

1 **IS: Survival of lactic acid bacteria (LAB) in a human model digestive system. Faye et al.**

2 The survival of LAB (as washed cells or in fermented milk) was investigated both  
3 under conditions similar to human digestion using human gastric and duodenal juices, and  
4 with traditional methods using acidic conditions and bile salts. The *Lactobacillus* strains  
5 showed the highest survival rate. However, the *Enterococcus hirae* and some of the  
6 *Lactococcus* strains benefited significantly from the fermented milk. The human model  
7 digestive system comprises an in vitro testing regime suitable for evaluation of the survival of  
8 candidate probiotic bacteria in human digestion.

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10 **Running head: SURVIVAL OF LAB IN HUMAN GASTRIC AND DUODEMUM**  
11 **JUICE**

12

13 **Survival of lactic acid bacteria from fermented milks in an in vitro digestion model**  
14 **exploiting sequential incubation in human gastric and duodenum juice.**

15 **T. Faye, A. Tamburello, G.E. Vegarud and S. Skeie<sup>1</sup>**

16 Department of Chemistry, Biotechnology and Food Sciences,

17 Norwegian University of Life Sciences,

18 P.O. Box 5003, NO-1432 Ås, Norway

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21 Department of Chemistry, Biotechnology and Food Sciences

22 The Norwegian University of Life Sciences

23 P.O. Box 5003, N-1432 Ås, Norway

24 Phone: +47 64965844 Fax: +47 64965901

25 <sup>1</sup>Corresponding author: [siv.skeie@umb.no](mailto:siv.skeie@umb.no)

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## ABSTRACT

In the present study the survival of nine lactic acid bacteria; five *Lactococcus* strains, three *Lactobacillus* strains and one strain of *Enterococcus hirae*, was investigated in vitro under conditions similar to human digestion using human gastric and duodenal juices. The tolerance of the bacteria was also tested with traditional methods using acidic conditions and bile salts. The strains were subjected to a model digestive system comprising sequential incubation in human gastric and duodenal juices, in a two-step digestion assay at 37°C simulating the human upper gastrointestinal tract with human gastric juices at pH 2.5 and human duodenal juices at pH 7. The bacterial strains were tested either as washed cells from culture media or in fermented milk. The initial in vitro testing in acid and bile salts showed that *Lactobacillus* strains and the *Enterococcus hirae* strain displayed a significantly higher acid tolerance than the lactococci. The lactobacilli and the *Enterococcus* numbers increased, while the lactococci decreased at least 1 log during the bile salt treatment. The *Lactobacillus* strains showed the highest survival rate in the model digestive system when washed bacterial cultures were used with a minor log reduction while the lactococci numbers were reduced by at least log 4. However, when using fermented milks in the model digestion system it was demonstrated that the *Enterococcus* strain and two strains of *Lactococcus lactis* ssp. *cremoris* benefited significantly from the presence of the fermented milk as food matrix, with log numbers > log 7 and 5 respectively after digestion of the fermented milk. The analyses reported comprise a comprehensive in vitro testing regime suitable for evaluation of the survival of candidate probiotic bacteria in human digestion as an initial prescreen to clinical trials.

**Key words:** Lactic acid bacteria, survival, human digestive model system, gastric juice, duodenum juice, fermented milk.

## INTRODUCTION

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In recent decades there has been growing interest in functional foods containing bacteria with beneficial effects. Products containing “functional bacteria” or definite probiotics are popular among the consumers and include capsules, tablets, juices, yogurts, fermented milks and other dairy products. Among food products with probiotics added, fermented milks and yogurts are especially acceptable. An explanation of this popularity can be that these products initially contain relatively large amount of bacteria, among them several strains with well known functional properties which have been regarded as healthy products for a long time. However, the criteria of being considered as a probiotic bacteria are several and strict (Borchers et al., 2009; de Vrese and Schrezenmeir, 2008). These criteria include that the bacteria should be of human origin and at least one clinical phase study must have been conducted. This implies that several bacterial strains with a long history in food fermentations and with conferred probiotic properties like good survival through the digestive tract, production of exopolysaccharides, antagonism against pathogenic bacteria, or the capability to adhere to the epithelium in the gut, cannot be considered as declared probiotic bacteria. Nevertheless, food related bacteria with stated positive effects for the consumer are generally accepted as functional ingredients in food products (Pfeiler and Klaenhammer, 2007; Zhu et al., 2009).

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Scandinavian ropy milks are traditional fermented milk products from northern Scandinavia (Fondén et al., 2006; Furuset, 2005; 2008). The main characteristic of these products is the slimy texture of the milks caused by growth of exopolysaccharide-producing *Lactococcus lactis* ssp. *cremoris* in the fermenting product. According to the tradition in Norway, this ropy milk was produced by adding leaves of the herb butterwort (*Pinguicula vulgaris*) into the milk before incubation (Furuset, 2005; 2008). Nowadays, a commercial product called “Tjukkmjøl” is produced based on old starter cultures that are supposed to

76 originate from this herb. Similar products are available in Sweden (Långfil), Finland (Viili)  
77 and Iceland (Skyr) (Fondén et al., 2006).

