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Characterization of functional physical properties of the dry petfood manufactured with animal, plant, and microalgae ingredients.

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Abstract

The aim of the thesis is to gain better understanding on the effects of defatted microalgae biomass (*Desmodesmus sp.*), vital wheat gluten and potato protein on physical qualities of extruded dry pet food. Two experiments were conducted to investigate the effects. In the first experiment, microalgae (DMG) replaced poultry meal partially, at inclusion rate of 10%, 15% and 20%. Higher inclusion rates of DMG resulted in lower moisture content, lower water activity, but higher hardness and durability. Increasing extrusion water rate and decreasing screw speed improves expansion of pellets made with 15% and 20% DMG. Only extruded pellets produced with 20% DMG achieved acceptable water activity (< 0.6). In the second experiment, poultry meal is replaced with vital wheat gluten and potato protein at ratio 1:1. The experiment showed that the plant proteins improved expansion ratio, hardness, and durability but extruded pellets have high moisture content and unacceptably high-water activity (both were > 0.6).

The results obtained from the thesis shows that microalgae biomass, vital wheat gluten and potato protein may be used in place of animal protein to improve physical qualities of extruded feeds, especially pet food.

Keywords: extrusion, *Desmodesmus sp.*, microalgae, vital wheat gluten, potato protein, physical quality, expansion ratio, moisture content, water activity, hardness, pellet durability index, pet food.

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Abbreviations

DMG	Defatted biomass of <i>Desmodesmus</i> sp.
WP	Vital wheat protein
PT	Potato protein
PDI	Pellet durability index
SME	Specific mechanical energy

1. Introduction

1.1. Pet food industry and its growing market

Pet food is a fast-growing industry, even during the COVID-19 pandemic.

According to BusinessWire (2021), the global pet food market would continue to grow in 2021 at a compound annual growth rate (CAGR) of 4.5%. North America was the largest region in the market of 2020, Western Europe was the second largest, and Middle East was the smallest.

According to Euromonitor International, 2020 witnessed the growth of wet food, dry food, and pet treat segments in the market. Among these, pet treat had the highest growth (>10%), dry food came second (>8%) and wet food is at the third place (about 8%). There would be premiumization trends in pet food innovation and production. Less processed pet food products (fresh, semi-moist...) are also favored among consumers. Functional products gain more attention from consumers (EuromonitorInternational, 2018). The former two trends were observed at the Global Pet Expo 2020 (Tyler, 2020)

It should also be noted that the mentioned trends have little to do with the consumers' decision to purchase pet food. In late 2020, a study in Korea identified major factors that affect their behaviors are 1) brand reputation and 2) healthiness perception (Kwak & Cha, 2021). The same study emphasized that consumers are willing to pay for a pet food product and recommend it to other people based on the brand reputation and their healthiness perceptions. Therefore, it can be inferred that the marketing game play a significant role in the pet food market. However, more studies on customers' behavior should be conducted in other regions to gain a better picture on this matter.

1.2. Pet nutrition (focus on dog and cats)

1.2.1. Canine and feline nutrition

Dogs are omnivores, and cats are carnivores. When dogs and cats were domesticated by humans, they evolved to adapt with different diets, hence the difference in their nutrition requirements.

The domestication of dogs happened even before the domestication of livestock. They were the first animal species that humans selectively bred and domesticated from wolves. They were our guards, hunting partners, shepherds and pets. (Peters *et al.*, 2005; Vilà *et al.*, 1997). Dog domestication results in their adaption for a starch-rich diet (Axelsson *et*

32 *al.*, 2013), which might be what their early owners can provide (Clutton-Brock, 1990;
33 Hemmer, 1990; Zeuner, 1963).

34 On the other hand, cats came to live with humans much later compared to dogs. As a result
35 of the successful Neolithic agriculture revolution, houses and farms and food storages
36 were built. The settlement attracts unwanted animals, especially rodents, to trash dumps
37 and grain storages. These rodents provided a stable food source to wildcats (Driscoll *et*
38 *al.*, 2009; Serpell, 2000; Sunquist & Sunquist, 2017). Cats were eventually fully
39 domesticated only about 4000 years ago (Serpell, 2000). Since then, domestic cats have
40 been adapting to a less strictly carnivorous diet (Darwin, 2010), but they are still obligate
41 carnivores at the present.

42 Dogs and cats share similar essential amino acids and essential fatty acids requirements.
43 The essential and non-essential amino acids in their diet are listed in Table 1. For fatty
44 acids, they require omega-6 and omega-3 fatty acids (linoleic acid and alpha-linolenic acid
45 e.g., respectively) in their diet; however, cats also need dietary arachidonic acid (Case *et*
46 *al.*, 2010). Another interesting similarity is that both dogs and cats share their low
47 tolerance for lactose and sucrose (Case *et al.*, 2010). Their lactase activity decreases as
48 they age, therefore mature dogs and cats cannot tolerate lactose well. On the contrary,
49 their sucrose activity is low when they are young, so sucrose is not recommended in
50 formulations for puppies and kittens .

51 *Table 1: Essential and non-essential amino acids for dogs and cats (Case et al., 2010)*

Essential	Non-essential
<ul style="list-style-type: none">○ Arginine○ Histidine○ Isoleucine○ Leucine○ Lysine○ Methionine○ Phenylalanine○ Taurine (cats only)○ Threonine○ Tryptophan○ Valine	<ul style="list-style-type: none">○ Alanine○ Asparagine○ Aspartate○ Cysteine○ Glutamate○ Glutamine○ Glycine○ Hydroxylysine○ Hydroxyproline○ Proline○ Serine○ Tyrosine

52 To explain the differences in their diet, we need to keep in mind that dogs are omnivorous
53 while cats are carnivorous. For this reason, their ability to metabolize different nutrients

54 (especially carbohydrate) are also different, and same thing can be said about their
55 nutritional needs.

56 As omnivores, they do not mind a high-carbohydrate diet, if enough protein, fat, and other
57 essential nutrients are included. They have all required enzymes to digest carbohydrate
58 and to convert nutrient precursors to meet their nutritional demand. They also have
59 sufficient activity of glucokinase to regulate the surge in blood glucose when consuming
60 a high load of carbohydrate. Despite that, digestible carbohydrate is not essential in their
61 diet, although pregnant females would require dietary carbohydrate for optimal
62 reproductive performance (Romsos *et al.*, 1981).

63 Just like dogs, cats can digest fully cooked starch, yet it does not mean they can live
64 healthily on a diet as omnivorous as dogs'. First, a high dietary carbohydrate intake would
65 do more harm than good to them(Kienzle, 1994a; Kienzle, 1994b; Zoran, 2002). Secondly,
66 cats do not have all essential enzymes to convert nutrients in plant-based material to their
67 need. For this reason, cats need to consume animal flesh to get these nutrients directly
68 from their food:

- 69 – Vitamin A (they cannot convert from beta-carotene).
- 70 – Arachidonic acid (they cannot convert from linoleic acids).
- 71 – Niacin (they cannot convert from tryptophan).
- 72 – Taurine is an essential amino acid for cats.

73 To put it simply, cats benefit from a high-protein, animal-based diet. Theoretically, it is
74 possible to develop a vegetarian/ vegan cat food; but the formulation for such
75 unconventional diets must be closely monitored to ensure they meet the nutrients need
76 of the animal.

77 **1.2.2. Types of (cooked) pet food**

78 There are three commercial types of cooked pet food: moist food (wet food), dry food,
79 semi-moist and soft-dry food. These types are differentiated by the moisture content, and
80 (Crane *et al.*, 2010).

81 Moist food, also called wet food/canned food (because it is usually canned, but some are
82 also packaged in pouches). The moisture content of moist food can be anywhere from
83 60% to more than 87% (Crane *et al.*, 2010). Typical moist food contains thickeners, such
84 as gelatin to keep the food in its container's shape. Some moist foods are marketed as "loaf
85 in sauce" accordingly how they look. Others are promoted as "meat in gravy", which

86 appears to contain “meaty” chunks in gelled broth. In many cases, these chunks do have
87 meaty texture, but they are not really meat but only extrudate from vegetable protein,
88 starch, meat meal,... Many moist food brands provide feeding guide for moist food as the
89 sole source for food and as a supplementary to dry food, and let the consumers decide
90 how to feed their pets. In general, moist pet foods are highly palatable, and are usually
91 promoted as a healthy way to feed the animals while keeping them hydrated. They are
92 also more expensive than other types of pet food because of high moisture content and
93 low dry matter.

94 In contrast to moist foods, dry foods have much lower moisture content (3% - 11%) and
95 higher dry matter (Crane *et al.*, 2010). Dry food is cheaper than moist food, however they
96 are less preferred by pets. Most of the time, dry food brands’ feeding guide indicate that
97 dry pet food can be used as the only food source but should be supplemented with moist
98 foods. WALTHAM™ Centre for Pet Nutrition (pet science centre for Mars Petcare) also
99 recommends feeding a mixed diet where 50% of calories come from wet food and 50%
100 from dry food.

101 Semi-moist or soft-dry food are the hybrids of moist food and dry food. The difference
102 between “semi-moist” and “semi-dry” is not clear, sometimes these terms are used
103 interchangeably with one another. The moisture content of these pet foods is about 25%
104 to 35%. They are usually packed in pouches like dry food and are expected to last for a
105 longer time than wet food. To prolong shelf life, humectants and organic acids are added
106 to reduce water activity and prevent mold (Crane *et al.*, 2010). They are highly palatable,
107 and can used as a food source, or pet treats, or topping to dry food to increase palatability.

108 **1.2.3. Unconventional diets: vegetarian and hypoallergic pet food**

109 Aside from health reason, one of the most common causes for vegans and vegetarians to
110 quit meat and other animal products is to prevent cruelty to animals, and they associate
111 meat with disgust, animal killing and cruelty (Rozin *et al.*, 1997). The ethics-driven vegans
112 and vegetarians clearly have great concern for animal welfare, and some even express
113 their compassion toward animal by pet ownership. In most cases, they believe that pets
114 (dogs and cats) are not meant to have vegetarian diets but feeding their pets an animal-
115 diet goes against their dietary commitment (Rothgerber, 2013). In fact, many commercial
116 vegan pet food products do not provide the animals enough nutrients to meet their needs
117 (Zafalon *et al.*, 2020).

