

1 **INTERPRETIVE SUMMARY**

2 **Short Communication: Genetic parameters for fertility related disorders.** *Hauggaard et al*
3 *pages 000.* Genetic parameters were estimated for the 4 fertility related disorders cystic ovaries,
4 metritis, retained placenta and silent heat in lactations 1 to 5. Data on 1,747,500 lactations from
5 780,114 Norwegian Red cows were used to estimate genetic correlations between the lactations
6 within each disorder. Heritabilities ranged from 0.02 (silent heat) to 0.12 (cystic ovaries).
7 Genetic correlations between the lactations within disorder were positive and moderate to high,
8 0.79-0.95 for cystic ovaries, 0.40-0.75 for metritis, 0.53-0.94 for retained placenta and 0.39-
9 0.83 for silent heat.

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11 **SHORT COMMUNICATION**

12 **SHORT COMMUNICATION: Genetic parameters for fertility related disorders in**
13 **Norwegian Red**

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27 **ABSTRACT**

28 Heritabilities and genetic correlations were estimated for the 4 most common fertility related
29 disorders in Norwegian Red: retained placenta, cystic ovaries, silent heat and metritis. Data on
30 1,747,500 lactations from 780,114 cows calving from January 2001 through December 2011
31 were analyzed using multivariate threshold sire models to estimate variance components for the
32 4 disorders in the first 5 lactations. The traits were defined as binary within lactation
33 (0=unaffected, 1=affected), and each fertility related disorder was analyzed separately with the
34 5 lactations as correlated traits. The mean frequency of affected cows ranged from 0.5% to 1.7%
35 for cystic ovaries, 0.7% to 1.1% for metritis, 1.3% to 3.4% for retained placenta and from 1.7%
36 to 2.7% for silent heat. Posterior means (SD) of heritability of liability ranged from 0.02 (0.01)
37 to 0.12 (0.01), and were lowest for silent heat and highest for cystic ovaries. Genetic
38 correlations across lactation within disorder were positive and moderate to high, ranging from
39 0.79 to 0.95 for cystic ovaries, 0.40 to 0.75 for metritis, 0.53 to 0.94 for retained placenta and
40 0.39 to 0.83 for silent heat.

41 **Key words:** Retained placenta, cystic ovaries, silent heat, metritis, genetic correlations

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43 Fertility related disorders can decrease cow fertility, increase the calving interval, and are of
44 economical importance due to increased labor, veterinary cost and reduced production. Cystic
45 ovaries (**CO**), metritis (**MET**), retained placenta (**RP**) and silent heat (**SH**) are the most
46 common fertility related disorders in Norway, and this category of diseases was the only
47 category that increased in frequency in Norway in 2013 (Norwegian Cattle Health Services,
48 2014). Like many other disease traits, heritability of fertility related disorders are in general
49 low. Heritability estimates from threshold models range from 0.05 to 0.08 for CO and 0.03 –
50 0.08 for MET (Zwald et al., 2004; Heringstad, 2010; Koeck et al., 2010), 0.06 – 0.08 for RP
51 (Heringstad et al., 2005; Heringstad, 2010; Koeck et al., 2010) and 0.01 – 0.06 for SH

52 (Heringstad, 2010; Koeck et al., 2010). Studies have shown that heritability varies between
53 lactations, e.g. Zwald et al. (2004) reported larger heritability estimates for CO and MET from
54 the first lactation relative to estimates from all available lactations. Heringstad et al. (2005)
55 reported a heritability of 0.08 for RP in lactations 1 to 3 in Norwegian Red, but the genetic
56 correlations between the lactations ranged from 0.55 to 0.65, indicating that the disorder
57 genetically is not the same trait across lactations.

58 As some of the fertility related disorders increase in frequency in the later lactations, it may be
59 advantageous to use multiple lactations in genetic evaluations. The aims were to estimate
60 heritabilities for CO, MET, RP and SH in the first 5 lactations, and to evaluate whether these
61 disorders genetically can be considered to be the same trait across lactations based on genetic
62 correlations between the lactations within each disorder.