78 Traditionally, the Norwegian ropy milk has a public image of having especially good  
79 health related properties. Examples are health sites and debate forums on the internet  
80 reporting that people are consuming ropy milks without any adverse effects despite suffering  
81 of milk allergy or intolerance. However, although ropy milk may have a great potential as a  
82 functional dairy product worldwide, only a few scientific studies have investigated ropy milk  
83 products or examined the functional potential of the exopolysaccharide-producing lactococci  
84 (Kitazawa et al., 1991; Kitazawa et al., 1993; Nakajima et al., 1992; Nilsson and Nilsson,  
85 1958).

86 One of the main criteria for the assessment of probiotic bacteria is that they survive the  
87 hostile environments in the digestive tract in order to perform their actions in the gut. During  
88 the gastrointestinal passage, the probiotic bacteria must tolerate the presence of pepsin and the  
89 low pH of the stomach. Although the pH in the stomach will increase to a higher level (pH 4-  
90 6) depending on the buffering capacity after food intake, it generally stabilizes after some  
91 time to 2.5 to 3.5 (Holzapfel et al., 1998). Thereafter, the bacteria must survive the effects of  
92 bile salts and the protease-rich conditions of the duodenum (Ekmekcioglu, 2002).

93 Normally, potentially probiotic bacteria are exposed to standard in vitro testing to  
94 examine if they can survive in the digestive tract (Borchers et al., 2009). These tests include  
95 exposure of the bacteria to acidic conditions normally between pH 2.0 and pH 3.5, mimicking  
96 the environment in the stomach, and to bile salts. However, these tests give only an indication  
97 of the bacterial tolerability of the digestive conditions. During digestion the bacteria encounter  
98 multiple severe stress factors that ultimately might affect their survival and subsequent  
99 performance in the gut. In order to determine the actual capability of a bacterium to survive  
100 passage through the digestive tract, it is necessary to apply model systems mimicking the

101 human digestive system accompanied by human clinical trials. In a model system it is  
102 possible to copy the subsequent exposure to all the conditions bacteria must tolerate in real  
103 digestion. Furthermore, the metabolic state and fitness of ingested bacteria in the digestive  
104 tract will most probably be influenced by the method used for delivery. Pure bacterial cultures  
105 will presumably be more exposed to the severe conditions during digestion than bacteria  
106 embedded in food. Although the moment the bacteria are exposed to the human digestive tract  
107 environment, a dramatic metabolic adjustment will be necessary for them to survive  
108 regardless of how they are administered. However, bacterial cultures might be in a favorable  
109 metabolic condition after growth in appropriate media at optimal conditions regarding  
110 temperature and oxygen supply, compared to bacterial cells stressed by factors in the foods  
111 like for instance limited carbon supply, low pH, unfavorable red-ox potentials and incubation  
112 at non-optimal temperatures.

113 In this paper we compare the potential of selected lactic acid bacteria to survive  
114 gastrointestinal conditions through classical in vitro experiments (testing their tolerance to  
115 acidic environments and to bile salts) with a digestive model system utilizing gastric and  
116 duodenal juices of human origin, mimicking an in vivo gastrointestinal digestion. By using  
117 this digestive model, the bacteria were sequentially exposed to all the conditions present in the  
118 upper part of the human digestive tract. Therefore, this model seems to represent a realistic  
119 evaluator of the functional or probiotic potential of the bacteria tested. The suitability of  
120 fermented milk as a protective delivery matrix for the bacterial strains through the digestive  
121 model system was also investigated.

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## **MATERIALS AND METHODS**

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### ***Bacterial Strains***

125 A total of nine strains, five lactococcal, three lactobacilli and one enterococcal strain,  
126 were examined and propagated as indicated in Table 1. All strains, except for the commercial  
127 probiotic strain *Lactobacillus rhamnosus* GG, are of food origin (Table 1). The four strains of  
128 *Lactococcus lactis* ssp. *cremoris* originate from Norwegian ropy milks, and produce the  
129 exopolysaccharides responsible for the characteristic texture of these products. The strains  
130 *Lactococcus lactis* ssp. *cremoris* Bf-2 and Bf-3 originate from ropy milks made from sterile  
131 skimmed milk inoculated with *Pinguicula vulgaris*. All strains were identified to species level  
132 using 16S rDNA gene sequencing (Østlie et al., 2004) and sequences were edited using  
133 BioEdit software and analyzed using BLAST sequence search tool.

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#### 135 ***Tolerance to Temperature, Low pH and Bile Salt***

136 The bacterial strains were tested for their ability to survive and grow at 37°C, and to  
137 survive acidic conditions and the presence of bile salt. Overnight cultures of the bacteria were  
138 inoculated in the appropriate medium (Lactobacilli: MRS broth (Difco, Becton Dickinson and  
139 Company, Sparks, USA), Lactococci: M17 broth (Merck, Darmstadt, Germany)) and in the  
140 same medium acidified to pH 2.0 or pH 3.0 with 0.1M HCl, or with 0.3% bile salt ( $\approx$  6-7 mM)  
141 (Sigma Aldrich, St. Louis, USA) added. The bacterial cultures were incubated at 37°C for  
142 three hours before plating on their respective optimal media. All experiments were made in  
143 three replicates.