118 In another research by Rothgerber (2014), vegans tried to resolve their dilemma by
119 feeding dogs less animal-products dogs and trying to justify that a vegetarian diet is
120 inappropriate for cats. Either ways, it is hardly a success: their pets still consume animal
121 products, their guilt is lessened but persists, and the dilemma is not completely settled
122 (Rothgerber, 2014). Understandably, ethical vegans and vegetarians are interested in
123 exploring possible alternative, unconventional options (Rothgerber, 2013), which
124 suggests potential for vegetarian diets in the pet food market.

125 Dogs and cats suffer from food allergies just like people, but there is a lack of literature on
126 this matter. So far, the best treatment for their food allergies is avoiding the food allergens
127 completely – but it is difficult to diagnose the food allergens because reliable diagnostic
128 tests for allergies (on dogs and cats) are not widely available (Verlinden *et al.*, 2006).
129 Common food allergens in dogs are animal products form beef, chicken, milk, eggs, corn,
130 wheat, and soy (White, 1988); and food allergy in cats are usually linked to dairy and fish
131 proteins (Bhagat *et al.*, 2017). These allergens are common animal-derived protein source
132 in pet food, for that reason the novel protein ingredients would give pet food producers
133 more flexibility for hypoallergic pet food formulations.

134 **1.3. Novel ingredients in pet food industry**

135 **1.3.1. Pet food sustainability and its environmental impacts**

136 **1.3.1.1. Conventional ingredients in pet food**

137 To simplify this topic, we will discuss only three major groups of ingredients in pet food:
138 carbohydrate and fiber ingredients, protein ingredients, fats, and oils.

139 Carbohydrate is a cheap and important energy source in pet food. The most common
140 source of carbohydrate for pet food are grains, such as corn, wheat, rice, barley,... For
141 grain-free diets, pet food producers opt for tubers, roots, and legumes (peas, chickpeas,...)
142 instead. Whole grains and legumes typically also provide a good amount of dietary fiber
143 to pet food diets. However, additional fiber sources are usually added to promote
144 intestinal health and reduce cost further because they are mostly by-products from food
145 industry, like wheat bran, pea fiber, soybean hulls, rice hulls, beet pulp,...

146 Protein is not only the building blocks to the animals' body, but also an energy source for
147 true carnivores like cats. Protein ingredients in pet food can be animal-based, plant-based
148 or microorganism-based. Animal-based ingredients commonly used in pet food are
149 mostly by-products from slaughterhouse and fish meal. Premium products often include

150 meat instead of meat meal, such as beef, lamb, bison, venison,... The most common plant-
151 based proteins are corn gluten meal and soybean protein (in forms of soybean meal and
152 soy flour). Brewer's yeast and grain distillers dried yeast are the most microorganism-
153 based protein in pet food, other microbe proteins like bacterial meal and microalgae meal
154 are still being researched.

155 Animal-derived products have much higher carbon footprint than plant-derived products
156 (Murphy-Bokern, 2010) or microorganism (Matassa *et al.*, 2016; Olguín, 2012). While
157 most of animal-based protein ingredients used in pet food are by-products of the food
158 industry, the inclusion of meat in premium pet food has the biggest influence on the
159 environmental impacts of pet food industry (Alexander *et al.*, 2020). While the
160 premiumization trends in pet food production does not help, there is a need for novel
161 protein ingredients from plants and microorganism to combat the negative
162 environmental impacts of the industry.

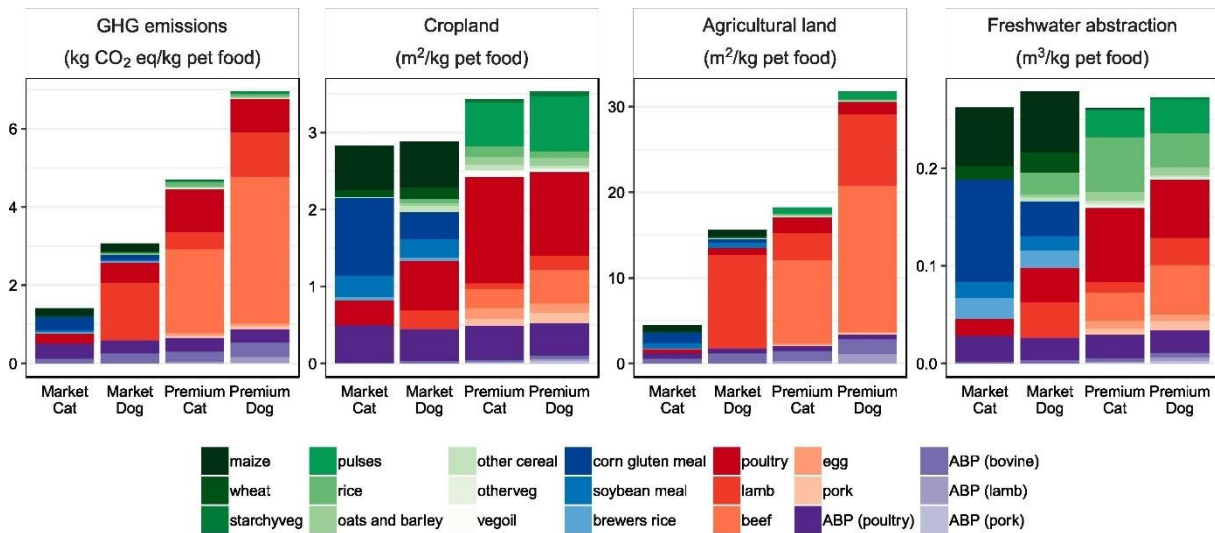
163 Fats and oils in pet food are mainly from terrestrial animal fat, fish oil and the fat content
164 of animal-derived products such as poultry-meal and fishmeal. Animal fats are normally
165 preserved with either tocopherols or a combination of BHA and citric acid to prevent
166 oxidation. Fish oil is produced unsustainably while its price is increasing (De Silva *et al.*,
167 2011). Vegetable oil is also used in pet food to supplement omega-3 fatty acids.

168 **1.3.1.2. Pet food sustainability**

169 The environmental impacts of pet food industry somehow has been overlooked with very
170 little address from both scientists and fisheries (De Silva & Turchini, 2008). Pet food
171 industry uses a lot of by-products from food industry (Swanson *et al.*, 2013). Nevertheless,
172 when global dry pet food production is assessed for its environmental footprint, the
173 results were shocking. The annual land use for dry pet food is twice the size of UK land
174 area, and if the industry is a country, it would be the 60th biggest greenhouse gas emitter
175 in the world (Alexander *et al.*, 2020).

176 When Alexander *et al.* (2020) rated the environmental impact per kg of dry pet food by
177 types, it became clear that the protein ingredients of pet food have the most negative
178 effects on total environmental footprint of pet food. Meat accounts for more greenhouse
179 gas emissions, therefore premium pet food – which contains more meat than by-products
180 – is rated worse than the market-leading ones. It is worth noting that the authors did not
181 count fish and fish by-products in the calculation because they did not have sufficient data

182 on these ingredients, but it does not change the fact that proteins in pet food make
 183 significant impacts on the environment.



184
 185 *Figure 1: Rate of environmental impact per kg of by pet food type (Alexander et al., 2020)*

186 Many studies has indicated that sustainability information has little to no effects on
 187 customers' decision to purchase pet food, and if there is, it might be because they dub
 188 environmental friendliness with the product being "animal friendly" (Kwak & Cha, 2021;
 189 O'Rourke & Ringer, 2016).

190 In summary, the pet food industry plays a considerable role in system sustainability, but
 191 after all it is still customer demand-driven industry. For that reason, any attempt to solve
 192 the sustainability problem of pet food production must consider the consumers'
 193 acceptance.

194 1.3.1. The search for alternative protein ingredients

195 The needs for alternative protein sources exist. New protein ingredients are needed, not
 196 only to resolve the problem with pet food production's sustainability, but also to give the
 197 producers more options to formulate the unconventional diets.

198 A lot of research is conducted on the application of different insects in pet food, namely
 199 housefly pupae, black soldier fly larvae and pupae, cricket, mealworm, cockroaches
 200 (Bosch *et al.*, 2014; Bosch & Swanson, 2020; Van der Spiegel *et al.*, 2013); they identified
 201 insects as a safe and good-quality protein source for dog and cat foods. The only concerns
 202 with insects are contamination (mycotoxins, natural toxins, heavy metals, veterinary
 203 residues, pesticides, and pathogens) from the insects' feed, and the insects themselves

204 might be allergens or carrying pathogens. Nevertheless, insects are now listed in the
205 Catalogue of feed materials (published by European Parliament and the Council).

206 Several other alternative ingredients have been researched and proposed as the non-
207 animal, sustainable protein sources, such as microbial biomass (Sørensen *et al.*, 2011),
208 yeast (Agboola *et al.*, 2021), microalgae and seaweed (Gong *et al.*, 2018; Valente *et al.*,
209 2019; Van der Spiegel *et al.*, 2013). To be a protein ingredient for animal feed production,
210 first it must have a desirable nutritional quality, such as relatively high protein content,
211 good amino acids profile, high digestibility, good palatability (Gatlin III *et al.*, 2007). Its
212 effects on physical characteristics and heat tolerance must also be taken into
213 consideration because they affect final product quality. Other factors should also be
214 considered are its performance during processing, its commercial availability and price,
215 as well as handling, shipping, and storage conditions.

216 It is not easy to answer mentioned questions, but we should not be discouraged from
217 looking for new protein sources.