63

64 Information on calving and fertility related health records were extracted from the Norwegian
65 Dairy Herd Recording System. Information included up to 5 lactations from 780,114 cows sired
66 by Norwegian Red AI bulls, calving from January 2001 to December 2011. Cows without first
67 lactation data were omitted from the dataset. Age at calving had to be within defined intervals
68 for the lactation record to be included (20-36 months, 32-48 months, 44-60 months, 56-72
69 months and 68-84 months for **lactation** 1-5, respectively). The definition of lactation were from
70 the day of calving until 15 days before next calving, culling or 400 days after calving, whichever
71 occurred first. The dataset contained 20 traits, 5 lactations for each of the 4 disorders, where
72 each trait was defined as a binary (0 = unaffected, 1 = affected). For RP, the veterinary treatment
73 had to occur within the first 5 days after calving while for the other disorders all health records
74 within the defined lactation were used. **Number of records and mean frequency** for the traits are
75 given in Table 1. A total of 27,185 animals were in the pedigree file, which consisted of the
76 1,247 bulls with daughters in the dataset and their dams and sires traced back as far as possible.

77

78 Each of the 4 fertility related disorders was analyzed separately, with the 5 lactations as
79 correlated traits in a multivariate threshold sire model. In matrix notation the model can be
80 written as $\lambda = \mathbf{X}\boldsymbol{\beta} + \mathbf{Z}_h\mathbf{h} + \mathbf{Z}_s\mathbf{s} + \mathbf{e}$, where λ is a vector of unobserved liabilities, $\boldsymbol{\beta}$ is a vector of
81 systematic effects (described below), \mathbf{h} is a vector of random herd-5-year effects (30,583
82 levels), \mathbf{s} is the random effect of sire (1,247 levels), \mathbf{e} is the vector of residual, and \mathbf{X} , \mathbf{Z}_h and
83 \mathbf{Z}_s are the corresponding incidence matrices. The systematic effects were year-season of calving
84 (seasons defined as January-March, April-June, July-September and October-December)(44,
85 41, 37, 33 and 29 levels for lactation 1-5 respectively) and age at calving in months (17 levels).
86 Herd-5-year classes were defined by using 2 time periods of approximately 5 years (2001-2006
87 and 2007-2011). Heritability was calculated as $h^2 = 4 * \sigma_{\text{sire}}^2 / (\sigma_{\text{sire}}^2 + \sigma_{\text{herd}}^2 + \sigma_{\text{residual}}^2)$. A Bayesian
88 approach using Gibbs sampler in the RJMC-routine of the DMU package (Madsen and Jensen,
89 2007) was used for analyses. Based on Raftery and Lewis convergence statistics in BOA
90 (Smith, 2003) it was decided to use a total chain length of 300,000 iterations after 10,000
91 iterations burn in for all traits.

92

93 The mean frequency were low in all lactations for all disorders, less than 4% (Table 1). For 2
94 of the disorders (CO and RP) the frequency increased in later lactations, and for CO the
95 frequency was 3 times as high in the fifth lactation (1.7%) as in the first lactation (0.5%). For
96 RP the frequency more than doubled from the first lactation (1.3%) to the fifth lactation (3.4%).
97 For MET the frequency was stable (0.6-0.8%) in the first four lactations, but with an increase
98 in the fifth lactation (1.1%). The frequency of SH decreased with increasing lactations, from
99 2.7% to 1.7%. In general these frequencies were lower than disease frequencies reported in
100 other studies; for CO frequencies range from 3.1% (Canadian Holstein, van Dorp et al., 1998)
101 to 13% (Finnish Ayrshire, Mäntysaari et al., 1993), while for MET they range from 2.5%

102 (Finnish Ayrshire, Pösö and Mäntysaari, 1996) to 21% (US Holstein, Zwald et al., 2004). Koeck
103 et al. (2010) reported a frequency for SH of 6.3% in Austrian Fleckvieh. For RP, the frequencies
104 were more similar to those presented in the present study, where most range between 1.3%
105 (Canadian Holstein, van Dorp et al., 1998) and 5.8% (Austrian Simmental, Schnitzenlehner et
106 al., 1998), although Lin et al. (1989) presented frequencies for RP in US Holstein of 8.3% and
107 12.7% for second lactation cows and older cows, respectively

