

1 **Greenhouse gas emission intensities and economic efficiency in crop**
2 **production: a systems analysis of 95 farms**

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13 Abstract

14 To increase food production while mitigating climate change, cropping systems in the future
15 will need to reduce greenhouse gas emission per unit of production. We conducted an analysis of 95
16 arable farms in Norway to calculate farm scale emissions of greenhouse gases, expressed both as
17 CO₂eq per unit area, and CO₂eq per kg DM produced and to describe relationships between the
18 farms' GHG intensities and their economic efficiencies (gross margin). The study included: 1) design
19 of a farm scale model for net GHG emission from crop production systems; 2) establishing a
20 consistent farm scale data set for the farms with required soil, weather, and farm operation data; 3)
21 a stochastic simulation of the variation in the sources of GHG emissions intensities, and sensitivity
22 analysis of selected parameters and equations on GHG emission intensities; and 4) describing

1 relationships between GHG emission intensities and gross margins on farms. Among small seed and
2 grain crops the variation in GHG emissions per kg DM was highest in oilseed (emission intensity at
3 the 75th percentile level was 1.9 times higher than at the 25th percentile). For barley, oats, spring
4 wheat, and winter wheat, emissions per kg DM at the 75th percentile levels were between 1.4 to 1.6
5 times higher than those at the 25th percentiles. Similar trends were observed for emissions per unit
6 land area. Invariably soil N₂O emission was the largest source of GHG emissions, accounting for
7 almost half of the emissions. The second largest source was the off farm manufacturing of inputs
8 (~25%). Except for the oilseed crop, in which soil carbon (C) change contributed least, the on farm
9 emissions due to fuel use contributed least to the total GHG intensities (~10%). The soil C change
10 contributed most to the variability in GHG emission intensities among farms in all crops, and among
11 the sensitivity elasticities the highest one was related to environmental impacts on soil C change. The
12 high variation in GHG intensities evident in our study implies the potential for significant mitigation
13 of GHG emissions. The GHG emissions per kg DM (intensity) decreased with increasing gross margin
14 in grain and oilseed crops, suggesting that crop producers have economic incentives to reduce GHG
15 emissions.

16 Keywords: farm scale; crop production; soil C; soil N₂O; stochastic simulation; profitability;

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1. Introduction

Arable farms can have significant environmental impacts (e.g. Stoate et al., 2001), including the emission of air pollutants and greenhouse gases (Snyder et al., 2009). In total, agriculture emits about 5.1 and 6.1 Pg CO₂eq year⁻¹, accounting for 10 – 12% of global GHG emissions. These emissions are mainly in the form of methane (CH₄), mostly from animal production (3.3 Pg CO₂eq year⁻¹); nitrous oxide (N₂O), mostly from arable land (2.8 Pg CO₂eq year⁻¹); and carbon dioxide (CO₂) mostly from soil carbon changes and energy use (0.04 Pg CO₂eq year⁻¹)(Smith et al., 2007a). There is a growing consensus that global GHG emissions will need to be substantially reduced to minimize risk of unpleasant climate change (e.g. Godfray et al., 2011). But these emission reductions must take place in a world where its population is expected to reach 8900 million by 2050 and with a food demand expected to rise by 70% (FAO, 2006). Thus, lowering of worlds GHG emission by reducing food and feed production is not an option.

Being a part of the international society, the Norwegian Parliament has made a compromise agreement on the target for national GHG emissions that will require Norway to reduce emissions by 15 to 17 Gg of CO₂eq by 2020 (30 % reduction from 1990). The agricultural sector is required to contribute 1.2 Gg of CO₂eq to this reduction, which is more than 20 % of the sector’s current emission (Climate and Pollution Agency, 2010). The Ministry of Agriculture and Food (2009) states that a significant part of the agricultural contribution is to be achieved through reduced use of nitrogen (N) fertiliser in crop production. Other suggested measures are reduced tillage and use of catch crops. Although the population growth rate in Norway is smaller than the global one, a reduction in food and feed production may not be a preferred option. The nation’s population is estimated to grow by about 20% by 2060 (Statistics Norway, 2010). Thus, reductions in GHG emissions must be found through practices that do not lower food and feed production.

1 Total GHG emissions from agriculture are influenced by management practices on the farm.
2 Because of the myriad interactions, however, the effects of single changes, e.g. lower fertilisation
3 rates or reduced tillage, on a farm's total GHG emission cannot be determined without a holistic
4 analysis at the farm level (Janzen et al. 2006). This challenge has in many countries fostered the
5 development of decision support tools such as simulation models or simpler calculators for
6 estimation of GHG emission at farm level (e.g. Flessa et al., 2005; Shils et al., 2007; Berry et al., 2008).
7 However, management decisions at the farm level are generally motivated by maximising profit,
8 which involves improving efficiency and lowering costs, i.e. maximising the difference between
9 output and input. In theory, farms with high outputs (yields) relative to input factors (fertilisers,
10 pesticides, fuel etc.) are expected to have low GHG emission intensities, at least per kg DM yield. On
11 an area basis this relationship may not be apparent, as high input farms may have a high profit and
12 also a high GHG emission per ha.

13 To clarify these relationships, we analyzed 95 Norwegian farms with field crop production.
14 Our objectives were: 1) to estimate the farm scale GHG emissions intensities by using adequate
15 models encompassing the farms' natural resource bases and operational data, 2) to quantify the
16 variation in GHG emissions among Norwegian crop production farms, and 3) to estimate the
17 relationships between the farms' GHG intensities and their profits. The broader goal was to identify
18 opportunities for mitigating GHG emissions from crop production farms, and provide insights
19 pertinent to agricultural policy makers in fulfilling the goals of emission reduction as specified by the
20 Climate and Pollution Agency (2010).

21

22 **2. Materials and methods**

23 This study has four main components: 1) design of a farm scale model for net GHG emission from
24 crop production systems; 2) establishing a consistent farm scale dataset for 95 crop production farms
25 with respect to soil, weather, and farm operation data, using 2008 data; 3) a stochastic probability

1 analysis of the farm population's generalised distribution of the sources of GHG emissions intensities,
2 and a sensitivity analysis of selected key parameters and equations on the GHG emissions intensities;
3 and 4) describing relationships between GHG emissions intensities and gross margins on farms.

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5 *2.1. Model overview*

6 We developed an empirical farm scale model of net GHG emission from crop production
7 systems, with a yearly time-step, based on the Intergovernmental Panel on Climate Change
8 methodology (IPCC 2006), including soil carbon (C) changes. The following GHG sources are
9 considered: on-farm CO₂ emissions or removal (sequestration) due to soil C changes; on-farm N₂O
10 emissions from soils; off-farm N₂O emissions from N leaching, run-off and volatilization (indirect N₂O
11 emissions); CO₂ emissions from energy used on-farm; and off-farm CO₂ and N₂O emissions from
12 inputs. All gas emissions were expressed as CO₂ eq to account for the global warming potential of the
13 respective gases for a 100-year time horizon: $\text{kg CO}_2 \text{ eq} = \text{N}_2\text{O kg} \times 298 + \text{CO}_2 \text{ kg} \times 1$ (IPCC 2007). To
14 report GHG intensities, emissions are expressed as $\text{kg CO}_2 \text{ eq kg}^{-1} \text{ DM yield}$ and $\text{kg CO}_2 \text{ eq ha}^{-1}$.

