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1 **Transcriptomic analysis reveals dose-dependent modes of action**  
2 **of benzo(a)pyrene in polar cod (*Boreogadus saida*)**

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20

21 **Abstract**

22 Polar cod (*Boreogadus saida*) has been used as a model Arctic species for hazard assessment of  
23 environmental stressors such as polycyclic aromatic hydrocarbons (PAHs). However, most of the PAH  
24 studies using polar cod rely on targeted biomarker-based analysis thus may not adequately address the  
25 complexity of the toxic mechanisms of the stressors. The present study was performed to develop a  
26 broad-content transcriptomic platform for polar cod and apply it for understanding the toxic mechanisms

27 of a model PAH, benzo(a)pyrene (BaP). Hepatic transcriptional analysis using a combination of high-  
28 density polar cod oligonucleotide microarray and quantitative real-time RT-PCR was conducted to  
29 characterize the stress responses in polar cod after 14d repeated dietary exposure to 0.4 (Low) and 20.3  
30 µg/g fish/feeding (High) BaP. Bile metabolic analysis was performed to identify the storage of a key  
31 BaP hepatic biotransformation product, 3-hydroxybenzo(a)pyrene (3-OH-BaP). The results clearly  
32 showed that 3-OH-BaP was detected in the bile of polar cod after both Low and High BaP exposure.  
33 Dose-dependent hepatic stress responses were identified, with Low BaP suppressing genes involved in  
34 the defense mechanisms and High BaP inducing genes associated with these pathways. The results  
35 suggested that activation of the aryl hydrocarbon receptor signaling, induction of oxidative stress, DNA  
36 damage and apoptosis were the common modes of action (MoA) of BaP between polar cod or other  
37 vertebrates, whereas induction of protein degradation and disturbance of mitochondrial functions were  
38 proposed as novel MoAs. Furthermore, conceptual toxicity pathways were proposed for BaP-mediated  
39 effects in Arctic fish. The present study has for the first time reported a transcriptome-wide analysis  
40 using a polar cod-specific microarray and suggested novel MoAs of BaP. The analytical tools,  
41 bioinformatics solutions and mechanistic knowledge generated by this study may facilitate  
42 mechanistically-based hazard assessment of environmental stressors in the Arctic using this important  
43 fish as a model species.

44

45

## 46 **Key words**

47 Polar cod; benzo(a)pyrene; biotransformation, transcriptomics, mode of action, toxicity pathway

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## 50 **1. Introduction**

51 Climate change in combination with anthropogenic activities have brought new challenges to the Arctic  
52 ecosystems. Elevated levels of persistent organic pollutants (POPs) and hydrocarbons are found in the

53 Arctic due to river discharges, freshwater run-off from melting sea-ice, oil and gas exploration, and  
54 maritime shipping (Macdonald et al., 2005; Harsem et al., 2011; Smith and Stephenson, 2013). Increased  
55 contamination may thus pose risk to living organisms in the Arctic. Polar cod (*Boreogadus saida*) is a  
56 keystone fish species in the Arctic marine ecosystem due to its abundance, pan-Arctic distribution and  
57 central role in the food web (Bradstreet and Cross, 1982; Hop and Gjosaeter, 2013). In the past decade,  
58 polar cod has been extensively studied with regards to its sensitivity toward petroleum related  
59 contaminants (Geraudie et al., 2014; Bender et al., 2016; Nahrgang et al., 2016; Vieweg et al., 2018)  
60 and considered as an Arctic indicator species for environmental monitoring (Nahrgang et al., 2009;  
61 Jonsson et al., 2010; Nahrgang et al., 2010a; Nahrgang et al., 2010b).

62 Benzo(a)pyrene (BaP) is a five-ring polycyclic aromatic hydrocarbon (PAH) that has been widely  
63 used as a prototypical compound for understanding the effects and modes of action (MoAs) of PAHs  
64 (Collins et al., 1991). It is a highly toxic chemical to many organisms and classified as one of the priority  
65 pollutants by U.S. Environmental Protection Agency ([https://www.epa.gov/eg/toxic-and-priority-](https://www.epa.gov/eg/toxic-and-priority-pollutants-under-clean-water-act)  
66 [pollutants-under-clean-water-act](https://www.epa.gov/eg/toxic-and-priority-pollutants-under-clean-water-act)). The toxicity of BaP in mammals and several fish species has been  
67 extensively studied, including developmental toxicity, reproductive toxicity, immunotoxicity and  
68 carcinogenicity (Carlson et al., 2004; Busquet et al., 2007; Yuen et al., 2007; Seemann et al., 2015; EPA,  
69 2017). The main MoAs of BaP are well characterized in model vertebrates, with activation of the aryl  
70 hydrocarbon receptor (AhR) leading to genotoxicity being the most commonly recognized MoA. Other  
71 known MoAs include modulation of hormone receptor signaling pathways, induction of oxidative stress,  
72 DNA damage, apoptosis and immunosuppression (Carlson et al., 2004; EPA, 2017). It has been widely  
73 recognized that the compound itself has relatively low toxicity, whereas its primary and secondary  
74 metabolites generated by biotransformation are highly genotoxic. Phase I biotransformation of BaP is  
75 mediated by cytochrome P450 (CYP) enzymes and produces highly reactive metabolic intermediates,  
76 such as 3-hydroxybenzo(a)pyrene (3-OH-BaP) (Zhu et al., 2008; Rey-Salgueiro et al., 2011) and BaP-  
77 quinones (BPQs). The 3-OH-BaP metabolite can covalently bind to DNA and protein, and form harmful  
78 adducts (Godschalk et al., 2000; Tzekova et al., 2004; Marie-Desvergne et al., 2010), while BPQs  
79 undergo one electron redox cycling and subsequently lead to formation of reactive oxygen species (ROS)  
80 through Fenton type reactions (Lorentzen and Ts'o, 1977; Flowers et al., 1997). Phase II

81 biotransformation is mediated by epoxide hydrolases which convert the metabolic intermediates of BaP  
82 to diol epoxide derivatives, such as benzo(a)pyrene-7,8-dihydrodiol-9,10-epoxide (BPDE) (Karle et al.,  
83 2004), an ultimate form of BaP metabolite and a potent mutagen which can bind to the exocyclic amino  
84 group of purines or guanine nucleobases in DNA and form BPDE-DNA adducts (reviewed in Shimada  
85 and Fujii-Kuriyama (2004)). In fish, most of the BaP metabolites are produced in the liver, secreted into  
86 the bile, concentrated in the gallbladder, and excreted into the intestine (Ferreira et al., 2006; Zhu et al.,  
87 2008; Rey-Salgueiro et al., 2011). The bile concentrations of BaP metabolites thus have been widely  
88 used as indicators of BaP exposure and biotransformation in fish (Meador et al., 1995; Moller et al.,  
89 2014; Baali et al., 2016; Kammann et al., 2017).

90 Although the mechanistic understanding of BaP biotransformation and toxicity is relatively abundant  
91 for several model vertebrates, knowledge is limited for non-model but ecologically important Arctic  
92 species. Current approaches for understanding the effects of BaP and other PAHs on Arctic fish are  
93 mainly biomarker-based, such as that using the cytochrome P450 genes/enzymes as indicators of AhR  
94 activation, and antioxidant enzymes as markers for oxidative stress (Nahrgang et al., 2009; Nahrgang et  
95 al., 2010b; Nahrgang et al., 2010c; Vieweg et al., 2017). Although the main MoAs of BaP can be  
96 captured using such approaches, the mechanistic knowledge gained is restricted to a few pre-defined  
97 toxicological functions being studied. Development and application of broad-content tools such as  
98 transcriptomics and other toxicogenomic (OMIC) tools are thus increasingly implemented in un-biased  
99 characterization of toxicity mechanisms, identifying relevant MoA and link these to adverse effects  
100 relevant for successful survival, development and reproduction of keystone species. Such hypothesis-  
101 generating tools can thus be key to developing suitable biomarkers for environmental monitoring  
102 purposes, hazard and risk assessment for Arctic species.

103 The present study addresses this issue specifically by developing and evaluating the performance of  
104 a custom high-density (180,000 features) oligonucleotide microarray by characterizing the hepatic  
105 transcriptomic responses in polar cod after dietary exposure to two doses of BaP. The main objectives  
106 were to: 1) develop a polar cod transcriptomics (analytical and bioinformatics) platform for  
107 ecotoxicological studies; 2) characterize the MoAs of BaP in polar cod based on global transcriptional  
108 responses; 3) investigate the biotransformation of BaP in polar cod based on the bile concentrations of

109 3-OH-BaP; and 4) propose a set of toxicity pathways relevant to understand the potential hazards of  
110 PAHs to Arctic fish.

111

112

## 113 **2. Materials and Methods**

### 114 **2.1 Field sampling and maintenance**

115 Polar cod were caught with a Campelen bottom trawl on board R/V Helmer Hanssen in Svalbard waters  
116 (78°N). The samples were transported to the marine biological station of the UiT-The Arctic University  
117 of Norway in Kårvika and maintained in 300 L holding tanks with running seawater at 3°C and constant  
118 dimmed light until the exposure experiment. During acclimation, polar cod were fed three times weekly  
119 ad libidum with frozen *Calanus spp.* (Calanus AS, Tromsø).

