

# **Norwegian Waste-to-Energy: climate change, circular economy and carbon capture and storage**

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## Abstract

1 Recently, the European Commission has adopted a Circular Economy package. In addition, climate  
2 change is regarded as a major global challenge, and the de-carbonization of the energy sector  
3 requires a massive transformation that involves an increase of renewable shares in the energy mix  
4 and the incorporation of carbon capture and storage (CCS) processes.

5 Given all this strong new momentum, what will the Norwegian waste-to-energy (WtE) look like in a  
6 decade? What threats and opportunities are foreseen? In an attempt to answer these questions, this  
7 study combines process-based life-cycle assessment with analysis of the overall energy and material  
8 balances, mathematical optimization and cost assessment in four scenarios: (1) the current situation  
9 of the Norwegian WtE sector, (2) the implications of the circular economy, (3) the addition of CCS on  
10 the current WtE system and (4) a landfill scenario.

11 Except for climate change, the CCS scenario performs worse than the WtE scenario. The energy  
12 recovering scenarios perform better than the recycling scenario for (1) freshwater eutrophication  
13 and human toxicity potentials due to secondary waste streams and (2) ozone depletion potential due  
14 to the additional fossil fuel used in the recycling processes. The inclusion of the near-term climate  
15 forcers decreases the climate change impacts by 1% to 13% due to a net cooling mainly induced by  
16 NO<sub>x</sub>.

17 Circular economy may actually give the WtE system the opportunity to strengthen and expand its  
18 role towards new or little developed value chains such as secondary raw materials production and  
19 valorization of new waste streams occurring in material recycling.

## **Keywords**

- 20 1. Waste-to-Energy (WtE)
- 21 2. Life-cycle assessment (LCA)
- 22 3. Carbon capture and storage (CCS)
- 23 4. Circular economy
- 24 5. Climate change
- 25 6. Near-term climate forcers
- 26

## 1 Introduction

27 The European Union's approach to waste management is based on the waste hierarchy, which sets  
28 the following priority order: prevention, reuse, recycling, energy recovery and, as the least preferred  
29 option, disposal (European Union Council 1999). The waste hierarchy's practical consequence is to  
30 divert waste from landfills to material and energy recovery. As a result, the number of Waste-to-  
31 Energy (WtE) plants has increased during the last decade in Europe (IEA Bionergy 2013). Recently, the  
32 European Commission has revised legislative proposals on waste and adopted a Circular Economy  
33 package – an economic system that leaves no waste to be landfilled and that keeps all material flows  
34 in the economy loop through reuse, redesign, material recovery or energy recovery. The European  
35 Circular Economy Package encompasses two main elements related to municipal solid waste (MSW):  
36 (1) Landfill ban/cap on specific waste fractions and (2) Recycling targets (European Commission  
37 2015). As an EEA/EFTA country member, Norway implements all European directives and thus has a  
38 similar waste and WtE regulatory framework, e.g. Waste Hierarchy, landfill ban on biodegradable  
39 waste, Landfill Directive, Waste Framework Directive and the upcoming 2030 Energy Strategy and  
40 WtE and circular economy-related legislation and strategies.

41 In Norway, the latest trends in the waste management sector can be summarized as (Becidan et al.  
42 2015): (1) strong increase in the total WtE capacity (from about 1.25 Mt/y in 2010 compared to 1.70  
43 today) – with an average throughput of about 90 % of their nominal capacity; (2) landfill ban for  
44 organic waste (2009) followed by a reduction in the number of landfills; (3) significant MSW export to  
45 Sweden (several hundred thousand t/y); (4) a significant fraction of the energy (heat) produced is not  
46 delivered to any customer, especially during the summer; (5) the capital city Oslo has newly  
47 implemented source sorting of food waste (in addition to paper, plastic, glass and metal) and is  
48 working on the implementation of carbon capture and storage (CCS).

49 Almost all of the MSW (and waste in general) exported from Norway goes to Sweden and almost  
50 exclusively to WtE plants (mainly delivering district heat). Detailed statistics are difficult to obtain but  
51 it is estimated that 1.6 million tonne of MSW per year were exported over the last five years. The  
52 topic is complex, and lower gate fees in Sweden (which has a WtE overcapacity) are pointed to as  
53 being the main reason for the MSW exports. On the other hand, Norway has imported around  
54 400'000 tonnes waste per year in the last years. For the WtE plants in particular, mainly refuse-  
55 derived fuel (RDF) from the UK has been used as fuel (Norwegian Environment Agency 2017).

56 Not all the materials can be recycled, and resource consumption, emissions, losses and  
57 contamination – as well as additional new waste streams – occur while material recycling (Bartl  
58 2014). To estimate the overall environmental performance of a system and to avoid potential

59 problem shifting when changing models – in this case from a linear to a circular economy – life-cycle  
60 assessment (LCA) is a frequently applied methodology. LCA results give an overview of how various  
61 types of environmental impacts accumulate over the different life-cycle phases, providing a basis for  
62 identifying environmental bottlenecks of specific technologies and for comparing a set of alternative  
63 scenarios with respect to environmental impacts (Finnveden 1999, Hellweg and Canals 2014).

64 LCA has been used extensively within the last decade to evaluate the environmental performance of  
65 waste treatment systems (Arena et al. 2003a, Bergsdal et al. 2005, Cherubini et al. 2008, 2009,  
66 Rigamonti et al. 2009, Consonni et al. 2011, Giugliano et al. 2011, Ning et al. 2013, Passarini et al.  
67 2014, Lousselet et al. 2016). For WtE systems that combine incineration with energy recovery, or WtE  
68 value chains, the life-cycle burdens are sensitive to the energy recovery rate (Riber et al. 2008, Gentil  
69 et al. 2010, Fruergaard and Astrup 2011), the conventional fuel displaced for heat or electricity  
70 generation (Riber et al. 2008, Passarini et al. 2014, Burnley et al. 2015), the reuse of the bottom ash  
71 (Birgisdóttir et al. 2006, Birgisdóttir et al. 2007, Allegrini et al. 2014, Allegrini et al. 2015b), the  
72 leaching of key chemical elements from bottom and fly ashes (Doka and Hirschler 2005, Astrup et al.  
73 2006, Hauschild et al. 2008, Allegrini et al. 2015a, Yang et al. 2015) and the recovery of the metal or  
74 aggregate from the bottom ash (Morf et al. 2013, Burnley et al. 2015). WtE plants have been found  
75 to be a robust technology and a competitive alternative to fossil fuel based energy systems (Turconi  
76 et al. 2011, Brunner and Rechberger 2015).