15 *2.1.1. Soil carbon change*

16 The estimates of soil carbon change are based upon the Introductory Carbon Balance Model
17 (ICBM) of Andrén et al. (2004). The ICBM is a two-component model, comprising young (Y) and old
18 (O) soil carbon, with decay constants of k_y and k_o , respectively, and the following parameters: crop
19 residue input (i), humification factor (h), and a combined index of external influences (r_e). The model,
20 which has a time step of one year, requires initial values of Y and O, the latter amounting to 93 per
21 cent of the total top soil C. Total C in residues of various crops were estimated by allometric
22 functions of harvested crop yields (Andrén et al. 2004). For our model runs, $k_y = 0.8$, $k_o = 0.007 \text{ year}^{-1}$,
23 and $h = 0.13$ dimensionless. The external influences on the decomposition rates (r_e) were
24 combined in a farm specific multiplicative index describing the relative effects of soil moisture (r_w)

1 and soil temperature (r_T) indices (values from 0.0 to 1.0), and a cultivation factor (r_c). The indices and
2 their product (r_e) were all estimated on a daily basis and averaged over the year. The constant r_c
3 (dimensionless) = 0.9 for conventional tillage and 0.8 for reduced tillage. The proportions of arable
4 land in cereal production, and of farms with arable crops only have been continuously increasing in
5 Norway during the last 60 years (Statistics Norway, 2010). Over time, the rate of soil carbon loss
6 gradually declines in a continuously arable crop system when following a mixed farming system (Riley
7 and Bakkegard, 2006). Thus, we used the ICBM's estimate of soil carbon change in the 30th year of
8 continuous arable cropping. For farm specific input variables, i and of r_e , data of the year 2008 were
9 applied throughout the 30 year period.

10 2.1.2. Nitrous oxide (N_2O) emission

11 Estimates of N_2O emissions are based upon the IPCC (2006) emission factor of 0.01 of the total N
12 input (N_{tot}), defined as the sum of nitrogen fertiliser applied, crop residual N, and mineralised
13 nitrogen. The residue N is calculated as the sum of above ground and below ground residue N
14 (Janzen et al., 2003). The mineralised N is derived from an assumed N:C ratio of soil organic matter of
15 0.1 (Little et al., 2009).

16 The N_2O emission is strongly affected by soil moisture and temperature conditions (Watts
17 and Hanks, 1978). The functional relationships are often considered to be linear and together
18 multiplicative (e.g. Li et al., 1992; Smith et al., 2007b). Functional relationships between soil moisture
19 expressed as water filled pore space of top soil (% WFPS) as well as soil temperature at 30 cm depth
20 (ts_{30} °C) were derived from Sozanska (1999, 2001). The model of Sozanska (2001) was not directly
21 applicable to our approach, as it does not take into account the differences in crop residue N among
22 the crops.

23 The model of Sozanska (2001) was run with an orthogonal data set of WFPS, ts_{30} , and N
24 fertilisation rates using the equation: $\ln(N_2O) = -2.7 + 0.60\ln(N) + 0.61\ln(WFPS) + 0.35ts_{30} - 0.99A$,
25 where N_2O = N_2O emission ($kg\ ha^{-1}y^{-1}$), N = nitrogen input ($kg\ ha^{-1}year^{-1}$), and 'A' = land use type (A =

1 2 for tilled land). Linear regression equations were derived for the individual, relative effects of WFPS
2 and ts30 on N₂O emission with unit values (1.00) for WFPS = 49.24 per cent and ts30 = 13.22 °C,
3 based on the global N₂O experimental data set of Sozanska (1999). The equations were:

4
$$\text{WFPS_I} = 0.4573 + 0.01102 \times \text{WFPS}$$

5
$$\text{ts30_I} = 0.5862 + 0.03130 \times \text{ts30}$$

6 where WFPS_I and ts30_I are the relative effects of WFPS and ts30, respectively, on N₂O emission.

7 N₂O emission varies during the year with the highest fluxes often occurring after fertiliser
8 application (e.g. Drury et al. 2006, Mosier et al. 2006). The seasonal (j) variation in N₂O emission was
9 taken into account by dividing the year into four seasons, spring April-May, summer June-August,
10 autumn September-November, and winter December-March with their respective values of Ntot_j,
11 WFPS_I_j, and ts30_I_j. Thus, the global IPCC N₂O emission factor could be adjusted to the specific
12 levels of the driving variables of the following equation:

13
$$\text{N}_2\text{O} (\text{kg ha}^{-1} \text{year}^{-1}) = \sum_{j=1}^4 0.01(\text{Ntot}_j)(\text{WFPS_I}_j)(\text{ts30_I}_j)$$

14 This approach, although approximal, allows for a simple description of the seasonal interaction
15 between the fertilisation rate and the current soil moisture and temperature conditions.

16 The emissions due to leaching and runoff were calculated according to Rochette et al. (2008).
17 The leaching fraction of total N (applied in fertiliser, residues, mineralisation) was, according to
18 Rochette et al. (2008), set to 0.3, and the emission factor for leaching and runoff was set to 0.0075 kg
19 N₂O-N (kgN)⁻¹ (IPCC 2006). Emissions due to volatilisation were calculated using a volatilisation
20 fraction of 0.1 and an emission factor of 0.01.

21 *2.1.3. Energy use and manufacturing of purchased farm inputs*

1 Direct emission from diesel fuel was set to 2.7 kg CO₂ eq per litre (Australian Government,
2 2006). Indirect emission factors for purchased inputs were: diesel fuel 0.3 kg CO₂ eq per litre
3 (Australian Government, 2006), electric power 0.11 kg CO₂ eq per kWh (Nordic mean; Berglund et al.,
4 2009), nitrogen-based compound fertilisers 4 kg CO₂ eq per kg N (DNV, 2010), and pesticides 0.069 kg
5 CO₂ eq per MJ pesticide energy (Williams et al., 2006).

6

7 **2.2. Data input**

8 *2.2.1. Farm operational data*

9 Effects of management practices on farm-scale GHG emissions for 2008 were explored by
10 combining the model with data from the Norwegian Farm Accountancy Survey (NILF 2009). This
11 survey is a farm-level panel data set, collected by the Norwegian Agricultural Economics Institute. It
12 includes agronomic and economic data annually collected from about 1,000 farms. The survey
13 includes 95 crop farms, all without animals. Of these, 70 grew barley (*Hordeum vulgare*), 63 oats
14 (*Avena sativa*), 51 spring wheat (*Triticum aestivum*), 35 winter wheat (*Triticum aestivum*), 20 spring
15 oilseed (*Brassica napus* var. *oleifera* and *Brassica rapa* var. *oleifera*), 9 potato (*Solanum tuberosum*),
16 and 7 rye (*Secale cereale*). Data used included: yields, mineral fertilisers, pesticides, tillage (reduced
17 or conventional), fuel and electricity, straw removal, and gross margin (table 1).

