

1 **Simulated global warming increases usnic acid but reduces perlatolic acid**  
2 **in the mat-forming terricolous lichen *Cladonia stellaris***

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22

23 **Abstract**

24 Lichens as sessile and slow-growing symbiotic associations have evolved various carbon  
25 based secondary compounds (CBSCs) to mitigate effects of some stressors in their often  
26 extreme environments. The mat-forming lichen *Cladonia stellaris* – an important fodder for  
27 reindeer – produces usnic acid in the outermost layer and perlatolic acid in the medulla. Here,  
28 we studied effects of simulated global warming on these CBSCs in *C. stellaris* cultivated in  
29 climate chambers with: 1) ambient conditions as control or 2) ambient conditions +4°C. The  
30 chambers simulated, at an hourly resolution, an averaged 10-years growing season dynamics  
31 from a long-term monitored boreal mire in Northern Sweden. After two months of  
32 acclimation, +4°C warming in one simulated growing season increased the concentration of  
33 usnic acid by 31 % compared with ambient conditions. Whereas the warming decreased the  
34 concentration of perlatolic acid by 14 %. Because lichen CBSCs play important roles in  
35 ecosystem processes such as lichenivory and decomposition, these changes may profoundly  
36 affect lichen-dominated ecosystems.

37

38 **Key-words:** carbon based secondary compounds; climate change; global warming; reindeer  
39 lichen; perlatolic acid; usnic acid; boreo-arctic ecosystems

## 40 **Introduction**

41 As sessile and slow-growing symbiotic associations in extreme environments, lichens face  
42 various unpredictable threats. To be successful, lichen mycobionts have evolved carbon based  
43 secondary compounds (CBSCs) occurring as extrolites outside fungal hyphae. These  
44 compounds protect lichens from lichenivores and excess solar radiation (as reviewed by  
45 Solhaug & Gauslaa 2012). CBSCs may also protect lichens from other biotic and abiotic  
46 stressors and thus serve multiple functions (Lawrey 2009).

47         There has been a growing interest in how abiotic factors regulate CBSCs in lichens  
48 (Rundel 1969; Bjerke *et al.* 2003; McEvoy *et al.* 2006b; Nybakken *et al.* 2007; e.g. Asplund  
49 & Wardle 2014). UV-B induces CBSCs located in the upper cortex (e.g. usnic acid, atranorin  
50 and parietin) (Rundel 1969; Solhaug *et al.* 2003; McEvoy *et al.* 2006a), whereas medullary  
51 CBSCs are less responsive to light exposure (McEvoy *et al.* 2007; Nybakken *et al.* 2007).  
52 Nevertheless, both cortical and medullary CBSCs concentration peak in summer (Gauslaa &  
53 McEvoy 2005; Bjerke *et al.* 2005; Gauslaa *et al.* 2013).

54         Few have investigated how temperature *per se* affects CBSCs. For instance, the  
55 widespread cortical compound, usnic acid has been found to decrease with increasing  
56 temperature (Bjerke *et al.* 2004; Nybakken *et al.* 2011). Meanwhile, Bjerke *et al.* (2003)  
57 found higher concentrations of the medullary gyrophoric acid and methyl gyrophorate in the  
58 cyanolichen *Peltigera extenuata* in open top chambers (OTCs) inducing e.g. warming.  
59 Likewise, the medullary salazinic acid had higher concentrations in *Ramalina siliquosa*  
60 collected at warmer sites (Hamada 1982). By contrast, CBSCs in many other species did not  
61 respond to increased temperature (Nybakken *et al.* 2011). However, because temperature  
62 affects water availability (Bjerke *et al.* 2004), we need experimental studies controlling for  
63 confounding factors that may interfere with the CBSC metabolism. Earlier studies on lichen  
64 CBSCs and temperature did not control important confounding factors, such as light and

65 humidity. For example, field-based experiments using open-top chambers (OTC) or infra-red  
66 lamps artificially decrease the water potential and the soil moisture (e.g. Allison & Treseder  
67 2008; Johnson *et al.* 2013).

