichment eCI
8 datasets were first deconstructed into individual reads and then pooled into one file prior to
9 assembly using IBDA_UD. These hybrid HiSeq contigs were then assembled together with
10 Pacbio CCS contigs and unassembled reads binned to the same phylotype. This phylotype-
11 specific hybrid approach improved genome reconstruction in terms of total genome size as well
12 as improved average contig length and large contig assembly (**Fig. 2b-c** and **Table S2**). For
13 unClos_1, a total of 1178 sequences (PacBio contigs, unincorporated PacBio reads, and co-
14 assembled Link_ADI and eCI HiSeq contigs) 3,350,596 nt in length were assembled into 430
15 contigs (and unincorporated sequences) greater than 1 Kb totaling 3,030,306 nt. For unFirm_1,
16 1,212 sequences (3,037,687 nt) from unFirm_1 were assembled into 815 contigs greater than 1
17 Kb, totaling 2,650,713 nt. Hybrid MIRA assemblies that used the individual sequencing reads
18 (that formed the original contigs) instead of a two-step approach using CAP3, resulted in
19 contigs that were on average smaller for both unClos_1 and unFirm_1 (**Fig. 2b-c** and **Table**
20 **S2**).

21

22 **DISCUSSION**

23 Many of the commonly used second generation sequencing methods in (meta)genome
24 sequencing provide gigabases of data. While this provides high levels of sequencing depth per
25 sample, the short read lengths can restrict the ability to assemble longer contigs, particularly

1 when evaluating complex microbial communities. Specific exemplary problems include the
2 presence of genes with low evolutionary divergence between organisms or repetitive genomic
3 regions that are larger than a sequencing read (e.g., rRNA operons). One way of circumventing
4 this is by combining multiple sequencing technologies that can overcome each other's
5 limitations. For example, Illumina HiSeq provides high sequencing depth, but with low
6 sequencing breadth; in other words this technique has a high ability to sample across multiple
7 genomes with the drawback that individual reads sample a very small proportion of each
8 genome. This can be complemented by additional PacBio sequencing, which has high breadth
9 (providing at least 10-30-fold more data per read), but a lot lower depth. By combining the two
10 methods, one has a higher probability of covering regions problematic for short read sequencing
11 methods. Several studies have illustrated this convincingly for bacterial genomes, where a
12 hybrid Illumina-PacBio approach has enabled near-complete chromosome closure with no
13 necessary secondary sequencing or primer-walking methods²⁰. Previously, the high error rate
14 of PacBio reads (~86%) has prevented their use in metagenomic analysis of complex
15 communities, where the coverage required to compensate the erroneous reads was not
16 financially or technically feasible. However, use of the CCS provides high quality long reads
17 that are suitable for metagenomic applications. Here we illustrate the features that PacBio CCS
18 data may bring to a metagenomics project, with respect to increased contig lengths, assembly
19 of problematic genomic regions, improved phylogenomic binning, and genome reconstruction
20 of the uncultured phlotypes that dominate microbial communities.

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22 Specific benefits of the PacBio CCS contigs for Link_ADI were the considerably larger average
23 contig sizes as well as the number of large contigs, with the later being comparable to the HiSeq
24 assembly that was generated from 190-fold more data. In metagenomic analyses, larger contigs
25 are key to producing higher quality output that is needed for downstream applications such as

1 taxonomic assignments¹⁷, gene calling, and annotation of operons that often exceed 10 kb in
2 length¹⁶. The assembly output from both platforms varied considerably in both contig size and
3 distribution (**Fig.2, Fig. 4** and **Table S2**). In particular, numerically dominating organisms did
4 not necessary assemble into the largest HiSeq contigs, irrespective of species diversity or the
5 assembly algorithms used (**Fig. 3b, Fig. 3d** and **Fig. 5**), which in contrast transpired for PacBio
6 CCS contigs (**Fig. 3a-b**). Despite the similar size of the PacBio CCS and HiSeq > 1 kb contig
7 datasets available for binning, the size of the unClos_1 and unFirm_1 genomic bins obtained
8 from the PacBio CCS data were, on average, ~3x and ~6x larger, respectively (**Fig. 4** and **Table**
9 **S2**). Another observation was the examples of PacBio CCS contigs containing difficult to
10 assemble regions such as SSU rDNA. On average, PacBio CCS contigs that contained relevant
11 SSU rDNA data were 15-fold larger than the SSU rDNA containing HiSeq contigs.
12 Conventional composition-based binning was shown to be substantially improved with the
13 addition of PacBio-derived custom training data that contained genomic information specific
14 for unClos_1 and unFirm_1 (**Fig. 4** and **Table S3**). The collection of these phylotype-specific
15 training subsets was only possible in the PacBio CCS contig dataset, since neither phylotype
16 produced contigs of sufficient length in HiSeq datasets. Hence, this approach presents an
17 alternative means to reconstruct genomes in instances where phylotypes are not conducive to
18 HiSeq assembly and experimental design that will not allow multiple sample timepoints or
19 several differential DNA extractions, which are necessary for accurate binning algorithms that
20 use differential coverage of populations^{13,14}.