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#### 145 ***Tests with Human Gastric and Duodenal Juices Using an In Vitro Model System***

146 Human gastric juice (HGJ) (19.2 U/ml) and duodenal juices (HDJ) (12.9 U/ml) were  
147 collected from 20 individual healthy volunteers and pooled in two separate batches, one for  
148 HGJ and one for HDJ to avoid variability. The aspiration procedures are described by Holm et  
149 al. (1988), in brief, a three-lumen silicone tube (Maxter Catheters, Marseilles, France) enabled

150 simultaneous instillation of a stimulation solution in the duodenum and aspiration of gastric  
151 (HGJ) and duodenal (HDJ) juice. Correct placement of the tube was monitored by radiology.  
152 Continuous instillation, 100 ml/h of the isotonic stimulatory solution (17.5 g/l sucrose, 450  
153 mg/l NaCl, 800 mg/l L-phenylalanine and 575 mg/l L.valine in H<sub>2</sub>O) and a continuous  
154 aspiration of gastric and duodenal fluids were performed simultaneously. The stimulation  
155 solution was instilled close to the papilla of Vater while the duodenal juice was aspirated  
156 some 10 cm distally. Juices from the stomach were aspirated from the canalis ventriculi. The  
157 juices were collected in 50 ml tubes on ice, inspected and pH was measured periodically to  
158 avoid samples of mixed duodenal and gastric juices. The samples were centrifuges (4500 g for  
159 10 min) to remove mucous and cell debris before aliquots were frozen at -20 and then stored  
160 at -80 °C. The enzyme activities were calculated by pepsin activity assay for HGJ using  
161 haemoglobin as substrate according to Sánchez-Chiang et al. (1987) and by the total  
162 proteolytic activity assay for HDJ with casein as a substrate according to Krogdahl and Holm  
163 (1979). The enzyme activity (1 U) is defined as the amount (ml) of HGJ or HDJ giving a  
164 difference in absorbance of 1.0 at 280nm in 10 min at 37 °C.

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166 ***Model Digestion of Bacterial Cultures.*** An overnight culture of each bacterial strain,  
167 1% inocula in 40 ml growth medium, were used in all the experiments. After centrifugation  
168 for 30 min at 2400g (Centrifuge 2010, Kubota, Fujioka, Japan), the bacterial cell pellet was  
169 diluted with 40 ml Ringer's solution. Ten ml of cell suspension was transferred to each of two  
170 plastic tubes equipped with sterilized magnetic stirrers and incubated in a water bath  
171 circulator (Julabo MB 7A (JULABO Labortechnik GmbH, Seelbach, Germany) at 37°C. One  
172 of the tubes was equipped with a pH meter (PHM 210, MeterLab Radiometer Analytical,  
173 Lyon, France) in order to check the pH during the digestion. The pH in the first step of  
174 digestion was adjusted to 2.5 with 1M HCl. After 5 minutes, 328 µl of HGJ (19.2 U/ml) was

175 added. After 60 minutes of digestion at pH 2.5, the pH in the sample was adjusted to 7.0 with  
176 1M NaOH and then 1440 µl HDJ (12.9 U/ml) was added followed by incubation for another  
177 60 minutes. Samples for determination of viable cell counts (**cfu**) were taken after 0, 5, 65 and  
178 125 minutes. The lactococci were enumerated on M17 agar plates, and the *Lactococcus lactis*  
179 *ssp. lactis* strains were incubated aerobically for 48 hours at 30°C. The *Lactococcus lactis ssp.*  
180 *cremoris* strains were however incubated anaerobically for 72 hours at 22°C. The lactobacilli  
181 were enumerated on MRS agar plates and incubated anaerobically for 48 hours at 30°C.  
182 Anaerobic conditions were created using Gaspak™ holding jar and Oxoid Atmosphere  
183 Generation System AnaeroGen™ (Oxoid Ltd, Basingstoke, Hampshire, UK).

184 ***Digestion of Fermented Milk as a Carrier Matrix for the Bacteria.*** In order to  
185 evaluate the viability of the bacteria in a carrier matrix, fermented milk was used. Milk was  
186 fermented with a single strain of each bacterium. In order to improve the growth of  
187 lactobacilli, 1% sterilized (121°C, 15 min) D+glucose (Merck, Darmstadt, Germany) was  
188 added to the milk with these strains. With the aim of removing any interference due to the  
189 MRS/M17 medium, the cultures were back slopped twice. In the first step, 10 ml sterilized  
190 skimmed milk (95°C, 10 minutes), prepared from distilled water and 10% of skimmed milk  
191 powder (Tine BA, Norway) was inoculated with 1% (100µl) of an overnight broth culture and  
192 incubated. All the *Lactococcus lactis ssp. cremoris* strains were incubated for 20 hours at  
193 22°C, while the rest of the strains were incubated for 15 hours at 30°C. Subsequently, an  
194 aliquot equal to 1% (400 µl) was inoculated in 40 ml sterilized (95°C, 10 minutes) full fat  
195 milk (Tine BA, Norway) with 3.2% protein and 3.9% fat and incubated under the same  
196 conditions as the previous step. After incubation, the fresh fermented milk was refrigerated  
197 for 24 hours at 4°C.