68         The relative humidity and the water potential influence lichen growth (Čabrajić *et al.*  
69 2010; Gauslaa 2014). Many lichens are designed to utilize dew rather than rain as a source of  
70 hydration (Gauslaa 2014). Climate models at all latitudes (Allen & Ingram 2002) assume that  
71 the relative humidity remains constant in the atmosphere over long time scales because the  
72 atmospheric water capacity increases with warming and nearby oceans function as water  
73 vapour pumps that can endlessly recharge the atmospheric water pool (Johnson *et al.* 2013).  
74 Also recent observations support this and suggest that increasing precipitation and total  
75 atmospheric water concur with the rise in temperature over the past two decades (Wentz *et al.*  
76 2007). In northern Sweden, the precipitation is predicted to increase by 11% until 2100 at a  
77 warming rate of 4°C (Lind & Kjellström 2008).

78         It is important to understand the regulation of lichen CBSCs because these compounds  
79 influence ecosystem processes such as lichenivory and decomposition, and thus carbon and  
80 nutrient cycling (Asplund & Wardle 2013; Asplund *et al.* 2013). The mat-forming terricolous  
81 *Cladonia stellaris*, with usnic acid in the outermost layer and perlatolic acid in its medulla,  
82 often dominates well-drained inland terrain at high latitudes (Kershaw 1977; Ahti & Oksanen  
83 1990). Such mat-forming lichens contribute to ecosystem processes by e.g. providing the  
84 main winter fodder for reindeer/caribou (Scotter 1967; Gaare & Skogland 1975; e.g. Danell *et*  
85 *al.* 1994; Storeheier *et al.* 2002).

86         Here, we studied the effects of +4°C warming on CBSCs in *C. stellaris* cultivated in  
87 two climate chambers without confounding effects of the decreased relative humidity,  
88 hydration, and altered levels of UV-B. By compensating for the greater air-water holding  
89 capacity of warmed mesocosms and by keeping the relative humidity constant, the capacity of

90 the mesocosms to form dew was equal across treatments. By taking such precautions, we  
91 aimed to quantify the temperature effect *per se* on secondary metabolism.

92

### 93 **Material and methods**

94 On November 19, 2011, a homogeneous mat (approximately 4 dm<sup>2</sup>) of *C. stellaris* was  
95 collected on a *Sphagnum* fen in Lappmyran mire, 2.2 km from the Degerö mire experimental  
96 site, Vindeln, Sweden (64°09'54.91"N, 19°35'02.26"E). The mat was cleaned from debris and  
97 the partly senesced lowermost layer before it was air-dried for 72 h and stored at -18°C until  
98 the start of experiment. A small portion was dried at 70°C to determine the dry bulk density.

99         On December 4 2011, we placed 12 *Sphagnum* fen mesocosms (monoliths), taken  
100 from a homogenous fen lawn at the same location as the lichen material, and placed in  
101 polypropylene boxes (54 cm x 36 cm x 25 cm) evenly distributed in two walk-in climate  
102 chambers (Karl Weiss, Giessen, Germany). Thirty 400 W metalhalogen lamps (Powerstar  
103 HQI-TS, OSRAM, Munich, Germany) were set 110 cm above the top of the mesocosms. The  
104 light spectrum comprised the wavelength range 315 (UV-A) - 800 nm. The Photosynthetic  
105 Active Radiation (PAR) was programmed to follow the PAR under natural conditions at an  
106 hourly time scale. Lamps could generate a PAR up to 1075  $\mu\text{mol m}^{-2} \text{s}^{-1}$  at the surface of the  
107 mesocosms. With these light sources, 96.2% of the hourly settings could match the *in-situ*  
108 PAR levels of the entire growing season at Lappmyran. UV filters kept the UV radiation  
109 below the maximum permitted thresholds to IEC 61167. A linear AccuPAR probe model LP-  
110 80 (Decagon, Pullman, USA) recorded PAR. The air temperature and relative humidity were  
111 monitored with a QFM3160 sensor (Siemens, Munich, Germany), and the chambers were  
112 continuously controlled to meet the hourly ambient settings. Two fans ensured mixing of the  
113 conditioned or heated air inside the chambers with the spray nozzle humidified air. The mean

114 surface temperature of the substrate was  $18.5 \pm 0.3$  °C and  $20.9 \pm 0.2$  °C in the ambient and  
115 warming treatments, respectively ( $t = 6.5$ ,  $P < 0.001$ ,  $t$ -test).