120

### 121 **2.2 Experimental design, feed preparation and exposure**

122 The experimental design consisted in force-feeding adult polar cod for 14 days and three times weekly,  
123 to 2 doses of dietary BaP (Sigma-Aldrich, St. Louis, USA) and the solvent (acetone) control. The feed  
124 preparation consisted in the addition of BaP from a stock solution (10 mg BaP/mL acetone) to thawed  
125 *Calanus spp.*, to yield final doses of either 0, 10 or 480 µg BaP per g feed (final acetone concentration:  
126 50 µL/g feed) for Control, Low and High BaP, respectively. The doses to each fish were chosen to be  
127 in the range of those used in the study by Wu et al. (2003) in which alterations of biomarkers at the  
128 cellular and physiological levels were identified. In addition, water (200 µL/g feed) was added to the  
129 preparation to adjust the consistency of the feed for the force-feeding. All feed preparations were then  
130 stirred for 2.5 hours at approximately 50°C to remove acetone. Finally, 1mL Luer-lok™ syringes  
131 (Becton, Dickinson and Company, Franklin Lakes, USA) were filled with 0.5 g of the feed and frozen  
132 at -80°C until exposure started.

133 Polar cod (n=30, total length  $13.2 \pm 1.2$  cm, total weight  $13.2 \pm 3.8$  g) were weighed and transferred  
134 to three experimental tanks (300 L). Fish were force-fed 0.5 g feed, corresponding to  $4 \pm 1$  % body

135 weight and final doses of  $0, 0.4 \pm 0.16$  and  $20.3 \pm 5.6$   $\mu\text{g}$  BaP per g fish. The feeding took maximum 20  
136 sec. and each fish was observed for 3 minutes upon feeding to control for regurgitation. Force-feeding  
137 was repeated 6 times until final sampling on the 12th of January 2013. Experimental condition  
138 (photoperiod and water temperature) were the same as during acclimation. Oxygen levels were daily  
139 measured and stayed above 90% saturation.

140 Polar cod were sampled at exposure start (holding tanks,  $n=10$ ) and after 14 days of exposure ( $n=10$   
141 per treatment). Sampling occurred three days following the last feeding event to ensure accumulation of  
142 bile. Total length (cm), total, somatic (g, without guts, liver and gonads), gonad and liver weight (g)  
143 were recorded. Liver and bile were sampled, snap frozen in liquid nitrogen and stored at  $-80^{\circ}\text{C}$  for  
144 further analyses. Hepatosomatic index (HSI) and gonadosomatic index (GSI) were determined by the  
145 following equations:

146

$$147 \text{ GSI (\%)} = \text{gonad weight/somatic weight} \times 100 \quad \text{Eq (1)}$$

$$148 \text{ HSI (\%)} = \text{liver weight/somatic weight} \times 100 \quad \text{Eq (2)}$$

149

### 150 **2.3 Measurement of BaP metabolite**

151 One of the most abundant BaP metabolites, 3-OH-BaP, was measured in the bile of each individual  
152 polar cod. Preparation of hydrolysed bile samples was performed as described in Krahn et al. (1992).  
153 Briefly, bile (1-20  $\mu\text{L}$ ) was mixed with an internal standard (triphenylamine) and diluted with  
154 demineralised water (10-50  $\mu\text{L}$ ) and hydrolysed with  $\beta$ -glucuronidasearylsulphatase (20  $\mu\text{L}$ , 1 h at  
155  $37^{\circ}\text{C}$ ). Methanol (75-200  $\mu\text{L}$ ) was added and the sample was mixed thoroughly before centrifugation.  
156 The supernatant was then transferred to vials and analysed. High pressure liquid chromatography  
157 (Waters 2695 Separations Module) was used to separate 3-OH-BaP in a Waters PAH  $\text{C}_{18}$  column (4.6  
158  $\times 250$  mm, 5  $\mu\text{m}$  particle size). The mobile phase consisted of a gradient from 40:60  
159 acetonitrile:ammonium acetate (0.05 M, pH 4.1) to 100 % acetonitrile at a flow of 1 mL/min, and the  
160 column was heated to  $35^{\circ}\text{C}$ . A 2475 Fluorescence detector measured fluorescence at the optimum for  
161 each analyte (excitation/emissions: 380/430). A total of 25  $\mu\text{L}$  extract was injected for each analysis.

162 The results were calculated by use of the internal standard method (Grung et al., 2009). The calibration  
163 standards utilized were obtained from Chiron AS, Trondheim, Norway, and were in the range 0.2-200  
164 ng/g. Some gallbladder samples were too small to allow the extraction of enough material and ensure  
165 data quality. In this case, samples were removed from the dataset. The final number of samples analysed  
166 per treatment is presented in Table 1.

167

## 168 **2.4 Transcriptional analyses**

169 A combination of optimizing RNA isolation procedures, performing RNA sequencing of pooled RNA  
170 for de novo (multi-tissue) transcriptome assembly, developing an oligo nucleotide array (microarray)  
171 for global transcriptomics analysis and identifying suitable biomarker genes for qPCR and developing  
172 a suitable bioinformatics pipeline, were performed to provide a suite of tools for rapid, cost-efficient,  
173 and reliable characterization of transcriptional responses in polar cod.

174

### 175 **2.4.1 RNA isolation**

176 Total RNA was extracted from 20-30 mg frozen liver tissues from each individual fish using RNeasy  
177 Plus Mini Kit (Qiagen, Hilden, Germany), as previously described (Song et al., 2014). The RNA yield  
178 (>2 µg) and purity (260/280 >1.8, 260/230>2) was measured using Nanodrop® spectrophotometer ND-  
179 1000 (Nanodrop Technologies, Wilmington, Delaware, USA). The RNA integrity (RIN>8) was assessed  
180 using Agilent Bioanalyzer RNA 6000 Nano chips (Agilent technologies, Santa Clara, California, USA).

181

### 182 **2.4.2 RNA sequencing and microarray design**

183 RNA from various tissues (muscle, liver, heart, gills, brain, and spleen) were sampled from a separate  
184 fish group, snap frozen in liquid N<sub>2</sub>, subjected to RNA extraction and RNA quality control as previously  
185 described (see 2.4.1). Pooled RNA from different tissues were subjected to poly(A)(+) mRNA  
186 enrichment by Oligo(dT), RNA fragmentation, cDNA synthesis by reverse transcriptase using random



187 hexamer, cDNA size selection, PCR amplification and RNA deep sequencing by Illumina HiSeq 2000  
188 by Beijing Genome Institute (BGI, Hong Kong, China). De novo transcriptome assembly of resulting  
189 68 million raw reads yielded 82,900 consensus sequences (Unigenes) that were separated into 21,463  
190 distinct clusters (nt size>300 nucleotides) and 61,437 singletons (nt size>200 nucleotides). A total of  
191 53,812 Unigenes were successfully mapped to other fish species and multiple functional categories  
192 annotated into COG and GO classifications. Where possible, protein coding regions (CDS) were  
193 predicted by blasting the sequences against protein databases (BlastX and ESTScan) and RNA sequence  
194 translated into an amino acid sequences. Of the total transcripts obtained, 82,000 sequences with  
195 annotations (approx. 50,000 CDS) yielded high-quality probes that were randomly positioned on a  
196 180,000 feature, 60-mer oligo nucleotide array (in duplicate) using Agilent Earray  
197 (<https://earray.chem.agilent.com/earray>). The resulting custom microarray was manufactured by Agilent.  
198 The detailed descriptions of the RNA sequencing, annotation and microarray design can be found in  
199 Appendix A.

200

### 201 **2.4.3 Microarray analysis**

202 Microarray gene expression analysis was conducted using 50 ng hepatic total RNA (n=4) according to  
203 the “Agilent One-Color Microarray-Based Gene Expression Analysis (v6.5)” protocol, as previously  
204 described (Song et al., 2016). The raw data was extracted using the Feature Extraction software v10.7  
205 (Agilent), and corrected for background signal, flagged for low quality/missing features, normalized  
206 (quantile method) and log<sub>2</sub> transformed using GeneSpring GX v11.0 (Agilent). One-way analysis of  
207 variance (ANOVA) followed by Tukey posthoc test was used to determine differentially expressed  
208 genes (DEGs) by comparing the exposed groups to the control. The Benjamin Hochberg (BH) multiple  
209 testing correction was applied to the statistical analysis to eliminate false positives (adjusted p-value  
210 <0.05).

211 To understand the functions of the DEGs, gene ontology (GO) functional enrichment analysis was  
212 performed using the Cytoscape v2.8 application Bingo v2.4. A hypergeometric test in combination with  
213 BH multiple testing correction was used to identify overrepresented GO functions (adjusted p-value

214 <0.05). The polar cod DEGs were further mapped to the orthologs of model organisms, including human  
215 (*Homo sapiens*), mouse (*Mus musculus*), common rat (*Rattus norvegicus*), zebrafish (*Danio rerio*) and  
216 Atlantic cod (*Gadus morhua*) using a two-pass BLAST approach implemented in Inparanoid 7 (Ostlund  
217 et al., 2010), as previously described (Song et al., 2014). The mapped ortholog DEGs were used for gene  
218 network and pathway analyses (Fisher's exact test, p-value <0.05) for species such as humans, mouse  
219 and rat, as well as supported orthology functionality for species such as zebrafish in Ingenuity Pathway  
220 Analysis (IPA, Ingenuity®Systems, [www.ingenuity.com](http://www.ingenuity.com)). No multiple testing correction was applied to  
221 the ortholog-based functional analyses to avoid loss of significant biological information. The enriched  
222 pathways were anchored to existing knowledge on the MoAs of BaP in fish and mammals to avoid false-  
223 positive conclusions. Venn diagram analysis was performed using Venny  
224 ([bioinfogp.cnb.csic.es/tools/venny/](http://bioinfogp.cnb.csic.es/tools/venny/)).