218 **1.3.2. Novel ingredients used in the thesis.**

219 Among types of animal feeds (based on target animals), aquaculture feed, especially
220 salmon feeds, and pet food have a lot in common. Firstly, they both use extrusion
221 technology for production. Secondly, the target animals are both omnivores (tilapias and
222 dogs) and strict carnivores (salmons and cats). Therefore, novel ingredients that have
223 been researched for aquaculture should also be investigated for its application in pet food
224 as well. Historically, insects were also researched in aquafeeds application before being
225 experimented in pet food and made it into commercial products.

226 Microalgae *Desmodesmus* sp. and vital wheat gluten are being experimented for replacing
227 fish meal in fish feeds with positive results. Potato protein is also a possibility, although
228 more research on its application is needed with regard for methionine content. This thesis
229 investigates their potential to replace poultry meal in extruded dry pet food and discusses
230 their effects on physical properties of extruded dry pet food.

231 **1.3.2.1. Microalgae and the potential of *Desmodesmus* sp.**

232 Biofuels production is predicted to increase further in the next decade. It is expected that
233 biofuels should meet around 5.4% of road transport energy demand in 2025, rising from
234 just under 4.8% in 2019; even though COVID-19 has reduced 11.6% of total biofuel output
235 in 2020 – the first contraction in the last two decades (*Renewables 2020*, 2020). Among

236 the third-generation biofuels feedstocks, microalgae is deemed to be “only fuel source that
237 can be sustainably developed in the near future” (Ramaraj *et al.*, 2015), which provides
238 more oil per kg biomass than the best oil crops (Behera *et al.*, 2015). Interestingly, the
239 high amount of lipid is not the only valuable component of microalgae, their defatted
240 biomass after lipid extraction is also a great animal feed ingredient with the potential to
241 replace other protein ingredients such as fish meal in aquafeed (Gong *et al.*, 2018; Valente
242 *et al.*, 2019), or corn and soybean meal in swine and poultry diets (Gatrell *et al.*, 2014).
243 However, there is a lack of research on the application of defatted microalgae biomass in
244 pet food products.

245 The *Desmodesmus* sp. defatted biomass (DGM), which is a by-product after lipid
246 extraction, is a potential protein-rich ingredient for animal feed. Depends on the species
247 and the processing technology, DGM may have protein content of 20.6 % - 38.2%, and its
248 fat content varies greatly 1.5% - 10% (Ekmay *et al.*, 2014; Gong *et al.*, 2018; Manor *et al.*,
249 2017). Like other microalgae, research on DGM applications in pet food production or its
250 effect on physical quality of extruded product is scarce.

251 **1.3.2.2. Vital wheat gluten**

252 According to the Catalogue of feed materials, vital wheat gluten is defined as “wheat
253 protein characterized by high viscoelasticity as hydrated, with minimum 80 % protein (N
254 × 6.25) and maximum 2 % ash on dry substance.” It is primarily used as a gluten fortifier
255 to improve dough structures of baked products.

256 Just like other plant proteins, wheat gluten is a good binder. It also improves dough
257 elasticity (Day *et al.*, 2006) and pellet texture (Draganovic *et al.*, 2011; Ghorpade *et al.*,
258 1997). When wheat gluten replaces fish meal in a fish feed diet, it increases expansion and
259 gives the extruded product a smooth surface and a more compact structure (Draganovic
260 *et al.*, 2013), which is desirable for pet food.

261 Wheat gluten is regarded as a protein with high nitrogen digestibility (Apper-Bossard *et*
262 *al.*, 2013), although lysine content is limited (Gatlin III *et al.*, 2007). It has low fat and
263 moisture content, but it can bind fat and water well while increasing protein content of
264 the product (Day *et al.*, 2006). Inclusion of wheat gluten in extruded diets increase their
265 cohesiveness, springiness and cutting strength (Ryu, 2020). These characteristics make
266 wheat gluten a promising alternative protein source for extruded pet food (both dry food
267 and chewy treats), and for “meaty” pieces in moist pet food.

268

1.3.2.3. *Potato protein*

269 Potato protein is a by-product from potato starch processing plants. Potato protein
270 products typically contains about 75% protein of total weight.

271 Potato protein that are not heat-treated contains high levels of proteolytic enzymes
272 inhibitors and glycoalkaloids (Wojnowska *et al.*, 1982), which reduces feed intakes and
273 thus, fish growth. This might have been the reason that many researches on using potato
274 protein in fish feed also resulted in reduced fish growth (Xie, S & Jokumsen, A, 1997), (Xie,
275 S & Jokumsen, Alfred, 1997). It can be concluded that only potato protein that is low in
276 solanidine glycoalkaloids should be used in feed production, as it does not have negative
277 effects on the animal (Refstie & Tiekstra, 2003).

278 In contrast to wheat gluten, limited research has been conducted on potato protein's
279 effects on extruded products and their physical qualities.

280 **1.4. Extrusion technology, and factors that influence pellet** 281 **physical qualities.**

282 Extrusion is the process that compresses the material and force it through a die; during
283 which the original material undergoes increasing temperature, pressure, and shear. This
284 results in changes in physical and chemical properties of original material.

285 Extrusion gives manufacturers a lot of flexibility for one production line. Depending on
286 the ingredients, processing parameters and die design, a wide range of products with
287 different characteristics can be produced using the same extruder. Extrusion can process
288 various ingredients from all sources, operates continuously and lowers production cost
289 (compared to other processing methods) (Riaz & Rokey, 2011). Currently, extrusion is the
290 primary processing method in pet food production. About 95% pet food (for dogs and
291 cats) in the world is produced by extrusion (Spears & Fahey Jr, 2004).

292 There are three ranges of factors to manipulate the extrusion process and characteristics
293 of extruded products: product formulation, equipment selection and some other factors.
294 Each of them is briefly discussed in section 1.4.1, 1.4.2 and 1.4.3.

295 **1.4.1. Effects of ingredients on extrusion process and extruded** 296 **pellets**

297 Starch, protein, fat, and fiber have different influence on physical characteristics of
298 extrudate. Starch is a better binder than other ingredients, and often improves expansion,

299 texture, and durability of pellets. Plant protein also has positive effects on physical
 300 properties of extruded pellets, although its effects are not as great as starch. Animal
 301 proteins' functions are usually weaker than those of plant protein, or they do not have any
 302 effects at all. The effects of starch, protein and lipids on extruded products are discussed
 303 in section 1.4.1.1, 1.4.1.2 and 1.4.1.3.

304 Typically, dry cat food and dry dog food contains very little fiber (mostly < 6%). At this
 305 level, the effects of fiber on extruded product is minimum (Riaz & Rokey, 2011).

306 In general, fat-soluble vitamins are considered quite stable during extrusion, with loss
 307 rate about 15%-20%. Water-soluble vitamins, like vitamin B and C, are much less stable
 308 (Table 2). Generally, the higher moisture level of the diet, the less vitamin losses.

309 *Table 2: Loss rate of vitamin B and C during extrusion (Frame & Harper, 1994)*

Vitamin	Loss rate
Vitamin C	0% - 87%
Vitamin B1	6% - 62%
Vitamin B2	0% - 40%
Vitamin B6	4% - 40%
Vitamin B12	1% - 40%
Vitamin B3 (Niacin)	0% - 40%

310 Common solutions for vitamin losses during extrusion are 1) using more vitamins than
 311 needed to compensate for the loss, 2) using encapsulated form of vitamins, which is more
 312 heat-stable, and 3) including vitamins in the coating mixture (which is applied on
 313 extruded pellets).

314 **1.4.1.1. Starch**

315 Starch is a cheap and efficient energy source in pet food. Typically, cat food contains
 316 minimum 30% starch of total weight, and dry dog food contains minimum 40% starch
 317 (Frame & Harper, 1994; Rokey *et al.*, 2010). Common starchy ingredients used in pet food
 318 formulations are cereals, oil seed meals and legumes. In grain-free formulations, tubers
 319 and roots are used instead of cereals.

320 Dogs and cats cannot digest raw starch well, therefore the starch must be gelatinized
321 during processing. Starch absorbs water during gelatinization, becomes soluble and binds
322 ingredients. It also improves expansion and contributes to forming extrudate's porous
323 texture. In other word, only gelatinized starch has influence on extrudate texture.

324 Starch requires sufficient moisture and energy to achieve gelatinization. Because of this,
325 higher energy and higher moisture during extrusion improves starch gelatinization. The
326 energy can come from both heat and shear.

327 Increasing shear (by changing screw configuration or screw speed) improves expansion,
328 but this is not always the case for moisture. Higher moisture, while improving
329 gelatinization, decreases shear thus reduces expansion. However, if there is not enough
330 water to gelatinize starch, expansion is also reduced.

331 Different sources of starch with different compositions and grain structures perform
332 differently during extrusion. Higher amylose content in the diet results in increased
333 expansion. Hard grains (hard wheat, barley,...) hydrate slowly, therefore they should be
334 ground before extrusion. Potato and tapioca starch may not contribute to expansion as
335 much as high-amylose corn starch, but they often give the extruded pellets smoother
336 surfaces.

337 **1.4.1.2. Protein**

338 Protein is not only essential for animals, but also has many other functions in the diet.
339 Generally, most proteins absorb water in the mash mix and bind ingredients together.
340 Most proteins in pet food formulation are either plant-based or animal-based. Plant
341 proteins are cheaper, but animal-based protein ingredients make the final product more
342 palatable.

343 Often plant protein gives better extrudate structure than animal protein, because they
344 usually do not go through high heat processing. Therefore, they are included in various
345 extruded products, from dry pet food to "meaty" chunks in wet food. Most plant proteins
346 in pet food comes from proteinaceous ingredients like corn gluten meal. wheat gluten,
347 soybean flour and meal. Cereals and legumes, such as whole wheat, whole grain corn, peas
348 also contain a decent amount of protein (10% - 15%, depending on the variety).

349 Common animal proteins used in pet food are fish meal, meat meal and other
350 slaughterhouse by-products like blood meal or feather meal. They are usually prepared
351 at high temperature to be microbiologically safe and, consequently, are denatured. For

352 this reason, animal proteins' functions tend to be weaker, or do not support extrudate
353 structure at all.