18 INSERT TABLE 1 HERE

19 The crop yields (kg ha⁻¹) of 2008 are specified in the Norwegian Farm Accountancy Survey
20 (NILF 2009) for barley, oats, wheat, winter rye, spring oilseeds, and potatoes. The survey does not
21 differentiate between spring and winter wheat areas and yields. For all farms, data for winter wheat
22 area were accessible from the Norwegian Agricultural Authority, and we estimated the specific yields
23 by assuming that the farms' winter wheat yields were 1.38 times the farms' spring wheat yields,
24 based on the 2008 advisory performance trials weighted by the individual cultivars' market shares

1 (Åssveen et al. 2009). The farm specific cost of mineral fertiliser of 2008 is available from the
2 accountancy survey (NILF 2009). The on-farm distribution of mineral fertiliser among the crops was
3 based on relative factors related to the Norwegian recommendations of N levels and the typical
4 fertiliser types of the crops: barley 1.0; oats 0.9; spring wheat 1.2; winter wheat 1.5; rye 1.3; oilseeds
5 1.2; potatoes 1.5. Based on these relative factors, the crop areas (ha) and the typical mineral fertiliser
6 types and their prices, the farm specific levels of nitrogen-based compound fertilisers applied were
7 estimated for the different crops. The farm specific cost of pesticides in 2008 was available from the
8 accountancy survey (NILF 2009). The distribution of the on farm cost to different crops was
9 calculated by use of relative weighting factors: barley 1.00; oats 0.51; spring wheat 1.05; winter
10 wheat 1.71; rye 1.71; oilseeds 1.65; potatoes 4.99. This weighting was derived by using the most
11 typical types and amounts (relative area sprayed) for each crop by: glyphosate, other herbicides,
12 pesticides, insecticides, growth regulators (cereals), and desiccants (potatoes), their mean rate of
13 application and their prices according to a survey conducted in 2008 (Aarstad et al. 2009). The MJ
14 pesticide energy per crop was estimated according to Audsley et al. (2009). Farms receiving regional
15 payments for acreage under reduced tillage are specified in the accountancy survey (NILF 2009). The
16 distribution of a farm's area with reduced tillage among the crops was done as follows (based on
17 agronomic experience): first priority was given to spring cereals, second to (spring) oilseeds, and
18 third to (winter) rye and winter wheat. The farm costs of fuel and electricity (NILF 2009) were
19 distributed to the crops according to their areas, and the energy and fuel use was calculated by
20 dividing with the 2008 average consumer price of electricity (Statistics Norway 2010) and the 2008
21 average on-farm price of fuel (BFJ 2010), respectively. The amount of straw sold from the farm is
22 specified in the accountancy survey (NILF 2009), and was apportioned to the crops according to their
23 areas.

24 The gross margin was calculated as the gross income minus production costs for each of the
25 crops. The on-farm gross incomes exclusive of governmental payments are specified for each of the
26 crops except for spring and winter wheat (NILF 2009). For farms with both spring and winter wheat,

1 the gross incomes were related to the proportion of the yields. The farms' production costs were
2 distributed to each of the crops in relation to the amounts of inputs used. In addition to the inputs of
3 fertiliser and pesticides, the costs of seeds were distributed by using relative weighting factors:
4 barley 1.0; oats 1.12; spring wheat 1.30; winter wheat 1.17; rye 1.25; oilseeds 0.34 according to NILF
5 (2008). The cost of seed potatoes was specified (NILF 2009).

6 *2.2.2. Natural resource base data and their processing*

7 Soil survey records of the 95 farms, located in the southeastern and central parts of the
8 country from 59 to 64 °N, were provided by The Norwegian Forest and Landscape Institute for
9 homogenous soil type mapping units down to 0.4 ha; each with descriptions of top soil and subsoil
10 layers such as: layer depth, texture of particles < 2 mm, content of organic matter, gravel, and bulk
11 density. From these records soil moisture capacities were derived by pedotransfer functions of Riley
12 (1996) for: saturation to field capacity (pF 0.0 to 2.0), readily plant available water (pF 2.0 to 3.0), and
13 less available water (pF 3.0 to 4.2), for each of six soil layers with depths of: 15, 10, 10, 10, 10, and 10
14 cm, respectively, sequentially from soil surface down to a rooting depth of 65 cm. Top soil was
15 defined as the two uppermost layers (25 cm). The parameters 'U' and 'α' of Ritchie's (1972) soil
16 moisture model were derived from soil texture according to Skjelvåg (1981). All these characteristics
17 as well as soil carbon content of top soil (25 cm) at each soil type mapping units were averaged to
18 farm level by weighting according to area of each mapping unit at the farm.

19 The 2008 daily weather data from the network of The Norwegian Meteorological Institute
20 were interpolated to each farm's geographic midpoint and altitude for: diurnal mean temperature,
21 relative air humidity, wind speed, cloud cover, and precipitation (Tveito et al. 2005). Global radiation
22 was calculated on the basis of extraterrestrial radiation, daily clear sky radiation, and reduction due
23 to cloud cover. Daily estimates of potential evapotranspiration were calculated according to Penman
24 (1956).

1 Soil moisture conditions were estimated for soil water evaporation and plant
2 evapotranspiration separately (Ritchie, 1972); and a further expansion to include a soil moisture
3 budget (Skjelvåg, 1981). The combined model calculated potential and actual evapotranspiration
4 from plants on the basis of potential evapotranspiration, leaf area index (LAI), and the content of
5 plant readily and less available moisture in the current root zone. Soil water filled up by precipitation
6 to more than half the total pore volume above field capacity, was allowed to remain in this fraction
7 above field capacity for a maximum of four days; and for two days only with filling up to half or less
8 of the pore volume between saturation and field capacity.

9 The plant part of the soil moisture model was configured for 'Avle' spring wheat in the five
10 southernmost counties and for 'Thule' spring barley in the four northern ones. Sowing date was
11 determined by the soil moisture model, starting when the current seven day diurnal mean
12 temperature passed 5°C for the first time after April 1, assuming soil moisture of the top soil at field
13 capacity on this day; and choosing as sowing day the first time soil moisture content passed to less
14 than 80 per cent of field capacity (Skjelvåg, 1986). Day of emergence was set to a temperature sum
15 100 d°C above 0°C in both species. Separate functions, derived during crop modelling work (Bleken,
16 2001), were applied for the subsequent phases to heading and physiological (yellow) ripeness.

17 The LAI was set to 0.1 at day of emergence, allowed to increase exponentially to a typical
18 value of 4.0 at heading; the level at which it remained until twenty days before yellow ripeness, after
19 which it was reduced linearly with time to a typical value of 2.0 of a canopy with yellow stems and
20 leaves. From day of yellow ripeness it was kept at 2.0 until the end of the year, assuming that stubble
21 and straw remained on the field after harvesting. Interception of precipitation during this period was
22 calculated according to Chang et al. (2010), in order to handle the separation of evaporation from
23 soil and plant material. From January 1st to day of emergence LAI was kept at zero. Root depth was
24 set to 5 cm at day of emergence, from which it was increased linearly with time to 65 cm at day of
25 heading. After day of harvesting, assumed to occur fourteen days after day of yellow ripeness, soil

1 moisture reduction was due only to soil evaporation from underneath the mulch of stubble and
2 straw

3 From these data the daily values and annual means of $r_w \times r_T$ of ICBM were calculated.
4 However, the model has been developed on field experiment data from the period 1956-1990 at
5 Ultuna, Sweden, and $r_w \times r_T$ was normalised to 1.0 for this data set. Thus, the same procedures and
6 software were applied with weather and soil records from the experimental field, with exception of
7 extreme treatments such as fallow or addition of sawdust (Kirchmann and Gerzabeck, 1999). This
8 yielded a 35 year mean of $r_w \times r_T$ at 0.066 with a range from 0.030 in 1959 to 0.105 in 1961. Given the
9 normalisation of $r_w \times r_T$ to 1.0 for this data set, the calculated $r_w \times r_T$ values of the 95 individual farms
10 in year 2008 were adjusted by dividing them by the 35 year mean of 0.066.