116 On February 1, we placed one 5 cm × 5 cm *C. stellaris* mat fragment (0.4 g) on top of  
117 each *Sphagnum* fen mesocosm. The lamps and filters were pre-burned for almost 2 months  
118 before placing the lichens in the chambers. During the experiment, all mesocosms  
119 experienced the conditions of a 10-year average growth season-simulation. Six randomly  
120 selected mesocosms experienced 4°C warming. This warming corresponds to the land  
121 temperature projection in Northern Sweden in summer for the year 2100 using SRES scenario  
122 A1FI (Randall *et al.* 2007; Lind & Kjellström 2008). Lichens experienced nearly two months  
123 acclimation in 12/12 h night/day cycles at the *in situ* seasonal average daylight PAR (538  
124  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ), 12° and 80% relative humidity. Then, we set the chambers on March 26 to  
125 simulate the 10-year-averaged hourly *in-situ* measurements of air temperature (0.3 to 21.0°C),  
126 relative humidity (35 to 99%), PAR (0 to 1384  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ), precipitation occurrence (0-1)  
127 and water level (-3.5 to -19.1 cm) in the mesocosms. We simulated all growing season days  
128  $>0^\circ\text{C}$  (March 26 - September 26; 148 d in total). We kept the relative humidity equal between  
129 the two chambers. Thereby, the absolute humidity was always higher with the 4°C enhanced  
130 air treatment, as warmer air holds more water vapour at a given relative air humidity.

131 To simulate a natural precipitation regime, the mesocosms received variable amounts  
132 of water once during a watering day. To determine a watering day, we used the following  
133 watering criteria (WC) for each day (i):

134

$$135 \text{WC}_i = (1 + \text{MP}_i)^2 * \text{MPO}_i \text{ for } i = \text{day of the year } 122 \text{ to } 270$$

136

137 Here,  $\text{MP}_i$  is the mean precipitation for day<sub>*i*</sub> ( $\text{mm d}^{-1}$ ) averaged over 10 years, and  $\text{MPO}_i$  is the  
138 mean precipitation occurrences (1 to 10) for day<sub>*i*</sub> over 10 years. We watered the lichens on the

139 75 days with the highest  $WC_i$  values. During these 75 days, corresponding *in situ* to the  
140 average number of daily precipitation occurrences during a mean season, we watered the  
141 mesocosms with a watering can fitted with a rose until the water level reached the foreseen  
142 10-year average water level for that day. The mesocosms always remained moist by watering  
143 every 1.97 days on average; but they received condensation water (dewfall) when the  
144 chamber temperature reached the dew point. The added water was a 9:1 mixture of  
145 deionized:tap-water to mimic nutrients, conductivity and pH recorded in the fen's pore water.

146 To avoid confounding effects of the within-chamber position, we swapped six times  
147 the position of the mesocosms within the chambers. To avoid confounding chamber effects,  
148 we swapped the mesocosms and the treatment settings from one chamber to the other twice  
149 during the experiment. We monitored air temperature and humidity simultaneously in the two  
150 chambers using additional device for cross-checking.

151 After harvest, thalli were air-dried and weighed. The air-dry mass was converted to  
152 oven (at 70°C) dry mass by using the ratio between air-dry and oven-dry mass obtained from  
153 additional thalli. Growth was recorded as percent biomass change in relation to start weight.  
154 The upper 10 mm of each 50 mm tall lichen mat was finely ground with a ball mill.  
155 Approximately 35 mg of the powder was extracted for three 45 min periods. The combined  
156 extract was evaporated to dryness and dissolved in 1000-2000  $\mu$ l acetone. The extracted  
157 compounds were then quantified on a 1100 Series HPLC (Agilent Technologies, Waldbronn,  
158 Germany) including a 1,040-M diode array detector (following Nybakken et al. 2007).  
159 Separation was achieved on an ODS Hypersil 50  $\times$  4.6 mm column. The injection volume was  
160 10  $\mu$ l and the flow rate was 2 ml  $\text{min}^{-1}$ . Solvent A consisted of 0.25% orthophosphoric acid  
161 and 1.5% tetrahydrofuran in Millipore (Millipore, Billerica, Massachusetts, USA) water and  
162 solvent B was 100% methanol. The run started with 30 % B. Within 15 min, solvent B was  
163 increased to 70 % and further to 100 % the next 15 min, and then isocratically in 100 % B for