354 Protein's effects on the physical qualities of pellets are weaker than those of starch. Most
355 of the time, increasing protein content of a formulation in expense of starch results in
356 weaker pellet durability, and firmer and/or harder pellet texture.

357 **1.4.1.3. Lipids and fibers**

358 Pet food usually contains minimum fat content of 9% for cat food and of 10% for dog food.
359 Fats and oils are important energy and essential fatty acid source, and a carrier for fat-
360 soluble components such as vitamins. Lipids in pet food formulation either comes from
361 plant sources (vegetable oils), or animal-based ingredients such as poultry fat, beef fat,
362 fish oil,... Fish meal and other meat meals also contain certain amount of lipids.

363 Fats and oils reduce friction between particles, as well as between the feed mix and the
364 components of the extruder. For this reason, higher fat inclusion in the formulation
365 reduces expansion and increases bulk density of extruded pellets. Fats and oils can be
366 added directly into the extruded diet or are applied on extruded pellets by coating.

367 A general rule for effects of fat inclusion in pet food formulation is shown in Table 3.

368 *Table 3: Effects of fat inclusion level on physical characteristics of extruded dry pet food, according*
369 *to Riaz and Rokey (2011)*

Fat inclusion level	Effects on physical characteristics
< 7%	Not much effects on physical qualities of extruded pellets.
7% - 12%	Expansion is reduced, and product density increases.
12% - 17%	Expansion is reduced significantly, pellet durability may or may not reduce.
> 17%	Pellet durability may reduce significantly.

370 **1.4.2. Effects of process design, equipment selections and**
 371 **configurations**

372 **1.4.2.1. Process design**

373 Before going into extruder, the feed diet may be pre-conditioned using pre-conditioner.
 374 During pre-conditioning, the materials are mixed with steam or hot water, and retained
 375 in the pre-conditioner for a period before extrusion. Preconditioning hydrates
 376 ingredients, which improves gelatinization during extrusion and reduces extruder barrel
 377 wear (Frame & Harper, 1994). Preconditioning is an optional step in dry pet food
 378 processes.

379 **1.4.2.2. Single-screw extruders vs. twin-screw extruders.**

380 Both single-screw and twin-screw extruders are used in dry pet food production. Each of
 381 them has their own pros and cons, as well as different applications (Table 4). Twin-screw
 382 extruders are more expensive and requires higher maintenance and operation cost.
 383 Therefore, they are more widely used for high-value products to justify the costs.

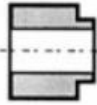
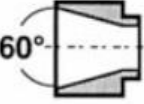
384 *Table 4: Comparison between single-screw extruders vs. twin-screw extruders, according to Frame*
 385 *and Harper (1994) and Riaz and Rokey (2011)*

	Single-screw extruders	Twin-screw extruders
Application	Low-fat products (ideally <8%). Low-moisture formulations.	High-fat products (> 17%). Very sticky, oily, viscous formulations, or those that require up to 30% meat and/or other high moisture ingredients. Co-extruded products. Also used in small-scale plants, that produces various products with vastly different formulations, shapes, and sizes.
Other advantages	Easy to assemble screw configurations (compared to twin-screw extruder).	Self-cleaning (only extruders with intermeshing screws).

386

1.4.2.3. Equipment configurations

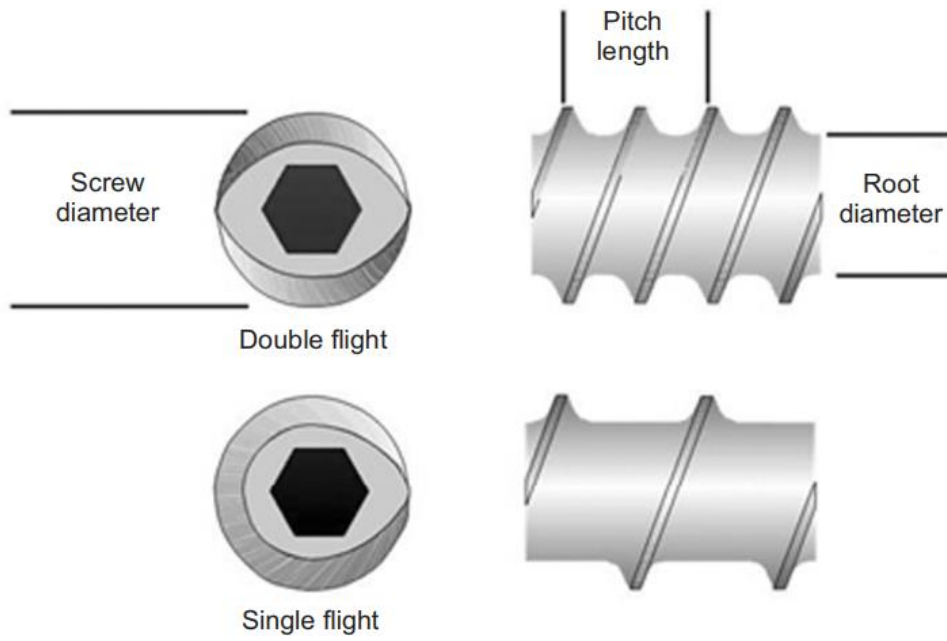
387 Die orifice design not only affects size and shape of the extruded products, but also have
 388 significant effects on mechanical energy, degree of starch gelatinization and pellet
 389 appearance. Figure 2 (from (Frame & Harper, 1994)) shows results of an extrusion
 390 experiment, which only changed the die design. Compared to the normal circular die (inlet
 391 size = outlet size), the tapered circular die (larger inlet and smaller outlet) results in
 392 higher mechanical load, but poorer starch gelatinization and different shape.

Die Configuration	Extruder Load %	Final Product Characteristics		
		Bulk Density (g/l)	% Gelatinization	Appearance
 8mm diameter	96.7	304	92	Smooth, Closed Surface & Cylindrical Shape
 60° 8mm diameter	70.00	336	80	Porous Surface & Spherical Shape

393

394 *Figure 2: Effects of die orifice design on pellets' characteristics, from (Frame & Harper, 1994)*

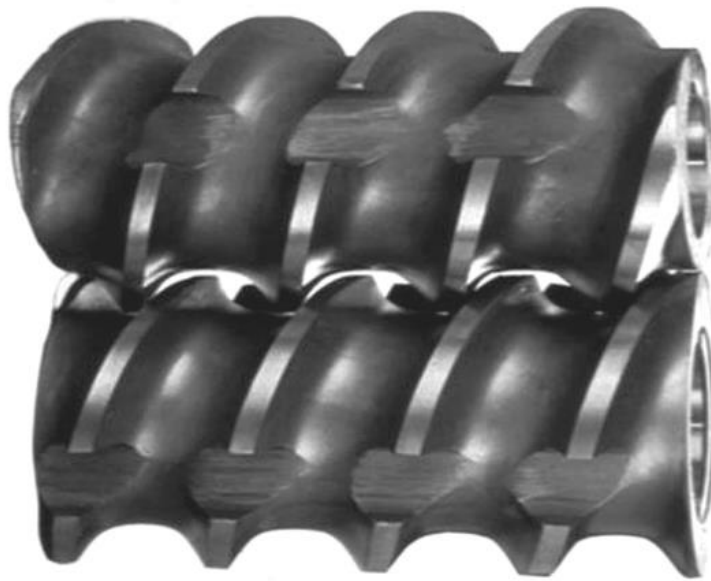
395 Different shapes and sizes of screw elements play different roles during extrusion. There
 396 are many types of screw, including but not limited to single and double flight screws, cut
 397 and folded screw, shallow and deep flight screws, shear rings (pentagon),... (figure 3 - 5)
 398 To put it simply, the screw elements are assembled to transport the materials from the
 399 feeder to the die, while creating sufficient shear to cook the materials.



400

401

Figure 3: Single flight screw and double flight screw, from (Riaz & Rokey, 2011)



402

403

Figure 4: Cut flight screws, from (Riaz & Rokey, 2011)



404
405 *Figure 5: Shear rings (pentagons), from (Riaz & Rokey, 2011)*

406 **1.4.3. Other factors**

407 The raw ingredients are usually different in size, some might be agglomerated during
408 storage. Although extruders can handle a range of particle size, grinding materials to the
409 proper particle sizes has many benefits:

- 410 - Proper particle size = better pellet appearance. If the materials are ground and
411 mixed properly before extrusion, the product will less likely have different
412 fragments on different particles.
- 413 - The outlet is less likely to be plugged.
- 414 - Improving cooking efficiency as well as pellet structure.

415 As a rule, all particle sizes of materials should be less than one third of the orifice diameter,
416 but no particle should be bigger than 1.5 mm (Riaz & Rokey, 2011).

417 In extrusion context, rework includes fines, broken products, and under-processed
418 materials. Rework can be dried, ground and added into the recipe at 5% - 10% level (Riaz
419 & Rokey, 2011). However, the addition should be consistent throughout production. In
420 consistent addition results in inconsistent products as rework has very weak binding
421 capacity and usually has different colors to other ingredients.

422 **1.5. Physical characteristics of extruded pet food**

423 For dry pet food, physical qualities are just as important as nutritional characteristics. The
424 physical qualities are critical to the stability of the product during storage (BAŞER &

425 Yalcin, 2017), therefore the effects of an ingredient on the physical qualities of the final
426 products should be carefully evaluated before large-scale production.

427 Water activity has great influence on product shelf-life. Water activity is defined as the
428 ratio of the vapor pressure of a sample and the vapor pressure of pure water at a given
429 temperature, while moisture is the amount of water in the sample. Water activity level
430 under 0.6 inhibit the microbial growth, while the moisture level may or may not correlate
431 with the durability of the pellet (BAŞER & Yalcin, 2017). If the product is expected to have
432 water activity > 0.6, humectants and mold inhibitors must be used to prolong shelf-life.

433 Durability determines the physical stability of the product during storage, handling, and
434 transportation. If the durability of the pellet is low, the product will be broken, or even
435 crumbled before it reaches the customers.