11

12 *2.3. Stochastic simulations, sensitivity tests, and statistical analyses*

13 Due to the sparse data set, irregularities in the distributions were smoothed, assuming that
14 the population follows a smooth distribution (Hardaker and Lien 2005). Thus, the distributions and
15 the expected values of the input on soil, weather, and farm operational data and their
16 intercorrelations were estimated using a multivariate empirical array function (Richardson et al.
17 2000) (Table 1). The variation among farms in gross margin was from 46 to 59 % of the mean. The
18 variation in the input yields and N input were lower, on average, by 31 and 38 % of the mean,
19 respectively, whereas the variation in pesticide use and fuel was higher – on average 63 and 56 % of
20 the mean, respectively. The SOC variation among the farms was on average 19 %, whereas the
21 variation in $r_w \times r_T$ on average was 13 %. The distribution of each variable is assumed to be a linearly
22 smoothed empirical distribution function. The sampling method used was Latin Hypercube and the
23 number of iterations was 1000 – one iteration representing one draw in a sequence of the random
24 variables. This approach accounts for stochastic dependency among input variables. On the basis of

1 this analysis the probability distributions of key output variables were estimated. For the rye and
2 potato, data were too limited for this procedure.

3 Linear relationships for each type of crop were established between GHG emission intensities
4 per unit land area or unit produce and economic efficiency (gross margin of each crop) on farm scale.
5 Further, the corresponding relationships to individual inputs were investigated.

6 A sensitivity analysis of the effect of errors on the GHG emissions intensities of key model
7 parameters and variables was conducted using the SIMETAR software (Richardson et al. 2004). The
8 selected key model parameters and variables perceived to be most important were: the IPCC (2006)
9 N₂O emission factor, the amount of residue N, the amount of mineral fertiliser N, the yearly $r_w \times r_T$,
10 the amount of residue C, the reduced tillage factor, and the year of continuous cropping. The chosen
11 range to illustrate the direction and magnitude of influence of the key model parameters and
12 variables was from 0.85 to 1.15 of their respective values. In steps of 0.05 of the key model
13 parameters and variables, the sensitivity analysis calculated mean values of the GHG intensities
14 based on 1000 iterations per step. We further calculated mean sensitivity elasticities expressed by
15 the slope of a linear regression of relative values of key model parameters or variables and the
16 relative GHG emission intensities.

1 3. Results

2 Based on data from 2008 the expected values of GHG intensities were: 2442 kg CO₂ eq ha⁻¹
3 and 0.62 kg CO₂ eq kg⁻¹ DM in barley, 2483 and 0.64 in oats, 2960 and 0.81 in spring wheat, 3505 and
4 0.70 in winter wheat, and 2551 and 1.28 in oilseed (Table 2). Invariably, soil N₂O emission
5 contributed most to total GHG emissions, and was the largest source both on area and on kg DM
6 basis, accounting for 45 to 49 % of the emissions (Fig. 3, and Fig. 4). The second largest source was
7 the off farm manufacturing of inputs, accounting for 23 to 27 % of the emissions. Except for oilseed
8 where soil C change contributed least, the on farm emissions due to fuel use contributed least to the
9 total GHG intensities accounting for 10 to 14% of the emissions.

10 INSERT TABLE 2 HERE

11 There was a large variation in estimated GHG emissions intensities among farms (Fig. 1, Fig.
12 2). Among the small seed and grain crops the variation in GHG emission per unit land area was
13 somewhat larger in oilseed with 1.5 times higher emission at the 75th percentile level than at the 25th
14 percentile, a difference of 910 kg CO₂ eq ha⁻¹ (Fig. 1A). In barley, oats, spring wheat, and winter
15 wheat, the 75th percentile levels were between 1.3 to 1.4 times higher than those at the 25th
16 percentiles; the differences were 830, 740, 860, and 870 kg CO₂ eq ha⁻¹, respectively. The difference
17 between the 25th and the 75th percentile levels was estimated to be 950 kg CO₂ eq ha⁻¹ in rye, and the
18 estimated 1520 kg CO₂ eq ha⁻¹ in potatoes was much greater than the corresponding differences in
19 oilseed and grains (Fig. 2A).

20 INSERT FIG. 1 HERE

21 The variation among farms in GHG emissions per kg DM was relatively larger than that per
22 unit land area. The mean level as well as the variation among farms in GHG emission from oilseed
23 exceeded those from the grain crops (Fig. 1B); the difference between the 25th to 75th percentile
24 levels was 0.85 kg CO₂ eq kg⁻¹ DM, close to twice that at the lower level. In barley, oats, spring wheat,

1 and winter wheat, the 75th percentile levels were between 1.4 to 1.6 times higher than those at the
2 25th percentile levels, amounting to differences of 0.31, 0.27, 0.39, and 0.25 kg CO₂ eq kg⁻¹ DM,
3 respectively. It is notable that the estimated distributions in Fig. 1B have longer upper than lower
4 tails for the GHG emissions per kg DM; this means that the variation is greater among farms above
5 the expected GHG emission levels than among the farms below the respective median levels. In
6 crops with the limited dataset, the differences in rye and potatoes were estimated to 0.25 and 0.41
7 kg CO₂ eq kg⁻¹ DM, respectively (Fig. 2B).

8 INSERT FIG. 2 HERE

9 Looking at the differences between the 10th and 90th percentile levels, the soil C change
10 contributed most to the variability in GHG emission intensities among farms in all crops except for
11 winter wheat on CO₂ eq kg⁻¹ DM basis where soil N₂O emissions distribution was similar to that of soil
12 C change (Fig. 3). The variation in soil C change among farms was highest in oilseed; its probability of
13 soil C sequestration being close to 30 %. In barley, the probability of soil C sequestration among
14 farms was estimated to be 20 %, whereas in the rest of the crops the probabilities of soil C
15 sequestration were smaller than 10%. Variation in soil N₂O emission per ha was highest for farms in
16 winter wheat and spring wheat, and variation in emission per kg DM was highest in oilseed (Fig. 3).