77 LCAs available in the literature provide a variety of insights on WtE systems that combine anaerobic  
78 digestion with energy recovery, or biogas value chains. In general, biogas energy systems have lower  
79 greenhouse gas (GHG) emissions than fossil energy systems, especially when biogas is used as fuel in  
80 transportation (Liu et al. 2013, Niu et al. 2013, Lozanovski et al. 2014, Lyng et al. 2015). The results  
81 are sensitive to the management of the digestate; open storage leads to uncontrolled emissions of  
82 GHG like CH<sub>4</sub> and nitrous oxide (N<sub>2</sub>O) (Blengini et al. 2011, De Meester et al. 2012, Boulamanti et al.  
83 2013) and the use of digestate in agriculture increases the risk for human toxicity, acidification and  
84 eutrophication potentials due to the heavy metals (Patterson et al. 2011) and the high nutrient level  
85 it contains (Lozanovski et al. 2014). A recent study of Iordan et al. (2016) highlights the sensitivity of  
86 biogas systems to the choice of climate metrics and the influence of the near-term climate forcers  
87 (NO<sub>x</sub>, SO<sub>x</sub>, particulate matters, black carbon and organic carbon).

88 The different plastic recovery routes, as well as their challenges and opportunities, are explored  
89 broadly (Arena et al. 2003b, Perugini et al. 2005, Shonfield 2008, Al-Salem et al. 2009, Astrup et al.  
90 2009a, Eriksson and Finnveden 2009, Hopewell et al. 2009, Kunwar et al. 2016, Lupo et al. 2016). A  
91 review on plastic waste management conducted by Lazarevic et al. (2010) shows: (1) the majority of

92 the LCA study to exhibit a preference for recycling rather than for WtE, (2) the conclusions sensitive  
93 to the level of contamination and to the replacement of virgin plastic ratio, (3) landfills as the least  
94 preferred option, except for climate change. The selection of the appropriate avoided primary  
95 production of materials is also a crucial parameter in LCA studies on material recycling systems  
96 (Brogaard et al. 2014, Rigamonti et al. 2014, Turner et al. 2015). Recycling material often, but not  
97 always, reduces climate change impact (Björklund and Finnveden 2005). As an example, for paper  
98 recycling, Merrild et al. (2008) show through an LCA that recycling is clearly better than landfilling,  
99 but equal or better than WtE only if the recycling technology is at a high environmental performance  
100 level. Merrild et al. (2012) find environmental benefits when recycling the material fractions paper,  
101 glass, steel and aluminum instead of incinerating them. On the other hand, they find incineration to  
102 be a potentially better option than recycling for cardboard and plastic in some situations.

103 Waste treatment systems are by definition complex (Laurent et al. 2014a, Laurent et al. 2014b); they  
104 are embedded with uncertainty (Scipioni et al. 2009, Clavreul et al. 2012), and waste composition  
105 varies over time and region, influencing the results (Slagstad and Brattebø 2013, Astrup et al. 2015).  
106 In addition to treating waste and producing energy, WtE plants are becoming increasingly recognized  
107 as a means to recover materials of high importance for the economy (Morf et al. 2013, Boesch et al.  
108 2014, Brunner and Kral 2014). Also, WtE technologies enable energy production with the advantage  
109 of not competing for land occupation as woody biomass does. Thus, in contrast to long rotation  
110 woody biomass (Cherubini et al. 2012, Guest et al. 2013a, Guest et al. 2013b), waste can be  
111 considered a carbon-neutral fuel.

112 Climate change is regarded as a major global challenge (IPCC 2007) that has motivated the  
113 international community to implement mitigation strategies aiming at limiting the average increase  
114 of global temperature (Riahi et al. 2007, Luderer et al. 2013). A reduction in global emissions of CO<sub>2</sub>  
115 can slow down the rate of warming, but a stabilization of global temperature can only occur if CO<sub>2</sub>  
116 emissions approach zero (Myhre et al. 2013). Energy industries have contributed to approximately  
117 32% of global CO<sub>2</sub> emissions over the last 20 years (Janssens-Maenhout et al. 2012), and the de-  
118 carbonization of the energy sector requires a massive transformation that involves an increase of  
119 renewable shares in the energy mix, improvements in power plant efficiency and the incorporation  
120 of CCS processes in fossil and biomass-fuelled energy plants (Azar et al. 2013, Myhre et al. 2013, IEA  
121 2015).

122 Several works analyzing the incorporation of absorptive CO<sub>2</sub> capture technologies in bio-refineries for  
123 liquid fuel production via gasification of woody biomass can be found in the literature (Haro et al.  
124 2013, Heyne and Harvey 2014). Other papers study the design of pre- and post-combustion CO<sub>2</sub>

125 capture technologies and the associated environmental impacts for large-scale woody biomass  
126 power plants (Corti and Lombardi 2004, Carpentieri et al. 2005, NETL 2012b, a, Schakel et al. 2014).  
127 Fewer works present techno-economic and environmental assessment of medium (1-100 MWth)  
128 fossil-fuelled CHP plants with a wide range of CO<sub>2</sub> capture processes (IEA 2007, Soukup et al. 2009,  
129 Singh et al. 2011). A recent series of articles analyzes the techno-environmental performance of  
130 absorptive and adsorptive pre- and post-combustion technologies in small scale woody biomass CHP  
131 (Oreggioni et al. 2015, Luberti et al. 2016, Oreggioni G D et al. 2016)

132 A wide range of LCA studies have been conducted on energy systems, including WtE, biogas and CCS.  
133 Yet, to our knowledge, few studies have focused on scaling up WtE technologies to a national level  
134 (e.g Gentil et al. (2009b)). A gap also exists in the knowledge base for process design and LCA studies  
135 for WtE plants with CO<sub>2</sub> capture technologies. In this study, we conduct an LCA and a cost  
136 assessment on the current situation of the Norwegian WtE sector, the implications of the circular  
137 economy and the introduction of CCS. The specific objectives are to assess: (1) the current situation  
138 of WtE in Norway, (2) the influence of implementing the circular economy package on the Norwegian  
139 WtE sector, (3) the addition of CCS on the current WtE plants, (4) and benchmark (1), (2) and (3) with  
140 a landfill scenario in order to check the waste hierarchy.