436 Beside mentioned characteristics, expansion ratio and hardness are also taken into
437 consideration. The measurement and effects of these qualities on the final products will
438 be discussed in the Discussion as well as Material and Methods sections.

439 **1.6. Aim and objectives of the thesis.**

440 Although research have been conducted on application of microalgae, vital wheat gluten
441 and potato protein in extruded feeds (Apper-Bossard *et al.*, 2013; Gong *et al.*, 2018; Xie, S
442 & Jokumsen, Alfred, 1997), there are limited understanding on how these ingredients
443 affect the physical qualities of extruded feed pellets, or how they perform in a pet food
444 diet. The aim of the thesis is to gain more understanding on how the mentioned
445 ingredients change physical qualities of extruded dry pet food. Characterization of
446 functional physical characteristics of pellets produced with microalgae, vital wheat gluten
447 and potato protein are conducted to gain more insights on the effects of these ingredients.
448 Result obtained from the experiments would contribute to a better understanding how
449 microalgae and the plant proteins perform in an extruded feed recipe, and it would be
450 useful in research and developing extruded products that include them.

451 Typically, defatted microalgae biomass has much lower protein content compared to
452 poultry meal, vital wheat gluten or potato protein. Therefore, it would be useful to study
453 it separately. Regarding this matter, and to achieve the aim of thesis, the objectives of the
454 thesis are:

- 455 1) Investigate the effects of defatted microalgae biomass on physical qualities of
456 extruded pellets produced with microalgae at different inclusion rate in a dog food
457 recipe.
- 458 2) Investigate the effects of replacing poultry meal with vital wheat gluten and potato
459 protein in a 1:1 ratio on physical qualities of extruded pellets.

460 To achieve the objective 1), experiment 1 was conducted, in which poultry meal was
461 partially replaced with DGM at different inclusion levels (10%, 15%, 20%) in an extruded
462 dog food recipe. Pellets produced from these diets were subjected to physical quality
463 analyses. The results were then used to investigate of how DGM affect the physical
464 qualities of pet food at different rates.

465 Experiment 2 was carried out to achieve objective 2), in which poultry meal is replaced
466 with vital wheat gluten and potato protein at ratio 1:1, in an extruded pet food recipe.
467 Pellets produced with these ingredients were subjected to physical quality analyses. The
468 results were then used to investigate of how the mentioned plant-based protein affect the
469 physical qualities of pet food at different rates.

470 The results from two experiments were then discussed further to gain more insights
471 about effects of these ingredients, regarding physical qualities of extruded feeds.

472 2. Material and Methods

473 2.1. Ingredients and formulation

474 Six types of experimental feeds were produced at Center for Feed Technology (Fôrtek) at
475 Norwegian University of Life Sciences. Information about their ingredients is noted in
476 Table 5.

477 The pre-extrusion mixture of the control dog food diet (diet 1) contained 37.5% of poultry
478 meal by weight. For experiment 1, diets 2, diet 3 and diet 4 were formulated, in which
479 poultry meal was partially replaced with DMG at inclusion rate 10%, 15% and 20% of the
480 total pre-extrusion mixture, respectively. For experiment 2, diets 5 and diet 6 was
481 formulated, in which poultry meal was replaced with the same amount of vital wheat
482 gluten (WP) and potato protein (PT), respectively. The formulation of all experimental
483 diets aims to similar proximate composition. The recipes and proximate composition of
484 the experimental feeds are included in Table 5.

485

Table 5: The recipes and proximate composition of the experimental feeds

Feed ingredients (g/100 g pre-extrusion mixture)	1	2	3	4	5	6
	Control diet	10% DMG	15% DMG	20% DMG	WP	PT
Poultry meal ¹	37.5	30	25	20		
Microalgae (DMG) ²		10	15	20		
Vital wheat gluten (WP) ³					37.5	
Potato protein (PT) ⁴						37.5
Wheat flour ⁵	22.5	22.5	22.5	22.5	22.5	22.5
Rapeseed meal ⁶	15	15	15	15	15	15
Beet pulp ⁷	9	6.5	6.5	6.5	13	13
Soybean oil ⁸	8	8	8	8	4	4
Guar Gum ⁹	5	5	5	5	5	5
Glycerol ¹⁰	2	2	2	2	2	2
Monocalcium phosphate (MCP) ¹¹	1	1	1	1	1	1
Proximate composition (g/100 g pre-extrusion mixture)						
Crude Fiber	6.24	5.98	6.01	7.18	4.98	4.77
Crude Protein*	34.51	34.34	31.86	30.01	40.71	41.53
Starch	13.98	15.12	15.08	16.67	17.97	11.35
Crude fat	8.87	7.96	8.48	6.68	2.52	3.24

487 ¹ Norsk protein AS, Norway488 ² Cellana, Hawaii – USA489 ³ Roquette Amilina, Lithuania490 ⁴ Cargill, Denmark491 ⁵ Møllerens Siktet Hvetemel, produced by Møllerens, Norway.

492 ⁶ Felleskjøpet, Norway

493 ⁷ Felleskjøpet, Norway

494 ⁸ DENOFA AS, Norway

495 ⁹ Felleskjøpet, Norway

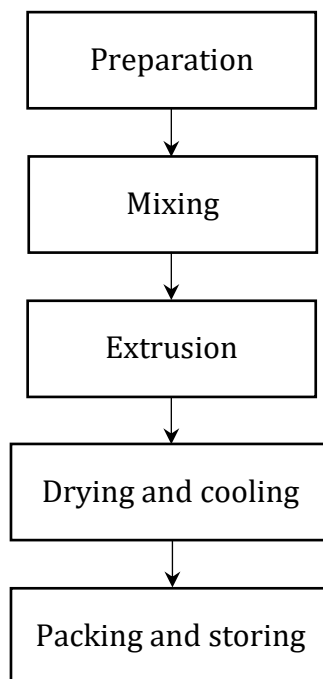
496 ¹⁰ Bergen Engros AS, Norway

497 ¹¹ Yara Animal Nutrition

498 *The protein content is calculated using the nitrogen-to-protein conversion factor of N x
499 6.25.

500 **2.2. Feed production process**

501 The entire production process, from ingredients preparation to final products, was done
502 at Fôrtek. All diets are produced using the same equipment and screw configuration. The
503 feeds were not pre-conditioned before extrusion. A simplified process flowchart of
504 experimental feed production is shown in Figure 6.



505

506 *Figure 6: Simplified process flowchart of experimental feed production*

507 – **Preparation:** this step is to ensure for homogenous mixing of the process,
508 including

- 509 ○ Sieving and grinding DMG, as it is prone to clumping. DMG was sieved
510 manually. The clumps are grounded in a hammer mill to ensure the final
511 particle size is < 1 mm.
- 512 ○ Weighing ingredients to ensure the right amount was used. Each diet is
513 prepared in a batch of 70kg.
- 514 – **Mixing:** this step is conducted in a small 60L mixer at Fôrtek. All ingredients were
515 mixed until becoming a homogenous mixture.
- 516 – **Extrusion:** a twin-screw co-rotating extruder Bühler BCTG 62 was used, Bühler
517 BCTG 62 The feed mix was added into the feeder manually because the production
518 was conducted for small patches.
- 519 ○ Screw configuration used in the experiments are shown in Table 6 . The
520 screw configuration is the same for all diet. The configuration was done by
521 Fortek to optimize the production, I did not participate in this process
522 because of Covid-19 restriction. Information regarding screw configuration
523 was provided by Fortek.
- 524 ○ Sample pellets were collected when the process parameters are stable.
- 525 ○ Extrusion parameters:
- 526 ▪ The feeds are produced at similar parameters, except slight
527 differences in screw speed and extrusion water for production of
528 diet 3 (15% DMG) and diet 4 (20% DMG). This change was to
529 improve binding for the pellets – it was made by Fortek personnel,
530 and it was an effective choice. Effects of this change is discussed
531 further in 3. Results and discussion.
- 532 ▪ Extrusion water for diet 5 and 6 are higher than other diets, because
533 plant-based ingredients usually contain more starch than poultry
534 meal, therefore it is reasonable to use more water to improve
535 hydration and gelatinization of starch.
- 536 ▪ All extrusion parameter is shown in Table 7.
- 537
- 538

539

Table 6: Screw configuration* used in the experiments.

(front)							90°	90°	R					R					Length
40	40	60	60	60	80	80	20	20	120	100	80	60	40	60	100	80	80	80	1260
R	R	R	R	R	R	R	L	L	POLY	R	R	R	R	POLY	R	R	R	R	(feeder)

540 *L, R and UC stands for left-turned, right-turned, and undercut elements, respectively. The red arrows
541 are where the spacers are (in the extruder barrel). The unit of measurement is mm.

542

Table 7: Extrusion parameters during production of experimental feeds

	1	2	3	4	5	6
	Control diet	10% DMG	15% DMG	20% DMG	Vital wheat gluten	Potato protein
Die size. (Diameter, mm)	7	7	7	7	7	7
Number of dies	2	2	2	2	2	2
Screw speed (rpm)	500	500	450	450	500	475
Extrusion water (kg/h)	14	14	16	16	20.5	24
Knife speed (rpm)	300	300	350	350	350	300
Number of knives	6	6	6	6	6	6

543

544 2.3. Pellet analysis

545 The physical properties that are measured and analyzed are: sectional expansion index,
546 moisture, water activity, hardness, and durability. Pellets from all types of feeds are
547 analyzed using the same methods.

548 All pellets are cylinder-shaped.

549 2.3.1. Expansion ratio

550 Expansion ratio is calculated to evaluate how much the formulation expands radially
551 during extrusion.

552 There was variation in diameters of pellets from the same diet, therefore a big sample size
553 was obtained. Diameters of 130 random pellets from each diet are measured by a digital

554 micrometer. Their expansion ratio is calculated as the ratio of the diameters of extruded
555 pellets to the die opening (7 mm), as it was done by Fan *et al.* (1996).