17 INSERT FIG. 3 HERE

18 The GHG emissions per kg DM decreased with increasing gross margin (NOK per ha⁻¹) in grain
19 and oilseed crops (Fig. 4). This relationship was, however, not as clear in winter wheat as it was in
20 most other crops; for potatoes, based on few observations, there seemed to an increase in emissions
21 with increasing gross margin. A decreasing GHG emission per hectare with increasing gross margin
22 was less pronounced than on the kg DM basis. None of the crops showed an increasing trend, but the
23 relationships were very weak for winter wheat, oats, and potato. The farms with lower GHG
24 emissions per kg DM yield also had lower GHG emissions per area unit; the coefficients of
25 determination were 0.57 in barley, 0.31 in oats, 0.56 in spring wheat, 0.38 in winter wheat, and 0.74

1 in oilseed. A high N fertiliser efficiency expressed as yield per N fertiliser unit, along with high
2 residue C, is the key to this relationship. The strongest correlations were found between GHG
3 emission per kg DM produce and yield level, and between GHG emission per ha and N fertilisation
4 rate.

5 INSERT FIG. 4 HERE

6 Among the sensitivity elasticities the highest one was related to environmental impacts on
7 soil C change. Reliable estimates of the farms' $r_w \times r_T$ are thus very crucial for the assessment of the
8 GHG emissions intensities (Table 3). The model output was also highly sensitive to the estimates of
9 crop C residues. The factor accounting for the effect of reduced tillage is its fractional occurrence in
10 each crop. Thus, its elasticity was low in winter wheat and higher in the spring sown crops. The
11 sensitivity elasticities of the IPCC (2006) based factor were all about 0.3, and similarly the elasticities
12 of the effect of manufacturing fertiliser were about 0.25; this means that an error of $\pm 15\%$ in the
13 IPCC (2006) factor will cause an error of about $\pm 4.5\%$ in the estimates of GHG intensities, and an
14 error of $\pm 15\%$ in the factor for the effect of manufacturing N fertiliser will cause an error of about
15 $\pm 3.75\%$. The sensitivity elasticities of the year from start of continuous arable cropping were low. An
16 error of $\pm 15\%$, or ± 5 year from the base case year of 30, will cause an error of $\pm 0.6\%$ in the estimates
17 of the GHG emissions intensities.

18 INSERT TABLE 3 HERE

19

20

21

1 4. Discussion

2 The decrease in estimated GHG emission intensities with increase in gross margin, especially
3 on per kg DM basis but also on per area basis, suggests that crop producers have economic
4 incentives to reduce the emission intensities (Fig. 4). However, increasing the input of N fertiliser
5 poses a risk of higher GHG emissions intensities. As reported by Archer and Halvorson (2010) the per-
6 area-unit profitability stabilised near the economic optimum N fertiliser rate whereas the GHG
7 emissions further increased. Further, the timeliness costs (e.g. de Toro et al. 2005) contribute to the
8 variation in GHG emissions (risk). This is a time-related penalty decreasing the gross margin in crop
9 production that arises when an operation is performed at a non-optimal time or with non-optimal
10 capacity of the equipment, thus affecting the quality and or quantity of the crop. Also occurrences of
11 pests and diseases, and unfavourable weather conditions during farm operations will contribute to
12 weaker relationships between the GHG emissions intensities and gross margin when determined for
13 real farms than for hypothetical farms.

14 Comparisons of our estimates of GHG emissions intensities with estimates reported by
15 others may be tenuous as different assumptions and model boundaries influence the estimates.
16 However, Dyer et al. (2010), using a similar concept in Canada, found comparable emissions per kg
17 DM for oilseed and small grains, but values 50 percent lower for potatoes. Emissions per unit of land
18 were less than half for oilseed and small grains, but comparable for potatoes; lower yields and lower
19 N fertiliser rates under Canadian conditions may explain this difference. The estimates of Dyer et al.
20 (2010) did not include soil C change. For the grain crops the soil C loss accounted for 15 to 21 % of
21 the total emissions on area basis (Table 2). The expected values estimated were similar to the value
22 found by Persson and Kirchman (1994) for the N fertilised treatments and the figures reported by
23 Uhlen (1973) and Christensen (1990), whilst Riley and Bakkegaard (2006) have reported figures of C
24 loss several orders of magnitude higher. The latter was outside the estimated distribution range for

1 2008 (Fig. 3), a year of unusually high grain yields (Åssveen et al., 2009) and high crop residues and
2 thus lower C net losses.

3 At a tactical level (e.g. Bonesmo et al., 2010), mitigation options assume the existence of a
4 significant variation within the cropping system. Thus, clarifying this variation is more important
5 than estimating the exact average level. Our results (Fig 3) demonstrate options for measures. As the
6 use of N fertiliser contributes the most to the total, both from soil N₂O emission and the fertiliser
7 manufacturing, optimisation of N fertiliser use is crucial. The economically optimal N fertiliser rate
8 differs between fields and is also highly variable within fields (Sharf et al., 2005), indicating a need to
9 manage N fertiliser differently for different fields. However, at farms with higher levels of N
10 fertilisation, close to N optimum and above, the risk of high emissions will increase; the probability
11 curve will extend into the range of high emissions due to the likelihood of occurrence of
12 uncontrollable events. Other GHG mitigation measures include the use of reduced tillage regimes. In
13 our study more than half of the spring cereal area was managed by reduced tillage (Table 1). In the
14 model the effect of reduced tillage is accounted for by the cultivation factor r_c , and the model is
15 sensitive to changes in that factor (Table 3). However, grouping the farms according to the
16 frequency of reduced tillage gave no significant effect of the tillage system on GHG emissions. The
17 intercorrelations with the N fertiliser rate, pesticide use, and yields outweighed the direct effect of
18 reduced tillage through slower decomposition of soil organic matter. In our model, the reduced
19 tillage did not affect the N₂O flux from soil, in accordance with findings for spring barley in Irish
20 arable soils (Abdalla et al., 2010). An interesting observation is the apparent possibility of C
21 sequestration under oilseeds, perhaps because of effects on residue input (Fig 3). Thus, the inclusion
22 of oilseed crop in crop rotation on farms without manure could aim to maintain the soil C level. The
23 positive effect on subsequent crop production of including oilseed crop in rotation systems is well
24 documented (Brandt et al., 1995; Heenan, 1995; Anderson et al., 1999; Engström and Lindén, 2009).
25 Agronomic measures at the tactical level are perhaps the most difficult mitigation practices to assess;
26 reducing N fertilisation, the use of reduced tillage, catch crops, and crop rotation impact yields and

1 crop residues. Thus, whole-farm analysis by the use of farm level decision support tools is helpful
2 (e.g. Beauchemin et al., 2010). However, the risk of GHG emissions has to be included; the fact that
3 the GHG emissions increase even if the yield does not respond to the input factors (due to the
4 occurrence of uncontrollable events) has to be considered.

5 As demonstrated in this paper, whole-farm systems analysis is a useful tool for assessing
6 mitigation options and risks. Whole farm models of GHG emissions intensities, integrating the effects
7 of the natural resource base and farm management, like the one presented in this paper could also
8 be a good base for national inventories using an up-scaling methodology (e.g. Dalgaard et al., 2006).
9 Farm scale based inventories would ensure that the effect of mitigation measures would be
10 incorporated into the national inventories.

11 5. Conclusion

12 The model was able to reflect the variation in GHG emissions intensities among farms on the
13 basis of robust and reliable farm scale data for natural resource base and farm management. Our
14 results showed a decrease in estimated GHG emission intensities with increase in gross margin,
15 especially on a per kg DM basis but also on a per area basis, suggesting that crop producers have
16 economic incentives to reduce the emissions. However, risk is involved and has to be considered
17 when evaluating the mitigation options at a tactical level. Our paper demonstrates that whole-farm
18 analysis by the use of farm scale models is helpful to evaluate mitigation practises and the risk
19 involved.