108

109 Heritabilities of liability were low for all traits (Tables 2-5). The posterior mean ranged from
110 0.02 (SH2 and SH3) to 0.12 (CO2) with small SD (0.01-0.02) for all traits, indicating fairly
111 accurate heritability estimates. All first lactation estimates were in accordance with those
112 reported by Heringstad (2010), which analyses were based on partly the same dataset as in the
113 present study. The highest heritabilities were found for CO, ranging from 0.08 to 0.12 (Table
114 2), which is in agreement with previously reported heritability estimates for CO (e.g. Zwald et
115 al., 2004; Koeck et al., 2010). The lowest heritabilities of liability were estimated for SH (0.02-
116 0.04; Table 5) and MET (0.03-0.06; Table 3). Very few studies have published heritability of
117 SH, so comparisons are sparse. Koeck et al. (2010) reported a heritability from a threshold
118 model of 0.012 for SH and anestrus in the first 5 lactations, which is slightly lower than the
119 estimates reported here. Threshold model heritability estimates for MET range from 0.06
120 (Koeck et al., 2010) to 0.08 (Zwald et al., 2004), which is in accordance with our estimates.
121 The heritabilities of liability to RP ranged from 0.06 to 0.09 (Table 4). This is in agreement
122 with previous estimates of 0.06 (Koeck et al., 2010) and 0.08 (Heringstad et al., 2005).

123

124 Generally, the genetic correlations (Table 2-5) among the lactations within each disorder were
125 positive and moderate to high. The highest genetic correlations were found between the CO-
126 traits (Table 2), with posterior mean ranging from 0.79 (CO1-CO5) to 0.95 (CO2-CO3), and

127 the upper bound of the 95% highest posterior density (**HPD**) intervals were all above 0.94. This
128 was higher than genetic correlations of ovulatory disorders between lactations reported earlier,
129 ranging from 0.60 to 0.94 (Mäntysaari et al., 1993; Pösö and Mäntysaari, 1996). However, those
130 studies included anestrus, subestrus and other infertilities in addition to CO, and comparison is
131 therefore difficult.

132 The posterior means of genetic correlations for SH ranged from 0.39 (SH1-SH4) to 0.83 (SH3-
133 SH4), while for MET the posterior means of genetic correlations ranged from 0.40 (MET4-
134 MET5) to 0.75 (MET2-MET4). The 95% HPD intervals for the genetic correlations among
135 these traits were relatively wide indicating uncertain estimates, especially for the later
136 lactations. For MET5, the 95% HPD interval of the genetic correlations to MET3 and MET4
137 even included 0. Previous genetic correlation estimates for MET between lactations range from
138 -0.58 to 0.62 (Mäntysaari et al., 1993; Pösö and Mäntysaari, 1996). Also in these studies, the
139 standard **errors** were large.

140 The posterior means of genetic correlations for RP showed a difference between the correlations
141 involving the first lactation (0.53-0.69) and the correlations among the second to fifth lactation
142 (0.84-0.94) (Table 4). These genetic correlations were slightly higher than those reported by
143 Heringstad et al. (2005), with estimates from 0.55 to 0.65 for RP in the three first lactations.
144 Schnitzenlehner et al. (1998) reported genetic correlation for RP in the first and second lactation
145 of 0.79, which is higher than the corresponding estimate of the present study.

146 **The posterior means of herd** correlations between lactations were positive and high (0.71-0.98)
147 for all the fertility related disorders (Tables 2-5). The **posterior means of** residual correlations
148 (results not shown) between lactations were low for all disorders, 0.06-0.31, -0.04-0.14, 0.11-
149 0.19 and -0.05-0.19 for CO, MET, RP and SH, respectively.

150

151 The main challenge with the fertility related disorders is the low frequency and the definition
152 of the traits. In Norway only the veterinary treatments of disease are recorded, and in the
153 analyses a cow was considered “affected” if she had one or more veterinary treatments of the
154 given disorder during the lactation. For some disorders, like RP, this covers most of the actual
155 cases of the disorder as it is easy to discover. Other disorders are more challenging and likely
156 with more false negatives, like for example SH. Some cases of SH may not be discovered by
157 the farmer and therefore not treated (and in consequence, not recorded), or the disorder is
158 discovered but the cow is culled instead of treated. The actual incidence of disease is therefore
159 probably larger than what the records show, valuable information is lost and genetic analyses
160 may be less accurate. From Tables 2 to 5 it is shown that the SD and 95% HPD intervals for the
161 genetic correlations between lactations is large for MET and SH. This may possibly reflect the
162 low frequency and the complexity of these traits, relative to CO and RP which have low SD
163 and smaller 95% HPD intervals.