556 **2.3.2. Moisture**

557 Moisture content (wet basis) is the percentage of water contained in the extruded pellets.
558 Moisture content analysis was done three times for each diet. The results were then
559 averaged.

560 About 15g – 16g of pellets from each diet was ground by mortar and pestle and weighed
561 for wet weight. Then the samples were spread onto a foil dish and were dried at 105°C for
562 10 hours. After drying, the samples were weighed again for dry weight. The moisture
563 content was calculated using the formula:

$$564 \text{ Moisture content (\%)} = \frac{m_1 - m_2}{m_1}$$

565 Where m_1 is the wet weight of the sample (before drying), and m_2 is the dry weight (after
566 drying).

567 **2.3.3. Water activity**

568 Water activity is a dimensionless parameter. It is defined as the ratio of the vapor pressure
569 of water in a sample, in an undisturbed environment, and the vapor pressure of distilled
570 water, at the same temperature. Therefore, water activity analyses of each diet were done
571 consecutively to prevent variation in results because of temperature change. The results
572 were then averaged.

573 Water activity analysis was done three times for each diet.

574 Water activity of the pellets was analyzed by water activity indicator Rotronic HygroLab.
575 The sample cups were filled two-thirds full of roughly chopped pellets. The results were
576 obtained after 20 minutes (when the values were stable).

577 **2.3.4. Hardness**

578 Hardness is the force needed to break a pellet. Pellet hardness was measured by using
579 KAHL hardness tester (the manually operated design), the unit of measurement is kg.

580 A pellet is placed between two bars, then the screw is tightened until the pellet is broken.
581 The indicator marks how much force needed to break the pellet (in kg), this is the
582 hardness value of the pellet. There was a variation in hardness, so 60 samples from each
583 diet were tested.

584 **2.3.5. Durability**

585 Pellet durability is the amount of dust that will be produced after subjecting pellets to
586 mechanical forces (Thomas & Van der Poel, 1996). Holmen NPH200 – Automatic
587 durability tester (TEKPRO) was to test pellet durability. Pellet diameter is set to 7 mm,
588 100 g of pellets from each diet was used for each test.

589 Holmen tester records pellet durability index (PDI), which is calculated as the percentage
590 of pellets that remains after being subjected pneumatic agitation. Durability analysis was
591 done three times for each diet, the results were then averaged.

592 **2.4. Data analysis**

593 SPSS offers two tests for normality: Shapiro-Wilk test and Kolmogorov-Smirnov test.
594 Kolmogorov-Smirnov test is a common choice for $n \geq 50$, but it is cautioned against
595 because of low power compare to other normality tests (Ghasemi & Zahediasl, 2012).
596 Shapiro-Wilk test is more preferred, because it can handle any sample size n in the range
597 $3 \leq n \leq 5000$ (Royston, 1995). Therefore, Shapiro-Wilk test is used to check normality of
598 data in the thesis.

599 For most parameters (except hardness and expansion ratio), results were analyzed for
600 normality using Shapiro-Wilk test. The data was all normally distributed; therefore t-test
601 was used to determine significant differences. Shapiro-Wilk test and t-test were carried
602 out using SPSS.

603 For hardness and expansion ratio, first the data sets are subjected to the Shapiro-Wilk test
604 for normality. Because the data was not normally distributed, medians were compared
605 across groups (diets). Normality test and median comparation were carried out using
606 SPSS.

607

608 3. Results and discussion

609 The variables during production are shown in Table 8. Each diet, combined with
610 processing parameters, has different effects on the extrusion process.

611 In general, the production of pellets from all experimental diets results in higher energy
612 consumption (which was indicated by higher drive power, SME) compared to the control
613 diet.

614 *Table 8: Extrusion process variables recorded during pellets production.*

	1	2	3	4	5	6
Pressure, barrel 4 (bar)	0.13	0.19	0.64	0.62	0.28	0.65
SME (W·h/kg)	584	635	565.5	643	471	411
Drive power (kW)	8.2	9.1	9	10.1	9.7	9.9
Torque (%)	38	40	44	49	42	45

615
616 Diets with higher inclusion of DMG consumes more energy than the control diet.
617 Moreover, higher SME may indicate diets that cause higher wear rates to extruder barrel
618 components (Riaz & Rokey, 2011). More research is needed to optimize energy
619 consumptions during production these diets, maybe by increasing extrusion water.

620 Production of pellets from diet 5 and 6 requires more energy than those from control diet,
621 although the energy consumption and SME is still lower than diets contained DMG. This
622 might also because the extrusion water rate in these diets is higher than other. Lower SME
623 indicates producing these diets cause lower wear rate than diets from control diet and
624 diet 2, 3, and 4.

625 **3.1. Experiment 1: effects of the partial replacement of poultry** 626 **meal with defatted microalgae biomass**

627 Experiment 1 studied the effects of DMG on physical quality of extruded pellets when it is
628 used to replace poultry meal partially.

629 Results regarding expansion ratio, water activity, moisture, hardness, and durability is
630 shown in Table 9 (diet 1, 2, 3, 4). Statistical analysis showed that the difference in physical
631 qualities of pellets from different diets are significant ($p < 0.05$).

632 *Table 9: Expansion ratio, water activity, moisture, hardness, and durability of extruded pellets from*
 633 *diet 1, 2, 3, 4*

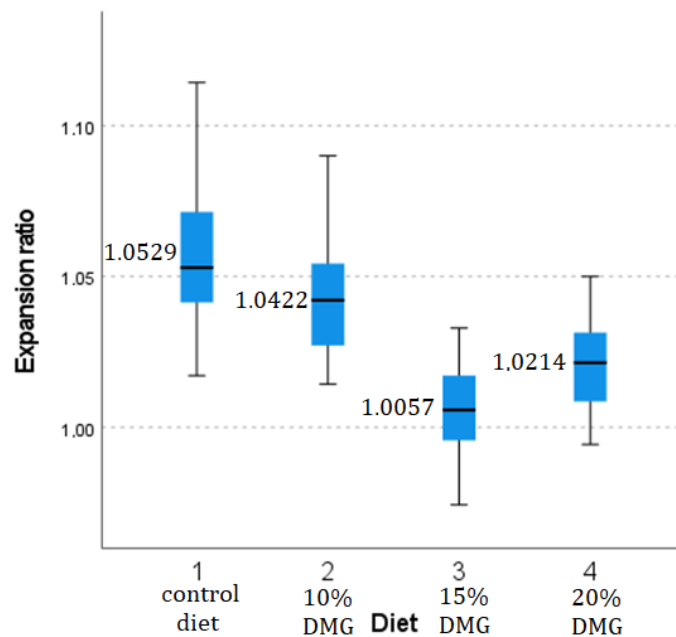
Diet	1	2	3	4
	Control diet	10% DMG	15% DMG	20% DMG
Expansion ratio*	1.0529	1.04215	1.0057	1.0214
Water activity	0.592 ± 0.0021	0.624 ± 0.0012	0.614 ± 0.0006	0.582 ± 0.0012
Moisture (%)	10.947 ± 0.1549	12.496 ± 0.2489	11.978 ± 0.0621	11.679 ± 0.1501
Hardness (kg)*	8.75	9.5	9.5	11
Durability (%)	93.43 ± 0.058	96.63 ± 0.115	96.46 ± 0.058	98.4 ± 0.1

634 **Expansion ratio and hardness data are not normally distributed, therefore only medians are shown*
 635 *here.*

636 Effects of partial replacement of poultry meal (with DMG) on expansion ratio of extruded
 637 pellets are shown in Figure 7. Results (Table 9) showed that the increasing inclusion of
 638 DMG decrease expansion. From Table 8, it is worth noted that the control diet and diet 2
 639 (10% DMG) share the same processing parameter (14 kg water/h, screw speed 500 rpm),
 640 but diet 2 expanded less than diet 1 significantly. To counter this effect, more water was
 641 added (16 kg/h) and screw speed was decreased (450 rpm) for diet 3 and 4. Under these
 642 conditions, diet 4 (20% DMG) expanded better than diet 3 (15% DMG), although both
 643 expanded less than the control diet.

644 The reduced expansion can be explained that the microalgae starch is not as easy to
 645 process as starch in cereal (wheat), and they need more water and time to hydrate and
 646 gelatinize. This also explains why pellets in diet 3 and 4, when given more water and more
 647 retention time (reduced screw speed), improve their expansion ratio. This was also
 648 observed in other studies, when high-starch diet was not given enough water or time to
 649 process, expansion is significantly decreased (Badrie & Mellows, 1991; Jin *et al.*, 1995).
 650 Other studies investigates microalgae effects on expansion ratio shows vastly different

651 results based on the microalgae species was used (Alcaraz *et al.*, 2021; Uribe-Wandurraga
652 *et al.*, 2020), but none of these studies mentioned *Desmodesmus* sp.
653

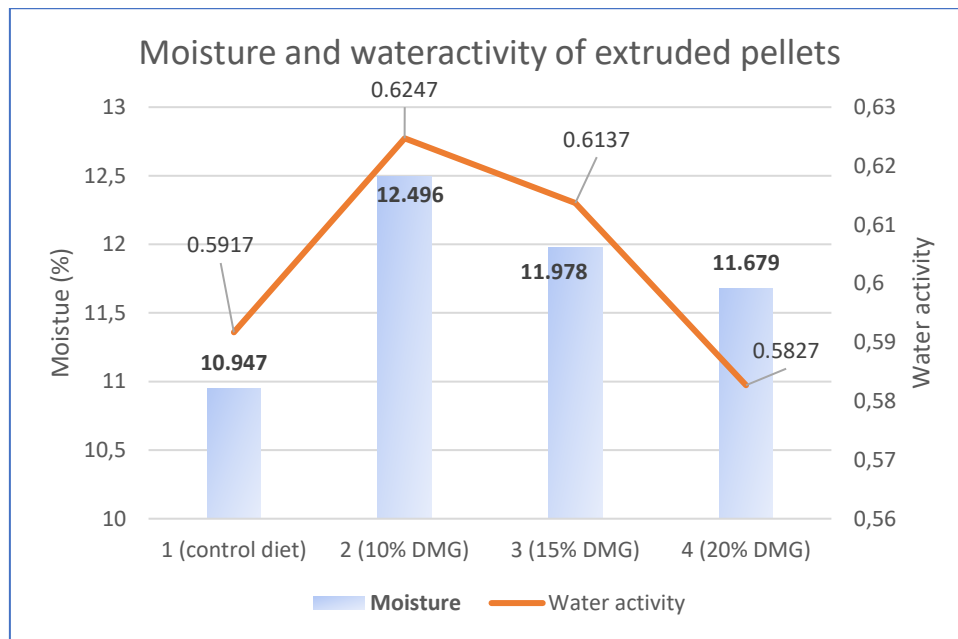


654

655 *Figure 7: Expansion ratio (range and medians) of extruded pellets (from diet 1, 2, 3, 4).*

656 Although diets made with more DMG (3, 4) showed decreased expansion ratio, it is
657 possible that microalgae starch was not completely hydrated and gelatinized to give the
658 best expansion ratio. More research is needed to fully explore how DMG's effects on
659 expansion ratio in extruded diets. Possible options would be extrusion with more
660 moisture and retention time, or with and without preconditioning, at different inclusion
661 rates, combining with evaluation of the degree of starch gelatinization.