198 The fermented milk samples were then submitted to the in vitro digestive model  
199 system with HGJ and HDJ, following the protocol described previously (Model digestion of  
200 bacterial cultures). The amount of human juices added was calculated on the basis of enzyme  
201 activity/g protein (20U HGJ/g protein and 62.4 U HDJ/g protein). Fermented milk (10mL)  
202 was transferred to 50 ml sterile plastic tubes equipped with sterilized magnetic stirrers and  
203 incubated in the water bath circulator at 37°C. The pH was adjusted to 2.5 with 1M HCl, and  
204 after 5 minutes 300 µl of HGJ (19.2U/ml) was added. After 60 minutes incubation, the pH  
205 was adjusted to 7.0 with 1 M NaOH and 1316 µl of HDJ (12.9 U/ml) was added followed by  
206 60 minutes of incubation. Samples of 0.5 ml were again drawn after 0, 5, 65 and 125 minutes,  
207 and the viable cell count was evaluated by plating on M17/MRS agar and incubated in the  
208 conditions shown in Table 1.

209

### 210 *Statistical Analyses*

211 Analysis of variance (ANOVA) was performed using the SAS Enterprise guide 4.0  
212 (SAS Institute, Cary, NC, USA). The treatment factors bacterial strain and media and their  
213 interaction formed the statistical model for the tests of the effects in adjusted media and in the  
214 digestion model, respectively. Tukey's simultaneous test was used to find differences between  
215 means (Snedecor and Cochran, 1989). For the statistical calculations the following computed  
216 values were used:

217  $\Delta \log_{3h} = (\log \text{ cfu/ml at time 0} - \log \text{ cfu/ml after 3 h incubation}),$

218  $\Delta \log_{\text{digestion}} = (\log \text{ cfu/ml at time 0} - \log \text{ cfu/ml after 125 min digestion (both gastric juice and}$   
219  $\text{duodenum juice})),$

220  $\Delta \log_{\text{gastric}} = (\log \text{ cfu/ml at time 0} - \log \text{ cfu/ml after 60 min in gastric juice})$

221  $\Delta \log_{\text{duodenum}} = (\log \text{ cfu/ml after 60 min in gastric juice} - \log \text{ cfu/ml after 60 min in duodenum}$   
222  $\text{juice}).$

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## RESULTS

225 ***Growth and Survival of Single Bacterial Cultures in Broth and Adjusted Medium***

226 ***Containing 0.3 % Bile Salt and with pH 2 and 3***

227 Incubation for 3 h at 37°C in normal and adjusted M17/MRS broths showed  
228 differences ( $P<0.001$ ) in  $\Delta \log_{3h}$  between both the strains and the media (Table 2). In normal  
229 media the *Lactobacillus* strains and *Lactococcus lactis* ssp. *lactis* ML8 and *Enterococcus*  
230 *hirae* INF E1 increased their cell numbers during 3 hours at 37°C, while the *Lactococcus*  
231 *lactis* ssp. *cremoris* strains had reduced viability ( $P<0.05$ ).

232 None of the *Lactobacillus* strains or *Enterococcus hirae* INF E1 were significantly  
233 affected by the bile salts, while the *Lactococcus* strains except *Lactococcus lactis* ssp.  
234 *cremoris* Af-1 and Bf-2 showed reduced numbers ( $P<0.05$ ) when grown in bile salts. At  
235 reduced pH most of the tested strains had considerably reduced viability. However, after  
236 incubation at pH 3 for 3 hours at 37°C, the *Lactobacillus* strains (GG, INF448 and INF456)  
237 survived and maintained an approximately constant viable cell count, while the viable cell  
238 counts of *Lactococcus* ssp. and *Enterococcus hirae* decreased ( $P<0.05$ ) and were reduced by  
239 about 2-3 logs. In particular, incubation at pH 3 had a strong reducing effect on both the  
240 *Lactococcus lactis* ssp. *cremoris* strains, Bf-2 and Bf-3, and the enumerated numbers were  
241 less than  $10^2$  cfu/ml. After incubation at pH 2 for 3 hours at 37°C, none of the strains were  
242 able to maintain a good survival rate. The enumerated numbers of all the *Lactococcus* strains  
243 and *Enterococcus hirae* were less than  $10^2$  cfu/ml after 3 hours of incubation. The  $\Delta \log_{3h}$  was  
244 less than two for *Lactococcus lactis* ssp. *cremoris* strains Bf-2 and Bf-3. However, the  
245 numbers after inoculation at pH 2 were very low and less than log 4. The *Lactobacillus* strains  
246 showed better tolerance ( $P<0.05$ ) to the acidic environment compared to the lactococci and  
247 *Enterococcus* strain investigated, although their numbers were reduced from log 7 to about

248 log 4 cfu/ml.