164

165 Genetic correlations between lactations within disorder were positive and moderate to high and
166 suggest that it is reasonable to assume that CO in lactations 1-5 genetically is the same trait,
167 whereas MET and SH can not be considered to be the same trait across lactations.

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207

208 **Table 1:** Number of records and mean frequency of cystic ovaries (CO), metritis (MET),
209 retained placenta (RP) and silent heat (SH) in lactations 1 to 5 for Norwegian Red

Lactation no	No of records	Frequency (%)			
		CO	MET	RP	SH
1	780,114	0.5	0.7	1.3	2.7
2	489,903	1.0	0.6	2.1	2.1
3	280,085	1.5	0.7	2.6	2.0
4	138,938	1.6	0.8	3.1	1.8
5	58,461	1.7	1.1	3.4	1.7

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212 **Table 2:** Posterior mean (SD) of heritability of liability (diagonal), genetic correlations (below
 213 diagonal) and herd correlations (above diagonal) for cystic ovaries (CO_i), in five lactations (i=1-
 214 5), with 95% highest posterior density interval given in brackets

	CO1	CO2	CO3	CO4	CO5
CO1	<i>0.08 (0.01)</i> [0.06 – 0.11]	0.92 (0.02) [0.89 – 0.96]	0.88 (0.03) [0.83 – 0.93]	0.79 (0.03) [0.73 – 0.86]	0.76 (0.05) [0.66 – 0.85]
CO2	0.91 (0.04) [0.83 – 0.97]	<i>0.12 (0.01)</i> [0.09 – 0.14]	0.97 (0.01) [0.94 – 1.00]	0.93 (0.02) [0.88 – 0.98]	0.90 (0.02) [0.83 – 0.97]
CO3	0.83 (0.06) [0.70 – 0.94]	0.95 (0.02) [0.90 – 0.99]	<i>0.11 (0.01)</i> [0.08 – 0.14]	0.94 (0.03) [0.89 – 0.99]	0.86 (0.04) [0.78 – 0.94]
CO4	0.88 (0.06) [0.77 – 0.97]	0.94 (0.03) [0.89 – 0.99]	0.93 (0.04) [0.85 – 0.99]	<i>0.09 (0.02)</i> [0.06 – 0.12]	0.88 (0.05) [0.80 – 0.97]
CO5	0.79 (0.09) [0.61 – 0.94]	0.90 (0.07) [0.77 – 0.98]	0.92 (0.06) [0.79 – 0.99]	0.91 (0.06) [0.79 – 0.99]	<i>0.09 (0.02)</i> [0.06 – 0.13]

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217 **Table 3:** Posterior mean (SD) of heritability of liability (diagonal), genetic correlations (below
 218 diagonal) and herd correlations (above diagonal) for metritis (MET_i), in five lactations (i=1-5)
 219 , with 95% highest posterior density interval given in brackets

220

	MET1	MET2	MET3	MET4	MET5
MET1	<i>0.04 (0.01)</i> [0.02 – 0.05]	0.87 (0.03) [0.81 – 0.93]	0.77 (0.05) [0.67 – 0.86]	0.76 (0.08) [0.61 – 0.91]	0.71 (0.08) [0.54 – 0.86]
MET2	0.57 (0.13) [0.31 – 0.81]	<i>0.03 (0.01)</i> [0.01 – 0.04]	0.85 (0.06) [0.75 – 0.96]	0.82 (0.06) [0.71 – 0.94]	0.86 (0.06) [0.73 – 0.96]
MET3	0.59 (0.15) [0.28 – 0.84]	0.74 (0.13) [0.50 – 0.96]	<i>0.03 (0.01)</i> [0.02 – 0.05]	0.74 (0.08) [0.58 – 0.89]	0.81 (0.07) [0.66 – 0.94]
MET4	0.48 (0.23) [0.07 – 0.89]	0.75 (0.14) [0.48 – 0.95]	0.55 (0.20) [0.16 – 0.91]	<i>0.03 (0.01)</i> [0.01 – 0.05]	0.68 (0.13) [0.45 – 0.92]
MET5	0.72 (0.13) [0.47 – 0.93]	0.47 (0.21) [0.05 – 0.82]	0.42 (0.27) [-0.05 – 0.86]	0.40 (0.23) [-0.03 – 0.82]	<i>0.06 (0.02)</i> [0.02 – 0.10]