164 a further 5 min. At the end of the run, solvent B was reduced to 30 % within 1 min, and the  
165 column was flushed with 30 % B for 5 min before the next run. The detection wavelength was  
166 245 nm. Usnic acid was quantified against the response curve of a commercial standard of  
167 (+)-usnic acid (Sigma Chemical Co, St. Louis, MO, U.S.A.). Different isomers of usnic acid  
168 were not separated. Because no standard was available for perlatolic acid, we reported it in  
169 absorbance units  $\text{mg}^{-1}$ . Perlatolic acid was identified comparing our spectra by the UV-spectra  
170 reported by Huneck & Yoshimura (1996). Start concentration of both compounds was  
171 quantified from one composite sample of the start material. This value represent the  
172 concentration on the collection date.

173

#### 174 Numerical analysis

175 The effect of warming on lichen growth rates, usnic and perlatolic acid concentrations was  
176 tested with help of a Welch's *t*-test. One lichen mat fragmented during the experiment was  
177 excluded for growth measurements. Thus  $n = 6$  for controls and  $n = 5$  for the warming  
178 treatment. In order to test whether concentrations of CBSCs changed during the cultivation  
179 we calculated change in CBSCs relative to the bulk start value and performed one sample *t*-  
180 tests on these values. All analyses were performed using the R 3.2.5 software (R Core Team  
181 2016).

182

#### 183 **Results**

184 The lichens had an average mass growth of  $6.1 \pm 0.9$  % (pooled mean  $\pm 1$  S.E.), with no  
185 significant difference between treatments ( $t = 0.86$ ,  $P = 0.423$ ). Warming increased the  
186 concentration of usnic acid by 31 % compared to thalli kept under ambient conditions (Fig. 1;  
187  $t = 2.92$ ,  $P = 0.021$ ). As such, thalli kept in the warming treatment significantly increased their  
188 usnic acid concentration compared with the start values ( $t = 5.87$ ,  $P = 0.002$ ) Meanwhile,



189 thalli kept at ambient temperatures did not change their usnic acid concentration during  
190 cultivation ( $t = 0.48$ ,  $P = 0.659$ ). The concentration of perlatolic acid fell significantly during  
191 cultivation at both temperature regimes (Fig. 1; warming:  $t = 5.69$ ,  $P = 0.002$ ; ambient:  $t =$   
192  $6.40$ ,  $P = 0.003$ ). Nevertheless, by the end of the experiment, lichens subjected to warming  
193 had 14 % lower concentration of perlatolic acid as compared to those kept under ambient  
194 conditions (Fig.1;  $t = 2.32$ ,  $P = 0.049$ ).

195

## 196 **Discussion**

197 The usnic acid concentration increased during the warming regime, but stayed constant in the  
198 ambient regime. Because of the net biomass gain, usnic acid was synthesized in both  
199 temperature regimes. Meanwhile, at the end of cultivation, the concentration of perlatolic acid  
200 was just 70-80 % of the initial concentration. This could be consistent with dilution due to  
201 biomass growth and low or absent perlatolic acid synthesis under the growth chamber  
202 conditions. A low synthesis of perlatolic acid could also explain why we only found weak  
203 differences between the treatments for this compound.

204 In contrast to our findings, field studies report constant or lower concentrations of  
205 usnic acid at the higher temperature inside OTC (Nybakken *et al.* 2011). For example, *C.*  
206 *arbuscula* inside OTCs (raising air temperature by 1.5 °C) had lower usnic acid concentration  
207 than thalli outside, whereas usnic acid in *Flavocetraria nivalis* was indifferent to this  
208 temperature treatment (Nybakken *et al.* 2011). However, OTCs screen UV-B levels and  
209 modify relative humidity and dewfall strongly influencing poikilohydric organisms. Such  
210 concurring effects may question the ecological relevance of OTC-data for lichens. In studies  
211 along natural environmental gradients, *F. nivalis* had higher concentration of usnic acid in the  
212 coldest, but also the most humid site (Bjerke *et al.* 2004). Because lichens need hydration for  
213 metabolic activity, and because photosynthates boost usnic acid synthesis (McEvoy *et al.*