21

22 Whilst this study shows the potential value PacBio CCS reads can exert upon a metagenomics
23 study, there is certainly room for improvement. One of the key concerns with the use of PacBio
24 CCS reads is data wastage with respect to the number of reads generated and the number that
25 pass CCS quality cutoffs. One may expect that upcoming PacBio upgrades and increased read

1 lengths will produce a higher amount of high-quality CCS reads and thus less wastage. Notably,
2 closer examination reveals that read wastage is also applicable for the use of Illumina in
3 metagenomic applications. For example, in the present study only 35.6% of the paired-end
4 HiSeq reads assembled into contigs greater than 1,000 nt, an arbitrary cutoff that is used in
5 many metagenomic analyses.

6
7 Hybrid assemblies for both the total community dataset and phylotype-specific bins produced
8 improvements (**Fig. 2** and **Table S2**), and this represents just a start. In the future, there will be
9 access to better long read data and it is anticipated that further improvement of assembly
10 algorithms customized to incorporate multiple sequencing technology inputs will improve
11 hybrid assembly performance. Regardless, these aspects need further attention in moving
12 forward, so that the full potential of longer read technology can be exploited to deepen our
13 insight into complex microbial communities. This study also shows that as long reads become
14 more common, they will make further software extensions of binning algorithms such as
15 PhyloPythiaS+ very valuable and will allow automatic assignment of training contigs to novel
16 phlotypes and not just the higher ranking assignments. Increased capabilities to reconstruct
17 accurate genomes representative of uncultured microorganisms are of major importance since
18 they allow accurate mapping of community metabolism and are a prerequisite for meaningful
19 “meta-omic” studies that may reveal genes and/or proteins with novel functions that cannot be
20 recognized by bioinformatics alone.

21

22 **METHODS**

23 **Samples** Sample Link_ADI was obtained from a commercial biogas reactor in Linköping,
24 Sweden, fed on a mixture of slaughterhouse waste, food waste, and plant biomass (Reactor I)²¹.

1 Sample eCI was taken from a batch enrichment using the same commercial biogas plant as
2 inoculum source and cellulose as substrate²².

3

4 ***DNA extraction and sequencing*** Total genomic DNA was prepared using the FastDNA Spin
5 Kit for Soil (MP Biomedicals, Santa Ana, CA, USA). For both Link_ADI and cEI, an aliquot
6 of 200 µl was used for DNA extraction following the manufacturer's protocol. For SSU rRNA
7 gene sequencing, library preparation was performed as per manufacturers recommendations
8 (Illumina, 2013). V3 and V4 regions of bacterial SSU rRNA genes were amplified using the
9 341F (5'-TCGTCGGCAGCGTCAGATGTGTATAAGAGACAGCCTACGGGNGGCWG
10 CAG-3') and 785R (5'-GTCTCGTGGGCTCGGAGATGTGTATAAGAGACAGGACTACH
11 VGGGTATCTAATCC-3') modified primer set²³, where the underlined sequence corresponds
12 to the Illumina adaptor. The amplicon PCR reaction mixture (25 µl) consisted of 12.5 ng
13 microbial gDNA, 12.5 µl iProof HF DNA polymerase mix (BioRad) and 0.2 µM of each primer.
14 The PCR reaction was performed with an initial denaturation step at 98°C for 30 s, followed by
15 25 cycles of denaturation at 98°C for 30 s, annealing at 55°C for 30 s, and extension at 72°C
16 for 30 s followed by a final elongation at 72°C for 5 min. A new PCR reaction was carried out
17 to attach unique 6 nt indices (Nextera XT Index Kit) to the Illumina sequencing adaptors to
18 allow multiplexing of samples. The PCR conditions were as follows: 98°C for 3 min., 8 cycles
19 of 95°C for 30s., 55°C for 30 s., and 72°C for 30 °C, followed by a final elongation step at
20 72°C for 5 min. AMPure XP beads were used to purify the resulting 16S rRNA amplicons. The
21 16S rRNA amplicons were quantified (Quant-IT™ dsDNA HSAssay Kit and Qubit™
22 fluorometer, Invitrogen, Carlsbad, CA, USA), normalized and then pooled in equimolar
23 concentrations. The multiplexed library pool was then spiked with 25 % PhiX control to
24 improve base calling during sequencing. A final concentration of 8 pM denatured DNA was
25 sequenced on an Illumina MiSeq instrument using the MiSeq reagent v3 kit chemistry with