662 Effects of partial replacement of poultry meal on moisture content and water activity are
663 shown in Figure 9, the results are shown in Table 9. All diets that include DMG contains
664 more moisture than the control diet, thus their water activities are higher (except pellets
665 from diet 4, higher moisture but slightly lower water activity). It is also indicated that the
666 more DMG is included, the less moisture is contained in the pellets.



667

668

Figure 8: Moisture content and water activity of extruded pellets (from diet 1, 2, 3, 4)

669

Among them, only diet 4 (20%) has water activity < 0.6, and it also has lowest moisture content. Diets contain DMG has more higher moisture content and water activity than the control diet. On the other hand, higher inclusion rates of DMG leads to lower moisture content and lower water activity.

673

Poultry meal are usually processed at high temperature to eliminate pathogens; therefore, its proteins are denatured and do not bind water well. Meanwhile, DMG contains starch, and starch binds water better than protein. This explains why the pellets from control diet contains less moisture than pellets from diets contained DMG.

677

Higher DMG content results in lower moisture content and water activity. It is also worth noted what the diet 3 expands less than diet 2 and 4, but it does not contain most moisture or highest water activity. A possible explanation is that pellets from diet 2, 3, and 4 have different morphology. Pellets that contain more DMG may be more porous because it contains more starch, and their porosity aided drying efficiency. The effect of microalgae on morphology, specifically increasing pore size, is also observed by (Uribe-Wandurraga *et al.*, 2020). Nevertheless, effect of DMG on morphology of extruded pellets needs more research to investigate it better.

685

Effects of partial replacement of poultry meal on pellet hardness and durability are shown in Figure 9 and Figure 10, results are shown in Table 9.

686

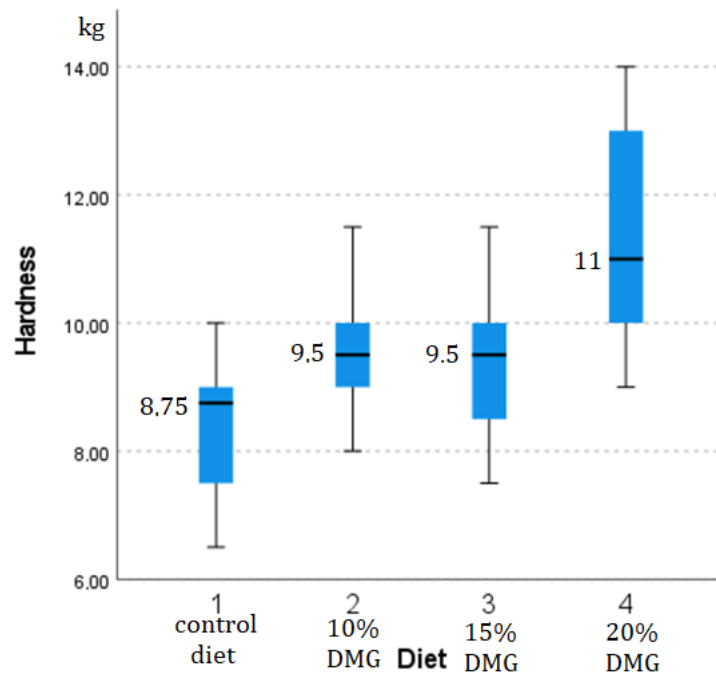


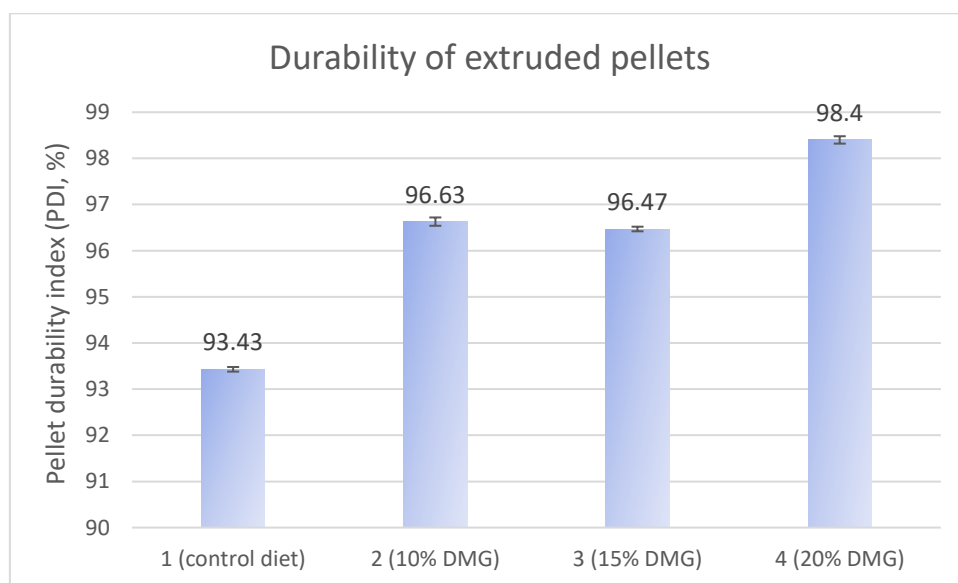
Figure 9: Kahl hardness of extruded pellets (from diet 1, 2, 3, 4)

687

688

689 The experiment results show that diets that include more microalgae has higher hardness
 690 and durability compared to the control diet. It is also indicated that harder pellets tend to
 691 be more durable. Pellets from diet 4 (20% DMG) has highest hardness, also has highest
 692 durability.

693 From the results, it can be inferred that the inclusion of microalgae increases hardness
 694 and durability of extruded pellets. Higher microalgae inclusion is usually associated with
 695 an increase in hardness and durability of extruded pellets, as observed in (Alcaraz *et al.*,
 696 2021; Gong *et al.*, 2019), regardless microalgae species.



697

698

Figure 10: Durability of extruded pellets (from diet 1, 2, 3, 4)

3.2. Experiment 2: effects of the replacement of poultry meal with vital wheat gluten and potato protein

Experiment 2 investigated the effects of vital wheat gluten and potato protein when they are used to replace poultry meal completely, at ratio 1:1.

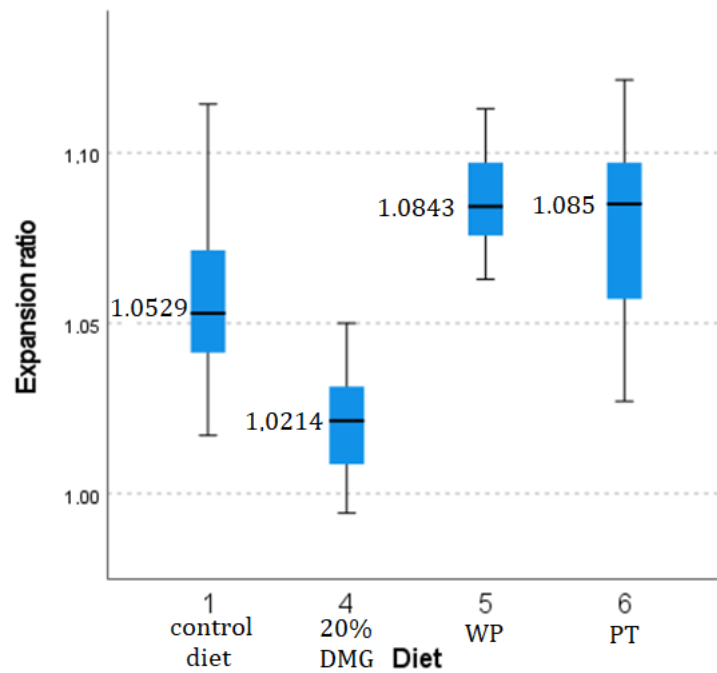
Results regarding expansion ratio, water activity, moisture, hardness, and durability is shown in Table 10. Pellets from diet 4 (20% DMG) in the first experiment have comparable water activity with pellets from control diet. Therefore, results of diet 4 from experiment 1 are included in Figure 11 – Figure 14 for comparison and discussion. Statistical analysis showed that the difference in physical qualities of pellets from different diets are significant ($p < 0.05$).

Table 10: Expansion ratio, water activity, moisture, hardness, and durability of extruded pellets from diet 1, 4, 5, 6

Diet	1	4	5	6
	Control diet	20% DMG	Vital wheat gluten	15% DMG
Expansion ratio*	1.0529	1.0214	1.0843	1.085
Water activity	0.592 ± 0.0021	0.582 ± 0.0012	0.617 ± 0.0006	0.688 ± 0.0015
	10.947 ± 0.1549	11.679 ± 0.1501	26.694 ± 0.1659	28.1 ± 0.0711
Moisture (%)				
Hardness (kg)*	8.75	11	13	10.5
Durability (%)	93.43 ± 0.058	98.4 ± 0.1	96.57 ± 0.306	98.27 ± 0.153

*Expansion ratio and hardness data are not normally distributed, therefore only medians are shown here.