225

#### 226 **2.4.4 Quantitative real-time RT-PCR**

227 To measure a selection of biomarker gene responses and validate the microarray results, quantitative  
228 real-time reverse transcription polymerase chain reaction (qPCR) was conducted as previously described.  
229 The qPCR assay was run using the BioRad CFX384 platform, as previously described (Song et al.,  
230 2016). Briefly, 2 µg hepatic total RNA (identical as used for microarray analysis) was reversely  
231 transcribed to complementary DNA (cDNA) using the High Capacity cDNA Archive Kit (Applied  
232 Biosystems, Foster City, California, USA). The cDNA template (n=5) was then amplified in technical  
233 duplicates using the PerfeCTa® SYBR® Green FastMix® (Quanta BioSciences™, Gaithersburg, MD,  
234 USA) in combination with 400 nM forward/reverse primers (Invitrogen™, Carlsbad, USA) in a 20 µL  
235 reaction. The primers were designed using Primer3 v0.4.0 ([frodo.wi.mit.edu/primer3](http://frodo.wi.mit.edu/primer3)), purchased from  
236 Invitrogen™ (Carlsbad, USA) and optimized for annealing temperatures (Table A1). Standard curves  
237 were generated for each gene using pooled cDNA from all test samples to calculate amplification  
238 efficiencies (90%-105%). Non-template controls (NTCs) and no-reverse-transcriptase controls (NRCs)  
239 were also included in the qPCR reactions for quality assurance. The relative expression of target genes  
240 were calculated based on the quantification cycle (Cq) values using the Pfaffl Method (Pfaffl, 2001) and

241 normalized to the geometric mean expression of three housekeeping genes using the  $\Delta\Delta Cq$  method  
 242 (Vandesompele et al., 2002). The relative expression of target genes in the exposed groups were further  
 243 normalized to that in the control group to calculate fold changes (FC). Prior to statistical analysis using  
 244 Graphpad Prism v5.0 (Graphpad Software, Inc., San Diego, CA, USA), the normalized data was checked  
 245 for outliers using Grubb's test (Grubbs, 1950). One-way ANOVA in combination with Tukey posthoc  
 246 test was used to determine the statistical difference between the exposure groups when the assumptions  
 247 of normality and equal variance were met. Otherwise, Kruskal-Wallis non-parametric test followed by  
 248 a Dunn's post-hoc test was used.

249  
 250

### 251 **3. Results**

#### 252 **3.1 Exposure and chemistry**

253 Polar cod were exposed to 0 (Control),  $0.4 \pm 0.16$  (Low) and  $20.3 \pm 5.6$   $\mu\text{g}$  (High) BaP per g fish,  
 254 respectively. The concentrations of BaP metabolites ( $1.54 \pm 0.96$  and  $120.3 \pm 64.70$   $\mu\text{g}$  BaP per g bile  
 255 in Low and High groups, respectively) measured in bile after 2 weeks of exposure were in accordance  
 256 with the ingested doses (Table 1).

257 The sizes of the exposed fish were similar across the groups and in gonadal maturing stages with  
 258 high GSI, except for one female in the high treatment (2.1%). Length, weight, GSI, and HSI were not  
 259 significantly different between treatments and gender. The sex ratio in the experimental tanks was  
 260 unbalanced, with majority of males in the Control and High BaP groups.

261

262 Table 1. Overview of benzo(a)pyrene (BaP) doses in prepared feed and received by polar cod, concentration of 3-OH-BaP metabolites in bile  
 263 as well as morphometric (total length, weight, sex ratio, GSI, and HSI) of analyzed samples (n=10). Data is presented as mean and standard  
 264 deviation. LOD is below limits of analytical detection. Numbers in parentheses are n samples when different from 10.

Exposure duration (week)	Treatment	N	BaP dose in	BaP dose in fish per		3-OH-BaP	Total	Total	Sex ratio Male:Female	GSI %	HSI %
			feed	feeding			length	weight			
			$\mu\text{g}/\text{g}$	$\mu\text{g}/\text{fish}$	$\mu\text{g}/\text{g fish}$	$\mu\text{g}/\text{g bile}$	cm	g			

0	Control	10				<LOD (6)	12.5 ± 1.3	11.1 ± 3.1	8:2	16.4 ± 6.1	6.6 ± 1.8
2	Control	10	0	0	0	<LOD (8)	12.9 ± 0.8	12.7 ± 2.4	9:1	18.9 ± 5.2	7.1 ± 2.3
2	Low	10	10	5	0.4 ± 0.16	1.54 ± 0.96 (7)	13.4 ± 1.4	14.2 ± 4.9	5:5	17.0 ± 7.7	6.9 ± 2.2
2	High	10	480	240	20.3 ± 5.6	120.3 ± 64.70 (7)	13.2 ± 1.3	12.7 ± 1.3	7:3	14.9 ± 4.8	7.6 ± 1.8

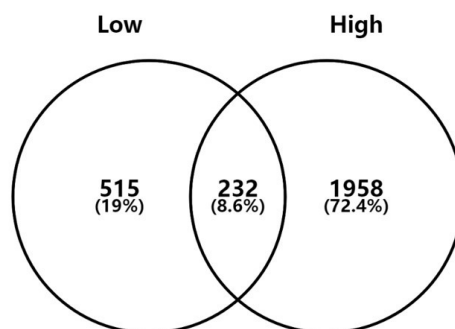
265

## 266 3.2 Transcriptomic responses

### 267 3.2.1 Differentially expressed genes

268 The microarray analysis identified a total of 747 (246 up- and 501 down-regulated) and 2190 (1453 up-  
 269 and 737 down-regulated) DEGs after dietary exposure to Low and High BaP, respectively (Appendix B,  
 270 Table A1). Among these, 232 DEGs were commonly regulated by Low and High BaP, whereas the  
 271 majority was dose-specific (Figure 1).

272



273

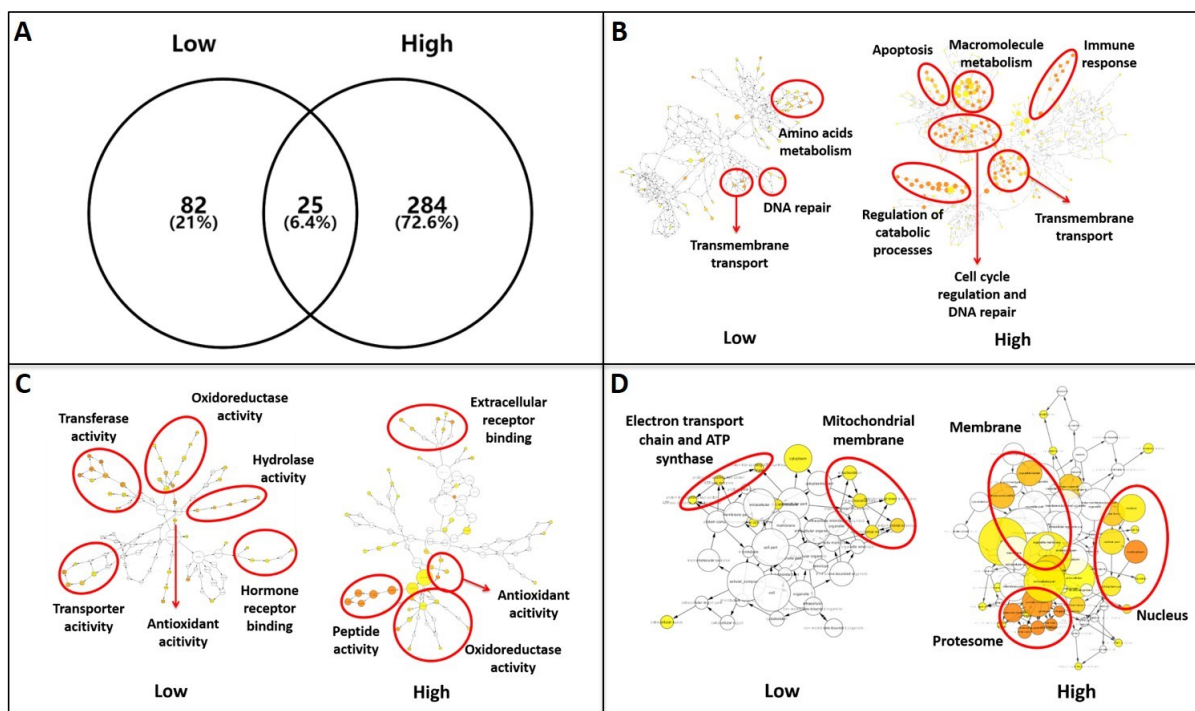
274 Figure 1. Differentially expressed genes (DEGs) in the liver (n=4) of polar cod (*Boreogadus saida*) after 14d repeated dietary exposure to 0.4  
 275 (Low) and 20.3 ug/g fish (High) benzo(a)pyrene (BaP).