## 2 Methodology

141 This study combines LCA methodology with mathematical optimization, analysis of the overall energy  
142 and material balances and cost assessment.

### 2.1 System description

143 The Norwegian WtE sector currently accounts for 17 plants, spread all across Norway. Their total  
144 capacity is 1.7 million tonnes, the average throughput is at 90% of capacity, and the production is  
145 around 13300 TJ heat for district heating networks, in addition to some electricity (1200 TJ). Energy  
146 recovered from waste is the main energy source for district heating with a share of almost 50%  
147 (Statistics Norway 2014), and 50% of the energy from the WtE sector is accounted for as renewable  
148 in Norwegian national statistics. An exhaustive list of the plants is presented in Table S1 in the  
149 supplementary material.

### 2.2 Scenarios

150 This study consists of four scenarios: WtE, Circular Economy, CCS and Landfill. The scenarios are  
151 presented in Figure 1, and further explained below. Each box represents a scenario, and the outputs  
152 are given in red.

153 <Figure 1>

154 **WtE** - Describes the situation in 2015.

155 **Circular economy** - An increased share of plastic and paper is sent to material recycling while an  
156 increased share of organic waste is sent to anaerobic digestion with energy recovery. The recycling  
157 rates are increased from today's practice to the best practice including central waste separation in  
158 2030; from 79% today to 93% for paper, from 23% to 63% for plastic and from 42% to 70% for  
159 organic waste (Syversen et al. 2015). The anaerobic digestion process is based on a plant located in  
160 Lindum, Norway as described in Iordan et al. (2016). The paper recycling process is from Ecoinvent  
161 3.2, and the electricity mix used for the recycling process is switch from average European mix to  
162 NORDEL electricity mix. The recycling process uses 8 g sodium hydroxide (Arena et al. 2003b), 0.2  
163 kWh electricity (average European mix) and 2 liters diesel fuel in onsite vehicles and the recycling  
164 process (Astrup et al. 2009a) per kg of treated waste plastic. A material replacement rate of 90% is  
165 assumed for both recycling processes.

166 **CCS** - CCS with monoethanolamine (MEA) technology is added to the 17 existing WtE plants. Despite  
167 its high energy consumption, the MEA post-combustion process was selected as the technology due  
168 to its maturity. The energy penalty caused by the additional fuel is 34%. Details on the CCS module  
169 are given in the supplementary material.

170 **Landfill**- Although not a realistic scenario since disposal of biodegradable wastes in landfills has been  
171 banned in Norway since 2009, a landfill scenario was added as a check on the waste hierarchy.

## 2.3 Life-cycle assessment (LCA)

172 Process-based LCA with system expansion is applied. Primary data (waste input, air emissions,  
173 consumables, auxiliary fuel, thermal and electrical efficiencies, transport distances) represent the  
174 majority of the input data. Arda, a Matlab routines based program developed at NTNU (Majeau-  
175 Bettez and Strømman 2016) is used. The inventory for background processes relies on Ecoinvent v3.2  
176 (Ecoinvent Centre 2010).

### 2.3.1 Life-cycle inventory

177 An annual average mix of household (60%) and industry waste (40%) is combusted. In addition, some  
178 plants have special permits to co-combust with special waste types, such as clinical waste, hazardous  
179 waste and impregnated wood waste. The overall waste composition is provided on a waste type level  
180 in Table S4, and broken down into its chemical composition in Table S5.

181 The transport distances are based on expert judgments and own assumptions. For MSW, the  
182 transport distances are first 14 km for municipal waste collection, and then 100 km by truck. For RDF,



183 the transport distances are 14 km for municipal collection, 200 km by truck (100 km in England and  
184 another 100 km in Norway) and 1000 km by ship. For organic waste, a distance of 100 km by truck is  
185 assumed. For paper to material recycling, 300 km by truck and 500 km by train (to Sweden) are  
186 assumed. For plastic to recycling, a distance of 300 km by truck and 1000 km by train (to Germany)  
187 and an additional distance of 7000 km by ship (to China) for 30% of the waste are assumed.

188 The direct emissions occurring at the WtE plant are either waste- or process-specific. Waste-specific  
189 emissions are calculated by multiplying each element of the waste input vector  $WSV(s)$  by its mass  
190 transfer coefficient contained in  $TCMM(s,c)$ .

$$191 \quad WSV(s) \times TCMM(s,c) = FCMM(s,c) \quad (1)$$

192  $FCMM(s,c)$  is the final compartment matrix, with  $s$  corresponding to the 41 chemical elements  
193 constituting the waste input, and  $c$  to the four final environmental compartments: air, water, bottom  
194 ash and fly ash.  $WSV(s)$  and  $TCMM(s,c)$  are given in Table S5 and Tables S7-S9. For the  
195 emissions occurring at the landfill sites,  $FCMM(s, \text{bottom ash})$  and  $FCMM(s, \text{fly ash})$  are  
196 multiplied by leaching coefficients vectors, specific for the landfill type as described by Doka (2007)  
197 and used by e.g. Lausselet et al. (2016).

198 Process emissions ( $SO_2$ , particulate matters, CO, HCl, HF,  $NO_x$  and dioxin) are measured emissions at  
199 the plant site and from Doka (2007) for  $NH_3$ , NMVOC,  $CH_4$ , benzene, benzopyrene,  
200 hexachlorobenzene, pentachlorobenzene, pentachlorophenol and toluene. An exhaustive list is given  
201 in Table S10 and Table S11.

### 2.3.2 Functional unit and allocation

202 The functional unit is defined as: "To treat 1560 ktonne MSW, produce 13'309 TJ heat to feed the  
203 district heating network, 1304 TJ electricity, deliver 99 ktonne of plastic, 135 ktonne of paper and 205  
204 tonne of fertilizer."