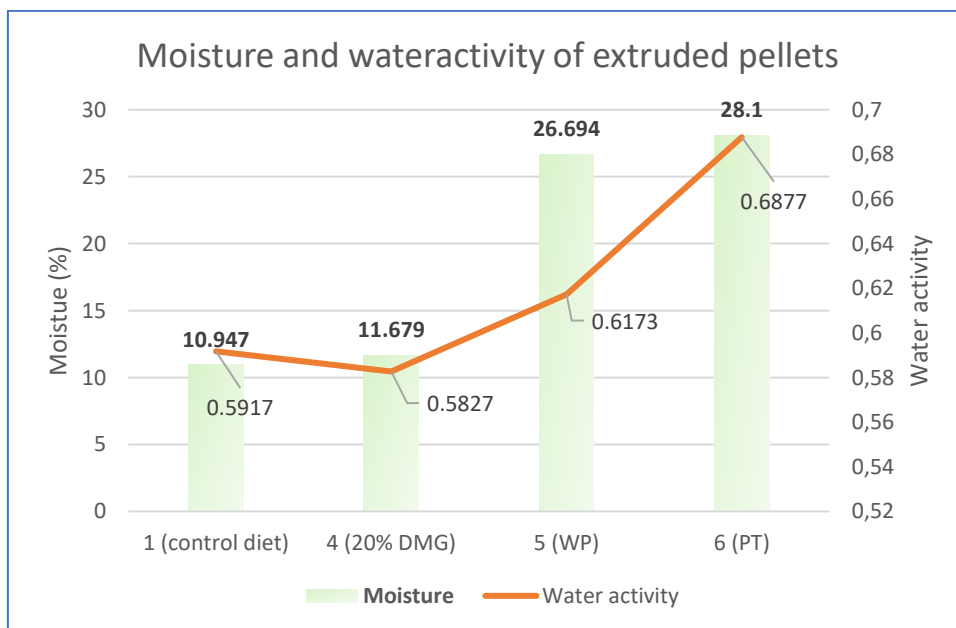
In diet 5 and 6, poultry meal was replaced completely with vital wheat gluten (WP) and potato protein (PT) at ratio 1:1. The effects of this replacement on expansion ratio are shown in Figure 11.



716

717 *Figure 11: Expansion ratio (range and medians) of extruded pellets (from diet 1, 4, 5, 6)*

718 Results show that replacing poultry meal with the plant proteins increases expansion.
 719 Expansion ratio of pellets from diet 5 and diet 6 are not significantly different ($p > 0.05$).
 720 Both diets produce pellets that expanded more than the control diet and diet 4 (Figure
 721 11). This is predictable, because poultry meal is processed at high heat and denatured,
 722 therefore its functions are not as good as plant proteins. Many other studies observed the
 723 same effects from other plant proteins (Draganovic *et al.*, 2013; Sørensen *et al.*, 2009).



724

725 *Figure 12: Moisture content and water activity of extruded (from diet 1, 4, 5, 6)*

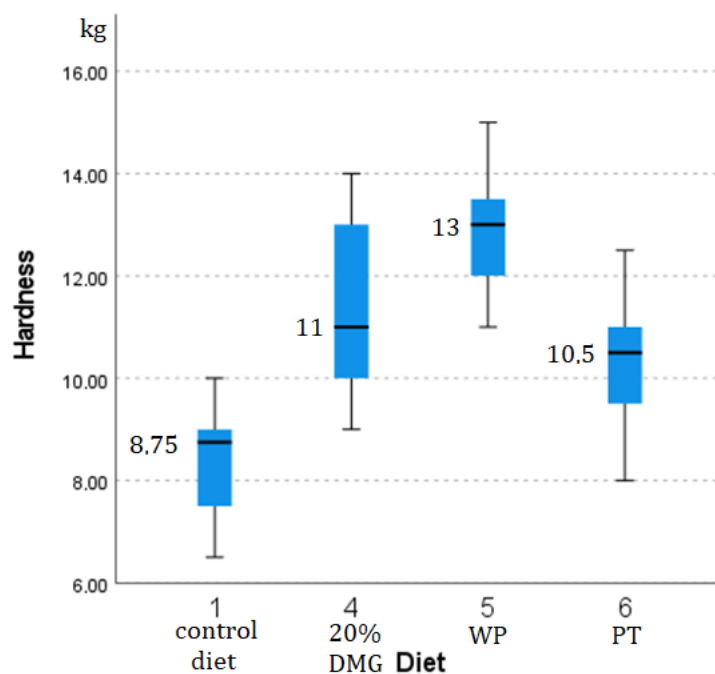
726 Effects of the replacement of poultry meal with vital wheat gluten and potato protein on
727 moisture content and water activity are shown in Figure 12 Both diets 5 and 6 contain
728 more moisture and higher water activity than the control diet. The water activity of pellets
729 from these diets are too high (> 0.6), which would encourage mold growth.

730 There was little understanding on potato protein to predict anything, but it was
731 unexpected that pellets from diet 5 had high moisture content. High wheat gluten
732 inclusion tends to result in big pore size (Draganovic *et al.*, 2013), and that should have
733 encouraged higher moisture efficiency. It is possible that the feed was produced with high
734 water content, and that results in the high moisture level. More research is needed to find
735 the optimized moisture content for extruded diets using wheat gluten.

736 More research needs to be done to further understand the morphological effects of potato
737 protein to draw better conclusion on the ingredients, and that should be done at many
738 extrusion-water levels to find the optimized moisture content.

739 Effects of replacing poultry meal with vital wheat gluten and potato proteins on pellet
740 hardness and durability are shown in Figure 13 and Figure 14, results are shown in Table
741 10.

742



743

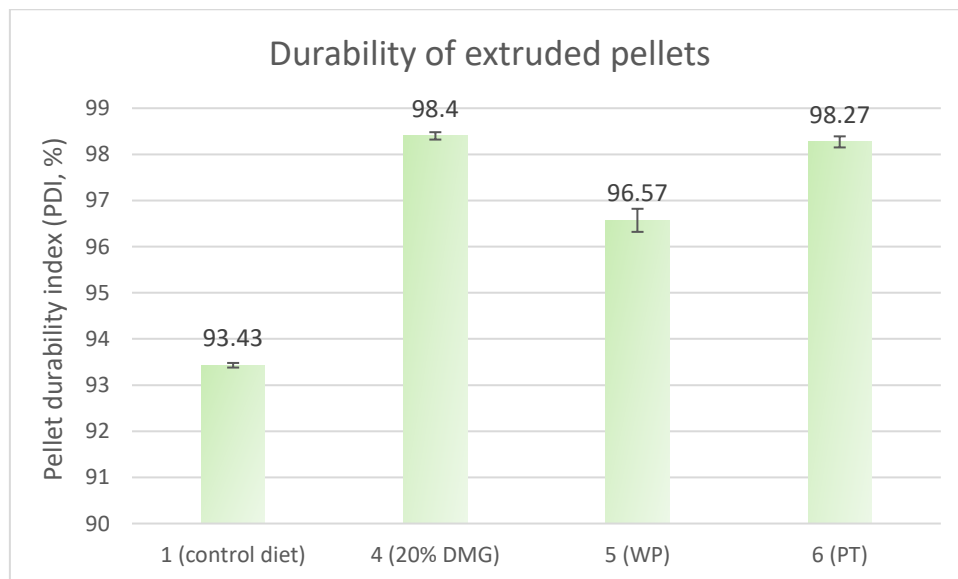
744 *Figure 13: Kahl hardness of extruded pellets (from diet 1, 4, 5, 6)*

745 Effects of replacement of poultry meal with plant proteins on pellet hardness and
746 durability are shown in Figure 14 and Figure 15. Pellets made with WP and PT are harder

747 and more durable than those from control diet. This is foreseeable, as they are more
748 functional than poultry meal (which was denatured even before extrusion). Other studies
749 also reported the same effects of wheat gluten (Draganovic *et al.*, 2011; Draganovic *et al.*,
750 2013). Interestingly, the effects of vital wheat gluten and potato protein on hardness and
751 durability are not the same.

752 Pellets made with vital wheat gluten were the more brittle, with highest hardness but
753 weaker durability than pellets from diet 4 and diet 6. However, this is not problematic
754 because the pellet durability index is still high, and higher than those made from poultry
755 protein. On the other hand, pellets from diet 6 are more durable than those from pellets 5
756 although not as hard. However, it is an improvement comparing to pellets from the control
757 diets.

758 From the results, it can be concluded that vital wheat gluten and potato protein have
759 different effects on hardness and durability of extruded pellets, but generally both
760 improve pellet hardness and pellet durability index.



761

762 *Figure 14: Durability of extruded pellets (from diet 1, 4, 5, 6)*

763 4. Conclusion

764 The effects of partially replacing poultry meal with DMG showed that higher DMG
765 inclusion did improve hardness and pellet durability, and optimal inclusion rate of DMG
766 was 20%. However, more research is needed to understand its effects on expansion,
767 probably by improving hydration and evaluating the degree of starch gelatinization.

768 The effects of replacing poultry meal with vital wheat gluten and potato protein revealed
769 that the effects of these ingredients on physical quality are mostly similar to what have
770 been observed in other studies. However, pellets made with these ingredients showed
771 high water activity (> 0.6). Therefore, more research is needed to improve drying
772 efficiency, both by better understanding their effects on pellet morphology and optimizing
773 the extrusion water rate.

774 Results from this thesis shows that DMG, vital wheat gluten and potato protein has the
775 potential to replace animal protein in extruded feeds, especially pet food, regarding
776 physical qualities. However, more studies and experiments are needed to understand
777 better how they perform in extruded diets to optimize formulation as well as processing
778 parameters, without compromising certain physical traits or energy consumption.

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