276

### 277 3.2.2 Gene ontology enrichment

278 Gene ontology analysis showed that a total 107 and 309 GO terms were overrepresented after exposure  
 279 to Low and High BaP, respectively (Appendix B, Table A2). Twenty-five GO functions were commonly  
 280 affected by both Low and High dose BaP, whereas the majority was dose-specific (Figure 2A). Exposure  
 281 to Low BaP mainly affected DEGs involved in biological processes such as transmembrane transport,

282 DNA repair and amino acids metabolism, whereas exposure to High BaP affected DEGs associated with  
 283 apoptosis, macromolecule metabolism, immune response, regulation of catabolic processes, cell cycle  
 284 regulation and DNA repair and transmembrane transport (Figure 2B). In terms of molecular functions,  
 285 Low BaP caused transcriptional responses associated with transferase activity, oxidoreductase activity,  
 286 hydrolase activity, transporter activity, antioxidant activity and hormone receptor binding processes,  
 287 whereas High BaP exposure led to differential gene expression linked to extracellular receptor binding,  
 288 peptide activity, antioxidant activity and oxidoreductase activity (Figure 2C). Low BaP regulated genes  
 289 mainly involved in cellular components such as electron transport chain and ATP synthase and  
 290 mitochondrial membrane, whereas High BaP affected genes involved in the cell membrane, nucleus and  
 291 proteasome (Figure 2D). Several GO terms such as oxidoreductase activity, cell redox homeostasis and  
 292 antioxidant activity were identified to be commonly regulated by both Low and High BaP.  
 293



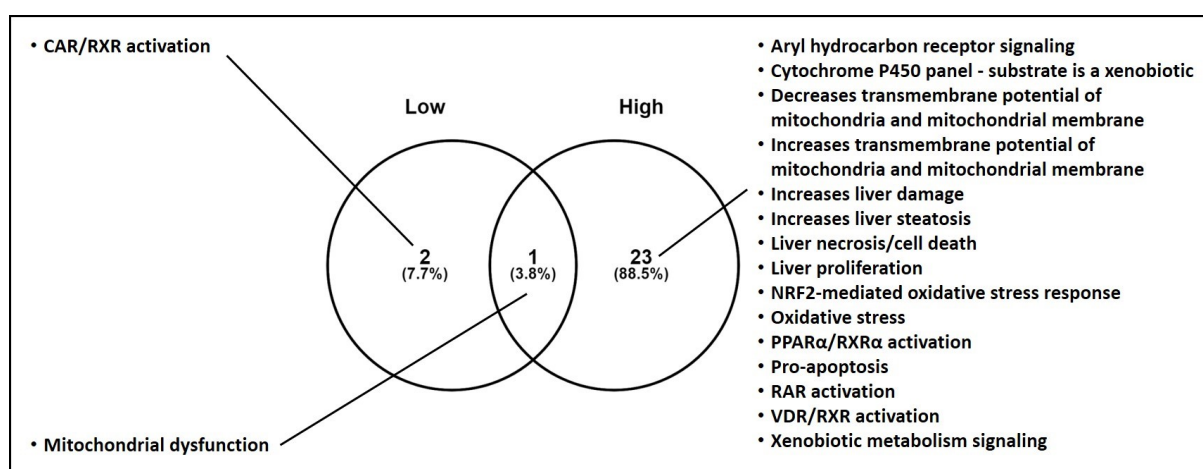
294  
 295 Figure 2. Overrepresented gene ontology (GO) functions that were affected in the liver (n=4) of polar cod (*Boreogadus saida*) after 14d repeated  
 296 dietary exposure to 0.4 (Low) and 20.3 ug/g fish (High) benzo(a)pyrene (BaP). A: Venn diagram analysis of common and unique GO functions;  
 297 B: Overrepresented biological processes; C: Overrepresented molecular functions; D: Overrepresented cellular components.

298

### 299 3.2.3 Pathway analysis

300 The ortholog mapping showed that 41.8% (Low) and 32.6% (High) of the polar cod DEGs had orthologs  
 301 in the model organisms used in IPA (Appendix B, Table A3). Enrichment analysis using ortholog DEGs  
 302 showed that Low BaP uniquely affected DEGs related to 2 toxicity endpoints such as CAR/RXR  
 303 activation, High BaP uniquely affected DEGs related to 23 toxicity endpoints such as AhR signaling,  
 304 mitochondrial membrane potential, oxidative stress responses, lipid metabolism and liver damage  
 305 (Appendix B, Table A4). Both Low and High BaP commonly affected DEGs involved in mitochondrial  
 306 dysfunction (Figure 3).

307



308

309 Figure 3. A selection of toxicity lists that were enriched by differentially expressed genes in the liver (n=4) of polar cod (*Boreogadus saida*)  
 310 after 14d repeated dietary exposure to 0.4 (Low) and 20.3 ug/g fish (High) benzo(a)pyrene (BaP).

311

312 The ortholog DEGs were further mapped to the curated mammalian canonical pathways in IPA to get  
 313 more mechanistic insight into the toxicity of BaP. The results clearly showed that the majority of the  
 314 enriched canonical pathways were dose-specific, with Low BaP uniquely affected 7 pathways mainly  
 315 related to immune response and endocrine regulation, High BaP uniquely affected 60 pathways mainly  
 316 related to biotransformation, oxidative stress response, apoptosis, protein degradation, lipid metabolism,  
 317 immune response and endocrine regulation (Appendix B, Figure A5). Both Low and High BaP exposure  
 318 commonly affected 3 pathways mainly related to mitochondrial dysfunction. A selection of  
 319 toxicologically relevant canonical pathways and associated DEGs is listed in Table 2.

320

321 Table 2. A selection of toxicologically relevant canonical pathways that were affected in the liver (n=4) of polar cod (*Boreogadus saida*) after  
 322 14d repeated dietary exposure to 0.4 (Low) and 20.3 ug/g fish (High) benzo(a)pyrene (BaP). The full gene names can be found in Appendix B  
 323 (Table A3). †: up-regulation; ‡: down-regulation.

Functional category	Canonical Pathways	p-value	Pathway coverage	Supporting differentially expressed genes
<b><i>Low</i></b>				
Oxidative phosphorylation/Apoptosis	Mitochondrial dysfunction	5.75E-05	5%	ndufa10‡, atp5f1‡, psenen‡, atp5l‡, ndufa6‡, glrx2‡, atp5a1‡, cox6c‡, atp5g3‡
Immune response	Lipid antigen presentation by CD1	2.29E-02	8%	ap2a2‡, ap2m1‡
Endocrine regulation	Estrogen receptor signaling	2.95E-02	3%	g6pc3‡, med10‡, polr2g‡, taf11‡
<b><i>High</i></b>				
Biotransformation	Xenobiotic metabolism signaling	2.14E-04	5%	mapk14‡, abcc2†, cyp1a1†, map3k12‡, cyp3a4†, camk1d‡, prkcd†, ugt2b15†, hs6st2†, gstp1†, map3k4†, hsp90aa1†, keap1†, cyp1b1†, rbx1†
Biotransformation	Aryl hydrocarbon receptor signaling	4.37E-03	5%	faslg‡, nfix‡, cyp1a1†, ccna2‡, gstp1†, hsp90aa1†, nedd8†, cyp1b1†
Antioxidant defence	NRF2-mediated oxidative stress response	1.58E-04	6%	prkcd†, mapk14†, abcc2†, usp14†, cbr1†, txn†, sod1†, gstp1†, prdx1†, keap1†, rbx1†, dnajb14†
Antioxidant defence	Glutathione redox reactions II	7.94E-03	25%	glrx†, txndc12†
Antioxidant defence	Thioredoxin pathway	4.07E-04	33%	txn†, txnrd2†, txnrd3†
Antioxidant defence	Antioxidant action of vitamin C	8.91E-04	7%	mapk14†, glrx‡, txn†, plcl2‡, plcl2‡, txnrd2†, pla2g3†, txnrd3†
Apoptosis	Apoptosis signaling	4.90E-03	7%	capn3†, faslg‡, capn1†, casp9†, casp3†, sptan1†
Apoptosis	Death receptor signaling	5.75E-03	6%	tiparp†, cflar†, faslg‡, casp9†, casp3†, sptan1†
Oxidative phosphorylation/Apoptosis	Mitochondrial dysfunction	1.23E-04	6%	ndufb6†, cyb5a†, psenen†, glrx2†, casp9†, atp5a1†, casp3†, txnrd2†, prdx3†, fis1†, cox6c†, atp5g3†
Protein degradation/Post-translational modification	Protein ubiquitination pathway	2.00E-21	13%	psmb1†, psma2†, psmb3†, psmc4†, psmb5†, psmb6†, psmd7†, psmd10†, psmd12†, psmd14†, dnajc2†, hspa41†, usp5†, hsp90aa1†, dnajb14†, usp14†, ube2v1†, uchl3†, psmd13†, rbx1†, usp33