205 True system expansion is the chosen allocation approach and the system is thus expanded in order to  
206 keep the functional unit constant and deliver the same services throughout the scenarios. Primary  
207 production of plastic, paper and fertilizer are assumed to deliver the same amount of materials. To  
208 deliver the same amount of energy, electricity from hydropower and heat from oil are used for the  
209 Landfill scenario, while electricity and heat from RDF are used for the Circular economy scenario  
210 since the WtE installed capacity is in deficit, due to the diversion of plastic, paper and organic waste  
211 to material recycling and anaerobic digestion. The energy and material balances of each scenario  
212 with system expansion are presented in Table 1 below.

				WtE	Circular economy	CCS	Landfill
Input	Incineration with energy recovery	MSW <sub>2015</sub>	ktonn	1560		1560	
		MSW <sub>circular</sub>	ktonn		1110		
		RDF			636*	940 <sup>5</sup>	
	Anaerobic digestion	Organic waste	ktonn		190		
	Material recovery	Plastic	ktonn		110		
Paper		ktonn		150			
Landfill	MSW	ktonn				1560	
Auxiliary fuels	Heat, from fossil fuel (Diesel)	TJ	378	269	378		
	Electricity, NORDEL mix	TJ	533	379	533		
Output	District heating	Heat, from MSW <sub>2015</sub>	TJ	13309		13309	
		Heat, from MSW <sub>circular</sub>	TJ		8460 <sup>1</sup>		
		Heat, from RDF	TJ		4849 <sup>2,*</sup>		
		Heat, from oil	TJ				13309 <sup>3</sup>
	Electricity	From WtE, MSW <sub>2015</sub>	TJ	1163 <sup>4</sup>		1163 <sup>4</sup>	
		From WtE, MSW <sub>circular</sub>			755 <sup>4</sup>		
		From WtE, RDF	TJ		432 <sup>4,*</sup>		
		From anaerobic digestion, organic waste	TJ		117		
		From NORDEL	TJ	141*		141*	1304*
	Material	Plastic, recycled	ktonn		99		
		Plastic, primary	ktonn	99*		99*	99*
		Paper, recycled	ktonn		135		
		Paper, primary	ktonn	135*		135*	135*
		Nitrogen fertilizer, recycled	tonn		193		
		Nitrogen fertilizer, synthetic	tonn	193*		193*	193*
Phosphorus fertilizer, recycled	tonn		12				
Phosphorus fertilizer, synthetic	tonn	12*		12*	12*		

214 \*For system expansion, <sup>1</sup>Calculated with the thermal efficiencies given in Table S1 and an average  
 215 LHV of 10.3 MJ/kg, <sup>2</sup>Calculated with the thermal efficiencies given in Table S1 and an average LHV of  
 216 13.6 MJ/kg, <sup>3</sup>From Ecoinvent 3.2, <sup>4</sup>Calculated with the electrical efficiency given in Table S1, and LHVs  
 217 of 11.5 MJ/kg for MSW<sub>2015</sub>, 10.3 MJ/kg for MSW<sub>circular</sub> and 13.6 MJ/kg for RDF, <sup>5</sup>used as auxiliary fuel in  
 218 the add-on boiler of the CO<sub>2</sub> capture process

## 2.4 Impact assessment

219 ReciPe v1.12 (hierarchist perspective) (Goedkoop et al. 2009) is the chosen impact assessment  
 220 method for the following four midpoint categories: climate change impact potential (CCIP),  
 221 Freshwater Eutrophication Potential (FEP), Human Toxicity Potential (HTP), and Ozone Depletion  
 222 Potential (ODP). ReciPe is the chosen methodology because of the wide range of potential impacts it

223 covers. For HTP, the missing characterization factors for methylamine, diethylamine, nitrosamine and  
224 morpholine are estimated by using USEtox (Rosenbaum et al. 2008) and potential for MEA  
225 degradation based on Veltman et al. (2010). The results are also presented according to four key  
226 single stressors: NO<sub>x</sub>, particulate matter (PM)- PM smaller than 2.5 μm and PM smaller than 10 μm,  
227 SO<sub>2</sub> and carbon monoxide (CO). NO<sub>x</sub>, PM, SO<sub>x</sub> and CO are assessed individually because they are  
228 subject to emission limits (Norwegian Ministry of Climate and Environment 2016). In opposition to  
229 long rotation woody biomass (Cherubini et al. 2012, Guest et al. 2013a, Guest et al. 2013b), waste  
230 can be considered a carbon neutral fuel, and carbon biogenic is thus set to 0 in this study.

231 In addition, the latest recommendations for CCIP made by the UNEP SETAC task force on climate  
232 change (Cherubini et al. 2016, Levasseur et al. 2016) are applied to assess the current WtE system  
233 (scenario WtE, without system expansion), and a sensitivity analysis is conducted to assess the  
234 potential impact of the NTCFs. Organic and black carbons are not explicitly provided in LCA  
235 inventories. We thus derive them from the total PM emitted in the system following the  
236 methodology developed by Bond et al. (2004). Coke in blast furnace is used as a proxy for the total  
237 background emissions, and to “solid waste, all technologies” for the total foreground emissions.

## 2.5 Cost assessment

238 Standard economic metrics are applied to evaluate the economic outcome of the scenarios. Levelized  
239 cost of energy (LCOE) is widely used in the energy economics literature (see e.g. Branker et al. (2011),  
240 Chum et al. (2011), Darling et al. (2011) and (Moomaw et al. 2011)), and is used as the point of  
241 departure. The social costs are further estimated by use of the official guidelines (NOU 2012, Ministry  
242 of Finance 2014) in the assessments. The general principle is that goods and services should be  
243 valued by the best alternative use. In most cases, this means that social cost coincides with the cost  
244 that firms incur. The main difference is the discount rate. For projects with a lifespan of less than 40  
245 years, the guidelines state that 4% pro anno should be used as the discount rate. This is clearly lower  
246 than what would be used in private firms.