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222

223 **Table 4:** Posterior mean (SD) of heritability of liability (diagonal), genetic correlations (below
 224 diagonal) and herd correlations (above diagonal) for retained placenta (RP_i), in five lactations
 225 (i=1-5) , with 95% highest posterior density interval given in brackets

	RP1	RP2	RP3	RP4	RP5
RP1	<i>0.06(0.01)</i> [0.04 – 0.07]	0.89 (0.03) [0.84 – 0.95]	0.93 (0.03) [0.88 – 0.98]	0.84 (0.04) [0.76 – 0.93]	0.84 (0.05) [0.74 – 0.93]
RP2	0.69 (0.06) [0.56 – 0.80]	<i>0.07 (0.01)</i> [0.05 – 0.08]	0.96 (0.02) [0.93 – 0.98]	0.92 (0.03) [0.86 – 0.99]	0.87 (0.05) [0.77 – 0.96]
RP3	0.60 (0.07) [0.47 – 0.74]	0.92 (0.03) [0.86 – 0.98]	<i>0.08 (0.01)</i> [0.06 – 0.10]	0.92 (0.03) [0.86 – 0.98]	0.88 (0.05) [0.80 – 0.98]
RP4	0.60 (0.08) [0.45 – 0.74]	0.84 (0.05) [0.74 – 0.94]	0.94 (0.03) [0.89 – 0.99]	<i>0.09 (0.01)</i> [0.06 – 0.11]	0.83 (0.06) [0.70 – 0.93]
RP5	0.53 (0.10) [0.32 – 0.73]	0.84 (0.06) [0.72 – 0.95]	0.87 (0.06) [0.76 – 0.98]	0.86 (0.07) [0.73 – 0.97]	<i>0.09 (0.02)</i> [0.05 – 0.12]

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228 **Table 5:** Posterior mean (SD) of heritability of liability (diagonal), genetic correlations (below
 229 diagonal) and herd correlations (above diagonal) for silent heat (SH_i), in five lactations (i=1-5),
 230 with 95% highest posterior density interval given in brackets

	SH1	SH2	SH3	SH4	SH5
SH1	<i>0.04 (0.01)</i> [0.03 – 0.05]	0.96 (0.01) [0.95 – 0.97]	0.93 (0.01) [0.92 – 0.94]	0.90 (0.01) [0.88 – 0.93]	0.88 (0.02) [0.85 – 0.92]
SH2	0.78 (0.06) [0.65 – 0.89]	<i>0.02 (0.01)</i> [0.02 – 0.03]	0.98 (0.01) [0.96 – 0.99]	0.96 (0.01) [0.95 – 0.98]	0.94(0.02) [0.91 – 0.98]
SH3	0.58 (0.11) [0.37 – 0.78]	0.78 (0.10) [0.59 – 0.97]	<i>0.02 (0.01)</i> [0.01 – 0.03]	0.98 (0.01) [0.96 – 1.00]	0.97 (0.02) [0.93 – 1.00]
SH4	0.39 (0.14) [0.13 – 0.64]	0.64 (0.11) [0.42 – 0.87]	0.83 (0.09) [0.95 – 0.98]	<i>0.03 (0.01)</i> [0.01 – 0.04]	0.96 (0.02) [0.93 – 0.99]
SH5	0.45 (0.18) [0.14 – 0.82]	0.54 (0.20) [0.16 – 0.92]	0.51 (0.22) [0.10 – 0.88]	0.47 (0.18) [0.11 – 0.81]	<i>0.04 (0.02)</i> [0.01 – 0.08]