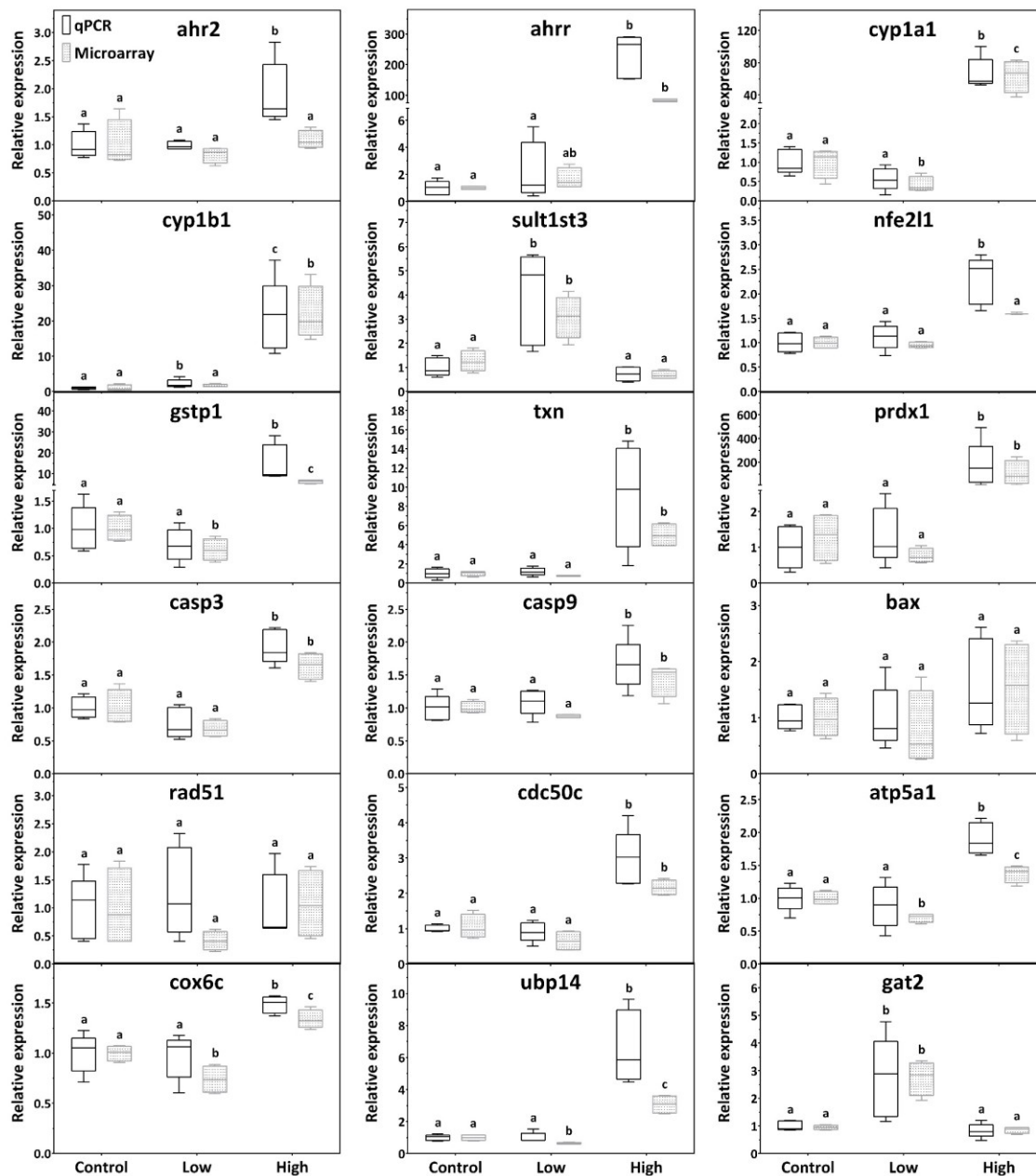
Lipid metabolism	PPAR $\alpha$ /RXR $\alpha$ activation	4.47E-02	4%	mapk14 $\uparrow$ , ap2a2 $\downarrow$ , lpl $\downarrow$ , adipor2 $\downarrow$ , plce1 $\downarrow$ , plcl2 $\downarrow$ , hsp90aa1 $\uparrow$
Immune response	Antigen presentation pathway	2.82E-02	8%	psmb6 $\uparrow$ , hla-doa $\uparrow$ , psmb5 $\uparrow$
Endocrine regulation	Androgen signaling	3.63E-02	4%	shbg $\uparrow$ , prkcd $\uparrow$ , gnb5 $\uparrow$ , polr2j $\uparrow$ , polr2g $\uparrow$ , hsp90aa1 $\uparrow$

324

### 325 **3.3 Biomarker gene responses**

326 No significant difference in expression was found for the reference genes tested between Low and High  
327 BaP. The qPCR results clearly showed that for the 18 genes representative of different toxicological  
328 functions, the transcriptional responses were in general consistent between that measured using qPCR  
329 and microarray (Figure 4).





330

331 Figure 4. Biomarker gene responses in the liver (n=5) of polar cod (*Boreogadus saida*) after 14d repeated dietary exposure to 0.4 (Low) and  
 332 20.3 ug/g fish (High) benzo(a)pyrene (BaP), measured by quantitative real-time RT-PCR and microarray. Ahr2: aryl hydrocarbon receptor 2;  
 333 ahrr: aryl-hydrocarbon receptor repressor; cyp1a1: cytochrome P450 family 1 subfamily A member 1; cyp1b1: cytochrome P450 family 1  
 334 subfamily B member 1; nfe2l1: nuclear factor erythroid 2-like 1; gstp1: glutathione S-transferase P; txn: thioredoxin; prdx1: peroxiredoxin 1;  
 335 casp3: caspase 3; casp9: caspase 9; bax: apoptosis regulator BAX; rad51: RAD51 recombinase; cdc50c: cell cycle control protein 50C; atp5a1:  
 336 ATP synthase F1 subunit alpha; cox6c: cytochrome c oxidase subunit Vic; ubp14: ubiquitin carboxyl-terminal hydrolase 14; sult1st3:  
 337 sulfotransferase family 1 cytosolic sulfotransferase 3; gat2: solute carrier family 6 member 13. a: not significantly different from the  
 338 corresponding control (either qPCR or microarray); b: significantly different from the corresponding control (either qPCR or microarray); c:  
 339 significantly different from Low BaP treatment (either qPCR or microarray).

340

341

## 342 **4. Discussion**

343 As an Arctic keystone species, polar cod is an indicator species for ecosystem health in the Arctic,  
344 notably in relation to aquatic pollution. The present study thus used polar cod as a representative Arctic  
345 fish species to study the molecular responses and to characterize the toxic MoAs of the model PAH,  
346 BaP. Benzo(a)pyrene is an extensively studied toxicant in vertebrates and is considered a model  
347 compound for PAHs due to its well-characterized MoA and well-documented adverse effects in  
348 temperate fish (EPA, 2017). The levels of BaP in the feed used in the present study were chosen to  
349 mimic that found in mussels from contaminated areas (Olenycz et al., 2015) and were in the range of  
350 that used in other dietary exposure studies with different fish species (Wu et al., 2003; Au et al., 2004;  
351 Costa et al., 2011). However, these levels are in the high end of those likely encountered in many Arctic  
352 species such as polar cod, as these Arctic fish species feed on pelagic zooplankton from relatively  
353 pristine environments. Nevertheless, the stress responses to BaP and a range of other pollutants at the  
354 molecular level are poorly characterized in this Arctic fish, and effort to characterize the global  
355 transcriptional responses to this pyrogenic PAH is thus the first in its kind. Although an earlier effort  
356 has been made to characterize the transcriptomic response in polar cod after crude oil exposure and  
357 under elevated temperature, the analytical tool used in this study was indeed an Atlantic cod (*Gadus*  
358 *morhua*) microarray, and the results obtained were based on cross-hybridization between the two fish  
359 species (Andersen et al., 2015). The novel polar cod microarray used in this study was developed based  
360 on the polar cod-specific sequences, and displayed good reproducibility across biological replicates and  
361 coverage of genes in the stress-responsive transcriptome of polar cod. Although a number of DEGs were  
362 excluded in the functional analysis due to limitations in ortholog mapping (e.g. peroxisome proliferator-  
363 activated receptor gamma/ppar-g), the results generated provided substantial knowledge on known and  
364 novel toxicity mechanisms of BaP which will be discussed in detail below.

365

### 366 **4.1 Aryl hydrocarbon receptor signaling and biotransformation**

367 It is well known that PAHs such as BaP can bind to the AhR and trigger phase I and phase II  
368 biotransformation in fish (Hahn, 1998; Karchner et al., 2005). Biotransformation, in many cases, is  
369 beneficial for detoxification and rapid elimination of xenobiotic substances. However, evidence from  
370 multiple species has demonstrated that several BaP metabolites such as 3-OH-BaP, BPQs and BPDE  
371 are more toxic than the parent compound and are directly associated with the genotoxicity of BaP  
372 (Lorentzen and Ts'o, 1977; Flowers et al., 1997; Godschalk et al., 2000; Tzekova et al., 2004; Marie-  
373 Desvergne et al., 2010). In the present study, the polar cod *ahr2* gene and its transcriptional regulator,  
374 AhR repressor (*ahrr*) were up-regulated by exposure to High BaP treatment, indicating activation of the  
375 AhR signaling pathway. The *ahr2* gene, encoding for the ligand-activated transcription factor involved  
376 in regulation of biological responses to PAHs, polychlorinated biphenyls (PCBs), 2,3,7,8-  
377 tetrachlorodibenzo-p-dioxin (TCDD) and polychlorinated diphenylsulfides (Roy et al., 2018; Zhang et  
378 al., 2018), is involved in a number of toxicity pathways associated with xenobiotic metabolism,  
379 mitochondrial dysfunction, cardiovascular abnormality, hepatic injury and DNA methylation inhibition  
380 in fish (Du et al., 2017; Knecht et al., 2017; Roy et al., 2018). The *ahrr* gene encodes a protein, which  
381 competes with the binding site of the AhR and suppresses the receptor signaling through a negative  
382 feedback mechanism (Evans et al., 2008). The induction of *ahrr* in this study may indicate demand for  
383 compensatory response to hyperactivation of the AhR by BaP. In addition, functional analyses in the  
384 present study suggest that besides activation of the AhR, the AhR-mediated xenobiotic metabolism  
385 pathways were activated. Differentially expressed genes involved in phase I biotransformation, such as  
386 cytochrome p450 1a1 (*cyp1a1*), *cyp1b1*, and phase II biotransformation, such as glutathione s-  
387 transferase p (*gstp1*) (Sarasquete and Segner, 2000; Schlenk et al., 2008) were up-regulated by exposure  
388 to High BaP. Induction of *cyp1a1* and *gst* has previously been observed in polar cod after exposure to  
389 6.6-378 µg/kg (16h-7d) BaP (Nahrgang et al., 2009) and petroleum related mixtures (Nahrgang et al.,  
390 2010c; Vieweg et al., 2018). Genes involved in the AhR signaling and biotransformation pathways, such  
391 as *cyp1a*, *cyp1b1* and *gst* were also induced in zebrafish larvae after 96h exposure to 42±1.9 mg/l BaP  
392 (Fang et al., 2015). Induction of *cyp1b1* has also been reported in zebrafish (*Danio rerio*) after 24h  
393 injection with 1 mg/kg BaP (Bugiak and Weber, 2009) and after 96h waterborne exposure to 50 µg/L  
394 BaP (Corrales et al., 2014), in rainbow trout (*Oncorhynchus mykiss*) after 14d injection with 100 mg/kg