1 paired end, 2 x 300 bp cycle run. HiSeq Shotgun sequencing runs were performed on libraries
2 (175 nt, to ensure overlap and allow for merging of the paired-ends) prepared from Link_ADI
3 and enrichment cEI DNA using TruSeq PE Cluster Kit v3-cBot-HS sequencing kit (Illumina
4 Inc.). In addition, libraries prepared from Link_ADI DNA were shotgun sequenced using the
5 PacBio RS II Single Molecule, Real-Time (SMRT®) DNA Sequencing System. Library. The
6 library was prepared using the PacBio 2 kb library preparation protocol and sequenced on 8
7 SMRT cells using P4-C2 chemistry.

8

9 ***SSU rRNA gene amplicon analysis*** Paired end reads were joined using the QIIME v1.8.0
10 toolkit included python script join_paired_ends.py (with the default method fastq-join) and
11 quality filtered (at Phred \geq Q20) before proceeding with downstream analysis²⁴. USEARCH61
12 was used for detection of chimeric sequences followed by clustering (at 97% sequence
13 similarity) of non-chimera sequences and denovo picking of OTUs^{25,26}. Joined reads were
14 assigned to OTUs using the QIIME v1.8.0 toolkit²⁴, where uclust²⁷ was applied to search
15 sequences against a subset of the Greengenes database²⁸ filtered at 97% identity. Sequences
16 were assigned to OTUs based on their best hit to the Greengenes database, with a cut-off at
17 97% sequence identity. Taxonomy was assigned to each sequence by accepting the Greengenes
18 taxonomy string of the best matching Greengenes sequence. filter_otus_from_otu_table.py
19 (included with QIIME) was used to filter out OTUs making up less than 0.005% of the total
20 using default parameters and --min_count_fraction set to 0.00005 as previously reported²⁹.

21

22 ***Raw data assembly*** HiSeq data from Link_ADI was assembled using SOAPdenovo-63mer
23 (SOAPdenovo2 <http://soap.genomics.org.cn/soapdenovo.html>) using the following the
24 parameters: -K 51 -p 40 setting max_rd_len=125, avg_ins=100, reverse_seq=0, and
25 asm_flags=1. PacBio reads for Link_ADI were filtered using the SMRT portal, with only those

1 CCS reads that produced a minimum accuracy of 0.99 (average 10 passes) being considered for
2 further analysis (ranging from one to three kb in length). PacBio CCS reads were assembled
3 using slightly modified parameters in MIRA 4.0 (<http://sourceforge.net/p/mira->
4 [assembler/wiki/Home/](http://sourceforge.net/p/mira-)): COMMON_SETTINGS -DI:trt=./ -NW:cmrl=warn \
5 PCBIOHQ_SETTINGS -CL:pec=yes. Sequence data from enrichment cEI was trimmed using
6 sickle pe (version 0.940 <https://github.com/najoshi/sickle>) with default parameters, converted
7 to an interleaved FASTA using the program fq2fa (bundled with IDBA_UD) with the
8 parameters --merge --filter, and assembled with IDBA_UD v1.1.1
9 (http://i.cs.hku.hk/~alse/hkubrg/projects/idba_ud/index.html) using the parameters --
10 pre_correction --num_threads 15 --maxk 60.

11

12 **Identification of marker genes in contigs** For the identification of protein coding marker genes,
13 open reading frame calling was first performed using MetaGeneMark³⁰ version 1 metagenome
14 ORF calling model (gmhmp -m MetaGeneMark_v1.mod -f G -a -d). Output was subsequently
15 converted into a multiple FASTA using the included aa_from_gff.pl script. The resulting
16 proteins sequences were compared against the 31 AMPHORA marker gene HMMs using
17 HMMSCAN (part of HMMER version 3.0³¹), that form the basis of an automated
18 phylogenomic inference pipeline for bacterial sequences¹⁸. The marker genes used are: *dnaG*,
19 *frr*, *infC*, *nusA*, *pgk*, *pyrG*, *rplA*, *rplB*, *rplC*, *rplD*, *rplE*, *rplF*, *rplK*, *rplL*, *rplM*, *rplN*, *rplP*, *rplS*,
20 *rplT*, *rpmA*, *rpoB*, *rpsB*, *rpsC*, *rpsE*, *rpsI*, *rpsJ*, *rpsK*, *rpsM*, *rpsS*, *smpB* and *tsf*. Matches with
21 e-values of $< 1.e^{-5}$ were considered legitimate. SSU rDNA searches were conducted using
22 BLASTN (-e 1e-20 -r 1 -q -1 -v 5 -b 5 -F F) against a database of phylogenetically diverse
23 representative sequences from sequenced genomes³².