247 Primary data from the plants is combined with data from the literature when assessing the flows and  
248 processes in Figure 1. The primary data indicates a representative investment cost for waste  
249 incineration boilers of 44.7–50.0 MNOK/tonne/hour capacity. Assuming a constant energy content of  
250 9 MJ/kg waste, this corresponds to 14.9–16.7 kNOK/kW. The estimated average size of Norwegian  
251 waste incineration plants is about 50 MW. Norges vassdrags- og energidirektorat (2011) reports  
252 investment costs for plant sizes 10 and 30 MW at 18.9 and 15.8 kNOK/kW, respectively. These figures  
253 reflect good correspondence between the estimates. With the data available, it is not possible to  
254 estimate the investment cost for other plant sizes without making additional assumptions. We

255 assume that the power law holds (Bruckner et al. 2011) with a scaling factor of 0.8. Operation and  
 256 maintenance costs are estimated based on primary data. The estimated functions are applied to the  
 257 17 plants, and yearly capital costs and operation and maintenance costs are estimated and given in  
 258 Table S14 and Table S15.

259 To our knowledge, no full-scale WtE CCS plant has been built so far, and cost data is thus scarce. In  
 260 this study we have used data from Chandel et al. (2012). They estimate that CCS increases the  
 261 investment cost of the plant by 17%. This is slightly lower than estimates for coal power plants with a  
 262 representative value of about 22% according to (Rubin et al. 2015). The operation and management  
 263 cost is assumed to be 2.4% of the investment cost (Chandel et al. 2012).

264 The Circular economy scenario requires both a sorting facility – for sorting household waste – and a  
 265 biogas plant. The cost estimates for the sorting plant are based on costs for such a plant located at  
 266 Haraldrud in Oslo, Norway, which handles 100 ktonne/year. Cost estimates for the biogas plant are  
 267 based on Nedland (2011) and Norwegian Environment Agency (2013). For the fertilizer outputs,  
 268 current market prices are used. The (positive) value of recycling paper and plastic is not included due  
 269 to limited market data.

270 Landfilling has been banned in Norway since 2009. Thus, no current information about the cost of  
 271 landfilling exists. This cost is therefore not included. The cost of producing heat from oil is based on  
 272 (Water Resources and Energy Directorate 2011), using the current market price for heating oil.

### 3 Results

273 In this section, the life cycle environmental results for the environmental mid-point impacts CCIP,  
 274 FEP, HTP and ODP and for the selected single stressors NO<sub>x</sub>, SO<sub>x</sub>, PM and CO are presented. The  
 275 results are first presented without system expansion in Figure 2, and then with system expansion in  
 276 Figure 3. Finally, the sensitivity analysis of the WtE scenario without system expansion is presented  
 277 for GTP100, GWP100 and GWP20 with the NTCF. The cost results are integrated in Figure 3, and the  
 278 absolute results for each scenario are presented in Table 2.

279 *Table 2: Absolute results, without and with system expansion*

		WtE		CCS		Circular Economy		Landfill	
		Without system expansion	With system expansion	Without system expansion	With system expansion	Without system expansion	With system expansion	Without system expansion	With system expansion
<b>CCIP</b>	kg CO <sub>2</sub> eq	8.0E+08	1.2E+09	3.1E+08	6.8E+08	5.9E+08	7.5E+08	8.2E+08	2.5E+08
<b>FEP</b>	kg P eq	2.3E+04	1.1E+05	3.5E+04	1.2E+05	8.9E+04	1.0E+05	2.4E+04	1.1E+05
<b>HTTP</b>	kg 1,4-DB eq	3.2E+08	4.3E+08	4.3E+08	5.3E+08	5.7E+08	6.8E+08	6.1E+08	8.1E+08
<b>ODP</b>	kg CFC-11 eq	1.2E+01	3.1E+01	2.1E+01	3.9E+01	3.3E+01	4.0E+01	1.2E+01	2.7E+01

<b>Nox</b>	kg Nox	1.5E+06	2.2E+06	2.1E+06	2.7E+06	1.6E+06	2.1E+06	5.1E+05	2.2E+05
<b>PM</b>	kg PM	1.0E+05	3.7E+05	1.7E+05	4.3E+05	2.1E+05	2.7E+05	6.1E+04	5.9E+04
<b>SO<sub>2</sub></b>	kg SO <sub>2</sub>	2.2E+05	9.7E+05	3.1E+05	1.1E+06	4.1E+05	5.2E+05	5.2E+05	3.3E+05
<b>CO</b>	kg CO	3.9E+05	1.1E+06	5.5E+05	1.2E+06	6.5E+05	8.2E+05	1.8E+05	1.4E+05

280

### 281 3.1 Results without system expansion

282 The results of the scenarios without system expansion cannot be compared with each other since  
 283 they do neither fulfill the same functional unit nor deliver the same final service. Yet, to depict each  
 284 scenario without expansion is useful to first depict the environmental bottlenecks of the different  
 285 waste treatment processes, and to depict the environmental bottlenecks within each value chain or  
 286 scenario.

287 <Figure 2>

#### 288 3.1.1 Climate change impact potential (CCIP)

289 It is estimated that approximately 89% of the life-cycle impact for the WtE scenario is a consequence  
 290 of the fossil CO<sub>2</sub> from the WtE plant and the remainder is caused by transport (7%), consumables  
 291 used for the flue gas cleaning processes (3%) and production of material for the plant (1%). Thus, the  
 292 introduction of CCS technologies into the original WtE system leads to lower CCIP, due to the direct  
 293 reduction of fossil CO<sub>2</sub> emissions. The use of RDF as a secondary fuel in the add-on boiler does not  
 294 lead to a large increase of CO<sub>2</sub> emissions either, since the flue gas also goes through the CCS unit. For  
 295 the recycling scenario, the total impacts are caused by the incineration with energy recovery of MSW  
 296 (62%), the recycling of paper (18%), the recycling of plastic (6%) and the anaerobic digestion of  
 297 organic waste (1%). The burdens of the landfill scenario are caused by the biogenic CH<sub>4</sub> emitted at  
 298 the landfill site after decomposition of biodegradable material such as paper and wood. Overall,  
 299 transport is identified as a minor contributor to the total CCIP impacts for the WtE, Recycling and  
 300 Landfill scenarios (7%, 12% and 5% contributions, respectively). Yet, transport's relative contribution  
 301 to the CCS scenario is higher (26%), due to the reduction of CO<sub>2</sub> fossil emissions at the WtE plant. On  
 302 a per kg basis, as shown in Table S13, with the incineration of 1 kg of MSW with the reference waste  
 303 mix (MSW<sub>2015</sub>) as the starting point, the total impacts are increased by 66% and 3% when sending the  
 304 paper fraction to paper recycling or the same MSW mix to landfill. On the other hand, the total  
 305 burdens are reduced by 4%, 22% and 78% when sending the plastic fraction to plastic recycling,  
 306 changing the MSW waste mix in compliance with the circular economy and adding a carbon capture  
 307 unit.