20

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1 Tables and figures:

2 **Table 1** Expected values and 0.1 and 0.9 quantiles for the GHG model input data and Gross Margin as calculated by a multivariate empirical distribution

3 procedure on the basis of a consistent farm scale data set of 95 crop production farms^a. For rye and potatoes only mean values are given.

	Barley, n=70		Oats, n=63		Spring wheat, n=51		Winter wheat, n=35		Oilseed, n=20		Rye, n=7	Potatoes, n=9
	Expected value	Quantile [0.1, 0.9]	Expected value	Quantile [0.1, 0.9]	Expected value	Quantile [0.1, 0.9]	Expected value	Quantile [0.1, 0.9]	Expected value	Quantile [0.1, 0.9]	Mean	Mean
Yield, kg DM ha ⁻¹	3922	[2662, 4993]	3895	[2507, 4971]	3651	[2583, 5039]	5039	[3108, 5911]	2000	[1268, 2458]	5646	5278
N fertiliser, kg ha ⁻¹	130	[79, 171]	120	[61, 152]	152	[102, 232]	193	[127, 257]	143	[57, 175]	168	137
Pesticide, NOK ha ⁻¹	490	[35, 750]	260	[0, 374]	650	[114, 890]	1000	[240, 1250]	870	[165, 1220]	914	3082
Reduced tillage, ratio	0.7	[0.0, 1.0]	0.7	[0.0, 1.0]	0.5	[0.0, 1.0]	0.0	[0.0, 1.0]	0.0	[0.0, 1.0]	0.3	0.0
Fuel, l ha ⁻¹	105	[61, 197]	113	[62, 187]	123	[59, 186]	134	[63, 200]	135	[63, 220]	125	162
Electricity, kWh ha ⁻¹	401	[99, 830]	305	[94, 698]	403	[110, 805]	305	[121, 792]	390	[143, 1225]	429	441
Straw removal, ratio	0.0	[0.0, 0.0]	0.0	[0.0, 0.0]	0.0	[0.0, 0.0]	0.0	[0.0, 0.0]	0.0	[0.0, 0.0]	0.0	0.0
ts30 winter, °C	0.8	[-0.1, 1.7]	0.9	[0.4, 1.9]	1.0	[0.2, 1.9]	1.3	[0.6, 2.3]	1.1	[0.6, 2.3]	1.4	1.0
ts30 spring, °C	8.0	[6.3, 8.8]	8.1	[6.9, 9.0]	8.1	[7.3, 8.9]	8.4	[7.7, 9.3]	8.1	[7.3, 9.3]	8.4	8.1
ts30 summer, °C	15.5	[14.1, 16.3]	15.7	[14.7, 16.5]	15.8	[15.3, 16.5]	15.8	[15.3, 16.8]	15.7	[15.1, 16.8]	15.9	15.8
ts30 fall, °C	6.3	[5.5, 7.5]	6.5	[6.0, 7.9]	6.7	[6.0, 8.0]	6.8	[6.1, 8.7]	6.6	[6.1, 8.7]	7.1	6.8
WFPS winter, %	79	[68, 84]	80	[75, 84]	80	[73, 84]	80	[76, 84]	81	[76, 85]	80	75
WFPS spring, %	68	[59, 74]	69	[62, 74]	68	[62, 73]	71	[63, 74]	70	[63, 75]	68	63
WFPS summer, %	64	[54, 70]	66	[56, 71]	64	[54, 70]	66	[58, 71]	67	[57, 72]	65	56
WFPS fall, %	79	[66, 83]	80	[72, 84]	80	[71, 83]	81	[75, 84]	81	[74, 84]	80	74
r _w x r _T yearly, dim.less	1.48	[1.30, 1.71]	1.54	[1.35, 1.81]	1.54	[1.38, 1.77]	1.59	[1.47, 1.86]	1.56	[1.37, 1.86]	1.66	1.49
SOC, Mg ha ⁻¹	69.5	[48.5, 82.0]	72.0	[55.4, 84.2]	73.0	[48.6, 86.0]	76.0	[64.0, 85.0]	76.0	[65.0, 84.5]	73.0	67.2
Gross Margin, NOK ha ⁻¹	6145	[3580, 9740]	5310	[2312, 8132]	5336	[2779, 7695]	8667	[4032, 12923]	5910	[2715, 9735]	6633	44546

^aNOK = Norwegian kroner, ts30 = soil temperature at 30 cm depth, WFPS = water filled pore space, r_w and r_T = the relative effects of soil moisture and soil temperature on the soil C decomposition rates, SOC = soil organic carbon.

4

1 **Table 2.** Expected values of four sources of GHG emissions as kg CO₂eq ha⁻¹ and CO₂eq kg⁻¹ DM for
 2 five small grain crops as estimated by stochastic simulations with an empirical multivariate model
 3 based on a consistent farm scale data set for 95 crop production farms with respect to soil, weather,
 4 and farm operation of year 2008.

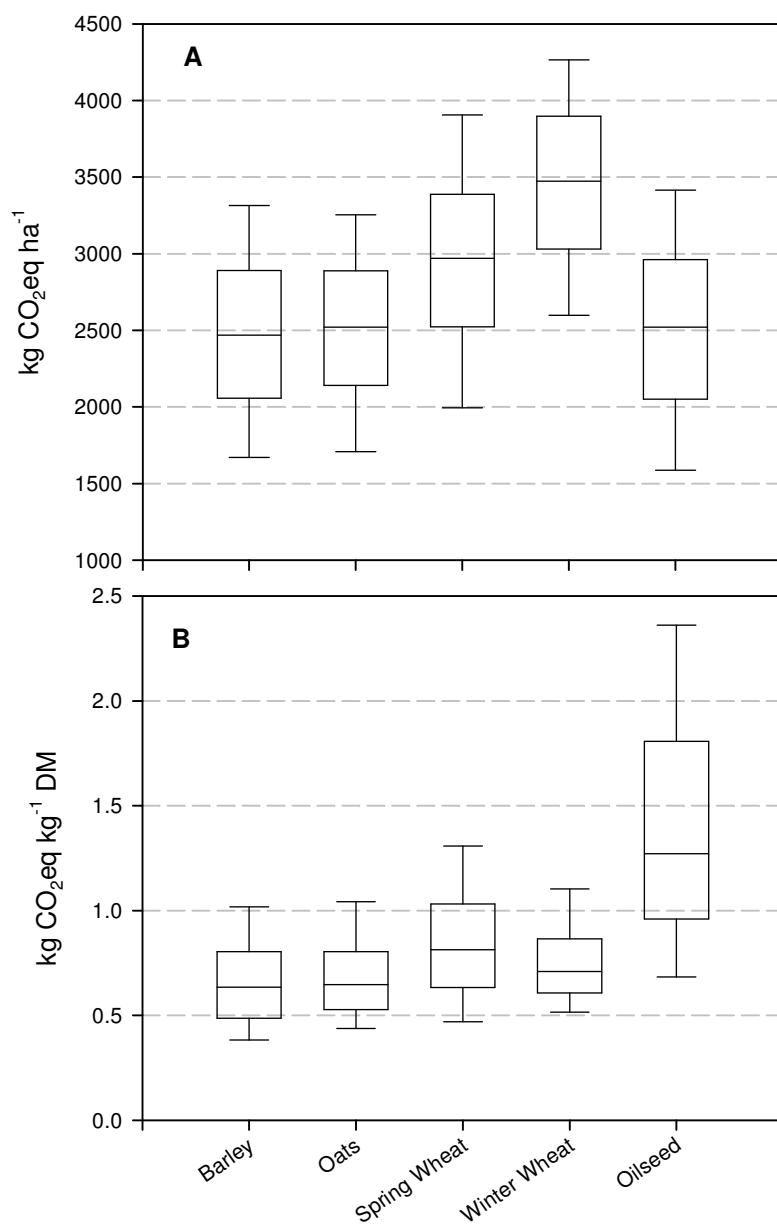
	kg CO ₂ eq ha ⁻¹					kg CO ₂ eq kg ⁻¹ DM				
	Barley	Oats	Spring wheat	Winter wheat	Oilseed	Barely	Oats	Spring wheat	Winter wheat	Oilseed
Soil C change	420	483	605	532	288	0.11	0.12	0.17	0.11	0.14
Fuel use	283	305	331	361	365	0.07	0.08	0.09	0.07	0.18
Manufacturing, off farm	613	566	707	883	682	0.16	0.15	0.19	0.18	0.34
Soil N ₂ O	1125	1129	1316	1730	1221	0.29	0.29	0.36	0.34	0.61
Total	2441	2483	2959	3506	2556	0.63	0.64	0.81	0.7	1.27