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250 *Digestion of Single Bacterial Cultures and Fermented Milks with Human Gastric and*

251 *Duodenal Juices in a Digestive Model System*

252 The production of the fermented milk and the following digestion were principally  
253 performed in order to study how fermented milk act as a carrier matrix that could influence  
254 the viability of a strain during digestive conditions. During the model digestion using HGJ/pH  
255 2.5 for 60 minutes and HDJ/pH 7 for 60 minutes, differences ( $P<0.001$ ) in viability were  
256 shown between the groups of lactococci and lactobacilli throughout the digestion process  
257 (Figure 1 and Figure 2). In general, the *Lactococcus lactis* ssp. *cremoris* strains Af-1 and Bf-2  
258 and *Lactococcus lactis* ssp. *lactis* ML8 had a poorer ( $P<0.01$ ) survival rate measured as viable  
259 cell count after digestion compared to the other strains investigated. The *Lactobacillus* ssp.  
260 retained a high cell number throughout the digestion and decreased by only one log regardless  
261 of the matrix. After two hours of digestion (125 minutes), *Lactococcus lactis* ssp. *cremoris*  
262 Ar-1 and Bf-2 and *Enterococcus hirae* benefitted ( $P<0.01$ ) from the presence of a fermented  
263 milk carrier matrix. On the other hand, *Lactococcus lactis* ssp. *cremoris* Af-1, *Lactococcus*  
264 *lactis* ssp. *lactis* ML8 and *Lactobacillus paracasei* ssp. *paracasei* INF448 survived better as  
265 pure cultures than in fermented milk.

266 In gastric juice (**HGJ**), the viability of the washed cells of *Lactococcus* ssp. and  
267 *Enterococcus hirae* decreased ( $P<0.001$ ) during the first hour simulating the gastric tract.  
268 However, during the following hour at pH 7 with human duodenal juice (**HDJ**) (duodenum  
269 tract simulation), they were able to resume growth and the cell numbers increased  
270 approximately 1-2 logs (Figure 1).

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## DISCUSSION

273 Five strains of *Lactococcus lactis* sp., three strains of lactobacilli and one strain of  
274 *Enterococcus* were tested for their tolerance to acid, commercial bile salt and to gastric  
275 conditions through the exposure to gastric and duodenal juices in a digestive model system.  
276 One of the main purposes of this study was to compare the traditional in vitro tests with a  
277 model system simulating human digestion. Furthermore, all the selected lactococci and  
278 lactobacilli strains have interesting functional properties related to different food products. We  
279 therefore wanted to evaluate whether these strains could contribute with beneficial health  
280 functions, or even have the potential as probiotics for human consumption. *Lactobacillus*  
281 *rhamnosus* GG, one of the most studied probiotic bacterial strains on the market, was chosen  
282 as a probiotic control strain. All other strains than *Lb. rhamnosus* GG were food isolates and  
283 are thus not considered true probiotics. The one strain of *Enterococcus* was chosen because  
284 these are often found as non starter lactic acid bacteria in dairy products. They often dominate  
285 the intestine microbial flora and they might be potentially pathogenic (Klein, 2003). The  
286 analyses reported comprise a comprehensive in vitro testing regime for evaluation of the  
287 survival of candidate probiotic bacteria during human digestion.

288 Evaluation of the probiotic properties of a strain requires extensive in vitro and in vivo  
289 investigation. It has been observed that many in vitro models can predict, with good  
290 approximation, the ability of a strain to survive in the human gastrointestinal tract and thereby  
291 confer a health benefit for the host. Such tests include investigations of the resistance to  
292 gastric acidity, bile salts and pancreatic enzymes, the adherence to human epithelial cells  
293 using the cell lines, the immunomodulating effects, the antibiotic resistance profile and the  
294 hemolytic properties, the antimicrobial activity against pathogens as well as competition with  
295 them for the sites of adhesion (Tannock, 2005).

296 The present study investigated the ability of nine strains, five lactococci, three  
297 lactobacilli and one enterococcal strain, to survive conditions that mimic the digestive

298 environment through a digestive model using human gastrointestinal juices. Human  
299 gastrointestinal enzymes differ from purified commercial non-human enzymes in the way that  
300 human gastrointestinal enzymes are complex and contain a mixture of proteases, amylases  
301 and lipases that exist in different isoforms in combination with inhibitors, bile salts, bilirubin,  
302 cell mucus and other minor components that may all influence the survival of bacteria  
303 (Ulleberg et al., 2011). Survival of digestive conditions is one of the fundamental properties  
304 of bacteria that are supposed to have effects beneficial to the consumers, and it is usually one  
305 of the first characteristics evaluated.