### 308 3.1.2 Freshwater eutrophication potential (FEP)

309 For the WtE and CCS scenarios, the impacts of FEP are driven by the phosphorus (P) content of the  
310 waste, in the ashes resulting after combustion and from leaching at the landfill site. The impacts of  
311 CCS are higher than WtE due to the use of additional fuel (RDF) in the CO<sub>2</sub> capture unit. The results of  
312 Landfill are higher than the results of WtE, despite the same amount of incoming P. The P in the two  
313 scenarios do not enter the landfill site in the same form; for WtE, P is in the form of ash and for  
314 Landfill, it is in the form of solid waste. The leaching of the elements contained in the waste – P in the  
315 case of FEP – is dependent on the form (MSW or ashes) of the waste entering the landfill, and the  
316 results are thus different, despite the same amount of entering P. The disposal of the consumables  
317 used in the flue gas processes is responsible for 12% of the total impacts of the WtE scenario. The  
318 impact of transport is marginal, and is caused by the combustion of fossil fuel while driving the lorries  
319 that transport the waste. For the Circular Economy scenario, the impacts are mainly caused by the  
320 leaching of P on agricultural land; P is either contained in the ash mixture resulting from the recycling  
321 paper process or in the digestate from anaerobic digestion.

### 322 3.1.3 Human toxicity (HTP) and ozone depletion potentials (ODP)

323 The same elements of the value chains are causing the burdens of FEP and HTP, with the difference  
324 that it is not the leaching of P, but of the heavy metals that causes the environmental harm. For ODP,  
325 transport is the main contributor for the Landfill, CCS and WtE scenarios with respective shares of  
326 56%, 62% and 74%. The second ODP contributor is the use, and thus combustion, of fossil fuels while  
327 producing the different consumables used in the recycling and flue gas cleaning processes and while  
328 running and building the waste treatment sites. For the Circular Economy scenario, the total impact  
329 of transport is comparable to the other scenarios. However, its contribution to the total impacts is  
330 lower (33%) due to the larger use of fossil fuel in the recycling processes.

### 331 3.1.4 Single stressors

332 For NO<sub>x</sub>, the direct emissions occurring at the waste treatment plant constitute the majority of the  
333 emissions for all the scenarios but Landfill, with sources being the combustion of the waste (MSW  
334 and RDF to feed the add-on boiler for CCS) and the use of fossil fuels in the recycling process. The  
335 NO<sub>x</sub> emissions at the landfill site come from the combustion of fossil fuels to run the landfill.  
336 Transport contributes with a share of around 30% for the three first scenarios, and is the major  
337 contributor for the Landfill scenario (60%).

338 The major sources of PM are the combustion of fossil fuel in transport and background processes  
339 while producing the materials to build the different waste treatment plant, and the auxiliary  
340 materials in the different value chains. The direct emissions of PM at the WtE plant contribute only

341 11% of the total burdens of the WtE scenario. Thus, despite the co-capture efficiency of 50% in the  
342 CCS process and the potential reduction of PM in the system, the small share of direct emissions is  
343 offset by the impact of fossil fuel use in the background process, while producing the auxiliary  
344 materials (NaOH, MEA and activated carbon) as well as the CCS infrastructure.

345 For SO<sub>2</sub>, in opposition to PM, direct emissions occurring at the WtE plant are the main contributor for  
346 the WtE scenario with a contribution of 75% to the total impacts. In addition to the high co-capture  
347 of SO<sub>2</sub> efficiencies of the CCS process (99.5%), the impact of fossil fuel combustion in the background  
348 value chain is almost totally offset, and the CCS subgroup ends up with a marginal net share.

349 For CO, as with PM, the combustion of fossil fuels in background processes and in transport is the  
350 main source of emissions.

351 Overall, we can see: (1) the major influence of fossil CO<sub>2</sub>, NO<sub>x</sub> and SO<sub>2</sub> at the WtE plant, (2) the  
352 contribution of transport, mainly for ODP, PM and CO, for all the scenarios, (3) the impact of the  
353 production of the auxiliary materials used in the flue gas cleaning, recycling and CCS processes, (4)  
354 the impact of secondary waste streams for FEP and HTP, and (5) the introduction of CCS technologies  
355 in the original WtE system leading to lower CCIP but increased life-cycle values for all the other mid-  
356 point impacts and stressors.

### 357 3.2 Results with system expansion

358 The results of Figure 2 are now presented with system expansion in Figure 3. The total results of  
359 Figure 2 are grouped under the sub-group Waste treatment, and the results for system expansion are  
360 presented separately for each material and energy to be provided.

361 <Figure 3>

362 All the scenarios increase their total impact for each single stressor and impact category. Landfill is  
363 the scenario that increases its impact the most with an increase from 32% for HTP to 2027% for ODP.  
364 The Circular economy scenario is the least altered, with an increase ranging from 11% for FEP to 32%  
365 for NO<sub>x</sub>. On a material basis, the direct emissions caused by the burning of oil impacts mainly the  
366 burdens for CCIP, ODP, and PM, SO<sub>2</sub> and CO. The same is valid for the production of plastic where the  
367 combustion of fossil fuels in the production chain induces the same increases. The primary  
368 production of paper affects the same single stressors and impacts categories as the production of  
369 plastic. In addition, it also affects FEP, due to the phosphorus contained in the waste generated on  
370 the production site. On the other hand, due to its small quantity, the production of synthetic fertilizer  
371 does not affect the results. Nor does electricity impact the results, due to its renewable source.