395 BaP (Phalen et al., 2017), and channel catfish (*Ictalurus punctatus*) after 4d injection with 20 mg/kg  
396 BaP (Willett et al., 2006). In addition to up-regulation of genes involved in the biotransformation  
397 processes, increase in the bile 3-OH-BaP concentration suggest that biotransformation was indeed  
398 causing potentially toxic metabolites as observed in other fish species (Rey-Salgueiro et al., 2011;  
399 Moller et al., 2014). On the contrary, no genes related to the AhR signaling were differentially expressed  
400 in polar cod after exposure to Low BaP in this study, albeit detectable concentration of 3-OH-BaP was  
401 identified in the bile after 14d exposure. Moreover, sulfotransferase family 1 cytosolic sulfotransferase  
402 3 (sult1st3), a gene involved in the CAR/RXR-mediated phase II biotransformation of PAH metabolic  
403 intermediates (Falany and Wilborn, 1994; Glatt, 2000; Meland et al., 2011), was up-regulated by Low  
404 BaP. These findings taken together suggested that either a dose threshold was required for BaP to induce  
405 AhR-mediated biotransformation in this Arctic species as seen for dioxin-like compounds (Hailey et al.,  
406 2005), or an alternative xenobiotic metabolic pathway was induced to transform BaP at this low  
407 exposure dose.

408

## 409 **4.2 Oxidative stress**

410 Induction of oxidative stress is considered a major MoA of BaP. Biotransformation of BaP by CYP  
411 isozymes and peroxidases may generate highly reactive intermediates, such as BPQs which may produce  
412 ROS through participation in the one electron redox cycling and induce oxidative stress (Lorentzen and  
413 Ts'o, 1977; Flowers et al., 1997). Induction of antioxidant (AOX) biomarkers as indication of oxidative  
414 stress has been documented in a number of fish species after exposure to BaP (Nahrgang et al., 2009;  
415 Curtis et al., 2011; Palanikumar et al., 2012). Results from the present study also suggest that both Low  
416 and High BaP exposure modulated oxidative stress responses in polar cod, however, through different  
417 mechanisms. Exposure to Low BaP affected DEGs involved in several GO functions related to oxidative  
418 stress responses, such as AOX activity, thioredoxin-disulfide reductase activity and cellular redox  
419 homeostasis. Supporting DEGs, such as thioredoxin domain-containing protein 12 (txndc12) and  
420 thioredoxin reductase 3 (txnrd3) were mainly related to the thioredoxin-mediated AOX defense (Arner  
421 and Holmgren, 2000) and found to be down-regulated after exposure to Low BaP, possibly indicating

422 reduced demand for antioxidant (AOX) enzymes. It is not clear how the AOX defense mechanism in  
423 polar cod was suppressed by Low BaP. However, reduction in AOX enzymes, such as glutathione  
424 peroxidase (gpx) and catalase (cat), has been associated with decreased endogenous ROS production as  
425 a result of reduced metabolic activities in fish (Janssens et al., 2000). It is therefore possible that in  
426 response to Low BaP exposure, the metabolic (and AOX) levels were reduced to avoid massive  
427 production of endogenous ROS. In contrast to Low BaP, exposure to High BaP induced multiple types  
428 of AOX pathways in polar cod, such as NRF2-mediated oxidative stress response (transcriptional  
429 regulation of AOX), glutathione redox reaction 2 (enzymatic AOX), thioredoxin pathway (enzymatic  
430 AOX) and antioxidant action of vitamin C (non-enzymatic AOX), thus indicating BaP-induced  
431 oxidative stress. Supporting DEGs such as nuclear factor erythroid 2-like 1 (nfe2l1), a sensor of  
432 oxidative stress and master transcription regulator of AOX (Kaspar et al., 2009), and kelch-like ech-  
433 associated protein 1 (keap1), the dimerization partner of nfe2 (Kobayashi and Yamamoto, 2005), were  
434 up-regulated, indicating the initiation of upstream transcriptional regulation in response to oxidative  
435 stress. Downstream AOX DEGs widely used as oxidative stress biomarkers, such as superoxide  
436 dismutase (sod1), thioredoxin (txn), and peroxiredoxin 1 (prdx1) (Arner and Holmgren, 2000;  
437 Valavanidis et al., 2006; Birben et al., 2012) were also up-regulated, indicating demand for increased  
438 AOX capacity. These transcriptional responses collectively suggested that exposure to High BaP caused  
439 excessive ROS production which likely overwhelmed the AOX cellular defense. This is confirmed by  
440 up-regulation of AOX genes such as sod, cat and gpx in Polar cod after exposure to 6.6-378 µg/kg (16h-  
441 4d) BaP (Nahrgang et al., 2009) and BaP-containing petroleum products (Nahrgang et al., 2010b;  
442 Nahrgang et al., 2010c; Vieweg et al., 2017). Induction of key genes involved in the NRF2-mediated  
443 oxidative stress response pathway, such as nuclear factor erythroid 2-like 2b (nfe2l2b), and glutathione-  
444 mediated detoxification pathway, such as gst has been documented in zebrafish larvae after 96h  
445 waterborne exposure to 42±1.9 mg/l BaP (Fang et al., 2015).

446

### 447 **4.3 DNA damage**

448 DNA damage is considered another major MoA of BaP. Metabolism of BaP can lead to the generation  
449 genotoxic metabolites, such as BPDE (Karle et al., 2004) which can directly distort the structure of DNA  
450 by forming harmful BPDE-DNA adducts (Kucab et al., 2015; Long et al., 2016), thus causing  
451 genotoxicity in fish (Nishimoto and Varanasi, 1985; Smolarek et al., 1987; Dolcetti et al., 2002). Other  
452 reactive intermediates, such as 3-OH-BaP (Zhu et al., 2008; Rey-Salgueiro et al., 2011) has been  
453 strongly correlated with formation of DNA and haemoglobin adducts in mammals (Godschalk et al.,  
454 2000; Tzekova et al., 2004; Marie-Desvergne et al., 2010). In addition, metabolic intermediates such as  
455 BPQs may also indirectly cause DNA damage through ROS (Regoli et al., 2003; Srut et al., 2015).  
456 Results from the present study clearly showed that the bile 3-OH-BaP was detected in polar cod in a  
457 dose-dependent manner, indicating an increased potential for formation of DNA adducts and associated  
458 DNA damage in the fish. Transcriptomic analysis further revealed that exposure to both Low and High  
459 BaP affected DEGs caused molecular responses related to DNA damage in polar cod, however, in  
460 different manners. Exposure to Low BaP mainly caused down-regulation of DEGs involved in DNA  
461 ligation during base-excision repair, such as high-mobility group protein B2 (*hmgb2b*), a key gene  
462 involved in DNA transcription, recombination, and repair in fish (Moleri et al., 2011). Similar to the  
463 down-regulation of AOX genes found in this study, the suppression of DNA repair genes may likely  
464 indicate reduced demand for DNA damage responses, possibly due to the suppression of oxidative stress  
465 as a result of reduced metabolic activities and ROS formation. On the contrary, exposure to High BaP  
466 led to up-regulation of DEGs mainly involved in the cell division regulation, such as cell division  
467 control protein 42 (*cdc42-cell cycle control*), *cdc50c* (cell cycle control) (Langerak and Russell, 2011),  
468 and DNA excision repair, such as UV excision repair protein RAD23 homolog A (*rad23a-nucleotide*  
469 *excision repair*) (Dantuma et al., 2009). Surprisingly, no genes associated with DNA double-strand break  
470 (DSB) repair, such as RAD51 recombinase (*rad51*), were differentially expressed, possibly suggesting  
471 that the BaP-induced DNA damage did not sufficiently cause DSB in this study. Benzo(a)pyrene-  
472 mediated DNA damage has been documented in polar cod after chronic (4-week) exposure to BaP-  
473 containing crude oil (Nahrgang et al., 2010b), and in several other fish species, such as zebrafish (Srut  
474 et al., 2015), Chinese rare minnow (*Gobiocypris Rarus*) (Yuan et al., 2017), European eel (*Anguilla*  
475 *anguilla*) (Nigro et al., 2002) and the Atlantic Killifish (*Fundulus heteroclitus*) (Wills et al., 2010).