5

1 **Table 3** Mean sensitivity elasticities for the GHG emissions intensities ($\text{kg CO}_2 \text{ eq ha}^{-1}$, $\text{kg CO}_2 \text{ eq kg}^{-1}$
2 DM) as based on 1000 iterations by a stochastic simulation model. The chosen range of the key
3 model parameters and variables was from 0.85 to 1.15 of their respective values. Sensitivity
4 elasticities are expressed by the slope of a linear regression of relative values of key model
5 parameters or variables and the relative GHG emission intensities.

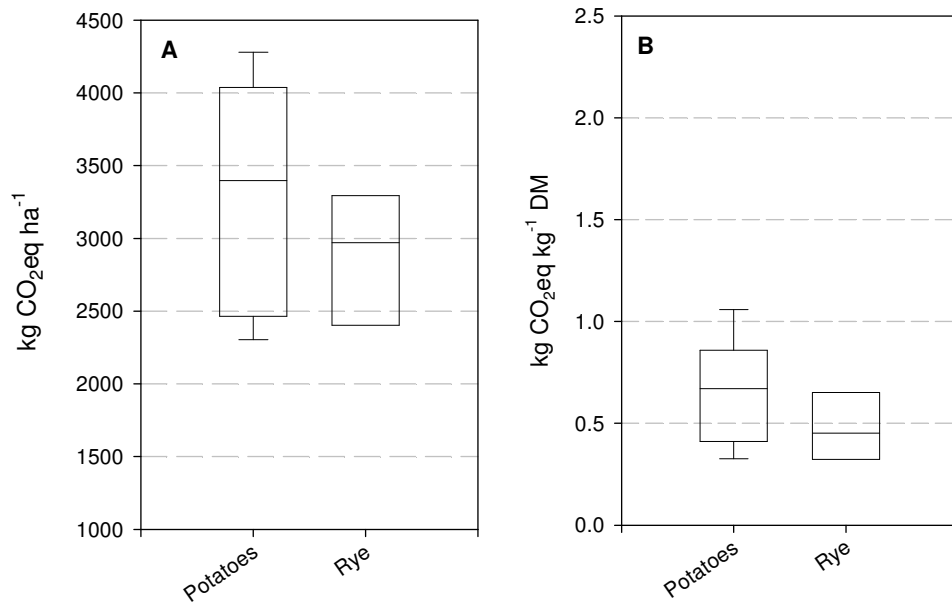
	Barley	Oats	Spring Wheat	Winther Wheat	Oilseed
IPCC N ₂ O factor	0.305	0.297	0.308	0.336	0.326
Residue N	0.116	0.13	0.097	0.109	0.113
Manufact. fertiliser	0.229	0.207	0.233	0.251	0.256
$r_w \times r_T$ yearly ^a	0.662	0.702	0.586	0.567	0.809
Residue C	-0.536	-0.549	-0.449	-0.469	-0.764
Reduced tillage factor	0.366	0.378	0.306	0.082	0.274
Year of cont. crop	-0.042	-0.053	-0.05	-0.039	-0.021

6 ^a r_w and r_T are the relative effects of soil moisture and soil temperature on the soil C decomposition rates.



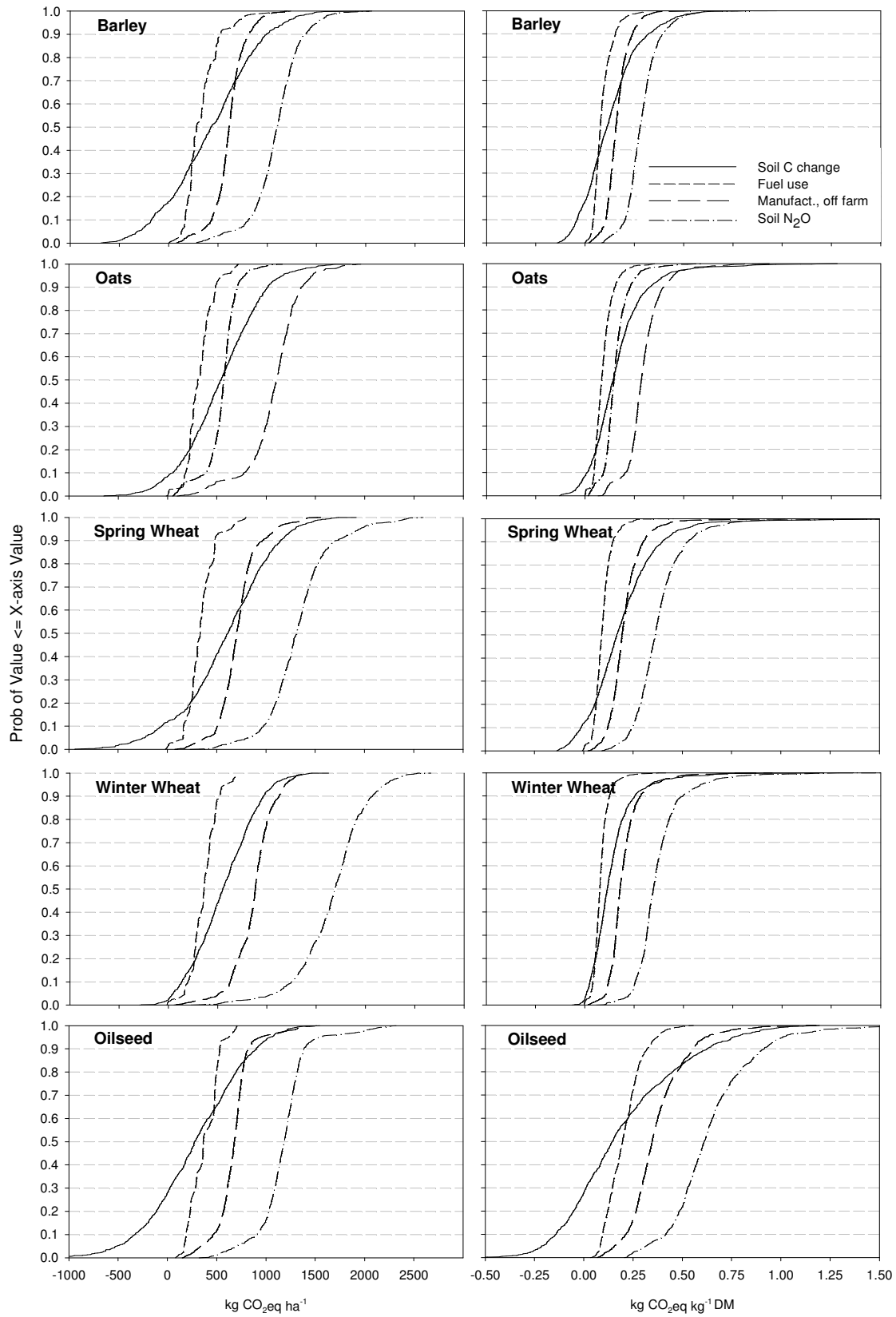
1
 2 **Fig. 1.** Median (-), 25th and 75th percentiles (box), and 10th and 90th percentiles (τ) of GHG emission
 3 intensities as kg CO₂eq ha⁻¹ (A) and CO₂eq kg⁻¹ DM (B) for five small seed and grain crops, grown as
 4 estimated by stochastic simulation using a multivariate empirical model on the basis of a consistent
 5 farm scale data set of 95 crop production farms with respect to soil, weather, and farm operation of
 6 year 2008.