372 The estimated costs – with the limitations mentioned in the methodology – are the lowest for the  
373 WtE scenario (1383 MNOK). The costs of the CCS and Circular Economy scenario are similar. The  
374 increase for the former is caused by the use of auxiliary fuel (RDF) and the CCS process. The increase  
375 for the latter is caused by the additional recycling facilities to be built (material recycling and  
376 anaerobic digestion plants). The landfill scenario entails the largest costs (200% increase) even  
377 without including the direct costs of the landfill itself. The reason is that heat produced from heating  
378 oil is roughly three times more expensive than WtE due to the high heating oil price. Even if we  
379 exclude the heating oil tax and the CO<sub>2</sub> tax, in total about 25% of the price, this scenario will still be  
380 the most expensive. The value of material recovery (99 ktonne recycled plastic and 135 ktonne  
381 recycled paper) is not included in the net cost estimates. Given the large amounts, it is likely that the  
382 net total cost is lower for Circular economy than CCS when the income effect (saved costs) of  
383 recycling is taken into account. This will happen if the average price is above about NOK 340/tonne. It  
384 is, however, highly likely that the total cost of WtE will still be the lowest even when all costs and  
385 incomes are taken into account.

386 The Landfill scenario ranks worst for all the impact categories and single stressors assessed, since  
387 landfill is the only waste treatment option that does not recover either materials or energy. Except  
388 for CCIP, the CCS scenario performs worse than the WtE scenario. The order of recycling and energy  
389 recovery of the waste hierarchy is not respected for: (1) HTP, due to secondary waste streams and (2)  
390 ODP, due to addition fossil fuel used in the recycling processes. The waste hierarchy is respected for  
391 FEP, PM, SO<sub>2</sub>, PM and NO<sub>x</sub>.

### 392 3.2.1 Sensitivity analysis on the climate metrics

393 The results for the WtE scenario without system expansion are presented in Figure 4 with a  
394 sensitivity analysis on the climate metrics.

395 <Figure 4>

396 Since CO<sub>2</sub> contributes mainly to CCIP, and because of the long atmospheric lifetime of CO<sub>2</sub>, assessing  
397 the CCIP by using GWP100 or GTP100 does not change the overall CCIP results much. When including  
398 the NTCF, the overall results may decrease by a maximum of 13% in the best case for very-short term  
399 climate change impacts (GWP20). In total, the CCIP results vary with a decrease ranging from 1% to  
400 13%, caused by net cooling mainly due to NO<sub>x</sub>.

## 4 Discussion

401 For the WtE scenario, we find a total contribution to CCIP of 507 g CO<sub>2</sub> eq/kg of waste. This result is  
402 in line with previous studies on similar systems; Lausset et al. (2016) find a contribution of 265 to



403 637 g CO<sub>2</sub> eq/kg of waste, Astrup et al. (2009b) 347–371 g CO<sub>2</sub> eq/kg of waste for the direct  
404 emissions occurring at the WtE plant, and Turconi et al. (2011) find fossil CO<sub>2</sub> emissions of 280–450  
405 g/kg of waste. Furthermore, these studies, as well as the present study, show the importance of  
406 using quicklime as a consumable. The measured air emissions at WtE plants, as reported by  
407 Norwegian Environment Agency (2016) and used here, are in line with the air emissions reported by  
408 Damgaard et al. (2010) for similar air pollution control technologies (APC 5 and 6).

409 Direct emissions of fossil CO<sub>2</sub> occurring at the WtE plants are the main driver for CCIP. CO<sub>2</sub> emissions  
410 are unavoidable and cannot be reduced by conventional flue gas treatment, but only by the use of  
411 CCS. On the other hand, NO<sub>x</sub>, SO<sub>2</sub>, HCl, PM, dioxins and heavy metals are relatively low since the  
412 plants are equipped with efficient flue gas treatment technologies, in accordance with (Turner et al.  
413 2011, Poletini 2012, Turner et al. 2015, Lausset et al. 2016).

414 Accounting of GHG emissions is a major focus within waste management (Gentil et al. 2009a), and  
415 climate change is affected by a variety of forcing agents. In addition to the well-known well-mixed  
416 GHG (WMGHGs), human activities disturb the climate system through emissions of pollutants such as  
417 NO<sub>x</sub>, CO, volatile organic compounds (VOCs), black carbon (BC), organic carbon (OC), and sulphur  
418 oxides (SO<sub>x</sub>). The net climate impacts of NTCFs are the result of many complex opposing effects with  
419 different temporal evolutions at play; NO<sub>x</sub>, CO, VOCs are tropospheric ozone formation precursors,  
420 BC and OC are primary aerosols, while NO<sub>x</sub>, SO<sub>x</sub>, NH<sub>3</sub> are precursors to secondary aerosols.  
421 Quantifying them is subject to uncertainties that are larger than for WMGHGs. The few LCA studies  
422 that take into account the NTCFs, all argue for their routine inclusion in environmental system  
423 analysis (Peters et al. 2011, Tsao et al. 2012, Cherubini et al. 2016, Iordan et al. 2016, Lasseur et al.  
424 2016).

425 In this work, we analyse the incorporation of the post-combustion MEA CO<sub>2</sub> capture process in the  
426 WtE plants. In order to produce the required steam for the operation of the stripper, we assume an  
427 additional boiler is installed and fed by RDF, leading to an important increase in auxiliary fuel. Novel  
428 solvents are currently the subject of research aiming to reduce the energy penalty of the carbon  
429 capture processes (Artanto et al. 2014, Sanchez Fernandez et al. 2014, Manzolini et al. 2015), and  
430 other gas separation technologies, such as pressure swing adsorption or membranes, could enable  
431 lower energy penalties (Merkel et al. 2010). However these processes require electricity instead of  
432 heat.