306         The acidity tolerance test showed that the *Lactobacillus* strains had a significant better  
307 acid tolerance than the lactococci under defined conditions. The two lactobacilli investigated,  
308 *Lactobacillus paracasei* INF448 and *Lactobacillus paracasei* INF456 showed similar acid  
309 tolerance (confirmed by the Tukey's test) as the well-known probiotic strain *Lactobacillus*  
310 *rhamnosus* GG (Alander et al., 1999), used as a control. They maintained a high survival rate  
311 ( $\sim 10^7$  cfu/ml) after 3 hours at pH 3, but their numbers were reduced to about  $10^4$  cfu/ml when  
312 tested at pH 2. This loss of viability observed in vitro between pH 3 and pH 2 is reported in  
313 several studies (Jacobsen et al., 1999; Schillinger et al., 2005). Among lactococci, it was  
314 possible to distinguish between the two subspecies. The *Lactococcus lactis* ssp. *cremoris*  
315 strains already decreased significantly in M17 broth (pH 7.2) at 37°C for 3 hours,  
316 demonstrating their inability to survive for this length of time at the human body temperature.  
317 Thus, as expected, at pH 3 and pH 2 their numbers of cells decreased to numbers less than  $10^3$   
318 cfu/ml, lower than any levels generally accepted for probiotic bacteria (Kimoto-Nira et al.,  
319 2007). On the other hand, in the same tests at pH 7.2 and 37°C *Lactococcus lactis* ssp. *lactis*  
320 ML8 and *Enterococcus hirae* INF E1 were able to grow, and at low pH they showed a  
321 survival rate slightly better than the strains of *Lactococcus lactis* ssp. *cremoris*. This seems to  
322 confirm earlier reports that state the subspecies *Lactococcus lactis* ssp. *lactis* as generally

323 more robust and less affected by environmental changes than *Lactococcus lactis* ssp. *cremoris*  
324 (Kim et al., 2001).

325 Few of the strains were affected by the presence of bile salts, and only *Lactococcus*  
326 *lactis* ssp. *cremoris* Bf-3 seemed to be severely affected, confirming the results of similar  
327 work (Jacobsen et al., 1999; Lee et al., 2007), although other studies have concluded that bile  
328 salts had severe influence on bacterial survival (Coeuret et al., 2004). Surprisingly, five strains  
329 showed a weak increase in numbers of viable cells during the 3 hours of incubation in 0.3 %  
330 bile salt, a result not reported from other studies. Furthermore, as in the acid tolerance tests,  
331 the lactobacilli generally had a better performance than the lactococci, with the exception of  
332 the strains *Lactococcus lactis* ssp. *cremoris* Bf-2 and *Enterococcus hirae* INF E1, which also  
333 showed a high survival rate.

334 The survival of the lactobacilli was much better in the human model digestion system  
335 with gastric and duodenum juice as compared to the standard acidity and bile tolerance tests  
336 while the cocci, with some exceptions, performed similarly in both systems. The model  
337 digestion experiments gave the opportunity to simulate with more precision the  
338 gastrointestinal events occurring in the upper gastrointestinal tract, distinguishing the two  
339 phases: stomach and duodenum. The digestion presented two sequential phases in the same  
340 trial, the first part in acid conditions, pH 2.5, with human gastric juices, and the second step at  
341 neutral pH 7 with human duodenal juices (including natural pancreatic enzymes, inhibitors  
342 and bile salts). The digestion of the pure bacterial strains demonstrated significant differences  
343 between lactobacilli and lactococci with the latter being less tolerant than the lactobacilli  
344 strains. The digestion of the lactococcal strains and *Enterococcus hirae* INF E1 gave some  
345 surprising results. As expected, none of them survived in significant numbers after exposure  
346 to the conditions in the stomach, pH 2.5 with human gastric juice. In fact, after the gastric  
347 phase, their viable cell numbers were below  $10^2$  cfu/ml. However, after the subsequent

348 duodenal phase, the cell numbers increased by approximately 1-2 logs. This could mean that  
349 lactococci and enterococci after a period of acid stress are able to resurrect their viability if  
350 they are exposed to more suitable conditions like those in the small intestine. This aspect is  
351 indeed very interesting since it is in the intestine that functional or probiotic bacteria confer  
352 their health benefit to the host. It also implies that bacteria not regarded as probiotic but yet  
353 with potential health-related useful properties, like the exopolysaccharide-producing  
354 lactococci, still may have the possibility of a positive impact on the consumer.