#### 477 **4.4 Mitochondrial dysfunction**

478 One of the novel findings was that exposure to BaP modulated genes involved in the mitochondrial  
479 electron transport chain (ETC) functions in a dose-dependent manner. Exposure to PAHs is known to  
480 cause mitochondrial dysfunction in higher vertebrates (Zhu et al., 1995; Li et al., 2003; Ko et al., 2004;  
481 Xia et al., 2004; Bansal et al., 2014). However, the mitochondrial toxicity of PAHs has not been well  
482 studied in fish. It is widely known that the mitochondrial ETC is a key component of the oxidative  
483 phosphorylation (OXPHOS) machinery and vital for ATP synthesis, antioxidant defense and apoptosis  
484 (Richter et al., 1996; Brookes et al., 2002; Orrenius, 2007; Hoye et al., 2008). Results from the present  
485 study clearly showed that both Low and High BaP affected the same targets (i.e. protein complex I, IV,  
486 V) in the mitochondrial ETC in polar cod, however, by different mechanisms. Exposure to Low BaP  
487 uniformly suppressed DEGs involved in the ETC, such as *ndufa6* and *ndufa10* (ETC complex I), *cox6c*  
488 (complex IV), *atp5a1*, *atp5f1*, *atp5l* and *atp5g3* (complex V), likely due to the demand for reduced  
489 production of endogenous ROS by mitochondrial OXPHOS (Murphy, 2009). On the contrary, exposure  
490 to High BaP uniformly up-regulated DEGs in the ETC, such as *ndufb6* (complex I), *cox6c* (complex  
491 IV), *atp5a1* and *atp5g3* (complex V). The toxicity mechanisms of BaP in the mitochondria of polar cod  
492 has not been well studied and is also poorly characterized in other vertebrates (Venkatraman et al., 2008;  
493 Du et al., 2015). However, since the mitochondrial ETC is a major source of endogenous ROS (Murphy,  
494 2009), the dose-dependent effects observed in this study may likely be due to that polar cod suppressed  
495 the ETC activities to reduce ROS formation under Low BaP stress, whereas elevated the ETC activity  
496 due to higher demand for ATP-dependent defense mechanisms (Song et al., 2016; Blajszczak and Bonini,  
497 2017) under High BaP stress. It is also possible that exposure to High BaP impaired the functions of  
498 ETC complexes by oxidative damages, which in turn facilitated the production of ROS in the  
499 mitochondria due to abnormal redox reactions (Blajszczak and Bonini, 2017). Moreover, studies on the  
500 mammalian models suggest that AhR may interact with the mitochondrial ETC, especially protein  
501 complex V and cause mitochondrial ETC dysfunction (Tappenden et al., 2011; Hwang et al., 2016). In  
502 fish, only one recent study has reported increased complex I and complex IV activities, and proton leak

503 on the mitochondrial membrane in the Atlantic killifish (*Fundulus heteroclitus*) after 24h exposure to  
504 50 mg/kg BaP (Du et al., 2015), which partially supports the current findings.

505

#### 506 **4.5 Apoptosis**

507 Apoptotic cell death is normally activated as a consequence of oxidative stress, DNA damage, or  
508 mitochondrial dysfunction to eliminate damaged cells and avoid mutation (Elmore, 2007). Exposure to  
509 BaP is known to induce apoptosis as an MoA in various fish species, such as tilapia (*Oreochromis*  
510 *niloticus*) (Holladay et al., 1998), Chinese rare minnow (Yuan et al., 2017) and zebrafish (Gao et al.,  
511 2015). The present transcriptional analysis also showed that two key DEGs involved in the regulation  
512 of apoptosis, caspase 9 (*cas9*, initiator of apoptosis) and caspase 3 (*cap3*, the executor of apoptosis)  
513 (Takle and Andersen, 2007) were up-regulated by exposure to High BaP (but not Low BaP), indicating  
514 potential activation of caspase-dependent apoptotic signaling. It is however not clear which type of  
515 apoptotic signaling pathway (i.e. intrinsic/mitochondrial or extrinsic/death receptor pathway) was  
516 involved in the activation of the caspases, as none of the upstream genes in the intrinsic apoptotic  
517 signaling, such as apoptosis regulator BAX (intrinsic apoptosis activator) or B-cell lymphoma 2 (Bcl-2,  
518 intrinsic apoptosis inhibitor) were differentially expressed, whereas several DEGs involved in the  
519 extrinsic apoptotic signaling, such as Fas ligand (*faslg*), and CASP8 and FADD like apoptosis regulator  
520 (*cflar*) (Jin and El-Deiry, 2005) were found to be suppressed by exposure to High BaP. Although  
521 previous studies suggest that exposure to BaP may up-regulate caspases through activation of the  
522 intrinsic apoptotic signaling both *in vivo* (Zha et al., 2017) and *in vitro* (Kobayashi and Yamamoto, 2005;  
523 Santacroce et al., 2015), the detailed mechanisms involved in the activation of caspase-dependent  
524 apoptotic signaling by BaP still remain to be better characterized in polar cod.

525

#### 526 **4.6 Protein degradation**

527 Protein degradation is usually accompanied with oxidation of macromolecules and apoptosis to  
528 eliminate damaged proteins or regulatory proteins involved in a variety of toxicological processes



529 (Hershko and Ciechanover, 1998; Aiken et al., 2011; Ulrich, 2012). Stress-induced protein degradation  
530 has been extensively studied in mammals under disease situations (Lecker et al., 2006; Reinstein and  
531 Ciechanover, 2006). However, this type of stress response has only been occasionally reported in  
532 wildlife. Another novel finding in the present study was that both Low and High BaP exposure affected  
533 DEGs involved in protein degradation in polar cod, however, in different manners. Exposure to Low  
534 BaP caused down-regulation of DEGs related to proteasome activities, such as proteasome subunit alpha  
535 type 1 (psma1), 4 (psma4) and 7 (psma7). The mechanism underlying this suppressive regulation is  
536 unclear, albeit a recent study on zebrafish also showed that a gene involved in protein ubiquitination,  
537 ubiquitin carboxy-terminal hydrolase L1 (uchl1), was down-regulated after 230d exposure to 5 and 50  
538 nmol/L BaP (Gao et al., 2015). In contrast, exposure to High BaP consistently up-regulated DEGs  
539 involved in the protein ubiquitination pathway, such as ubiquitin carboxyl-terminal hydrolase 5 (usp5),  
540 14 (usp14), ubiquitin-conjugating enzyme E2 variant 1 (ube2v1), ubiquitin carboxyl-terminal hydrolase  
541 isozyme L3 (uchl3), heat shock protein 90 alpha (hsp90aa1) and ten psma genes. These genes play  
542 important roles in different steps of protein ubiquitination, such as ubiquitin-protein conjugation and  
543 proteasomal degradation of target protein (Hershko and Ciechanover, 1998; Flick and Kaiser, 2012).  
544 The induction of protein ubiquitination genes clearly indicated that exposure to High BaP activated the  
545 protein degradation machinery in polar cod.

546

#### 547 **4.7 Other potential mechanisms**

##### 548 *Hormone receptor signaling*

549 Benzo(a)pyrene is proposed to act as an endocrine disruptor in several organisms (Tian et al., 2013;  
550 Kennedy and Smyth, 2015; Wen and Pan, 2015; Regnault et al., 2016). The present study showed that  
551 exposure to Low BaP consistently suppressed DEGs involved in the estrogen receptor (ER) signaling  
552 pathway, such as glucose-6-phosphatase catalytic subunit 3 (g6pc3), mediator complex subunit 10  
553 (med10), RNA polymerase II subunit G (polr2g) and TATA-box binding protein associated factor 11  
554 (taf11). These DEGs, however, were not the core regulator genes (e.g. ER $\alpha$  or ER $\beta$ ) in the ER signaling,  
555 but more generally involved in RNA polymerase II-mediated transcription regulation (Salgado et al.,

2004; Meka et al., 2005; Robinson et al., 2005; Just et al., 2016). It was therefore not entirely clear whether the ER signaling in polar cod was inhibited by exposure to Low BaP, albeit the previous studies on cross-talks between the AhR and ER pathways suggest that activated AhR may inhibit ER activity through various mechanisms in mammals (Matthews and Gustafsson, 2006; Helle et al., 2016) and fish (Bemanian et al., 2004; Yan et al., 2012; Hultman et al., 2015). In comparison with Low BaP, exposure to High BaP up-regulated DEGs involved in the androgen signaling pathway, such as sex hormone binding globulin (shbg), protein kinase C delta (prkcd), G protein subunit beta 5 (gnb5), heat shock protein 90 alpha family class A member 1 (hsp90aa1), polr2g and polr2j. Genes such as shbg, prkcd and gnb5 are involved in the nongenomic actions of androgens (Foradori et al., 2008; Bobe et al., 2010), whereas polr2g and polr2j are generally involved in RNA polymerase II-mediated transcription regulation (Meka et al., 2005). The hsp90aa1 gene is also involved in various biological processes, such as protein stabilization, protein degradation, hypoxic response and regulation of androgen receptor (AR) signaling (Roberts et al., 2010; De Leon et al., 2011). The evidence taken together suggests that the androgen signaling pathway in polar cod may be a target for BaP exposure, albeit the mechanism does not involve modulating the expression of AR itself. This assumption was contradictory to the previous findings from the mammalian studies where AhR agonists such as BaP are usually anti-androgenic (Kizu et al., 2003; Okamura et al., 2004), and thus suggest more in-depth studies to clarify the role of BaP or its metabolites on androgen signaling in polar cod.