433 The leaching of some elements of the bottom ash, fly ash and filter cake are pointed out as  
434 significant contributors to FEP and HTP. This finding coincides with the conclusion drawn by  
435 Cherubini et al. (2008), Cherubini et al. (2009), Christensen et al. (2007), Allegrini et al. (2015a) and

436 Burnley et al. (2015). In addition to the leaching of P and heavy metals from the bottom and fly ash,  
437 the leaching of the same elements from the new waste streams emerging from the recycling  
438 processes have also been shown to influence HTP and FEP in this study. The recovery of the bottom  
439 ash, fly ash and new waste streams or the use of other treatments could drastically reduce the FEP  
440 and HTP impacts, as highlighted. As an alternative, bottom ash could for instance be recovered as  
441 road construction material (Birgisdóttir et al. 2006, Birgisdóttir et al. 2007).

442 Waste treatment systems are by definition complex and embedded with uncertainties (Clavreul et al.  
443 2012, Laurent et al. 2014a), and this study is no exception. The uncertainty in the incoming waste is  
444 somehow mitigated, since waste composition varies throughout the year. The waste mix assumed  
445 here can thus be seen as a realistic estimation of the annual average waste mix. The uncertainty in  
446 the measurement data can be assumed to be low for the air emissions at the WtE plant site. The  
447 opposite is true for the measurements of the chemical elements contained in the bottom ash from  
448 Heie et al. (2015). Uncertainties are also embedded in the choice of background processes, in the low  
449 availability of raw recycling process data and in the severe lack of data for some recycled materials in  
450 LCA databases (Brogaard et al. 2014). This uncertainty also applies to the chemical composition of  
451 the waste input.

452 While performing mathematical optimization to find the calibrated mass transfer coefficient matrices  
453 for each individual plant, the condition described in equation (8) could not always be fulfilled. If the  
454 total of the row was higher than 1, each element of the row in question had to be divided by the  
455 total, in order for it to be equal to 1. This was sometimes true for elements such as chlorine and  
456 fluorine, and especially true for heavy metals such as arsenic, barium, cadmium, manganese,  
457 antimony and zinc. As a result, one might suspect the level of these particular elements to be higher  
458 in the incoming waste than assumed in this analysis.

459 The gradual implementation of circular economy (EU package) will lead to a diversion of MSW from  
460 landfills to both material and energy recovery (minimally relevant for Norway with a landfill ban on  
461 biodegradable waste since 2009) and increase material recycling for specific fractions (before energy  
462 recovery of the residual fractions). These movements will clearly affect both the quality and quantity  
463 of the fuel mix going to energy recovery, with potentially large consequences on logistics and  
464 operation. What these changes will be remains to be seen and is difficult to predict today. Another  
465 aspect is the development of new technologies and treatment routes for utilizing or upgrading  
466 residues (fly ash, bottom ash).

467 WtE is a well-established, knowledgeable sector with a large network of operating sites. Its role today  
468 is mainly twofold: waste disposal (volume and weight reduction) in a safe way (destruction of

469 contaminated materials) and energy production. A circular economy may actually give WtE the  
470 opportunity to strengthen and expand its role with new or little developed value chains, such as  
471 secondary raw materials production (metals and minerals from ash, building materials from ash or  
472 RDF production) and a stronger involvement in material recycling with more on-site sorting. This  
473 expansion might also give a push towards new, advanced concepts, such as carbon capture use and  
474 storage (CCUS), energy storage and flexibility and new- or multi-products systems (e.g. waste  
475 refineries, biofuel production, biogas + WtE). In other words, the WtE sector activities will both  
476 broaden and "go up" the waste hierarchy.

477 The opportunities for the WtE sector to play an "extended role" in waste management and move up  
478 the waste hierarchy and towards new products are not without their hurdles. The challenges are  
479 techno-economic (the costly development of new technologies and investment in new machinery),  
480 political/regulatory (WtE actors need a stable framework to evolve and invest in the nascent circular  
481 economy) and operational (the changing quantities and properties of the MSW fractions to be energy  
482 recovered). One should not underestimate job creation in an extended WtE sector (also central in the  
483 EU Energy Union strategy), especially when it is connected to a reduction in the carbon  
484 footprint/GHG emissions from waste management.

485 Several Norwegian WtE plants are currently suffering from low profitability. The main reason is  
486 overcapacity in Scandinavia, where Swedish WtE sets the gate fees (Becidan et al. 2015). They can  
487 offer lower rates because of higher revenues from energy delivered in well-developed district  
488 heating (DH) systems. Another challenge is the lack of new projects that can secure long-term, strong  
489 revenue streams from energy. The major cities in Norway already have well-developed district  
490 heating infrastructures, so the remaining district heating market is limited to small-scale applications.

491 Yet, this study illustrates new potential for WtE plants; the focus for WtE systems has traditionally  
492 been on the energy recovery aspect, often neglecting the potential for recovery of materials that end  
493 up and accumulate in incineration residues. As stressed by Morf et al. (2013) and Boesch et al.  
494 (2014), waste incineration has great potential for recovering metal resources. Incineration plays an  
495 important role as an element of industrial ecology, providing waste disposal services and helping to  
496 close material and energetic cycles.

## 5 Conclusion

497 In this paper, LCA is combined with analysis of the overall energy and material balances,  
498 mathematical optimization and cost assessment in order to assess the current Norwegian WtE

499 system and the implication of the circular economy package and the addition of CCS. Also, a landfill  
500 scenario is added as a check on the waste hierarchy.

501 The Landfill scenario ranks worst for all the impact categories and single stressors assessed. Except  
502 for climate change, the CCS scenario performs worse than the WtE scenario. The order of recycling  
503 and energy recovery in the waste hierarchy is not respected for: (1) HTP, due to secondary waste  
504 streams and (2) ODP, due to additional fossil fuel used in the recycling processes. The waste  
505 hierarchy is respected for PM, SO<sub>2</sub>, PM and NO<sub>x</sub>. The inclusion of near-term climate forcers decreases  
506 the climate change impacts 1% to 13% due to a net cooling mainly due to NO<sub>x</sub>.

507 A circular economy may actually give WtE systems the opportunity to strengthen and expand their  
508 role in growing new or little developed value chains, such as secondary raw materials production  
509 (e.g. recovery of metals and minerals, building materials and fertilizers) and valorization of new  
510 waste streams occurring during material recycling. Additional costs will also be incurred in order to  
511 build the new required infrastructure. However, some of this cost could potentially be decreased by  
512 reusing the secondary waste streams that are generated.

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