355         The digestions of the fermented milks were performed with a protocol similar to the  
356 digestion of pure bacterial cultures in order to compare them and to evaluate how fermented  
357 milk as a carrier matrix could influence the viability of the strains. The initial hypothesis was  
358 that the fermented milks should give protection to the bacteria through the digestive tract, but  
359 the tests revealed strain dependent results. At the end of the fermented milk digestion, the  
360 *Lactococcus* strains Af-1 and ML-8 and *Lactobacillus paracasei* INF448 showed lower  
361 numbers of viable cells compared to digestion of pure bacterial cells. In contrast, the  
362 *Lactococcus* strains Ar-1, Bf-2 and *Enterococcus hirae* INF E1, *Lactobacillus paracasei*  
363 INF456 and the commercial probiotic strain *Lactobacillus rhamnosus* GG showed higher  
364 numbers of viable counts compared to the results from the digestion of the pure cultures. In  
365 particular, the carrier matrix improved the viability of the *Lactococcus* strains Ar-1, Bf-2 and  
366 *Enterococcus hirae* INF E1 during the incubation in gastric conditions. These results seem to  
367 confirm that foods, such as fermented milks, could be a protective matrix enhancing survival  
368 of bacteria (Schillinger et al., 2005). In addition, as reported by Kim et al. (Kim et al., 1999)  
369 and others (De Angelis and Gobbetti, 2004), this improvement could be an effect of the  
370 adaptive responses to acid stress. The bacteria were exposed to sublethal acid conditions (the  
371 acid pH of the fermented milk) prior to digestion and this could contribute to the observed  
372 protection against the subsequent exposure to normally lethal acidic levels (pH of the

373 stomach).

374           Until now species in the *Lactobacillus* and *Bifidobacterium* genera commonly isolated  
375 from the intestine have received most attention in the search for bacteria with positive health  
376 related properties and as candidates for new probiotic strains. This study confirms that  
377 lactobacilli have potential as functional and even as probiotic strains since they showed better  
378 tolerance overall to the conditions mimicking the environment in the digestive tract. The  
379 *Lactobacillus paracasei* INF448 and *Lactobacillus paracasei* INF456 strains tested, showed  
380 very good acid and bile tolerance and high numbers of viable cells after digestion both as pure  
381 bacterial cultures and in a food matrix such as fermented milk. These strains were originally  
382 isolated from ripened cheese and have been used successfully as adjunct cultures in  
383 experiments developing cheese. Several aspects of their metabolism have been investigated  
384 (Skeie et al., 2008). Therefore, it would be interesting to evaluate functional properties of  
385 these two strains further for future exploitation of their potential as functional bacteria in food,  
386 or even as probiotics.

387           Enterococci are versatile bacteria that are part of the commensal human microbiota  
388 and are generally regarded as highly adapted to surviving the human digestive system. In  
389 addition enterococci occur frequently in fermented meat and milk products, including cheese.  
390 Certain strains of enterococci are included in probiotic formulas and, as such, are regarded as  
391 beneficial to human health. We investigated an *Enterococcus hirae* strain isolated from milk  
392 for its performance in an in vitro digestion model. Our results showed that this strain  
393 benefitted from the protective matrix of fermented milk through good survival during and  
394 after the digestion. These results are comparable to what has been reported for the closely  
395 related species *Enterococcus faecium* (Klein, 2003).

396           One of the aims of the current study was to investigate the capacity of *Lactococcus*  
397 spp. as functional bacteria in foods and whether they are able to enter the intestine, although



398 they are formally not considered normal inhabitants of the intestine. Until now only a few  
399 investigations have been conducted, showing that some lactococcal strains were able to  
400 survive at low pH and in the presence of bile salts (Kimoto-Nira et al., 2007; Lee et al., 2007).  
401 Among the lactococci tested in the present study, none have clearly shown a strong tolerance  
402 both to low pH and bile salts, although the strains *Lactococcus lactis* ssp. *cremoris* Ar-1 and  
403 Bf-2 have displayed a good survival rate in presence of the fermented milk as carrier matrix.  
404 This result is especially interesting since these specific strains are exopolysaccharide-  
405 producing bacteria in ropy milk, a traditional Nordic product reported to have beneficial  
406 impact on the consumers' health (Kitazawa et al., 1991; Kitazawa et al., 1993; Nakajima et  
407 al., 1992; Nilsson and Nilsson, 1958).

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505 **Table 1.** Bacterial strains and conditions for growth used in this study

Bacterial species	Strain <sup>1</sup>	Origin	Media	Growth temperature°C
<i>Lactococcus lactis</i> ssp. <i>cremoris</i>	Ar-1	Milk	M17	22
<i>Lactococcus lactis</i> ssp. <i>cremoris</i>	Ar-1	Milk	M17	22
<i>Lactococcus lactis</i> ssp. <i>cremoris</i>	Bf-2	Plant <sup>2</sup>	M17	22
<i>Lactococcus lactis</i> ssp. <i>cremoris</i>	Bf-3	Plant <sup>2</sup>	M17	22
<i>Lactococcus lactis</i> ssp. <i>lactis</i>	ML-8 <sup>3</sup>	Milk	M17	30
<i>Enterococcus hirae</i>	INF E1	Milk	M17	30
<i>Lactobacillus rhamnosus</i>	GG	Commercial strain	MRS	30
<i>Lactobacillus paracasei</i>	INF-448	Cheese	MRS	30
<i>Lactobacillus paracasei</i>	INF-456	Cheese	MRS	30

506 <sup>1</sup> All strains belong to the collection of the Department of chemistry, biotechnology and food science, Norwegian University of Life Sciences.

507 <sup>2</sup> Isolated from sterile milk inoculated with *Pinguicula vulgaris*.