574

### 575 *Immune functions*

576 A number of studies suggest that BaP can modulate immune functions in vertebrates (reviewed in EPA  
577 (2017)). In this study, exposure to Low BaP in general down-regulated DEGs involved in lipid antigen  
578 presentation, such as adaptor related protein complex 2 alpha 2 subunit (ap2a2) and adaptor related  
579 protein complex 2 mu 1 subunit (ap2m1). Benzo(a)pyrene has been shown to inhibit cluster of  
580 differentiation 1 (CD1) protein-mediated lipid antigen presentation in human dendritic cells (Sharma et  
581 al., 2017). In fish, however, this inhibitory effect has not been well documented. On the contrary,  
582 exposure to High BaP up-regulated DEGs involved in the antigen presentation pathway, such as major  
583 histocompatibility complex class II DO alpha (hla-doa) and two protein degradation associated psmb

584 genes (psmb5 and psmb6), possibly indicating demand for activation of humoral immunity (Myers et  
585 al., 1987).

586

### 587 *Lipid homeostasis*

588 Benzo(a)pyrene has been shown to affect lipid homeostasis in mammals (Layeghkavidaki et al., 2014;  
589 Wang et al., 2015; Hu et al., 2016), possibly through cross-talks between the AhR signaling and  
590 peroxisome proliferator-activated receptor (PPAR) pathway (Shaban et al., 2005; Borland et al., 2014).

591 The PPAR signaling pathway is considered the central regulator of lipid metabolism in vertebrates (Ferre,  
592 2004). Perturbations to lipid metabolism by PAH-containing petroleum compounds have also been

593 documented in several fish studies (Bilbao et al., 2010; Adeogun et al., 2016; Xu et al., 2016; Cocci et  
594 al., 2017; Vieweg et al., 2018). Results from the present study showed that exposure to High BaP in

595 general down-regulated DEGs involved in the PPAR $\alpha$  signaling pathway, such as lipoprotein lipase (lpl,  
596 hydrolysis of triglycerides in lipoproteins), adiponectin receptor 2 (adipor2, fatty acid oxidation),

597 phospholipase C epsilon 1 (plce1, hydrolysis of polyphosphoinositides), phospholipase C like 2 (plcl2,  
598 hydrolysis of the phospholipids) which are key for lipid metabolism. On the contrary, ppar $\gamma$  was found

599 to be highly up-regulated by exposure to High BaP. This discrepancy in transcriptional regulation may  
600 attribute to the slightly different roles of PPAR isoforms in the maintenance of lipid homeostasis

601 (Lamichane et al., 2018). It has been suggested that PPAR $\alpha$  and PPAR $\beta/\delta$  promote energy dissipation,  
602 whereas PPAR $\gamma$  promotes energy storage in mammals (Dubois et al., 2017). The up-regulation of ppar $\gamma$

603 in polar cod may likely indicate demand for lipid synthesis, possibly due to elevated activity of the  
604 mitochondrial energetic machinery which consumes fatty acids as energy sources (Nsiah-Sefaa and

605 McKenzie, 2016) and/or increased degradation of damaged lipids as a consequence of oxidative stress  
606 induced by BaP metabolism. A recent study also suggested reduced lipid metabolism in polar cod after

607 dietary exposure to crude oil, as indicated by the down-regulation of cytochrome P4507A1 (cyp7a1), a  
608 gene involved in cholesterol metabolism (Vieweg et al., 2018).

609

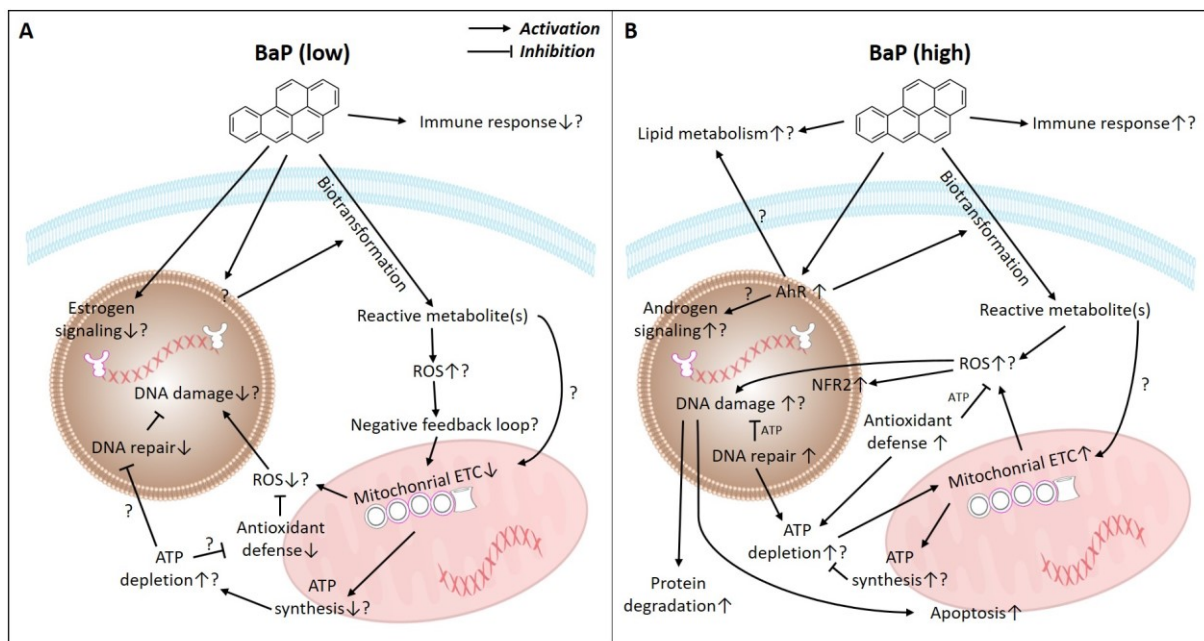
## 610 **4.8 Conceptual toxicity pathway network**

611 On the basis of the hepatic transcriptomic responses in polar cod, a conceptual toxicity pathway network  
612 is proposed for understanding of the dose-dependent toxicity mechanisms of BaP in this Arctic fish  
613 (Figure 5). After exposure to the low dietary doses of BaP (0.4 ug/g), low level of reactive metabolites  
614 may be formed through biotransformation of BaP and potentially disturb the cellular redox homeostasis  
615 by increasing the production of endogenous ROS. To maintain the homeostasis and avoid oxidative  
616 stress, adaptive responses (or compensatory mechanisms, e.g. negative feedback loop) may be induced  
617 to suppress the mitochondrial ETC activity, a major source of endogenous ROS formation (Figure 5-A).  
618 This may consequently reduce the demand for defense mechanisms such as AOX defense and DNA  
619 repair. It is also possible that the BaP metabolites directly disrupt the mitochondrial ETC thus causing  
620 reduction in ATP synthesis.

621 Exposure to High BaP activates the AhR-mediated biotransformation, thus generating reactive  
622 metabolites of BaP (Figure 5-B). These metabolites may disturb the redox reactions and produce  
623 excessive ROS, thus inducing oxidative stress and activating the AOX defense. Oxidative damage to  
624 the DNA may activate DNA repair, protein degradation and apoptosis to protect the cells and avoid  
625 mutation. However, since the repair mechanisms usually require energy, the mitochondrial ETC activity  
626 is elevated to produce more ATP, and simultaneously, more endogenous ROS. When the oxidative  
627 damages overwhelm the repair mechanisms, adverse effects at higher level of biological organization  
628 may be induced.

629 The present work demonstrate how transcriptional approaches can be used to characterize the MoA of  
630 pollutants, and how functional responses such as bile metabolites can support weight of evidence  
631 considerations using molecular and physiological data. The current approach is as such explorative as  
632 pointing out potential toxicity pathways that can be triggered by stressors, and the logical continuance  
633 of such work is to assess whether these perturbations at the molecular and physiological scale transplant  
634 to adverse (phenotypical) effects that can be associated with reduction of fish health and successful  
635 recruitment to natural populations of polar cod.

636



637

638 Figure 5. Proposed network of hepatic toxicity pathways in Arctic fish after exposure to Low (A) and High (B) levels of benzo(a)pyrene (BaP).

639 ROS: Reactive oxygen species; ETC: Electron transport chain; AhR: Aryl hydrocarbon receptor. ↑ : Increase; ↓ : Decrease.

640

641

## 642 5. Conclusions

643 The present study developed and applied a transcriptomics platform (microarray and qPCR) for  
 644 understanding the effects and MoAs of BaP on a key Arctic fish, polar cod. The global transcriptional  
 645 analysis in combination with targeted metabolic identification suggest that BaP and its  
 646 biotransformation product 3-OH-BaP affected polar cod in a dose-dependent manner, potentially  
 647 through induction of oxidative stress, DNA damage and apoptosis as the main MoAs, similarly to that  
 648 reported for other vertebrates. Novel MoAs in polar cod such as disturbance of mitochondrial ETC and  
 649 induction of protein degradation were also proposed. Although similar biological targets were identified  
 650 for both Low and High BaP exposure, the detailed toxicity mechanisms contributing to the MoAs of this  
 651 compound were dissimilar, with Low BaP in general suppressing DEGs involved in the defense  
 652 pathways and High BaP mainly inducing DEGs in the compensatory mechanisms. Moreover, dose-  
 653 dependent responses related to disturbance of hormone receptor signaling, perturbation to immune  
 654 functions and disruption of lipid homeostasis were also characterized and suggested as additional MoAs

655 of BaP in polar cod. The present study reported the first transcriptomic analysis in polar cod. The tools  
656 and knowledge generated may thus serve as a foundation for future mechanistically-based and  
657 phenotypically-anchored impact assessment of environmental pollutants in the Arctic using this  
658 important fish as a forecaster species.

659

660

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667

668

## 669 **Appendix A. Microarray design**

## 670 **Appendix B. Microarray data**

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672

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