24

1 **Genomic binning** The GC % was calculated for each contig and the coverage values for each
2 were provided by each assembler (IDBA_UD provides a single coverage value, MIRA provides
3 average coverage, and SOAPdenovo provides k-mer coverage). From this, we created a table
4 of GC % versus coverage for each contig, allowing us to visualize clustering of contigs. Using
5 contig clustering and marker gene analysis of our PacBio contigs (because they are on average
6 longer and contain greater marker gene representation including SSU rDNA fragments), we
7 were able to generate phylotype-specific training data for the two most abundant organisms
8 (unClos_1 and unFirm_1). These subsets consisted of contigs totaling more than 100 kb, the
9 minimum necessary for custom binning using PhyloPythiaS⁺¹⁵. Contigs that met the criteria
10 for phylotype-specific training data were larger than 7 kb, exhibited consist coverage (+- 2x)
11 and GC% (+- 3%) values and encoded a SSU rRNA gene or marker gene that demonstrated
12 phylogenomic grouping with the representative OTU sequence identified via 16S rRNA gene
13 amplicon analysis. Binning was performed using PhyloPythiaS+ using both default settings,
14 against a database consisting of all publically available prokaryotic genomes in NCBI, and with
15 our custom training data.

16

17 **Co- and hybrid assembly.** Various merged assemblies were performed in an attempt to improve
18 assembly statistics of the Link_ADI community metagenome and the genome reconstructions
19 of dominate phylotypes (unClos_1 and unFirm_1). Hybrid assemblies of whole community
20 contigs (>1 kb) from both the HiSeq and PacBio CCS contig subsets were performed using
21 CAP3¹² (version date 12/21/07) with default parameters except a minimum overlap percent
22 identity (-p) of 0.95.

23

24 In order to reconstruct as large as possible genomes for unClos_1 and unFirm_1, we performed
25 hybrid assemblies of binned contigs for each phylotype from all of our samples including the

1 PacBio and HiSeq data from Link_ADI and the HiSeq data from enrichment eCI. This was
2 carried out in two stages. The first stage consisted of mapping HiSeq reads to their
3 corresponding phylotype contigs using BWA mem³³ (version 0.7.8-r455) with default
4 parameters. The reads that mapped from each sample (Link_ADI and eCI) were identified by
5 parsing the resulting SAM files, pooled together for each phylotype, and co-assembled with
6 IDBA_UD using the same workflow as eCI above into cross-sample HiSeq contigs. The second
7 stage consisted of pooling together the cross-sample HiSeq contigs with the phylotype-specific
8 PacBio contigs, which were hybrid assembled using CAP3, with the same parameters as above.
9 The unincorporated contigs from the hybrid assemblies (contigs that went into the assembly but
10 were not incorporated into hybrid contigs) were also included in the final reconstructed
11 genomes used in this study.

12

13 A hybrid assembly of raw sequences between both platforms was also performed using MIRA
14 4.0. The cross-sample HiSeq reads used above in each co-assembly were used as input along
15 with PacBio reads that mapped to each species-specific bin (identified through the MIRA
16 supplied CAF result file). MIRA 4.0 was run using the following parameters:
17 COMMON_SETTINGS -SK:mmhr=1 -NW:cac=warn -NW:cdrn=no -NW:cmrl=warn \
18 PCBIOHQ_SETTINGS -CL:pec=yes \ SOLEXA_SETTINGS -CL:pec=yes. For the HiSeq
19 readgroup, the following information was supplied: template_size = 100 400 and
20 segmet_naming = solexa.

21

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3

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15

16 **AUTHOR CONTRIBUTIONS**

17 PBP, AJN and VGHE proposed this project. JAF, AJN, ACM and PBP designed the
18 experiments and supervised the project. JAF, ATK and YP did the experiments. JAF, ATK, YP
19 and PBP analyzed the data. JAF, AJN, VGHE and PBP contributed to analysis of the results
20 and paper writing.

21

22 **ADDITIONAL INFORMATION**

23 Datasets are available at the NCBI Sequence Read Archive under the BioProject
24 PRJNA294734. The authors declare there is no competing interest. Correspondence and

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