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1

2 **Fig. 2.** Median (-), 25th and 75th percentiles (box), and 10th and 90th percentiles (τ) of GHG emission
 3 intensities as kg CO₂eq ha⁻¹ (A) and CO₂eq kg⁻¹ DM (B) for potatoes and rye as estimated by nine and
 4 six runs, respectively, of a consistent farm scale data set with respect to soil, weather, and farm
 5 operation of year 2008 with deterministic models for potatoes and rye, respectively.



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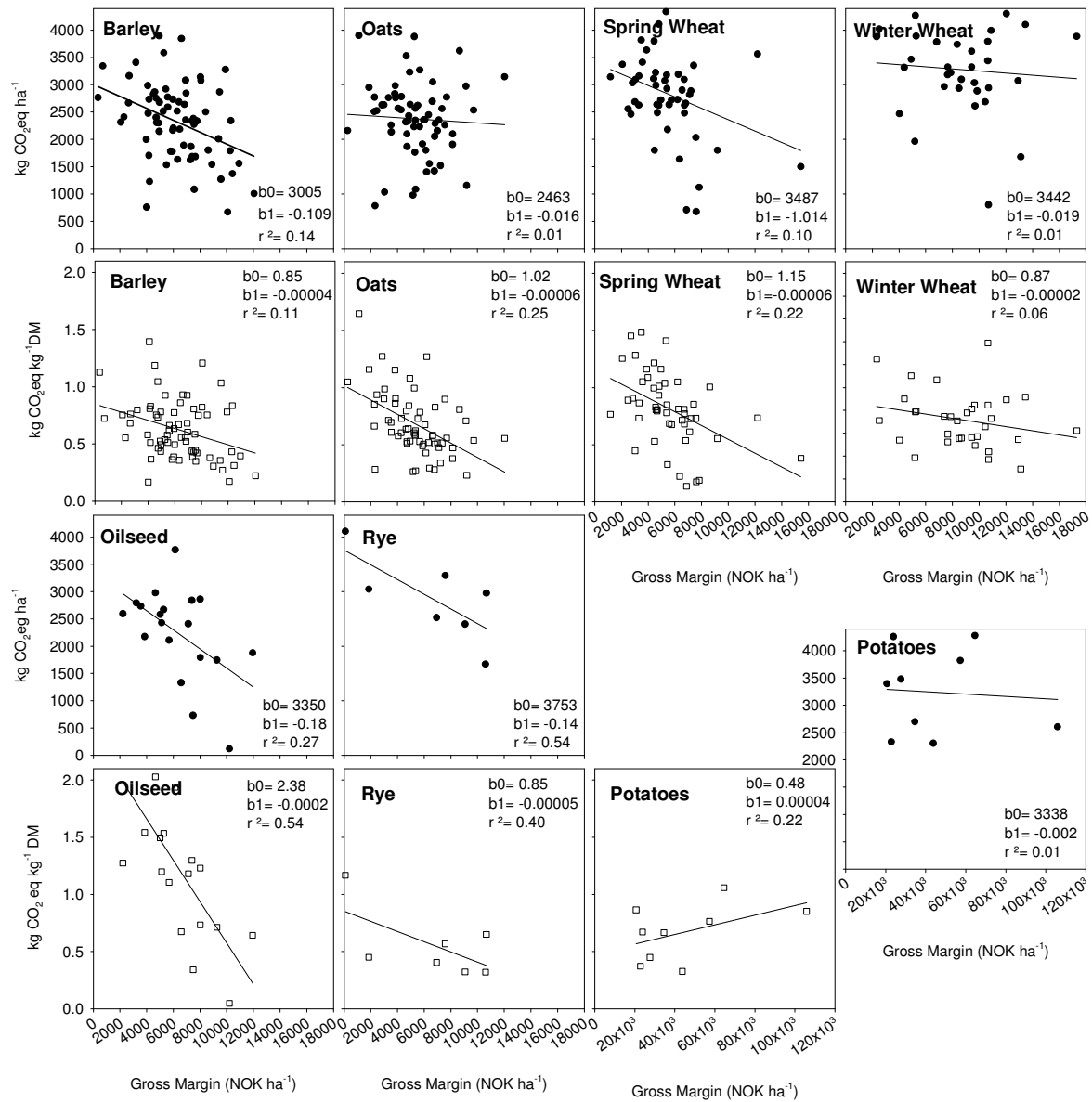
2 **Fig. 3.** Cumulative distribution functions of four sources of GHG emissions as kg CO₂eq ha⁻¹ and
3 CO₂eq kg⁻¹ DM for five small grain crops as estimated by stochastic simulations with an empirical
4 multivariate model based on a consistent farm scale data set for 95 crop production farms with
5 respect to soil, weather, and farm operation of year 2008. Values less than 0 indicate removal from
6 atmosphere (i.e., soil C gain).

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2 **Fig. 4.** Relationships between estimated GHG emission intensities as kg CO₂eq ha⁻¹ (closed circles)
 3 and CO₂eq kg⁻¹ DM (open squares) and economic efficiency as the gross margin (NOK ha⁻¹) for seven
 4 crops at 95 farms; b₀, b₁, and r² are intercept, regression coefficient, and coefficient of
 5 determination, respectively, for a linear regression between gross margin and GHG emission
 6 intensities.

7