508 <sup>3</sup> Given as a gift to the Norwegian University of Life Sciences from University of Cork, Ireland.

509 **Table 2.** Survival of the strains in normal medium (MRS/M17) or medium with added 0.3 % bile salt or pH adjusted to pH 2 and pH 3 given as  
510 change in cfu<sup>1</sup> of the strains during 3h incubation at 37°C:  $\Delta \log_{3h} = \log \text{cfu/ml at time 0} - \log \text{cfu/ml at 3 h}$ . Significant differences (\* $P < 0.05$ )  
511 between the media for each strain (row factor) are shown with different superscript letters while differences between each strain in each specific  
512 media (column factor) are shown by different subscript letters.

Bacterial specie	Strain	Normal		Bile		pH 3		pH 2	
		Mean	Std	Mean	Std	Mean	Std	Mean	Std
<i>Lactococcus lactis</i> ssp. <i>cremoris</i>	Af-1	1.11 <sup>a</sup> <sub>c</sub>	0.19	1.1 <sup>a</sup> <sub>c</sub>	0.06	3.09 <sup>b</sup> <sub>c</sub>	0.21	4.23 <sup>c</sup> <sub>c</sub>	0.28
<i>Lactococcus lactis</i> ssp. <i>cremoris</i>	Ar-1	1.14 <sup>a</sup> <sub>c</sub>	0.21	1.58 <sup>b</sup> <sub>c</sub>	0.22	2.94 <sup>c</sup> <sub>c</sub>	0.53	4.13 <sup>d</sup> <sub>c</sub>	0.02
<i>Lactococcus lactis</i> ssp. <i>cremoris</i>	Bf-2	-0.41 <sup>a</sup> <sub>bc</sub>	1.05	-0.68 <sup>a</sup> <sub>b</sub>	0.04	2.15 <sup>c</sup> <sub>b</sub>	0.06	1.59 <sup>b</sup> <sub>a</sub>	0.44
<i>Lactococcus lactis</i> ssp. <i>cremoris</i>	Bf-3	0.82 <sup>a</sup> <sub>c</sub>	0.17	3.03 <sup>c</sup> <sub>d</sub>	0.22	1.91 <sup>b</sup> <sub>b</sub>	0.06	1.91 <sup>b</sup> <sub>a</sub>	0.09
<i>Lactococcus lactis</i> ssp. <i>lactis</i>	ML-8	-0.26 <sup>a</sup> <sub>b</sub>	0.21	0.68 <sup>b</sup> <sub>c</sub>	0.13	3.8 <sup>c</sup> <sub>d</sub>	0.55	4.49 <sup>d</sup> <sub>c</sub>	0.02
<i>Enterococcus hirae</i>	INF E1	-1.54 <sup>a</sup> <sub>a</sub>	0.12	-1.36 <sup>a</sup> <sub>a</sub>	0.12	2.38 <sup>b</sup> <sub>b</sub>	0.09	5.31 <sup>c</sup> <sub>d</sub>	0.12
<i>Lactobacillus paracasei</i>	INF448	-0.43 <sup>a</sup> <sub>ab</sub>	0.22	-0.32 <sup>a</sup> <sub>b</sub>	0.18	-0.16 <sup>a</sup> <sub>a</sub>	0.23	3.05 <sup>b</sup> <sub>b</sub>	0.49
<i>Lactobacillus paracasei</i>	INF456	-0.48 <sup>a</sup> <sub>ab</sub>	0.16	-0.31 <sup>a</sup> <sub>b</sub>	0.32	-0.04 <sup>a</sup> <sub>a</sub>	0.33	2.79 <sup>b</sup> <sub>b</sub>	0.22
<i>Lactobacillus rahmnosus</i>	GG	-0.76 <sup>a</sup> <sub>a</sub>	0.14	-0.29 <sup>a</sup> <sub>b</sub>	0.09	0.28 <sup>c</sup> <sub>a</sub>	0.23	3.18 <sup>d</sup> <sub>b</sub>	0.19

513 <sup>1</sup>Viable cell count

514 **Legends to figures**

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516

517 **Figure 1:**

518 Comparison of the evolution of the *Lactococcus* strains and *Enterococcus hirae* INF E1  
519 during digestion in gastric juice (0 – 65 minutes) and duodenum juice (65-125 minutes) (mean  
520  $\pm$  SD of n=2 determinations). Solid lines (—) strain as washed cells; dotted lines (-----)  
521 strain in fermented milk. Log 2 means  $\leq$  100 colony forming units (cfu)/ml, thus log 2 (=100)  
522 on the y-axis, might be a lower number than 100 cfu/ml because of the sensitivity of the  
523 plating method.

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526 **Figure 2:**

527 Comparison of the evolution of the *Lactobacillus* strains during digestion in gastric juice (0 –  
528 65 minutes) and duodenum juice (65-125 minutes) (mean  $\pm$  SD of n=2 determinations).  
529 Solid lines (—) strain as washed cells; dotted lines (-----) strain in fermented milk.

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