214 2006a), improved water availability could drive usnic acid synthesis (Bjerke *et al.* 2003).  
215 Thereby, earlier reported increases in usnic acid with decreased temperatures (Bjerke *et al.*  
216 2004; Nybakken *et al.* 2011) may have been driven by the confounding factor relative  
217 humidity. Northern latitudes, where *C. stellaris* is common, are predicted to be warmer and  
218 wetter (Kirtman *et al.* 2013). Here, we kept all other factors constant, meaning that the  
219 observed increase in usnic acid and decrease in perlatolic acid is attributed to increased  
220 temperature only.

221         Opposite responses in cortical vs medullary compounds, as those in Fig. 1, have also  
222 been reported in *Parmotrema hypotropum* showing increased concentration of the cortical  
223 atranorin and decreased medullary norstictic acid with increasing temperature-to-water-  
224 potential ratio (T/Ψ) driven by sun exposure in the field (Armaleo *et al.* 2008). The authors  
225 argued that high versus low T/Ψ would activate cortex-specific polyketide synthases and  
226 medulla-specific polyketide synthases, respectively, causing such contrasting responses. Our  
227 results could be consistent with such a hypothesis, because the water potential should be  
228 similar across our treatments at constant water levels and relative humidity, resulting in higher  
229 T/Ψ in the warming treatment.

230         Mat-forming terricolous lichens with usnic acid dominate continental low alpine soils  
231 and forest floor on well drained, nutrient-poor terrain at high latitudes (Kershaw 1977; Ahti &  
232 Oksanen 1990). In Canada alone, there is  $4.4 \times 10^6$  km<sup>2</sup> of lichen woodland (Auclair & Rencz  
233 1982). Given the large biomass of usnic acid lichens at high latitudes, climate-driven changes  
234 in the usnic acid concentration will result in large quantitative changes in usnic acid at the  
235 ecosystem level. Because lichen CBSCs play important roles in ecosystem processes, e.g.  
236 lichenivory and decomposition, such changes may profoundly affect lichen-dominated  
237 ecosystems (Asplund & Wardle 2013, 2016).

238

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245

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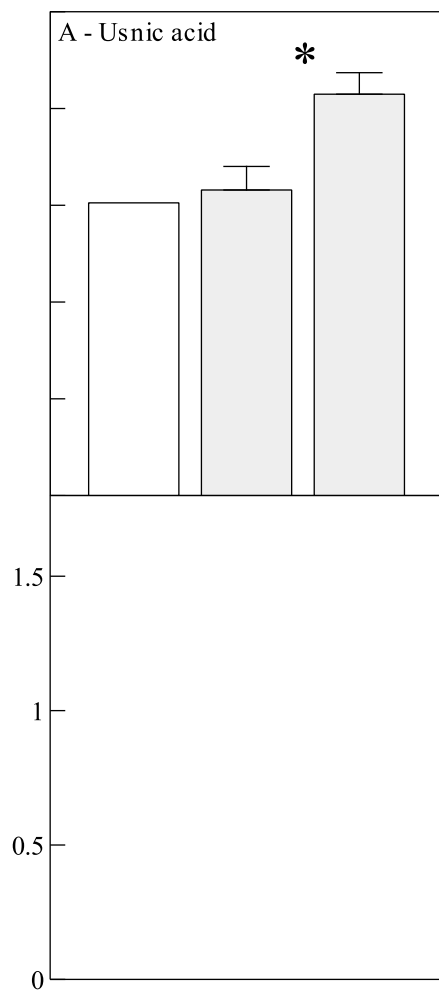
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355 **Figure 1.** Concentrations (mean + 1 S.E.) of usnic acid and perlatolic acid in *Cladonia*  
356 *stellaris* grown under ambient or increased (+4°C) temperature conditions. The white bars  
357 represent start value from a bulk sample. Asterisks denotes significant difference between the  
358 two treatments at  $P < 0.05$ .  
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